

1     **METABOLIC RESPONSES TO HYPERTHERMIA IN TWO**  
2     **SMALL DESERT MAMMALS, THE PYGMY ROCK MOUSE,**  
3     ***PETROMYSCUS COLLINUS* AND THE NAMAQUA ROCK**  
4     **MOUSE, *AETHOMYS NAMAQUENSIS***

5  
6                     University of KwaZulu-Natal

7  
8                                     By

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10  
11     A dissertation in partial fulfillment of the requirements for the degree  
12                                     of

13                     Masters of Biological Sciences

14  
15                                     School of Life Sciences

16  
17                     Supervisor: Professor Barry Gordon Lovegrove

18  
19                                     2012

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## Declaration

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I.....declare that

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- (ii) This dissertation/thesis has not been submitted for any degree or examination at any other university
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## 54 **Abstract**

55 The negative consequence of recent climate change on the Earth's biodiversity has become  
56 more evident in recent years. Some animals, due to insularity or habitat fragmentation, are  
57 unable to shift their ranges altitudinally and latitudinally. Vulnerable species need to rely on  
58 behavioural and, more importantly, physiological responses in order to persist through present  
59 climatic changes. It has therefore become more obvious that physiological responses of  
60 individuals need to be incorporated into predictive models of the responses of mammals to  
61 accelerated climate change.

62 The primary purpose of this study was to test the 'Hyperthermic Daily Torpor'  
63 hypothesis proposed recently by Lovegrove et al., (in press). The hypothesis suggests that,  
64 based on albeit limited evidence, some small mammals may be capable of hyperthermia-  
65 induced hypometabolism equivalent to that experienced during torpor and hibernation in  
66 response to cold temperatures. These authors argue that such hyperthermic hypometabolism  
67 should reduce the risk of entry into pathological hyperthermia and also reduce the rate of  
68 water loss driven by heat-induced evaporative cooling. The reaction norms of desert mammals  
69 have been selected to be adaptive over a wide range of climatic conditions due to the  
70 unpredictability of their habitat. Thus, they are good models for testing the reaction norms that  
71 may be expressed in response to accelerated climate change. We therefore tested our  
72 hypothesis using two presumably heat-adapted desert rodents; the Namaqua rock mouse,  
73 *Aethomys namaquensis*, and the pygmy rock mouse, *Petromyscus collinus*, as model species.

74 We used indirect respirometry to measure metabolic rate at high ambient  
75 temperatures. We progressively exposed the animals to high temperatures to induce thermal

76 tolerance and thus minimize the risks of lethal hyperthermia. We also measured subcutaneous  
77 and core temperatures, using temperature-sensitive PIT tags (BioTherm Identipet) and modified  
78 iButtons (Maxim Integrated), respectively.

79 *A. namaquensis* displayed the capacity for hyperthermia-induced hypometabolism ( $Q_{10}$   
80 =  $1.27 \pm 1.61$ ) whereas the *P. collinus* did not ( $Q_{10} = 2.45 \pm 1.41$ ).

81 The implications of such a physiological response in *A. namaquensis* are crucial in terms  
82 of its capacity to minimize the risks of lethal, pathological hyperthermia. Recent models of  
83 endothermic responses to global warming based on ectothermic models predict a dichotomy in  
84 the thermoregulatory responses of mammals to high temperatures. This study, to our  
85 knowledge, provides some of the first data on these interspecific variations in the  
86 thermoregulatory responses of mammals to high temperatures. However, the different  
87 physiological responses to hyperthermia between these two species cannot be meaningfully  
88 interpreted without phylogenetically independent comparisons with other species, that is, a  
89 more expansive interspecific analysis. Nonetheless, we provide some autecological sketches to  
90 assist in future multivariate interspecific analyses.

91 Physiological differences between captive or captive-bred and free-ranging mammals  
92 preclude the extrapolation of our findings to free-ranging mammals. It is almost impossible to  
93 collect MR data in the field, although a few authors have successfully done so, and it is often  
94 not feasible to collect  $T_b$  data in small free-ranging mammals. Most studies have therefore  
95 made use of externally-mounted temperature-sensitive data loggers in order to collect  $T_{skin}$   
96 data as a proxy for  $T_{core}$  data in free-ranging mammals. However, misleading gradients between  
97  $T_{skin}$  and  $T_{core}$  can occur if data loggers are placed too close to major-heat producing tissues and

98 the effects of the external environment on these data loggers may result in large  $T_{\text{skin}} - T_{\text{core}}$   
99 gradients.

100 The second objective of this thesis therefore was to test the validity of using  
101 subcutaneous temperatures ( $T_{\text{sub}}$ ) from subcutaneously injected temperature-sensitive PIT tags  
102 as a proxy for  $T_{\text{core}}$  using the Namaqua rock mouse, *Aethomys namaquensis*.

103 We found that the difference between  $T_{\text{core}}$  and  $T_{\text{sub}}$  was minimal ( $\sim 0.34^{\circ}\text{C}$ ) within the  
104 thermoneutral zone (TNZ) with slight, non-significant, differences outside the TNZ. There was a  
105 tendency for  $T_{\text{sub}}$  to underestimate  $T_{\text{core}}$  below thermoneutrality and overestimate it above  
106 thermoneutrality. We attributed these differences to the various heat loss and heat gain  
107 mechanisms activated in response to heat and cold stress in order to maintain a setpoint  $T_b$ .  
108 Nevertheless, we found that the  $T_{\text{core}} - T_{\text{skin}}$  differential never exceeded  $1.59^{\circ}\text{C}$  above the wide  
109 range of  $T_{\text{a}}\text{s}$  ( $5^{\circ} - 41^{\circ}\text{C}$ ) measured. Thus, we can conclude that subcutaneous temperatures  
110 provide a reasonably reliable proxy for core temperature in small mammals.

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## 123 **Acknowledgements**

124 I would like to acknowledge Professor Barry Gordon Lovegrove for his supervision during part  
125 of the year when he had regained his health. I had an amazing experience during the two years  
126 that I worked with him doing my Honours and Masters. These past two years have been among  
127 some of the best years of my academic career and I thank him for that. This study was fully  
128 funded by a National Research Foundation (NRF) incentive grant and a UKZN publication  
129 incentive grant to BGL, and a UKZN MSc bursary to MM.

130 Several people assisted me in different ways over the course of my studies and I extend  
131 my deep and sincere gratitude to all of them. Ezemvelo KZN Wildlife and the Northern Cape  
132 Department of Environment and Nature Conservation are acknowledged for the issuing of the  
133 permits which made the study possible. I would like to thank David Paulse (Ezemvelo KZN  
134 Wildlife) for going above and beyond his duty concerning the acquisition of my permit. I would  
135 also like to thank Mr Steenkamp for the use of his farm Roepersfontein and to Francois Theron  
136 for being our liaison to Mr Steenkamp and ensuring that our trip was a hospitable and  
137 memorable one. Jacob Kruger is also thanked for the use of his farm, Norriseep.

138 I would like to thank Professor Finnie for stepping in as my interim supervisor while  
139 Barry was hospitalized. To Dr. Mark Brown, I say thank you for helping me find my feet in the  
140 early days of my project when Barry was in hospital and unavailable for nearly three months  
141 and for always being there to listen to and sort out all the administrative problems I had.  
142 Without Danielle Levesque I would not have completed my project. The knowledge she shared  
143 with me on respirometry was essential to the success of my project. Thank you for always being  
144 there to help me with any problems I had and for picking up every panicked phone call after

145 hours. You helped ease the strain of respirometry to some extent. To Dr Cindy Canale I would  
146 also like to extend thanks for being there to answer any questions I had concerning  
147 respirometry. I would like to thank Kayleigh Muller for always being there to help me when I  
148 needed it; even if it meant driving all the way from home, just to help me catch an escapee. My  
149 deepest gratitude goes to Martin Hampton and Rogan Roth for always helping me with any  
150 technical difficulties I had, which was almost every day in the beginning. I know I became a pain  
151 for a while and I appreciate you never saying so. I would like to thank Professor Andrew  
152 McKechnie for always promptly answering any questions I had concerning my project and for  
153 advice on proper procedures and with the use of the PIT tag reader procedures despite the fact  
154 that he has his own students to worry about. To Professor Colleen Downs I would like to say  
155 thank you for being there to put out the fires which I left in my wake. My deepest and most  
156 heartfelt appreciation goes to Professor Phillip, C. Withers who took time out of his busy  
157 schedule to help me to understand the statistics that were needed to analyse my data. To  
158 Kayleigh Muller and Lindy Thompson (my lab partner) and Stacey Leigh Webb (Hallam), I  
159 express my gratitude for helping me maintain my sanity during those long, never ending days of  
160 respirometry trials.

161 Stacey Leigh Webb (Hallam), Minke Witteveen, Fallon Olfson, Mrs Megan Brunkhorst  
162 and Mr. John Woodley all provided big and small favours over the course of the study.

163 I would like to thank my parents for their continual support and encouragement  
164 throughout the course of my studies. Finally, I would like to acknowledge that without God,  
165 none of this would have been possible.

166

## 167 **Thesis structure**

168 This thesis consists of five chapters. Chapter 1 provides a general introduction to climate  
169 change and species responses to climate change and high ambient temperatures in particular. It  
170 also introduces the novel concept of hyperthermic hypometabolism which is the main focus of  
171 the thesis.

172 Chapter 2 provides a short description of the model mammals *Aethomys namaquensis*  
173 and *Petromyscus collinus*, chosen following Krogh's principle (Bennett, 2003). Chapter 3 and 4  
174 are written as individual papers formatted for the *Journal of Comparative Physiology B*. Chapter  
175 3 highlights the common problem faced by physiologists when measuring the body  
176 temperature of small free-ranging mammals. It tests the validity of using subcutaneous  
177 temperatures as proxies for core temperatures in free-ranging mammals as it is often not  
178 possible to measure core temperatures of small, free-ranging mammals in the field. *A.*  
179 *namaquensis* alone is used as a model species in this study. Chapter 4 reports the test of the  
180 Hyperthermic Daily Torpor hypothesis in both study mammals. Chapter 5 outlines the general  
181 conclusions reached in both studies and discusses the overall significance and contribution of  
182 the thesis research. Possible future research directions are also discussed. Because chapters 2  
183 and 3 are written in the form of individual papers, there is some text that is repetitive in  
184 Chapter 1 and Chapter 4.

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263  $29^\circ$ ,  $33^\circ$ ,  $37^\circ$ ,  $38^\circ$ ,  $39^\circ$ ,  $40^\circ$ , and  $41^\circ\text{C}$ . Values  $> 0$  (dashed line) indicated an over estimation of  
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## Chapter 1

### Overview

Recent climate change has been attributed, primarily, to anthropogenic elevations of carbon dioxide and other greenhouse gases in the atmosphere (IPCC, 2007). The Earth is warming at a faster rate than formerly predicted by the 2001 Intergovernmental Panel on Climate Change models (IPCC, 2001, 2007; Rahmstorf et al., 2007). The 2001 models showed that the Earth had warmed up by 0.6°C in the past century (IPCC, 2001). However, according to the 2007 models, average global temperatures have increased by 0.74°C over the past century (1905-2005) and the most extreme climate change models predict a 4°C increase by the end of the century (IPCC, 2007).

Climate change affects every habitat on the planet and is, therefore, one of the greatest threats to the Earths' biodiversity (IPCC, 2007). Increased desertification and drought (Houerou, 1996), as well as an increased frequency of catastrophes (IPCC, 2007), are some of its predicted consequences for the terrestrial ecosystem (Solomon et al., 2008; Thuiller, 2007). Extinctions have already occurred and are occurring in a wide variety of plants and animals from a broad range of regions (Franco et al., 2006; McKechnie et al., 2012; Parmesan and Yohe, 2003; Pounds et al., 1999; Thomas et al., 2006). Moreover, its effects to date are irreversible - even if CO<sub>2</sub> emissions were to cease immediately the effects of climate change will still remain until the end of the next millennium (Solomon et al., 2008).

### 311 Ecological responses to climate change

312 Habitat suitability and bioclimatic envelopes are a common approach to predicting species  
313 responses to climate change (Austin and Rehfisch, 2005; Hickling et al., 2006; Humphries et al.,  
314 2002; Jeschke and Strayer, 2008; Thomas and Lennon, 1999). By using present day species-  
315 climate relationships these models are able to determine species distributions and range shifts  
316 under predicted climatic conditions in the future (Pearson and Dawson, 2003). Vertical and  
317 latitudinal range shifts have already been documented in some species (Chen et al., 2011; Rowe  
318 et al., 2010; Thomas and Lennon, 1999; Thuiller, 2007). Range shifts are correlated with  
319 simultaneous shifts in ambient temperature ( $T_a$ ) and humidity patterns that define species  
320 boundaries. In order to maintain their boundaries or find a location better suited to their  
321 physiological capabilities, species need to be able to track these climatic changes (Perry, 2005;  
322 Thuiller, 2007).

323         However, for insular mammals and those in habitats that have been fragmented by  
324 anthropogenic activities (e.g. deforestation), migration is problematic (Honnay et al., 2002,  
325 Sekercioglu et al., 2012). For such individuals, two outcomes are likely; species will persist  
326 through reliance upon behavioural (Huey and Tewksbury, 2009; Kearney et al., 2009) and  
327 physiological (Dillion et al., 2011; Hofmann and Todgham, 2010) responses, or they will go  
328 extinct (Thuiller, 2007).

329         Life history traits are usually defined as a set of adaptations whose phenotypic  
330 responses to environmental stresses are constrained by an individual's physiology but which  
331 determine success and survival rates (Ricklefs and Wikelski, 2002; Sinervo and Svensson, 1998).  
332 Understanding the physiological functions, such as metabolic rate (MR) and body temperature

333 ( $T_b$ ), which drive organisms, may therefore help us to better understand and more accurately  
334 predict individual responses to climate change (Chown et al., 2010; Fuller et al., 2010; Ricklefs  
335 and Wikelski, 2002; Sinervo and Svensson, 1998; Williams et al., 2008).

336 As the  $T_b$  of ectotherms is almost completely dependent on  $T_a$  they are believed to be  
337 more vulnerable than endotherms to the effects of climate change. Most climate studies have,  
338 as a result, focused more on the physiological responses of ectotherms to climate change  
339 (Deutsch et al., 2008; Dillion et al., 2011; Huey et al., 2009; Huey et al., 2012; Tewksbury et al.,  
340 2008). However, when  $T_a$ s deviate from the 'thermoneutral zone' (TNZ) (a range of  $T_a$ 's over  
341 which heat generated from metabolism in a post-absorptive, quiescent state adequately  
342 maintains a species-specific  $T_b$ ), it is very energetically costly for endotherms to maintain their  
343 normothermic  $T_b$  (McNab, 2002) and therefore they too are vulnerable to the effects of recent  
344 global warming.

345

346 Krogh's Principle: Desert mammals as physiological models for climate change  
347 physiology (Bennett, 2003)

348 Low, highly variable precipitation resulting in low resource productivity and extreme  
349 temperature fluctuations characterize desert habitats (Noy-Meir, 1973). Survival in deserts  
350 therefore requires a sparing use of energy (Bradshaw, 2003) and the ability to adapt to very  
351 unstable environmental conditions.

352 Despite such hostile conditions, desert mammals often have a fairly high mammalian  
353 diversity, the majority of which are small rodents (<100 g) (Walsberg, 2000). This is surprising as  
354 their large surface area: volume (SA/V ratio) (a result of their small size) means they are prone

355 to higher rates of heat, energy and water flux than their larger counterparts (Schmidt-Nielsen,  
356 1990; Withers, 1992). Moreover, dispersal is, to a certain extent, limited for small mammals  
357 living in deserts (Haim and Izhaki, 1994).

358 Mammals are characterized by their ability to maintain a certain species-specific core  $T_b$   
359 within narrow (upper and lower) limits and over a wide range of  $T_{a,s}$ , regardless of habitat  
360 (Schmidt-Nielsen, 1990; Withers, 1992). However, desert  $T_{a,s}$  however, often exceed the  
361 normothermic  $T_b$ s (normal body temperatures) of the inhabiting rodents. The thermoregulatory  
362 stresses this places on xeric mammals is somewhat ameliorated by behavioural specializations  
363 namely; nocturnality (MacMillen, 1972), fossoriality (McMillen, 1972) and granivory (Brown et  
364 al., 1979).

365 Most xeric small mammals with only a limited tolerance for extreme diurnal  $T_{a,s}$ , often  
366 confine their activities to the cooler part of the day and are, therefore, often nocturnal  
367 (MacMillen, 1972). Nocturnal temperatures are about 15° - 20°C lower than the diurnal  
368 maxima (Walsberg 2000). Nocturnal activity allows desert small mammals to avoid very high  
369 temperatures and thereby effectively maintain their  $T_b$  (MacMillen, 1972).

370 Fossoriality is another common behavioural mechanism utilised by small, xeric  
371 mammals to avoid unfavourable desert conditions (MacMillen, 1972). During the inactive phase  
372 most small mammals retreat into subterranean burrows in order to escape the harsh conditions  
373 of the external environment (MacMillen, 1972). In fact, during the active phase, the activity of  
374 these nocturnal rodents is often limited to a small area around a burrow system (Eisenberg  
375 1963).

376 As well as being nocturnal and fossorial, the majority of small desert rodents are  
377 granivorous (Brown et al., 1979). A granivorous animal can loosely be defined as an individual  
378 whose diet is largely composed (> 50%) of seeds (Murray and Dickman, 1994) as the diet of  
379 desert rodents is rarely composed solely of seeds due to its dependence on precipitation  
380 usually unpredictable in desert systems (Brown et al., 1979, Lovegrove, 2000). Granivory is an  
381 advantageous dietary specialization for xeric species because it is an abundant food resource in  
382 a resource scarce habitat and is often easily available (Brown et al., 1979; Murray and Dickman,  
383 1994)

384 However, these behavioural adaptations alone are unable to sufficiently insulate xeric  
385 species from the extreme thermal fluctuations of their unpredictable environment (Schmidt-  
386 Nielsen 1964). In order to maintain a normothermic core  $T_b$  heat needs to be released via one  
387 or more of several avenues such as vasodilation, urine and faeces, respiration, salivation,  
388 sweating and evaporative water loss against the  $T_b - T_a$  gradient (Schmidt-Nielsen, 1990). The  
389 rate of evaporative water loss is driven by the difference between the water vapour pressure at  
390 the animal's surface and that of the atmosphere (Withers, 1992), a problem in a water deficient  
391 habitat such as deserts (Walsberg, 2000). Xeric species have also developed, in addition to their  
392 behavioural specialization, certain physiological modifications that aid their survival in their  
393 harsh desert environment (Schwimmer and Haim, 2009).

394 Xeric species lose water via their faeces, urine and various thermoregulatory  
395 mechanisms (Schmidt-Nielsen, 1990; Withers, 1992). However, during prolonged periods of  
396 paucity not uncommon in desert habitats, animals need to consume more water whilst at the  
397 same time possessing some resistance to water loss (Schwimmer and Haim, 2009). All rodents

398 are capable of excreting hypersomatic urine in order to regulate and decrease water loss. Only  
399 desert rodents however, have the capacity to utilize this ability to maintain a positive water  
400 balance in the water scarce deserts in order to survive (MacMillen, 1972; Palgi and Haim, 2003).  
401 In this way water is conserved as less water is lost in urine and small desert rodents are able to  
402 deal somewhat with the scarcity of water typical of their natural habitats.

403 Desert rodents often have lower BMRs than their mesic counterparts (Lovegrove, 2000,  
404 2003). Lovegrove (2000) found that the BMR of mesic species, on average, exceeded that of  
405 xeric species by 24.31%. Similarly, McNab and Morrison (1963) found that the mesic subspecies  
406 of exhibited in some cases a 13% increase and in other cases a 24% increase in BMR in  
407 comparison to their xeric counterparts. Moreover, Shkolnik and Schmidt-Nielsen (1975) found  
408 that the desert hedgehog *Paraechinus aethuopicus* had a lower BMR than both the semi-arid  
409 and temperate hedgehogs *Hemiechinus auritus* and *Erineceus europaeus*. The low BMR is  
410 probably an evolutionary response to the unpredictability and low productivity of the desert  
411 environment (Lovegrove, 2000, 2003). It is an advantageous adaptation as it offsets the  
412 energetic costs of maintaining homeostasis in a constantly fluctuating thermal environment and  
413 reduces dependence on evaporative cooling for heat loss (Lovegrove, 2003; McNab and  
414 Morrison, 1963).

415 In spite of a low BMR in xeric species they have been found to maintain an efficient  
416 mechanism of heat production resulting in an increased capacity for NST (Schwimmer and  
417 Haim, 2009). In a study on the ecological significance of RMR and NST in 21 species of rodents,  
418 Haim and Izhaki (1993) found that the arid species which exhibited lower RMRs also had  
419 significantly higher NST values than the mesic species. Non-shivering thermogenesis is an

420 important mechanism in desert species because despite very high daily  $T_a$ s, nocturnal  $T_a$ s may  
421 be much lower than the diurnal maxima (Walsberg 2000) and winters can be very cold (Desmet  
422 and Cowling 1999). Moreover, it is ecologically economical as metabolism can be kept low during  
423 normothermy thus conserving energy. However during periods when low  $T_a$ s are experienced  
424 heat production can be increased in a relatively short period of time (Haim and Izhaki 1993;  
425 Scantlebury et al., 2002).

426         The reaction norms of these desert mammals have probably been selected to be  
427 adaptive over a wide climatic range due to the unpredictability of their habitat and this is  
428 evident from their aforementioned array of behavioural and physiological specializations  
429 (Canale and Henry 2010). Thus, according to Krogh's principle (Bennett, 2003) these desert  
430 rodents may be the best models for the discovery of the mechanism of phenotypic plasticity  
431 likely to be expressed in the face of recent accelerated climate change (Canale and Henry 2010)

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### 433 Climate change and Arrhenius effects

434 Tropical mammals being basoendothermic (Lovegrove 2000, 2012) may be the most vulnerable  
435 to the effects of global warming despite experiencing the least elevations in  $T_a$  (Dillion et al.,  
436 2010). Their vulnerability is increased by the fact that most of these tropical mammals are  
437 insular or live in fragmented habitats where migration is inhibited (Sekercioglu et al., 2012).  
438 Recently a more precise concept of hyperthermic daily torpor (HDT), in the form of  
439 hyperthermia-induced hypometabolism, has been proposed (Lovegrove et al., in press). These  
440 authors provide some, albeit limited, evidence to show that hypometabolism, equivalent to  
441 that which occurs during daily torpor and hibernation, can also occur during hyperthermia to

442 offset the high energetic costs of Arrhenius effects in small tropical mammals. They argue that,  
443 hyperthermia-induced metabolic downregulation is a putative mechanism of reducing the  $T_b -$   
444  $T_a$  gradient thus retarding entry into pathological heat stress.

445         The ecological implications of hyperthermia-induced hypometabolism are critical in  
446 terms of the capacity of mammals to survive accelerated climate change. It potentially not only  
447 reduces the risk of pathological hyperthermia, it also reduces the energetic costs of the  
448 Arrhenius effect of body temperature on metabolic rate. Moreover, by down-regulating  
449 metabolism, the rate of respiration is reduced and, since it is proportional to evaporative water  
450 loss (Cooper and Withers, 2008), hyperthermia-induced hypometabolism may also be a  
451 mechanism for water conservation.

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## Chapter 2

### Study animals

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The desert of Namaqualand is characterised by low winter-rainfall ( $\pm 150$  mm per annum) and very hot, dry summers (Cowling et al., 1998; Rutherford and Westfall, 1986). These deserts experience cloudless days for 70% of the year (Rutherford and Westfall, 1986) with a diurnal maxima and nocturnal minima of  $41^{\circ}\text{C}$  and  $10^{\circ}\text{C}$  in summer and  $26^{\circ}\text{C}$  and  $-3^{\circ}\text{C}$  in winter (<http://uk.weather.com/climate/annualClimo>). Therefore, we assumed that these species would be heat-adapted thus informing our decision to use them as model species.

#### *Petromyscus collinus*

The pygmy rock mice, ( $\sim 17$  g) are nocturnal desert rodents that inhabit the rocky habitats of the arid zones of Southern Angola, Namibia, and South Africa (Nowak, 1999; Smithers, 1983). They occur in, rocky outcrops or kopjies, preferably with an abundance of loose boulders (Nowak, 1999; Skinner and Chimimba, 2005). These mice have been observed to survive for weeks in arid, dry conditions on a diet of air-dried seeds alone (Withers et al., 1980). The adaptive traits exhibited by the pygmy mouse may be associated with the environmental characteristics and selective pressures typical of desert habitats from which it is unable to migrate (Garland and Carter, 1994; Lovegrove, 1999).

638 *Aethomys namaquensis*

639 The Namaqua rock mouse (~ 60 g) inhabits rocky outcrops or kopjies of the deserts of Angola,  
640 southern parts of Zambia, Mozambique, north of the Zambezi River, Malawi and South Africa  
641 (Coetzee, 1969). In some habitats, the rock mouse has been observed to use rock crevices as a  
642 shelter from predation and intense solar radiation (Roberts 1951). The Namaqua rock mouse is  
643 believed to be well-adapted to desert habitats and displays the characteristics of a low BMR  
644 (Lovegrove, 2003), low rates of evaporative water loss, and a narrow thermoneutral zone,  
645 typical of desert mammals (Buffenstein, 1984; Lovegrove et al., 1991, Lovegrove, 2000).

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## Chapter 3

### Subcutaneous temperature as a proxy for body temperature in a small rodent

M.O Mowoe, Lovegrove, BG

#### Abstract

The physiological patterns in free-ranging and captive or captive-bred mammals differ; data from laboratory studies therefore cannot easily be extrapolated to field situations. In this respect it has become increasingly important to obtain physiological data, such as body temperature, from free-ranging animals. However, small body sizes pose real logistical challenges. Many free-ranging studies make use of external temperature-sensitive devices to measure  $T_{\text{skin}}$  as a proxy for  $T_{\text{core}}$ . In some studies misleading gradients between  $T_{\text{core}}$  and  $T_{\text{skin}}$  can occur if dataloggers are situated too close to the major heat-producing tissues, such as brown adipose tissue. Moreover, due to the effect of the external environment on the transmitters, differences of up to 6°C between  $T_{\text{core}}$  and  $T_{\text{skin}}$  have been measured.

In this study, we quantified core-to-subcutaneous gradients using intraperitoneal and subcutaneous temperature-sensitive devices. We validated the use of  $T_{\text{sub}}$  as a proxy for  $T_{\text{core}}$  by surgically implanting iButtons into the peritoneal cavity of *Aethomys namaquensis* (~48 g) to measure  $T_{\text{core}}$ , and subcutaneously injecting temperature-sensitive PIT tags into the nape region to measure  $T_{\text{sub}}$ .

At least during the rest-phase, there were no significant differences between  $T_{\text{core}}$  and  $T_{\text{sub}}$  at all  $T_{\text{a}}$ s.  $T_{\text{sub}}$  was the most accurate proxy for  $T_{\text{core}}$  within the TNZ. We attributed this to the fact that the rate of heat loss and heat gain is more or less equal within the TNZ as the

713 metabolic heat produced by the individuals within this range of  $T_{as}$  is sufficient to maintain the  
714  $T_b$  at setpoint. Below and above the TNZ however, heat loss and heat gain mechanisms brought  
715 about by the maintenance of the  $T_b$  setpoint resulted in slight under- and overestimation of  
716  $T_{core}$  by  $T_{sub}$ , respectively, but these differences were not significant. Thus, subcutaneous  
717 temperatures provide reasonably reliable proxies for core temperature in small mammals.

718 **Keywords** Skin temperature · Core temperature · Thermoneutral zone · Namaqua rock mouse

### 719 **Abbreviations**

720	MR	Metabolic rate
721	$T_b$	Body temperature (°C)
722	$T_{core}$	Core temperature (°C)
723	$T_{skin}$	Skin temperature (°C)
724	$T_{sub}$	Subcutaneous temperature (°C)
725	$T_{uc}$	Upper critical limit of thermoneutrality
726	$T_{lc}$	Lower critical limit of thermoneutrality
727	TNZ	Thermoneutral zone

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## 730 Introduction

731 Physiological patterns may differ significantly between free-ranging and captive or captive-bred  
732 individuals (Geiser and Ferguson, 2001; Geiser et al., 2000). Consequently, animal physiology  
733 has increasingly taken to the field over the past decade in order to obtain measurements from  
734 free-ranging individuals that can be incorporated in physiologically-based climate change  
735 models. Typically, core body temperature ( $T_{\text{core}}$ ) has been the easiest trait to measure, whereas  
736 metabolic rates (MR) are almost impossible to measure (although see Dausmann et al., 2000;  
737 Ortmann et al., 1997; Schmid and Heldmaier, 2000).

738 Ideally,  $T_b$  should reflect core temperatures ( $T_{\text{core}}$ ) and is therefore often based on rectal  
739 temperatures, measured by inserting a thermocouple up the anus of the individual, or  $T_b$ s  
740 recorded using temperature data loggers or temperature-sensitive transmitters surgically  
741 implanted into the peritoneal cavities of animals (e.g. Canale et al., 2011; Geiser and Drury,  
742 2003; Levesque and Tattersall, 2009; Lovegrove et al., 1999). For relatively large mammals,  
743 dataloggers or temperature transmitters can be implanted easily to obtain  $T_{\text{core}}$  measurements  
744 in the field (Arnold et al., 2006; Arnold et al., 2004, Barnes 1989). However, the collection of  
745 these data becomes less feasible for mammals smaller than 30 g because device weights can  
746 exceed a critical percentage ( $\sim 5\%$ ) of the animal's body mass. Studies of small mammals ( $\leq 50$  g)  
747 in the field often have to rely on a measure of skin temperature ( $T_{\text{skin}}$ ) as a proxy for  $T_{\text{core}}$  (Audet  
748 and Thomas, 1996; Barclay et al., 1996; Brigham et al., 2000; Dausmann, 2005; Kobbe et al.,  
749 2011; Willis and Brigham, 2003).

750 In free-ranging mammals and birds,  $T_{\text{skin}}$  is measured using external temperature-  
751 sensitive devices which are either collar-mounted or glued dorsally between the scapulae (e.g.  
752 Audet and Thomas, 1996; Barclay et al., 1996; Dausmann, 2005; Willis and Brigham, 2003). The  
753 skin surface represents the thermal boundary which protects the body and the internal  
754 environment from the external, ambient environment (Lovegrove et al., 1991). Moreover, a  
755 mammal's thermoregulatory response is determined by inputs from peripheral  
756 thermoreceptors (Lovegrove et al., 1991).

757 A high correlation between  $T_{\text{skin}}$  and  $T_{\text{core}}$  is often assumed in small mammals as their  
758 high thermal conductance (Aschoff, 1981; Herreid and Kessel, 1967) and the short distance  
759 between the core and the skin prevents the formation of a steep  $T_{\text{core}} - T_{\text{skin}}$  gradient (Audet  
760 and Thomas, 1996). However, misleading gradients between  $T_{\text{core}}$  and  $T_{\text{skin}}$  can occur if data  
761 loggers are situated too close to the major heat-producing tissues, for example above the  
762 brown adipose tissue deposits between the scapulae. Willis and Brigham (2003) found  $T_{\text{skin}}$  to  
763 be influenced by heat production from brown adipose tissue located in the nape region of bats.

764 Very few comparative studies have closely examined the use and accuracy of  $T_{\text{skin}}$  as a  
765 proxy for  $T_{\text{core}}$  in small mammals (Audet and Thomas, 1996; Barclay et al., 1996; Dausmann,  
766 2005; Willis and Brigham, 2003). Audet and Thomas (1996) found no difference between  $T_{\text{skin}}$   
767 and  $T_{\text{core}}$  (measured rectally), but found that ambient temperature ( $T_a$ ) significantly influenced  
768 the  $T_{\text{core}} - T_{\text{skin}}$  relationship; large differences occurred at high temperatures ( $> 21^{\circ}\text{C}$ ). Similarly,  
769 Barclay et al. (1996) found a correlation between  $T_{\text{skin}}$  and  $T_{\text{core}}$  (measured rectally) of big brown  
770 bats, *Eptesicus fuscus*, that was not significantly different and could be described by the  
771 relationship  $T_{\text{skin}} = 0.51 + 0.98T_{\text{rectum}}$  ( $r^2 = 0.98$ ). Brigham et al. (2000) also found a strong

772 correlation between  $T_{\text{skin}}$  and  $T_{\text{core}}$  ( $T_{\text{core}}$  explained 85% of the variation in  $T_{\text{skin}}$ ) but with  
773 instances where  $T_b$  differed from  $T_{\text{skin}}$  by up to 6°C. Such a large thermal gradient was attributed  
774 to cooling effects of the external environment on the data loggers. Thus, a device such as a  
775 subcutaneously injected thermally-sensitive transponders data logger may provide a closer  
776 approximation of  $T_{\text{core}}$  than externally attached devices.

777         The aim of this study was to validate the use of subcutaneous temperatures ( $T_{\text{sub}}$ ) as a  
778 potential proxy for  $T_{\text{core}}$ , especially in small mammals. We used passive integrated transponder  
779 (PIT) tags injected subcutaneously to measure  $T_{\text{sub}}$  and Themocron data loggers (iButtons)  
780 implanted into the intraperitoneal cavity to measure  $T_{\text{core}}$ . As a model small mammal we used  
781 the Namaqua rock mouse (*Aethomys namaquensis*), a small (~ 60 g), nocturnal, granivorous  
782 desert mammal that has been the subject of several thermoregulatory studies (Buffenstein,  
783 1984; Lovegrove et al., 1991). We expect to find no differences between the core and skin  
784 temperatures in *Aethomys namaquensis*

785

## 786 **Materials and Methods**

### 787 ***Study animals***

788 The Namaqua rock mice, *A. namaquensis* ( $47.86 \pm 6.65$  g,  $n = 14$ ), were live-trapped using  
789 Sherman traps baited with peanut butter and oats on the farms Roopersfontein at Keimoes  
790 ( $28^{\circ}69'S$ ,  $21^{\circ}17'E$ ) and Norriseep at Onseekpans, ( $28^{\circ}76'S$ ,  $22^{\circ}42'E$ ), Northern Cape, South  
791 Africa, during March/April 2012. Each individual was sexed and weighed immediately after

792 capture and maintained in individual cages. The rodents were transported by road to the  
793 animal house in the University of KwaZulu-Natal, Pietermaritzburg.

794 The mice were housed individually, indoors, in sawdust-lined cages (380 x 220 x180 mm)  
795 and were provided with toilet paper rolls for shelter. The mice were summer maintained at a  $T_a$   
796 of 25°C at a 16:8 LD photoperiod (lights off CAT 21:00h) and fed a diet of sunflower seeds  
797 supplemented with rodent pellets, with water *ad libitum*.

798

### 799 ***Surgical procedure***

800 Modified temperature sensitive dataloggers (DS1922L Thermochron iButtons, Dallas  
801 semiconductor, resolution 0.625°C, storage capacity 104832 values <2.5 g) modified after  
802 Lovegrove (2009) were surgically implanted into each mouse under inhalation anaesthesia.  
803 Prior to implantation, each iButton was individually calibrated and programmed to record  
804 temperature every 10 minutes 24 hours a day. Waxed iButtons were then surgically implanted  
805 into the peritoneal cavity of the rock rats to measure  $T_{core}$ . Passive integrative Bio-Thermo  
806 Microchip transponder (PIT) tags (Destron Fearing) were also injected dorsally and  
807 subcutaneously into themice to provide measures of  $T_{sub}$ .

808 Prior to the date of surgery, all surgical equipment was autoclaved (120°C, 45 minutes)  
809 and telemeters were sterilized in 70% ethanol. Inhalation anaesthesia was induced at 3%  
810 Isoflurane (Safeline Pharmaceuticals (PTY) LTD in oxygen and maintained for the duration of the  
811 surgery at 1.5% Isoflurane in oxygen.

812 To avoid hypothermia, the surgical procedure was conducted on a hot water bottle,  
813 previously heated to keep the mice warm. The surgical area was prepared by shaving a small  
814 area of the stomach and cleaning it with 70% alcohol and Betadine antiseptic (10% Povidone-  
815 iodine), after which the animal was covered with a surgical drape. A small mid-ventral incision  
816 was made in the skin and peritoneum and the iButton was then inserted into the peritoneal  
817 cavity. iButtons, previously sterilized in 70% alcohol were also soaked in betadine prior to  
818 implantation. The peritoneum and skin were sutured separately using coated Vicryl rapide  
819 (Ethicon, polyglactin 910) absorbable sutures and coated Silk (Perma-hand) non-absorbable  
820 sutures respectively. The Bio-Therm PIT tags (Identipet) ( $\pm 0.2$  g) were injected subcutaneously  
821 into the nape region of the mice.

822 Following surgery, animals were kept in clean cages lined with paper towels and placed  
823 in a temperature-controlled cabinet at 27°C for a few hours before being returned to  
824 maintenance housing. In accordance with Leon et al. (2004), animals were given a week to  
825 recover before temperature trials began. Paper towels lining the cages were replaced with  
826 sawdust after the recovery period.

827

### 828 ***Core and skin temperatures***

829 The mice were placed in cube-shaped Perspex chambers (476 mL) within a temperature-  
830 controlled cabinet. Air was pushed through the respirometers with a pump and mass-flow  
831 controller (Sable Systems) and regulated at a constant rate of  $\sim 500$  mL $\cdot$ min $^{-1}$ . The rats were  
832 measured for 1.5 – 3 h at each  $T_a$  during their rest phase (day) between 05h00 and 18h00, and  
833 individuals were measured at not more than two high  $T_{a,s}$  ( $> 25^\circ\text{C}$ ) daily. The animals were

834 measured individually at each  $T_a$  (5°, 15°, 25°, 29°, 33°, 37°, 38°, 39°, 40° and 41°C) sequentially  
835 in order to induce 'chronic thermotolerance' i.e. thermal resistance following exposure to  
836 temperatures greater than normal body temperature mammals (Lepock, 2003). Metabolic rates  
837 at the lower temperatures (<33°) were measured in order to determine the TNZ and  $T_{lc}$  of the  
838 species.

839 Thermal signals from the PIT tags were detected using an FS2001ISO portable reader  
840 attached to a racquet antenna (Destron Fearing) which was placed adjacent to the  
841 respirometers in the temperature-controlled cabinet.  $T_{core}$  was recorded by the modified  
842 iButtons at 10 minute intervals.

843

#### 844 ***Chamber temperatures***

845 DS1922LThermochron iButtons were taped to the floor of each respirometer in order to  
846 measure chamber temperatures every 10 mins during each temperature trial. These values  
847 were taken as the  $T_a$  at which the metabolic rates were measured.

848

#### 849 ***Statistical analysis***

850 A repeated measures analysis would have been the most appropriate statistical analysis for the  
851 data (Zar 1984). However, the premature failure of a number of data loggers reduced the  
852 sample size from  $n = 14$  to  $n = 6$  and precluded this analysis. Time courses of  $T_{core}$  and  $T_{sub}$  at the  
853 various  $T_a$ s for each individual were graphed (Fig 5). Where possible, the point where  $T_{sub}$

854 reached thermal equilibrium was determined as the point of inflection where  $T_{\text{sub}}$  attained an  
855 asymptote. The point of inflection was determined using a piecewise regression analysis (Macro  
856 in Excel written by BGL and following Yeager and Gordon (1989)). The  $T_{\text{sub}}$  and  $T_{\text{core}}$  data at each  
857  $T_a$  were calculated as the mean of all values measured after the point of inflection.

858 We removed inter-individual autocorrelation effects (i.e. the relationship between the  
859 body temperatures of individuals at different points in time) by calculating the mean  $T_{\text{core}}$  of all  
860 individuals for each temperature ( $T_a$ ) treatment. Each individual  $T_{\text{sub}}$  at each  $T_a$  was then  
861 subtracted from the mean  $T_{\text{core}}$  value to obtain a measure of the gradient between  $T_{\text{core}}$  and  
862  $T_{\text{sub}}$ . We used a Bonferroni-adjusted t-test to determine if the difference between the group  
863 mean  $T_{\text{core}}$  and  $T_{\text{sub}}$ , differed from zero. To test for individual and  $T_a$  effects on the dependent  
864 variables ( $T_{\text{core}}$  and  $T_{\text{sub}}$ ), we ran a 2-factor ANCOVA with  $T_a$  as the covariate and individuals as  
865 the dependent variable to determine if there were individual differences in  $T_{\text{core}}$  and  $T_{\text{sub}}$  and to  
866 determine the influence of  $T_a$  on  $T_{\text{core}}$  and  $T_{\text{sub}}$ . Paired samples t-tests were used to determine if  
867 there were differences between  $T_{\text{core}}$  and  $T_{\text{sub}}$  at the various  $T_a$ 's. Values were reported as mean  
868  $\pm$  SD and all analyses were performed using IBM SPSS Statistics version 19 with significance for  
869 all tests assessed at  $p < 0.05$ .

## 870 Results

871 Observations of mice in the respirometers indicated that they rested after the first half hour to  
872 an hour in the chamber and remained so for most of the time except for occasional short  
873 periods of activity. At low temperatures ( $< 24^\circ\text{C}$ ) they were observed to curl up with piloerected  
874 fur. At high temperatures ( $> 37^\circ\text{C}$ ) they were observed to lick their fur until it was matted and

875 they became completely inactive and lay on their sides in a stretched out position on the  
876 respirometer floor or pressed up against the sides of the respirometers in a position that  
877 presumably increased surface area for heat loss.

878 The average  $T_{\text{core}}$  of *Aethomys namaquensis* ( $n = 6$ ) ranged from  $36.1 \pm 2.30^{\circ}\text{C}$  at  $T_a = 5^{\circ}\text{C}$   
879 to  $40.21 \pm 0.80^{\circ}\text{C}$  at  $T_a = 41^{\circ}\text{C}$ . Similarly, average  $T_{\text{sub}}$  ranged from  $34.65 \pm 2.16^{\circ}\text{C}$  at  $T_a = 5^{\circ}\text{C}$  to  
880  $40.77 \pm 0.48^{\circ}\text{C}$  at  $T_a = 41^{\circ}\text{C}$ . There was a significant, positive linear relationship between  $T_{\text{core}}$   
881 and  $T_{\text{sub}}$  for *A. namaquensis* ( $F_{1,6} = 223.06$ ,  $p < 0.001$ ; Fig 1) which can be described by the  
882 equation  $T_{\text{sub}} = 1.17T_{\text{core}} - 6.85$  ( $r^2 = 0.73$ ). The difference between  $T_{\text{core}}$  and  $T_{\text{sub}}$  was relatively  
883 low and was not significantly different from zero ( $t_{56,6} = 0.33$ ,  $p = 0.76$ ; Fig 3).

884 There were no individual differences between  $T_{\text{core}}$  and  $T_{\text{sub}}$  ( $T_{\text{core}}$ :  $F_{5,6} = 2.36$ ,  $p = 0.05$ ;  
885  $T_{\text{sub}}$ :  $F_{5,6} = 1.48$ ,  $p = 0.21$ ). There was, however, a significant influence of  $T_a$  on  $T_{\text{core}}$  and  $T_{\text{sub}}$   
886 ( $T_{\text{core}}$ :  $F_{1,6} = 48.91$ ,  $p < 0.001$ ;  $T_{\text{sub}}$ :  $F_{1,4} = 120.63$ ,  $p < 0.001$ ). We found that, at temperatures  
887 below the lower thermal critical limit ( $T_{\text{lc}}$ )  $T_a < 29^{\circ}\text{C}$  (See chapter 4),  $T_{\text{sub}}$  underestimated (i.e.  
888 was lower than)  $T_{\text{core}}$  and at temperatures above the upper critical limit of thermoneutrality  
889 ( $T_{\text{uc}}$ ) ( $T_a > 33^{\circ}\text{C}$ ),  $T_{\text{sub}}$  overestimated (i.e. was higher than)  $T_{\text{core}}$ . The  $T_{\text{core}} - T_{\text{sub}}$  difference was  
890 minimal within the thermoneutral zone (TNZ) ( $29^{\circ} \leq T_a \leq 33^{\circ}\text{C}$ ) ( $T_{\text{core}} - T_{\text{sub}} = -0.34 \pm 1.61^{\circ}\text{C}$ ; Fig 3,  
891 4) Despite these temperature differences however, we found no significant differences between  
892  $T_{\text{core}}$  and  $T_{\text{sub}}$  at the different ambient temperatures ( $t_{56,4} = 0.16$ ,  $p = 0.88$ ).

893

## 894 Discussion

895 We found no significant difference between  $T_{\text{core}}$  and  $T_{\text{sub}}$  over the entire range of  $T_{\text{a}}$ s measured  
896 ( $5^{\circ}\text{C} - 41^{\circ}\text{C}$ ). Similarly, Brown and Bernard (1991) found subcutaneous temperature to be an  
897 accurate measure of  $T_{\text{core}}$  in Schreiber's long-fingered bats, *Miniopterus schreibersii* and Cape  
898 horseshoe bats, *Rhinolophus capensis*. These findings are similar to those previous studies  
899 testing  $T_{\text{skin}}$  as a proxy for  $T_{\text{core}}$  (Audet and Thomas 1996; Barclay et al., 2000, Willis and Brigham  
900 2003). This study therefore shows that  $T_{\text{sub}}$  for *Aethomys namaquensis* can be used as a proxy  
901 for  $T_{\text{core}}$  at certain  $T_{\text{a}}$ s, at least during the rest-phase.

902 Similar to the  $T_{\text{skin}}$  studies (Audet and Thomas 1996; Barclay et al., 2000; Willis and  
903 Brigham 2003), we found that the relationship between  $T_{\text{core}}$  and  $T_{\text{sub}}$  was dependent on  $T_{\text{a}}$ . In  
904 contrast to previous bat studies, however, where  $T_{\text{skin}}$  and  $T_{\text{core}}$  differed by as much as  $6^{\circ}\text{C}$   
905 (Audet and Thomas, 1996; Barclay et al., 1996; Willis and Brigham, 2003), we found that the  
906 average difference between  $T_{\text{sub}}$  and  $T_{\text{core}}$  never exceeded  $1.59^{\circ}\text{C} \pm 2.69^{\circ}\text{C}$ , which occurred at  $T_{\text{a}}$   
907  $= 5^{\circ}\text{C}$

908 Within the TNZ ( $29^{\circ}\text{C} \leq T_{\text{a}} \leq 33^{\circ}\text{C}$ ), the  $T_{\text{core}} - T_{\text{sub}}$  differential was at its minimum (mean:  
909  $-0.35^{\circ} \pm 0.16^{\circ}\text{C}$ ), probably because at these  $T_{\text{a}}$ s heat generated from the metabolism of the mice  
910 adequately maintains the  $T_{\text{b}}$  setpoint (Canon and Nedergaard, 2010; Schmidt-Nielsen, 1990;  
911 Withers, 1992). As a result, the rate of heat loss and heat gain is equal and  $T_{\text{sub}}$  and  $T_{\text{core}}$  values  
912 are indistinguishable. Moreover, similar to Audet and Thomas, (1996), we found that the  $T_{\text{core}} -$   
913  $T_{\text{sub}}$  differences were much higher at the lower temperatures (range:  $1.59 \pm 2.69^{\circ}\text{C}$  at  $T_{\text{a}} = 5^{\circ}\text{C}$  to  
914  $0.38 \pm 0.68^{\circ}\text{C}$  at  $T_{\text{a}} = 24^{\circ}\text{C}$ ) than at the higher temperatures (range:  $-0.81 \pm 1.18^{\circ}\text{C}$  at  $T_{\text{a}} = 37^{\circ}\text{C}$  to  
915  $-0.74 \pm 0.48^{\circ}\text{C}$  at  $T_{\text{a}} = 41^{\circ}\text{C}$ ).

916 Our findings that  $T_{sub}$ , despite not being significantly different from  $T_{core}$ ,  
917 underestimated  $T_{core}$  at the lower temperatures (below  $T_{lc}$ ), was similar to those of studies that  
918 measured  $T_{skin}$  and  $T_{core}$  (Audet and Thomas, 1996; Barclay et al., 1996; Kobbe et al., 2011; Willis  
919 and Brigham, 2003). This thermal gradient may reflect the mechanisms of heat production used  
920 to defend the setpoint  $T_b$  at low temperatures.

921 Blood carries heat from the core of the body to the surface via arterio-venous shunt  
922 vessels to skin capillaries on the surface of the body (Rowoand, 1992). At low temperatures, the  
923 temperature control centre in the hypothalamus is triggered by the lowered temperatures of  
924 the blood passing through it. To maintain the  $T_b$  setpoint, therefore, nerve impulses from the  
925 skin receptors travel to the heat gain centres of the hypothalamus which results in the  
926 vasoconstriction of the peripheral blood vessels. Vasoconstriction subsequently results in the  
927 reduction of the rate of blood flow to the surface (Rowoand, 1992). At the same time, nerve  
928 signals travel to the heat gain centre to increase the rate of heat production by increasing  
929 metabolism (Rowoand, 1992; Schmidt-Nielsen, 1990; Withers, 1992). The combination of these  
930 two responses may explain the low subcutaneous temperatures observed in *A. namaquensis* at  
931 the low temperatures. At the lowest temperatures ( $T_a \leq 10^\circ\text{C}$ ), the  $T_{core} - T_{sub}$  gradient was  
932 probably augmented by the pilomotor response which would have reduced the rate of dry heat  
933 loss via conduction and convection thereby further lowering  $T_{sub}$  (Withers, 1992).  
934 Simultaneously, shivering thermogenesis which involves the generation of heat via the  
935 hydrolyzation of ATP due to involuntary muscle contractions (Canon and Nedergaard, 2010)  
936 would have increased heat production raising  $T_{core}$ .

937 Again, despite a non-significant difference between  $T_{\text{core}}$  and  $T_{\text{sub}}$ , there was an  
938 overestimation of  $T_{\text{core}}$  by  $T_{\text{sub}}$  at the higher  $T_{\text{as}}$  ( $\geq 37^{\circ}\text{C}$ ). This thermal gradient at the higher  $T_{\text{as}}$   
939 may also be a reflection of the mechanisms of heat loss, activated by heat stress, that are used  
940 to defend a  $T_{\text{b}}$  setpoint and prevent entry into pathological hyperthermia. In response to high  
941 temperatures, nerve impulses from the heat loss centres in the hypothalamus travel to the skin  
942 resulting in the augmentation of blood circulation to the skin and the dissipation of excess  
943 stored heat (Rowoand, 1992; Schmidt-Nielsen, 1990). Stored heat can be lost via conductance,  
944 convection, radiation or evaporative cooling. However, evaporative cooling can only occur  
945 when  $T_{\text{a}}$  is high enough to result in the effective evaporation of sweat from the surface of the  
946 skin. As reflected in the trace of  $T_{\text{core}}$  which crosses the line of thermal equilibrium ( $T_{\text{core}} = T_{\text{a}}$ )  
947 (Fig 2), *A. namaquensis* effectively employed evaporative cooling at the highest  $T_{\text{as}}$  ( $\geq 40^{\circ}\text{C}$ ) to  
948 maintain  $T_{\text{core}} < T_{\text{a}}$  at sublethal levels. The peripheral cooling of the blood that re-enters the  
949 core will lower core temperatures probably resulting in lower  $T_{\text{core}}$  values than  $T_{\text{sub}}$ .

950 It is important to note that  $T_{\text{sub}}$  did not reach a state of equilibrium at the high  
951 temperatures. Thus, these measures of  $T_{\text{sub}}$  may be slightly underestimated. We found that the  
952 thermal gradient between  $T_{\text{core}}$  and  $T_{\text{skin}}$  was much higher at the lower temperatures ( $\leq 25^{\circ}\text{C}$ )  
953 that at the high temperatures ( $\geq 37^{\circ}\text{C}$ ). Similar to Audet and Thomas (1996) we ascribe this to  
954 the high normothermic  $T_{\text{bs}}$  maintained by mammals.

955

## 956 Conclusion

957 Surgically-implantable, temperature-logging devices cannot be used on small (< 20 g) free-  
958 ranging mammals because of the weight and physical dimensions of the devices. As an  
959 alternative,  $T_{\text{skin}}$  is often measured as a proxy for  $T_{\text{b}}$  using small, external collar- or shoulder-  
960 mounted devices. In this study we have shown that  $T_{\text{sub}}$  can be used as a proxy for  $T_{\text{core}}$  in the  
961 laboratory. Although average  $T_{\text{sub}}$  explained 74% of the variation in average  $T_{\text{core}}$ , the  $T_{\text{sub}} - T_{\text{core}}$   
962 differential does show increases, albeit not significant, outside the TNZ.  $T_{\text{sub}}$  tends to slightly  
963 underestimate  $T_{\text{core}}$  at  $T_{\text{a}} < T_{\text{lc}}$ , and overestimate  $T_{\text{core}}$  at  $T_{\text{a}} > T_{\text{uc}}$ . Nevertheless, the  $T_{\text{sub}} - T_{\text{core}}$   
964 differential was never significantly different from zero at  $T_{\text{a}}$ s between 5°C and 41°C, suggesting  
965 that the subcutaneous transmitters may represent reasonable valid measures of  $T_{\text{core}}$ .

966 This study suggests that  $T_{\text{sub}}$  may be a better representative of  $T_{\text{core}}$  than  $T_{\text{skin}}$ . Whereas,  
967 some studies have shown  $T_{\text{core}} - T_{\text{skin}}$  differences of up to 6°C, our study showed that the  $T_{\text{core}} -$   
968  $T_{\text{skin}}$  differential never exceeded 1.59°C, over a wide range of temperatures (5°C – 41°C).  
969 Moreover, some degree of error is inevitable in the  $T_{\text{skin}}$  measurements of externally placed  
970 data loggers due to the effects of the external environment on the data loggers (Willis and  
971 Brigham, 2003). However, this problem is avoided with subcutaneously injected temperature  
972 sensitive devices. There may therefore be a promising future for the use of  $T_{\text{sub}}$  as a proxy for  $T_{\text{b}}$   
973 in free-ranging mammals.

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975 **Acknowledgements**

976 This study was financed by publication incentive grants from the University of KwaZulu-Natal and the  
977 National Research Foundation to BGL, and a UKZN MSc bursary to MM. Gratitude is extended to my  
978 Professor Barry G. Lovegrove for his supervision over the course of this study. Professor Andrew  
979 Mckechnie is thanked for his help and advice in setting up the PIT tag reader for the study. I am also  
980 grateful to Danielle Levesque for her help in the setting up of the system for the study.

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## 1088 **Figure legends**

1089 **Figure 1** Time course graphs of ambient  $T_a$  of one individual taken over a range of 3 hours for  
1090 the lowest temperature to 1.5 hours for the highest temperatures. Closed circles represent core  
1091 temperatures whereas open circles represent subcutaneous temperatures.

1092 **Figure 2** The linear relationship (solid line) between  $T_{sub}$  as a function of  $T_{core}$  of *A. namaquensis*  
1093 ( $n = 6$ ) over a range of  $T_a$ s. The dotted line represents thermal equilibrium between  $T_{sub}$  and  
1094  $T_{core}$ , i.e.  $T_{sub} = T_{core}$

1095 **Figure 3** The mean  $\pm$  SD of  $T_{core}$  and  $T_{skin}$  at different ambient temperatures in *Aethomys*  
1096 *namaquensis*. Closed symbols represent  $T_{core}$  and open symbols represent  $T_{skin}$

1097  
1098 **Figure 4** Differences between the treatment group  $T_{core}$  and individual  $T_{skin}$  for six *Aethomys*  
1099 *namaquensis*. The data were divided into the different  $T_a$  repeated measure groups: 5°, 15°, 25°,  
1100 29°, 33°, 37°, 38°, 39°, 40°, and 41°C. Values  $> 0$  (dashed line) indicated an over estimation of  
1101  $T_{core}$  by  $T_{skin}$  and values  $< 0$  indicated an underestimation of  $T_{core}$  by  $T_{skin}$

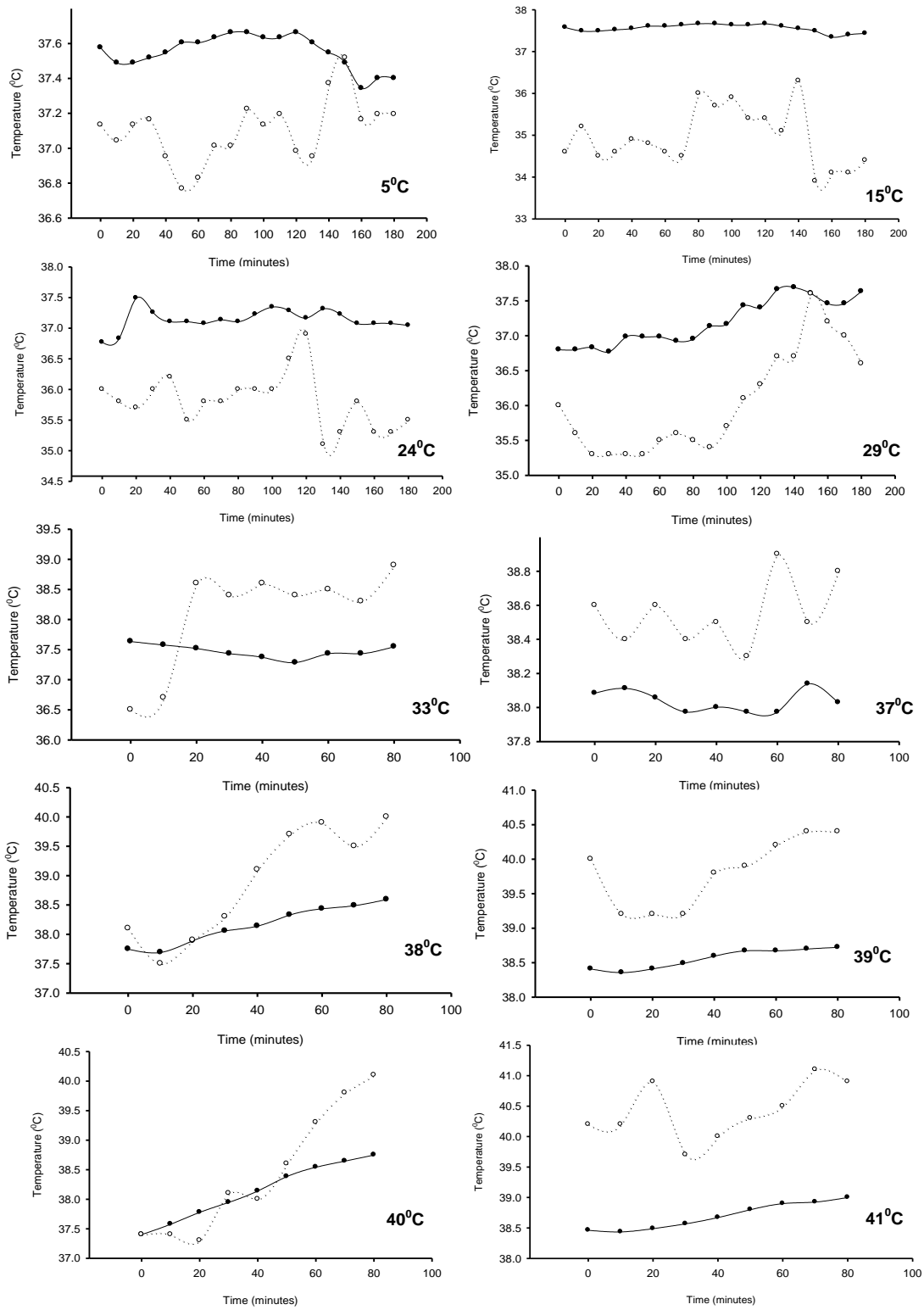
1102 **Figure 5** Differences between the treatment group  $T_{core}$  and individual  $T_{skin}$  for four *Aethomys*  
1103 *namaquensis*. The different  $T_a$  repeated measure groups were divided into three sections:  
1104 below  $T_{lc}$  ( $< 33^\circ\text{C}$ ), TNZ ( $33^\circ < T_a < 37^\circ\text{C}$ ) and above  $T_{uc}$  ( $> 37^\circ\text{C}$ ). Values  $> 0$  (dashed line) indicate  
1105 an over estimation of  $T_{core}$  by  $T_{skin}$  and values  $< 0$  indicate an underestimation of  $T_{core}$  by  $T_{skin}$

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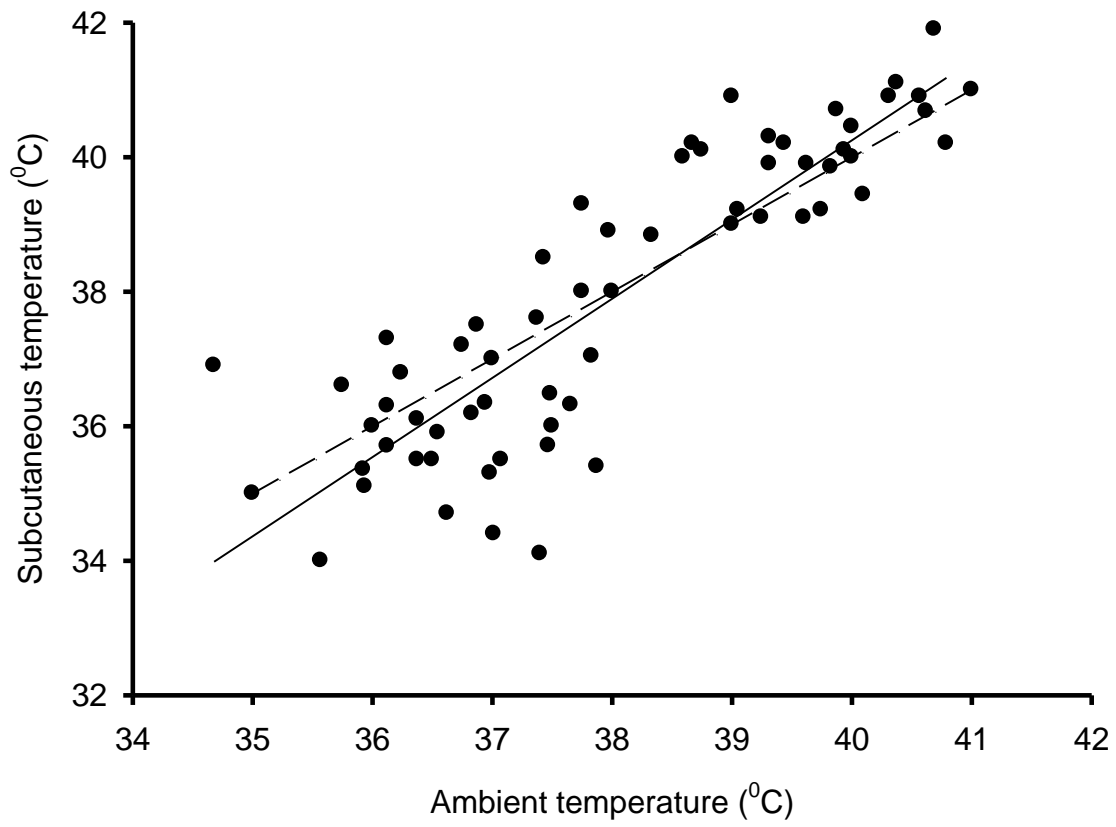
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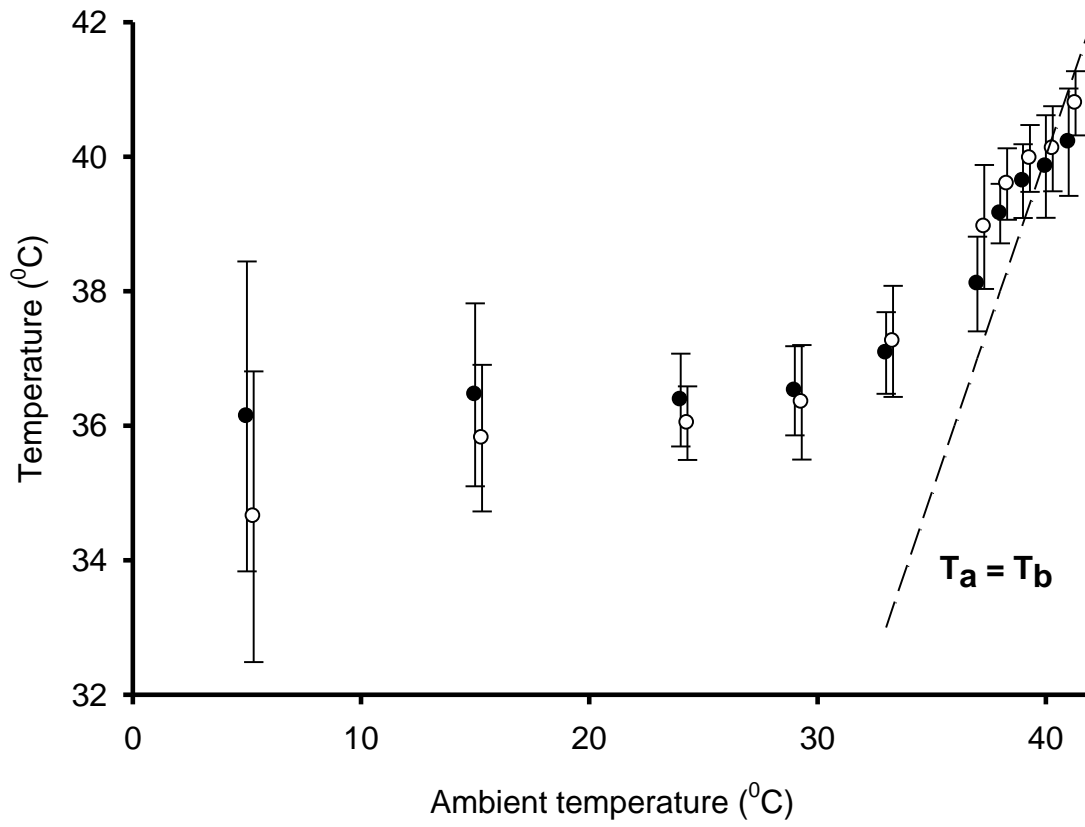
1109 **Figure 1**



1111 **Figure 2**



1125 **Figure 3**



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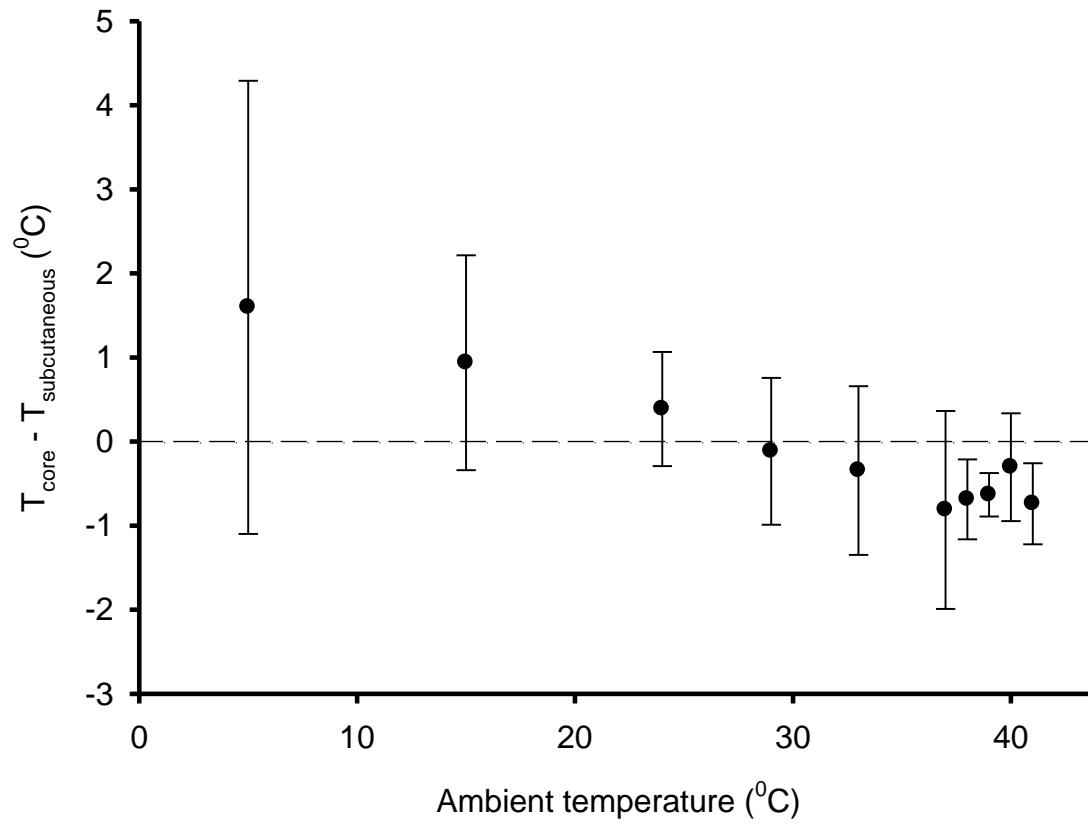
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1137 **Figure 4**

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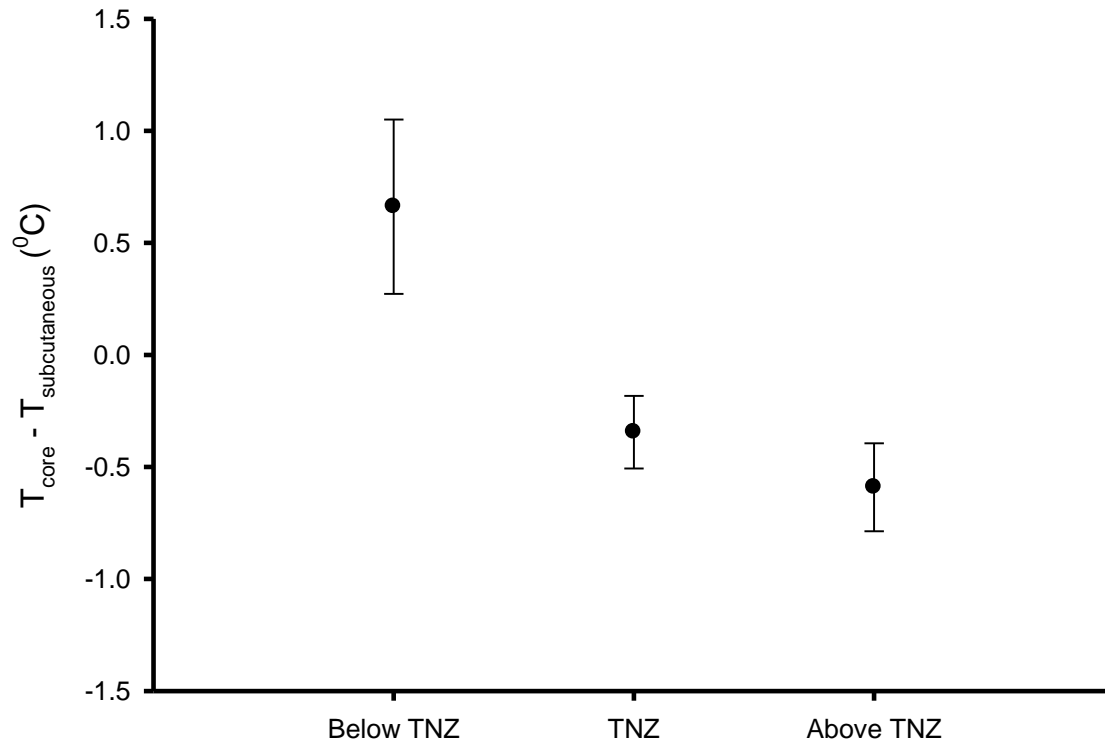
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1149 **Figure 5**



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## Chapter 4

1158

1159 **Hyperthermic torpor: Temperature-induced hypometabolism in desert rodents,**  
1160 **the Namaqua Rock Mouse, *Aethomys namaquensis* and the pygmy rock mouse,**  
1161 ***Petromyscus collinus***  
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1164 **Abstract**

1165 Individual responses of organisms to accelerated climate change may be better understood by  
1166 further studying their physiology. Mechanisms of phenotypic plasticity may offset the effects of  
1167 climate change and are therefore central to the formulation of predictive climate change  
1168 models. Employing Krogh's principle, desert mammals which have reaction norms adapted to a  
1169 wide range of climatic conditions are good models for determining the mechanisms of  
1170 phenotypic plasticity that may be expressed in response to accelerated climate change. This  
1171 study tested the 'Hyperthermic Daily Torpor' hypothesis proposed recently by Lovegrove et al.,  
1172 (in press) that some mammals are capable of hypometabolism in response to high  
1173 temperatures which can offset the energetic costs of the Arrhenius effect of temperature on  
1174 metabolism. Two presumably heat-adapted desert rodents; the Namaqua rock mouse,  
1175 *Aethomys namaquensis* (~47.86 g) and the pygmy rock mouse, *Petromyscus collinus* (~17.33 g)  
1176 were used as model species. *Aethomys namaquensis* showed hyperthermia-induced of  
1177 hypometabolism ( $Q_{10} = 1.27 \pm 1.61$ ) whereas the pygmy rock mouse did not ( $Q_{10} = 2.45 \pm 1.41$ ).  
1178 Such a physiological response in *A. namaquensis* is crucial in terms of its capacity to minimize  
1179 the risks of lethal, pathological hyperthermia. The disparity of the physiological responses of  
1180 the two species cannot be interpreted meaningfully without phylogenetically independent

1181 multi-species analyses. Nevertheless, we propose a few behavioural and autecological sketches  
1182 that may assist in future interspecific multivariate analyses.

1183 **Keywords** Arrhenius ( $Q_{10}$ ) effect · climate change · hyperthermia · hypometabolism · Metabolic down-  
1184 regulation · physiological response

### 1185 **Abbreviations**

1186 MR: Metabolic rate ( $\text{m}\ell \text{O}_2 \text{g}^{-1} \text{h}^{-1}$ )

1187  $T_b$ : Body temperature ( $^{\circ}\text{C}$ )

1188  $T_a$ : Ambient temperature ( $^{\circ}\text{C}$ )

1189 TNZ: Thermoneutral zone

1190 HDT: Hyperthermic daily torpor

1191  $Q_{10}$ : A simplified temperature coefficient derived from the Arrhenius equation of the effects  
1192 of temperature on metabolic rates

1193 BMR: Basal metabolic rate ( $\text{m}\ell \text{O}_2 \text{g}^{-1} \text{h}^{-1}$ )

1194 RER: Respiratory exchange ratio

1195 REWL: Respiratory evaporative water loss

1196

### 1197 **Introduction**

1198 Species responses to recent climate change have become a topic of increasing interest for  
1199 evolutionary physiologists worldwide (Fuller et al., 2010). It has become clear that individual  
1200 responses to climate change can only be understood better by further, more in-depth studies of  
1201 physiological functions, such as metabolic rate (MR) and body temperature ( $T_b$ ), which drive

1202 organisms (Chown et al., 2010; Fuller et al., 2010; Ricklefs and Wikelski, 2002; Sinervo and  
1203 Svensson, 1998; Williams et al., 2008). For example, a common question is; to what extent will  
1204 organisms be able to adjust physiologically to accelerated, global warming?

1205         Phenotypic plasticity is defined as the ability of a genotype to express a variety of  
1206 phenotypes in response to environmental variation and is adaptive when it maintains the  
1207 fitness of the individual in spite of rapidly changing environments (Price et al., 2003; Visser  
1208 2008). Phenotypic plasticity involving phenotypic flexibility (Piersma and Drent, 2003) may  
1209 offset the effects of increased unpredictability brought about by climate changes. The  
1210 measurements of phenotypic plasticity are thus central to the formulation of predictive climate  
1211 change models.

1212         Physiological responses to unpredictable climates, and the resource availability  
1213 consequences thereof, are particularly well understood in desert-dwelling mammals  
1214 (Schwimmer and Haim, 2009). Desert habitats are defined by extreme fluctuations in ambient  
1215 temperature ( $T_a$ ) and a low and highly variable precipitation resulting in a scarcity of resources  
1216 (food and water) (Noy-Meir, 1973). The reaction norms of desert mammals are assumed to  
1217 reflect adaptation to a wide range of climatic extremes associated with the unpredictability of  
1218 desert environments. Adaptations are evident in the various behavioural (Brown et al., 1979;  
1219 McMillen 1972; Murray and Dickman, 1994) and physiological (Schwimmer and Haim, 2009)  
1220 specializations utilized by desert mammals. Employing the Krogh principle (Bennett 2003),  
1221 desert mammals should therefore be good models for the quantification and appreciation of  
1222 reaction norms that are essential in terms of our understanding of the mechanisms and scale of

1223 phenotypic plasticity likely to be expressed with recent accelerated climate change (Canale and  
1224 Henry 2010).

1225         However, reliance upon reaction norms in response to climate change is insufficient to  
1226 prevent extinctions in certain species in particularly susceptible habitats. Indeed, extinctions  
1227 due to elevated  $T_a$ s brought about by recent climate change are already occurring (Franco et al.,  
1228 2006; McKechnie et al., 2012; Parmesan and Yohe, 2003; Pounds et al., 1999; Thomas et al.,  
1229 2006). Particularly vulnerable species include desert, insular, and high-latitude specialists, and  
1230 those inhabiting anthropogenically-fragmented areas from which migration options are limited  
1231 or not possible (Haim and Izhaki, 1994; Honnay et al., 2002, Sekercioglu et al., 2012).

1232         Theoretical working models that attempt to conceptualize endotherm responses to  
1233 climate change are in the formative stages and rely at present on modified performance curves  
1234 originally conceived to model ectotherm responses to climate change (Angilletta et al., 2010).  
1235 Endotherm performance curves predict contrasting generalist and specialist responses to  
1236 climate change (Angilletta et al., 2010; Buckley 2008). Some endotherms may, however be able  
1237 to deviate from the reaction norm and adjust their thermal performance allowing for some  
1238 degree of thermal tolerance at high temperatures (Boyles et al., 2011; Mkechnie et al., 2006,  
1239 2007).

1240         In addition to the incorporation of phenotypic flexibility into predictive climate change  
1241 models, it is also important to verify our understanding of the kinetic responses of endotherms  
1242 to high  $T_a$ s. The temperature dependence of physiological variables is particularly well  
1243 understood in ectotherms, simply because their  $T_b$ s are influenced directly by  $T_a$ . For example,  
1244 in a sample of 309 species, rate increases in a diversity of traits varied by multiples of 1.31 and

1245 5.13 over a 10°C increase in  $T_a$  (Dell et al., 2011). In endotherms, though, the understanding of  
 1246 temperature dependence is generally ignored, because endotherms theoretically maintain a  $T_b$   
 1247 independent of  $T_a$ . The  $T_b$  of endotherms is influenced by  $T_a$  during adaptive hypothermia (torpor  
 1248 and hibernation) (Geiser and Ruf, 1995), during pathological hypothermia, or at  $T_a$ s above the  
 1249 upper critical limit of thermoneutrality. It is the latter potentiality which is the focus of this  
 1250 study.

1251 In a typical ectotherm, as  $T_a$  increases MR should increase according to the Arrhenius  
 1252 ( $Q_{10}$ ) effect of temperature on biochemical processes (Withers, 1992). The Arrhenius effect is  
 1253 quantified with the following equation:

1254

$$1255 \ln\left(\frac{MR_1}{MR_2}\right) = -\frac{Ea}{R}\left(\frac{1}{T_1} - \frac{1}{T_2}\right) \quad \text{Equation 1 (Withers, 1992)}$$

1256 where  $MR_1$  and  $MR_2$  are metabolic rates at body temperature ( $T_{bs}$ ) of  $T_1$  and  $T_2$ , respectively.  $Ea$   
 1257 is the apparent enthalpy of activation, and  $R$  the gas-constant ( $8.314 \text{ J K}^{-1} \text{ mol}^{-1}$ ). The formula  
 1258 for the temperature coefficient,  $Q_{10}$ , is widely used as a measure of the Arrhenius effect on rate  
 1259 processes:

$$1260 Q_{10} = \left(\frac{R_2}{R_1}\right)^{\frac{10}{T_2-T_1}} \quad \text{Equation 2 (Withers, 1992)}$$

1261 where  $R_1$  and  $R_2$  are rate processes at  $T_1$  and  $T_2$ , respectively. The  $Q_{10}$  value has been found to  
 1262 be between 2 - 3 for every 10°C increment in endotherms (Schmidt-Nielsen, 1990; Withers,  
 1263 1992), although as mentioned earlier, the maximum range is between 1.31 and 5.13 for all  
 1264 organisms (Dell et al., 2011).

1265 In a hyperthermic state, there is no reason to expect that an endotherm should not  
1266 display  $Q_{10} > 2$ , provided that no pathological, cellular heat damage has occurred. However,  
1267 exceptions to this rule have been found (Barthelomew and Rainey, 1971; Weathers and  
1268 Schoenblacher 1976). In a study on the body temperature regulation of the rock hyrax  
1269 *Heterohyrax brucei*, Bartholomew and Rainey (1971) found an anomalous, albeit slight,  
1270 reduction in MR in conjunction with a hyperthermic response at high  $T_{as}$  ( $35^{\circ}\text{C} \leq T_b \leq 42.5^{\circ}\text{C}$ ).  
1271 They concluded that the hyperthermia-induced metabolic downregulation enhanced the rate of  
1272 evaporative heat loss thereby offsetting the Arrhenius. Weathers and Schoenblaechler (1976)  
1273 found similar MR reductions within the thermoneutral zone (TNZ) of budgerigars, *Melopsittacus*  
1274 *undulates*, despite a  $\sim 4^{\circ}\text{C}$  increase in  $T_b$ . They associated the MR dependent changes in  $T_b$  with  
1275 adaptations to a small body size rather than to a hot climate as the phenomena was found only  
1276 in birds weighing  $< 150$  g.

1277 Lovegrove et al., (in press) recently proposed a concept of hyperthermic daily torpor  
1278 (HDT), in the form of temperature-induced hypometabolism. These authors provide some,  
1279 albeit limited, evidence to show that hypometabolism equivalent to that which occurs during  
1280 daily torpor and hibernation, may occur during hyperthermia to offset the high energetic  
1281 consequences of Arrhenius effects. They argued that, by reducing MR, the  $T_b - T_a$  gradient is  
1282 minimized thus reducing entry into pathological heat stress.

1283 In this study, we tested the HDT model using two desert mammals from Namaqualand,  
1284 the Namaqua rock mouse, *Aethomys namaquensis*, and the pygmy rock mouse, *Petromyscus*  
1285 *collinus* (Chapter 2). Specifically, we tested the hypothesis that the hyperthermic responses in

1286 these mammals should involve a concomitant metabolic down-regulation resulting in values of  
1287  $Q_{10} < 2$ .

1288

## 1289 **Materials and Methods**

### 1290 ***Animals***

1291 *Aethomys namaquensis* ( $47.86 \pm 6.65$  g,  $n = 14$ ) and *Petromyscus collinus* ( $17.33 \pm 1.67$  g,  $n =$   
1292 12) were trapped using Sherman live traps baited with peanut butter and oat balls on the farms  
1293 Roopersfontein at Keimoes ( $28^{\circ}69'S$ ,  $21^{\circ}17'E$ ) and Norriseep at Onseekpans, ( $28^{\circ}76'S$ ,  $22^{\circ}42'E$ ),  
1294 Northern Cape, South Africa, during March/April 2012. Permission for the trapping,  
1295 transporting and holding of the rodents had previously been received from Ezemvelo Wildlife  
1296 and the Northern Cape Department of Environment and nature conservation. Each rodent was  
1297 weighed and sexed immediately after capture and housed individually in cages (380 x 220 x180  
1298 mm) under a 16:8 LD photoperiod (lights off CAT 21h00). They were maintained on a diet of  
1299 sunflower seeds supplemented with rodent pellets with water *ad libitum*.

1300 At the conclusion of trapping, the rodents were transported, by road, to the UKZN  
1301 animal house in Pietermaritzburg. The rodents were maintained, individually, in sawdust lined  
1302 cages in a control room at a constant temperature of  $\pm 25^{\circ}C$ . Cages were cleaned and sawdust  
1303 was changed once a week.

1304

1305 ***Surgical procedure***

1306 All animals were implanted with temperature sensitive data loggers (DS1922L ThermoChron  
1307 iButtons, Dallas semiconductor, resolution 0.625°C, Maxim Integrated) modified according to  
1308 Lovegrove (2009) and weighing no more than 2.5 g. Each temperature data logger was  
1309 individually calibrated and programmed to record temperature every 10 mins, 24 h a day.  
1310 Waxed iButtons were surgically implanted into the peritoneal cavity of the rock rats to measure  
1311  $T_b$ .

1312 Prior to the date of surgery, all surgical equipment and disposables were autoclaved  
1313 (120°C, 45 minutes) and telemeters were sterilized in 70% ethanol. Inhalation anaesthesia was  
1314 induced at 3% isoflurane (Safeline Pharmaceuticals (PTY) LTD) in oxygen and maintained  
1315 throughout the duration of the surgery at 1.5% isoflurane in oxygen.

1316 The animals were placed on a hot water bottle for the duration of surgery to avoid  
1317 hypothermia. The surgical area was prepared by shaving a small area of the stomach and  
1318 preparing it with 70% alcohol and Betadine antiseptic (10% Povidone-Iodine), after which the  
1319 animal was covered with a surgical drape. Incisions were made in the skin and peritoneum and  
1320 the iButton inserted. The iButtons were sterilized in 70% alcohol and in betadine before  
1321 implantation. The peritoneum and skin were sutured separately using coated Johnson and  
1322 Johnson Vicryl rapide (Ethicon, polyglactin 910) absorbable sutures and coated Johnson and  
1323 Johnson Silk (Perma-hand) non-absorbable sutures, respectively. The Bio-Therm PIT tags ( $\pm 0.2$   
1324 g) were injected subcutaneously into the nape region of the rats.

1325           Following surgery, animals were housed individually in clean cages lined with paper  
1326 towels and allowed to recover at 27°C in a temperature-controlled cabinet for a few hours  
1327 before being returned to their maintenance housing housing. In accordance with Leon et al.  
1328 (2004), animals were given a week to recover before temperature trials began.

1329

### 1330 **Respirometry**

1331 Metabolic rate (oxygen consumption,  $\dot{V}O_2$ ; carbon dioxide,  $\dot{V}CO_2$ ) was measured using flow-  
1332 through respirometry for individuals that had been fasted for at least 4 h prior to the  
1333 commencement of data measurement. The mice were measured for 1.5 – 3 h at each  $T_a$  during  
1334 their rest phase (day) between 05h00 and 18h00 and individuals were measured at not more  
1335 than two high  $T_{as}$  (> 25°C) daily. Each temperature was measured no less than 30 mins apart  
1336 from each other in order to enable recovery.

1337           Outside air was scrubbed of water and CO<sub>2</sub> using a Sable Systems PC-4 Peltier effect  
1338 condensing air dryer and a soda lime scrubber (Merck (PTY) LTD, respectively, and any excess  
1339 water vapour was scrubbed using a silica gel column. Mass-flow controllers (Sable Systems)  
1340 regulated the flow of incurrent air at a constant rate of 500 mL.min<sup>-1</sup> for *A. namaquensis* and  
1341 400 mL.min<sup>-1</sup> for *P. collinus*, through cube-shaped Perspex respirometry chambers (476 mL),  
1342 located in a temperature-controlled cabinet. Individuals were measured at each  $T_a$  ( $T_a = 5^\circ, 14^\circ,$   
1343  $24^\circ, 29^\circ, 33^\circ, 37^\circ, 38^\circ, 39^\circ, 40^\circ$  and  $41^\circ$ C) sequentially in order to induce 'chronic  
1344 thermotolerance' i.e. thermal resistance following exposure to temperatures greater than  
1345 normal body temperature (Lepock, 2003). Metabolic rates at the low temperatures (< 33°C) were

1346 measured in order to determine  $T_{ic}$  and TNZ. To compensate for the baseline drift of the  
1347 analyzers, the  $O_2$  and  $CO_2$  of an empty respirometer were measured every 15 min and these  
1348 values were subtracted on-line from the subsequent samples. Air leaving the chambers was  
1349 subsampled ( $200 \text{ mL min}^{-1}$ ) before being passed through a Sable Systems relative humidity  
1350 meter (RH-300) and subsequently dried using a dessicant (Drierite anhydrous  $Na_2SO_4$ ) and  
1351 passed through a carbon dioxide (CA-10a) and oxygen (FC-10) analyzer (Sable Systems). Oxygen  
1352 and carbon dioxide concentrations were measured continuously and average values were  
1353 stored every 5 s.  $\dot{V}O_2$  was quantified as the oxygen consumption over the lowest 48  
1354 consecutive values (4 min).  $\dot{V}O_2$  was calculated using the following equation:

$$\dot{V}O_2 = [FR * [F_I O_2 - [F_E O_2 * [1 - [F_I O_2 - F_I CO_2 - F_I H_2 O] / [1 - [F_E O_2 - F_E CO_2 - F_E H_2 O]]]$$

1355 (Withers, 2001)

1356 The data were recorded by a personal computer (PC) throughout the experimental period using  
1357 Expedata version PRO release 1.4.8 (Lighton, 2001), a 16-bit data acquisition software. Body  
1358 mass was measured before and after each metabolic measurement and the mean value was  
1359 used in further analysis. The respiratory exchange ratio (RER) was calculated as  $\dot{V}CO_2 / \dot{V}O_2$ .

1360

### 1361 **Data analysis**

1362 A paired samples t-test was used to determine if there was a significant mass change over the  
1363 course of all the temperature measurements. The mean of the lowest 48  $\dot{V}O_2$  measurements (4  
1364 minutes) and the corresponding  $\dot{V}CO_2$  and  $T_b$  were calculated for each animal for the last 60

1365 minutes of measurement at each  $T_a$ . Statistical analyses were conducted with IBM SPSS  
1366 Statistics (v. 19). Values were presented as mean  $\pm$  standard deviation.

1367 The effect of  $T_a$  on each physiological variable (MR and  $T_b$ ) was examined using a  
1368 repeated measures analysis of variance (RMANOVA), with each individual as a replicate and  $T_a$   
1369 as the repeated measure (Rencher, 2002). The premature failure of ibuttons reduced our  
1370 sample size in some cases resulting in insufficient residual degrees of freedom for the  
1371 RMANOVA. Instead, a covariate analysis of variance (ANCOVA) was used with  $T_a$  as the  
1372 covariate, to determine  $T_a$  effects on MR and  $T_b$ . In the absence of a *post hoc* tests for a  
1373 RMANOVA, polynomial *a priori* contrasts were used in order to examine linear changes of MR  
1374 and  $T_b$  (where possible) with  $T_a$ . Contrasts were calculated in an Excel spread sheet using a  
1375 custom-written *a priori* contrast macro based on Rencher (2002) and provided by Withers and  
1376 Cooper (2011).

1377

## 1378 **Results**

1379

### 1380 ***Body mass during experimentation***

#### 1381 *Aethomys namaquensis*

1382 The mean body mass of *A. namaquensis* at the start of the metabolic trials was  $57.00 \pm 9.39$  g (n  
1383 = 11) and at the end it was  $53.87 \pm 9.35$  g . There were mass differences between individuals  
1384 (range 77.46 – 48.25 g;  $F_{10,11} = 7.21$ ,  $p = 0.002$ ). There was however, no significant change in the  
1385 mass of the individuals over the nine temperature measurements ( $F_{10,11} = 2.52$ ,  $p = 0.14$ ) (Fig.  
1386 1a).

1387

1388 *Petromyscus collinus*

1389 The mean body mass of *P. collinus* at the start and end of the metabolic trials was  $16.51 \pm 0.88$   
1390 g and at the end it was  $16.98 \pm 1.03$  g ( $n = 6$ ). There were mass differences between individuals  
1391 (range 17.40 – 15.35 g;  $F_{5,6} = 7.11$ ,  $p = 0.03$ ). There was, however no significant change in the  
1392 mass of the individuals over the nine temperature measurements ( $F_{5,6} = 0.99$ ,  $p = 0.37$ ) (Fig.  
1393 1b).

1394

### 1395 ***Behavioural observations***

1396 In captivity, *P. collinus* were observed to hardly ever drink water whereas *A. namaquensis* was  
1397 observed on several occasions to drink. We also found that, although both mice were fed a diet  
1398 of sunflower seeds and rodent pellets, the pygmy rock mice only ate the sunflower seeds.  
1399 Moreover, whereas *A.namaquensis* was observed to deplete the entire daily ration of food  
1400 provided, the *P. collinus* was never observed to completely deplete its food supply. Weights  
1401 from capture to the start of experimentation for the two species ranged from  $47.07 \pm 8.23$  g to  
1402  $57.00 \pm 9.39$  g ( $n = 11$ ) for *A. namaquensis* and from  $17.50 \pm 1.38$  g to  $16.98 \pm 1.03$  g ( $n = 6$ ) for  
1403 *P.collinus*. These data suggest that *P.collinus* is capable of controlling energy intake whereas *A.*  
1404 *namaquensis* seems to be more opportunistic in terms of energy intake.

1405

1406

1407 ***Thermoregulatory observations***

1408 *Aethomys namaquensis*

1409 Observations of *A. namaquensis* in the respirometers indicated that they rested after the first  
1410 half an hour to an hour in the chambers and remained so for most of the time except for  
1411 occasional short periods of activity that corresponded to slight increases in  $\dot{V}O_2$  and  $\dot{V}CO_2$ . At  
1412 low temperatures ( $< 24^\circ\text{C}$ ) they were observed to curl up and display piloerection. At high  
1413 temperatures ( $> 37^\circ\text{C}$ ) they were observed to lick their fur until it was matted and they became  
1414 almost completely inactive and lay on their sides in a stretched out position on the  
1415 respirometer floor or pressed up against the sides of the respirometers in a position that  
1416 increased surface area for heat loss.

1417

1418 *Petromyscus collinus*

1419 Observations of *P. collinus* showed that they calmed down within half an hour of being placed  
1420 in the respirometers and rested and sometimes appeared to sleep for most of the time except  
1421 for a few short bouts of activity indicated by elevations of  $\dot{V}O_2$  and  $\dot{V}CO_2$ . At low temperatures  
1422 ( $T_a \leq 20^\circ\text{C}$ ), animals also assumed a curled up position and showed piloerection. Shivering  
1423 thermogenesis commenced at  $T_a = 15^\circ\text{C}$ . At  $T_a \geq 33^\circ\text{C}$  the mice were observed to stretch out on  
1424 the chamber floor or on the sides of the chamber and their fur was more depressed. At the  
1425 highest temperatures ( $T_a \geq 35^\circ\text{C}$ ) the mice were observed to assume an inactive/ lethargic state  
1426 and fur was matted from licking of their fur however.

1427

1428 **Body temperature**1429 *Aethomys namaquensis*

1430  $T_b$ s ranged from  $35.82 \pm 1.02^\circ\text{C}$  at  $T_a = 5^\circ\text{C}$  to  $39.99 \pm 0.65^\circ\text{C}$  at  $T_a = 41^\circ\text{C}$  ( $n = 6$ ) (Fig 2). At our  
1431 closest estimate of  $T_{lc}$  ( $29.04^\circ\text{C}$ ),  $T_b = 36.99^\circ\text{C}$ , more than  $1^\circ\text{C}$  higher than that ( $T_b = 35.80^\circ\text{C}$ )  
1432 measured by Lovegrove et al. (1991). Individuals did not differ with respect to  $T_b$  ( $F_{5,6} = 1.06$ ,  $P$   
1433  $= 0.39$ ) at the different temperatures but there was a significant effect of  $T_a$  ( $F_{1,6} = 103.34$ ,  $P <$   
1434  $0.001$ ). The pattern of  $T_b$  versus  $T_a$  (Fig. 2) suggested that the onset of a hyperthermic response  
1435 occurred at  $T_a = 37^\circ\text{C}$  in *A. namaquensis*. In support of this observation we found that when  $T_a$ s  
1436  $\geq 37^\circ\text{C}$  were removed from the statistical analyses, there was no longer an effect of  $T_a$  on  $T_b$  ( $F_{5,6}$   
1437  $= 2.35$ ,  $p = 0.09$ ). Moreover, at  $T_a \geq 40^\circ\text{C}$ , the trace of  $T_{core}$  crossed the line of thermal  
1438 equilibrium ( $T_{core} = T_a$ ) (Fig. 2) and at this point  $T_{core}$  was lower than  $T_a$ .

1439

1440 *Petromyscus collinus*

1441  $T_b$ s ranged from  $35.61 \pm 1.28^\circ\text{C}$  at  $T_a = 14^\circ\text{C}$  to  $39.51 \pm 1.31^\circ\text{C}$  at  $T_a = 39^\circ\text{C}$  (Fig. 3). At our closest  
1442 estimate of  $T_{lc}$  ( $T_a = 28.62^\circ\text{C}$ ),  $T_b = 34.11^\circ\text{C}$ . We found no individual differences in  $T_b$  ( $F_{4,5} = 0.62$ ,  
1443  $p = 0.65$ ). However, there was a significant effect of  $T_a$  on  $T_b$  ( $F_{1,5} = 22.29$ ,  $p < 0.001$ ). The  
1444 pattern of  $T_b$  versus  $T_a$  suggested that there was a significant increase in  $T_b$  commencing at  $T_a \geq$   
1445  $35^\circ\text{C}$ . In support of this observation we found that when  $T_a$ s  $\geq 35^\circ\text{C}$  were removed from the  
1446 statistical analyses there was no longer an effect of  $T_a$  on  $T_b$  ( $F_{3,5} = 1.90$ ,  $p = 0.18$ ). Thus  
1447 *P. collinus* began to exhibit hyperthermic  $T_b$ s from  $T_a = 35^\circ\text{C}$ . However, unlike *A. namaquensis*,  
1448 the  $T_b$  of *P. collinus* tracked but did not cross the  $T_a = T_b$  line of thermal equilibrium.

1449           Although we attempted to avoid cases of pathological hyperthermia, one mouse died  
1450 when its  $T_{\text{core}}$  attained a value of  $42.68^{\circ}\text{C}$  at  $T_{\text{a}} = 40^{\circ}\text{C}$ . We discontinued all measurements at this  
1451  $T_{\text{a}}$ .

1452

### 1453 **Metabolic rate**

#### 1454 *Aethomys namaquensis*

1455    A RMANOVA ( $F_{2,23,11} = 57.12$ ,  $p < 0.001$ ) confirmed that there was a significant influence of  $T_{\text{a}}$   
1456 on  $\dot{V}\text{O}_2$  across the range of all  $T_{\text{a}}$ s. The  $\dot{V}\text{O}_2$  of *A. namaquensis* decreased from  $3.13 \pm 0.70$  mL  
1457  $\text{O}_2 \text{ g}^{-1} \text{ h}^{-1}$  at  $T_{\text{a}} = 5^{\circ}\text{C}$  to a minimum of  $0.98 \pm 0.19$  mL  $\text{O}_2 \text{ g}^{-1} \text{ h}^{-1}$  ( $n = 11$ ) at  $T_{\text{a}} = 33^{\circ}\text{C}$  (Fig. 2). This  
1458 minimal value at  $T_{\text{a}} = 33^{\circ}\text{C}$  could be considered to be the BMR. However, the calculation and  
1459 estimate of BMR should theoretically include all  $\dot{V}\text{O}_2$  values calculated at  $T_{\text{a}}\text{s} > 33^{\circ}\text{C}$  if these  
1460 values are not significantly different from that at  $T_{\text{a}} = 33^{\circ}\text{C}$ . This latter mean BMR estimate is  
1461  $1.23 \pm 0.15$  mL  $\text{O}_2 \text{ g}^{-1} \text{ h}^{-1}$ . We estimated  $T_{\text{lc}}$  ( $29.04^{\circ}\text{C}$ ) to occur at the intercept between the  
1462 latter BMR estimate and the linear regression fitted to the data below  $T_{\text{a}} = 33^{\circ}\text{C}$  ( $\dot{V}\text{O}_2 = -0.08T_{\text{a}} +$   
1463  $3.64$ ;  $r^2 = 0.98$ ,  $p < 0.001$ ). Linear ( $p = 0.05$ ), quadratic ( $p = 0.17$ ) and cubic ( $p = 0.28$ ) polynomial  
1464 *a priori* contrasts confirmed that there was no significant increase in  $\dot{V}\text{O}_2$  at  $T_{\text{a}}\text{s} \geq 33^{\circ}\text{C}$ . The  
1465 pattern of  $\dot{V}\text{CO}_2$  was similar to that of  $\dot{V}\text{O}_2$  and was not analysed separately. The RER was  $0.83 \pm$   
1466  $0.06$  (mean for all 10  $T_{\text{a}}\text{s}$ ) and, curiously, was dependent on  $T_{\text{a}}$  ( $F_{9,11} = 3.23$ ,  $p = 0.02$ ) and the  
1467 individual ( $F_{9,11} = 3.04$ ,  $p = 0.02$ ).

1468

1469

1470 *Petromyscus collinus*

1471 An ANCOVA ( $F_{1,6} = 63.58$ ,  $p < 0.001$ ) confirmed that there was a significant influence of  $T_a$  on  
1472  $\dot{V}O_2$  across the range of all  $T_{as}$ . The  $\dot{V}O_2$  of *P. collinus* decreased from  $5.57 \text{ mL O}_2 \text{ g}^{-1} \text{ h}^{-1}$  at  $T_a =$   
1473  $15^\circ\text{C}$  to  $1.15 \text{ mL O}_2 \text{ g}^{-1} \text{ h}^{-1}$  at  $T_a = 33^\circ\text{C}$  ( $n = 6$ ) (Fig. 3). We considered this minimal value at  $T_a =$   
1474  $33^\circ\text{C}$  to be BMR. However, again, the calculation and estimate of BMR should theoretically  
1475 include all  $\dot{V}O_2$  values calculated at  $T_{as} > 33^\circ\text{C}$  if these values are not significantly different from  
1476 that at  $T_a = 33^\circ\text{C}$ . This latter mean BMR estimate was  $1.40 \pm 0.21 \text{ mL O}_2 \text{ g}^{-1} \text{ h}^{-1}$ . We estimated  $T_{lc}$   
1477 ( $28.62^\circ\text{C}$ ) to occur at the intercept between the latter BMR estimate and the linear regression  
1478 fitted to the data below  $T_a = 33^\circ\text{C}$  ( $\dot{V}O_2 = -0.28T_a + 9.49$ ;  $r^2 = 0.99$ ,  $p = 0.02$ ). There were no  
1479 differences in  $\dot{V}O_2$  between individuals ( $F_{5,6} = 1.62$ ,  $p = 0.23$ ). The pattern of  $\dot{V}CO_2$  was similar  
1480 to that of  $\dot{V}O_2$  and was is not presented separately. The RER was  $0.84 \pm 0.08$  (mean for all 10  
1481  $T_{as}$ ) and was dependent on the individual ( $F_{5,6} = 3.87$ ,  $p = 0.007$ ) but not on  $T_a$  ( $F_{5,6} = 0.67$ ,  $p =$   
1482  $0.71$ ).

1483

#### 1484 ***Effects of body temperature on metabolic rates***

1485 We visually determined the onset of hyperthermia at  $T_a \geq 37^\circ\text{C}$  in *A. namaquensis* and at  $T_a \geq$   
1486  $35^\circ\text{C}$  in *P. collinus*. The effect of body temperature on metabolic rate at all  $T_{as}$  at which  
1487 hyperthermia was exhibited was calculated using the temperature coefficient  $Q_{10}$  equation  
1488 (Schmidt-Nielsen, 1990; Withers, 1992).

1489

1490 *Aethomys namaquensis*

1491 *Aethomys namaquensis* had a mean  $Q_{10} = 1.27 \pm 1.61$  ( $n = 6$ ) between  $T_a = 37^\circ\text{C}$  and  $T_a = 41^\circ\text{C}$ .

1492

1493 *Petromyscus collinus*

1494 Between  $T_a = 35^\circ\text{C}$  and  $T_a = 39^\circ\text{C}$ , *P. collinus* displayed much higher elevations in metabolic rate  
1495 (Fig. 4b) than *A. namaquensis* (Fig. 4a). The mean  $Q_{10}$  was  $2.45 \pm 1.41$  ( $n = 5$ ).

1496

## 1497 **Discussion**

1498 Typically, hyperthermia generates large  $T_b - T_a$  gradients which increase the rate of dry heat  
1499 loss via convective and or conductive means (Calder and King, 1974; Schmidt-Nielsen, 1990;  
1500 Tieleman and Williams, 1999). A large  $T_b - T_a$  gradient reduces the need for heat loss via  
1501 evaporative cooling which is a more costly mechanism of heat loss and can only be activated at  
1502 temperatures that are high enough to permit evaporative water loss from the skin surface  
1503 (Tieleman and Williams, 1999; Weathers and Schoenblaechler, 1976). However,  
1504 hypometabolism should theoretically reduce this thermal gradient and thereby reduce the  
1505 potential rate of dry heat loss. Hyperthermia-induced hypometabolism may seem  
1506 counterintuitive but by downregulating metabolism the risk of entry into pathological  
1507 hyperthermia is minimized. Moreover, elevations in respiratory evaporative water loss rates  
1508 (REWL) due to increased metabolic rates with hyperthermia are reduced (Cooper and Withers,  
1509 2008; Withers et al., 2010). Thus, hyperthermic daily torpor as defined by Lovegrove et al. (in  
1510 press) is also a mechanism of conserving water at high ambient temperatures.

1511 In this study we did indeed find evidence of hyperthermia-induced hypometabolism in  
1512 the form of an unusually low  $Q_{10}$  in *A. namaquensis*. We also found that, at  $T_{as} \geq 40^{\circ}\text{C}$ , *A.*  
1513 *namaquensis* was capable of maintaining  $T_{core}$  below  $T_a$ , as shown by the  $T_{core}$  trace crossing the  
1514 line of thermal equilibrium ( $T_{core} = T_a$ ) (Fig 4a). Thus at the highest ambient temperatures, *A.*  
1515 *namaquensis* was in a hypometabolic state and showed an efficient capacity for evaporative  
1516 cooling which maintained  $T_{core}$  at sublethal levels.

1517 *Petromyscus collinus*, on the other hand, seemed incapable of hyperthermia-induced  
1518 hypometabolism. The  $T_{core}$  increased linearly with increasing  $T_a$  and, unlike *A. namaquensis*,  
1519 never decreased below  $T_a$  (Figure 3).

1520 The literature has no examples, to our knowledge, where the approach of regressing  
1521 metabolic rate with body temperature at high ambient temperature is intended specifically to  
1522 test for hyperthermic Arrhenius effects. Weathers and Schoenblaechler (1976)'s study on  
1523 budgerigars did indeed report such a regression, but not intentionally as a measure of  $Q_{10}$   
1524 under hyperthermia. Indeed, it is interesting that so few thermoregulatory studies on small  
1525 mammals have provided a specific focus on hyperthermic responses. We presume that this lack  
1526 of data indicate an understandable reluctance to expose study animals to potential lethal  
1527 hyperthermia. We would argue that, given the urgency for the incorporation of physiological  
1528 response data into predictive climate change models, our precautionary approach may require  
1529 some reconsideration. For example, we attempted to minimize the risk of lethal hyperthermia  
1530 by exposing our study animals to increasing experimental ambient temperatures over an  
1531 extended period of time (several weeks). In this manner, resistance to high temperatures,

1532 otherwise known as “acquired thermal tolerance” was induced thereby minimizing the risk of  
1533 entry into pathological hyperthermia (Lepock, 2003).

1534 Models of endothermy based upon ectothermic models have predicted interspecific  
1535 variation in thermoregulatory response mechanisms to hyperthermia (Angilletta et al., 2010;  
1536 Boyles et al., 2011). This study provides some of the first data on these hyperthermic responses  
1537 (also see Toussaint and McKechnie, 2012). However, despite an obvious contrast in the  
1538 response of the two species that we studied, we cannot, at this stage, reach meaningful  
1539 interspecific conclusions (Garland and Adolph, 1994). Nevertheless, we provide some basic  
1540 autecological sketches to assist in future interspecific multivariate analyses.

1541 *Petromyscus collinus* is a habitat specialist found only in rocky outcrops (Nowak, 1999;  
1542 Smithers, 1983), whereas *A. namaquensis* is more cosmopolitan and, being a habitat generalist,  
1543 is found in many types of desert habitat (Coetzee, 1969). *A. namaquensis* can be found  
1544 sympatrically inhabiting rocky outcrops of the Northern Cape with *P. collinus*, but is also  
1545 common throughout the sandy deserts of the Kalahari (Lovegrove et al., 1991). Thus, *A.*  
1546 *namaquensis* is exposed to a wider range of  $T_{as}$  (see Lovegrove and Siegfried, 1993) than *P.*  
1547 *collinus*. Moreover, whereas much is known about the microhabitat of *A. namaquensis*  
1548 (Buffenstein, 1984), there are few data available for *P. collinus*.

1549 Our observations of efficient evaporative water loss at high  $T_{as}$  (Fig 2) suggest that *A.*  
1550 *namaquensis* has more access to water in the wild than *P. collinus*. We found that, unlike *A.*  
1551 *namaquensis*, *P. collinus* reached pathological hyperthermia and the trace of  $T_{core}$  did not cross  
1552 the line of thermal equilibrium ( $T_a = T_b$ ). The thermoregulatory responses of *P. collinus* to high  
1553 temperatures therefore may involve primarily water conservation considerations rather than

1554 the risks of potential pathological hyperthermia. In a study on the energetics and water  
1555 relations of desert rodents, Withers et al. (1980) found that the pygmy rock mouse was able to  
1556 survive for weeks on a diet of air dried seeds alone. We also observed a similar lack of water  
1557 dependence in *P. collinus* in the laboratory.

1558         Smaller animals have higher surface-to-volume ratios making them more susceptible to  
1559 higher rates of heat, energy and more importantly water flux (Aschoff, 1981; Schmidt-Nielsen,  
1560 1990; Williams, 1996; Withers, 1992). *Petromyscus collinus* is one of the smallest (< 20 g) desert  
1561 mammal in southern Africa. On a daily basis, it is unable to sustain the same rates of water loss  
1562 that *A. namaquensis* experiences, and therefore avoids cooling via evaporative water loss.  
1563 Despite being more than three times smaller than *A. namaquensis*, Withers et al. (1980) have  
1564 measured an equitable water turnover rate ( $\sim 0.4 \text{ mL g}^{-1} \text{ day}^{-1}$ ) in *P. collinus*. Furthermore,  
1565 these authors have shown that *P. collinus* has a kidney more specialized for urine  
1566 concentration, and thus water conservation than *A. namaquensis*. They have also suggested,  
1567 based upon their observations, that *P. collinus* “aestivates” during the hot summer months in  
1568 order to conserve energy. Since evaporative water loss is proportional to the rate of respiration  
1569 (Cooper and Withers, 2008), aestivation, or daily torpor, should also profoundly reduce daily  
1570 water requirements.

1571         The suggestion that *P. collinus* aestivates at high ambient temperatures during summer,  
1572 conflicts with our observation of the lack of heat-induced hypometabolism. Although quite rare  
1573 today when dealing with endothermy and deviations therefrom, the term aestivation is used  
1574 sparingly, if at all, to describe what is generally considered as summer daily torpor (Geiser,  
1575 2010; Macmillen, 1965; Wilz and Heldmaier, 2000). *Petromyscus collinus* has been observed, at

1576  $T_a = 5^\circ\text{C}$ , to become torpid for over 24 hours without attempting to arouse (Lovegrove, pers.  
1577 obs.). This suggests a capacity for hypometabolism or heterothermy in response to cold  
1578 temperatures (Lovegrove, 2012). However its capacity for hyperthermia-induced  
1579 hypometabolism remains unknown.

1580 We suggest that the disparity of potential hypometabolic responses to high  
1581 temperatures may be quantitatively related to ecological conditions. For example, in the  
1582 laboratory we have tested for, and found, a lack of hyperthermia-induced hypometabolism at  
1583  $T_{as} > 33^\circ\text{C}$ . The question we need to ask is whether *P. collinus* are indeed ever exposed to such  
1584 high ambient temperatures for extended periods of time. Being nocturnal, we presume that  
1585 these small mice exploit thermally-buffered refugia deep within rock outcrops during the heat  
1586 of the day. Sustained ambient temperatures in refugia may be sufficiently lower than the  
1587 normothermic body temperatures of *P. collinus* ( $\sim 34^\circ\text{C}$ ) (Lovegrove and Knight-Eloff, 1988) thus  
1588 permitting torpor at high ambient temperatures. It may be that, in the wild, *P. collinus* are  
1589 never exposed to  $T_{as} > 33^\circ\text{C}$  in these thermally buffered refugia in kopjies (Lovegrove and  
1590 Knight-Eloff, 1988). Thus selection for hyperthermia-induced hypometabolism may have been  
1591 weak.

1592

### 1593 **Conclusion**

1594

1595 Mammals which are unable to shift their ranges altitudinally or latitudinally due to insularity or  
1596 habitat fragmentation need to rely on physiological and behavioural responses to persist  
1597 through current climate changes. In this study we show that a model heat-adapted small  
1598 mammal, the Namaqua rock mouse, *A. namaquensis*, was capable of hyperthermia-induced

1599 hypometabolism in response to high  $T_{as}$ . Our observations of hyperthermic hypometabolism  
1600 support the HDT Hypothesis proposed by (Lovegrove et al., in press). HDT reduces the risk of  
1601 entry into pathological hyperthermia and also reduces the rate of water turnover driven by  
1602 heat-induced evaporative cooling.

1603         In contrast, the smallest desert mammal in southern Africa, the pygmy rock mouse  
1604 *Petromyscus collinus*, displayed no evidence of HDT. We suspect that the lack of HDT in *P.*  
1605 *collinus* may be associated with its thermoregulatory responses at high temperatures being  
1606 adapted primarily for water conservation rather than minimizing the risks of pathological  
1607 hyperthermia. Although our two-species data do not allow for meaningful interspecific  
1608 comparisons, the dichotomy of responses of the two species to potential hyperthermia is  
1609 marked, identifying putative contrasting phenotypic responses to hyperthermia. As we argue in  
1610 the final Chapter, future studies need to focus on quantifying contrasting interspecific  
1611 responses of metabolic rate to hyperthermia. In addition, associated biotic and abiotic data  
1612 need to be obtained in order to conduct meaningful phylogenetically independent multi-  
1613 species analyses.

1614

1615

#### 1616 **Acknowledgements**

1617 This study was financed by publication incentive grants from the University of KwaZulu-Natal and the  
1618 National Research Foundation to BGL, and a UKZN MSc bursary to MM. Gratitude is extended to  
1619 Professor Barry G. Lovegrove for his supervision over the course of this study. Mr. Steenkamp (via  
1620 Francois Theron) and Mr. Jacob Kruger are thanked for the use of their farms, Roopersfontein and  
1621 Noriseep respectively, for the trapping of our rodents. Danielle Levesque was essential in the setting up

1622 and smooth running of the respirometry system. Professor Phil, C. Withers is acknowledged for his help  
1623 with the statistics (*a priori* contrasts) section of this paper. Martin Hampton, Rogan Roth, Kayleigh  
1624 Muller, Mark Brown and Cindy Canale are acknowledged for large and small favours over the course of  
1625 this study.

1626

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1775 **Figure and table legends**

1776 **Figure 1** Body masses before and after the start of the body temperature measurements for a)  
1777 the Namaqua rock mouse and b) the pygmy rock mouse

1778 **Figure 2** Mean  $\pm$  SD body temperature and metabolic rate of the Namaqua rock mouse

1779 **Figure 3** Mean  $\pm$  SD body temperature and metabolic rate of the pygmy rock mouse

1780 **Figure 4** The Arrhenius effect of body temperature on metabolic rate in a) the Namaqua rock  
1781 rat and b) the pygmy rock mouse.

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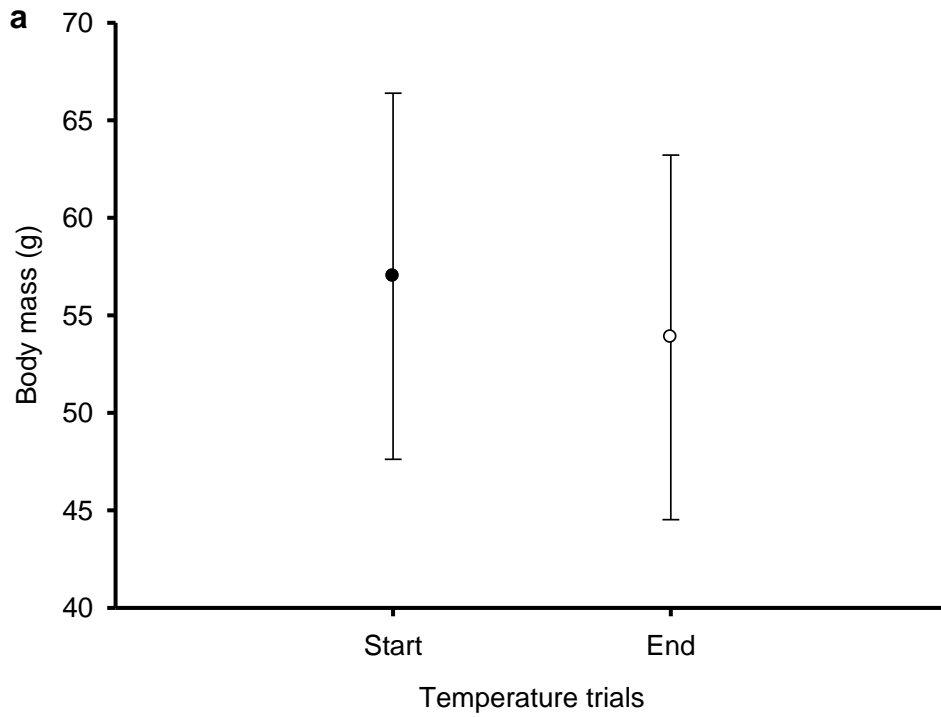
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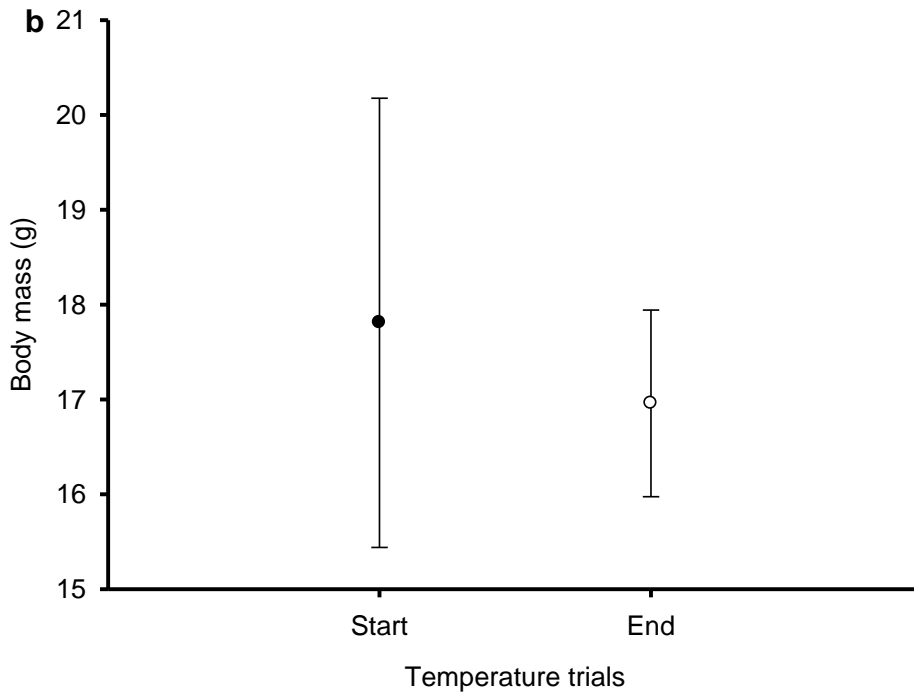
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1799 **Figure 1**



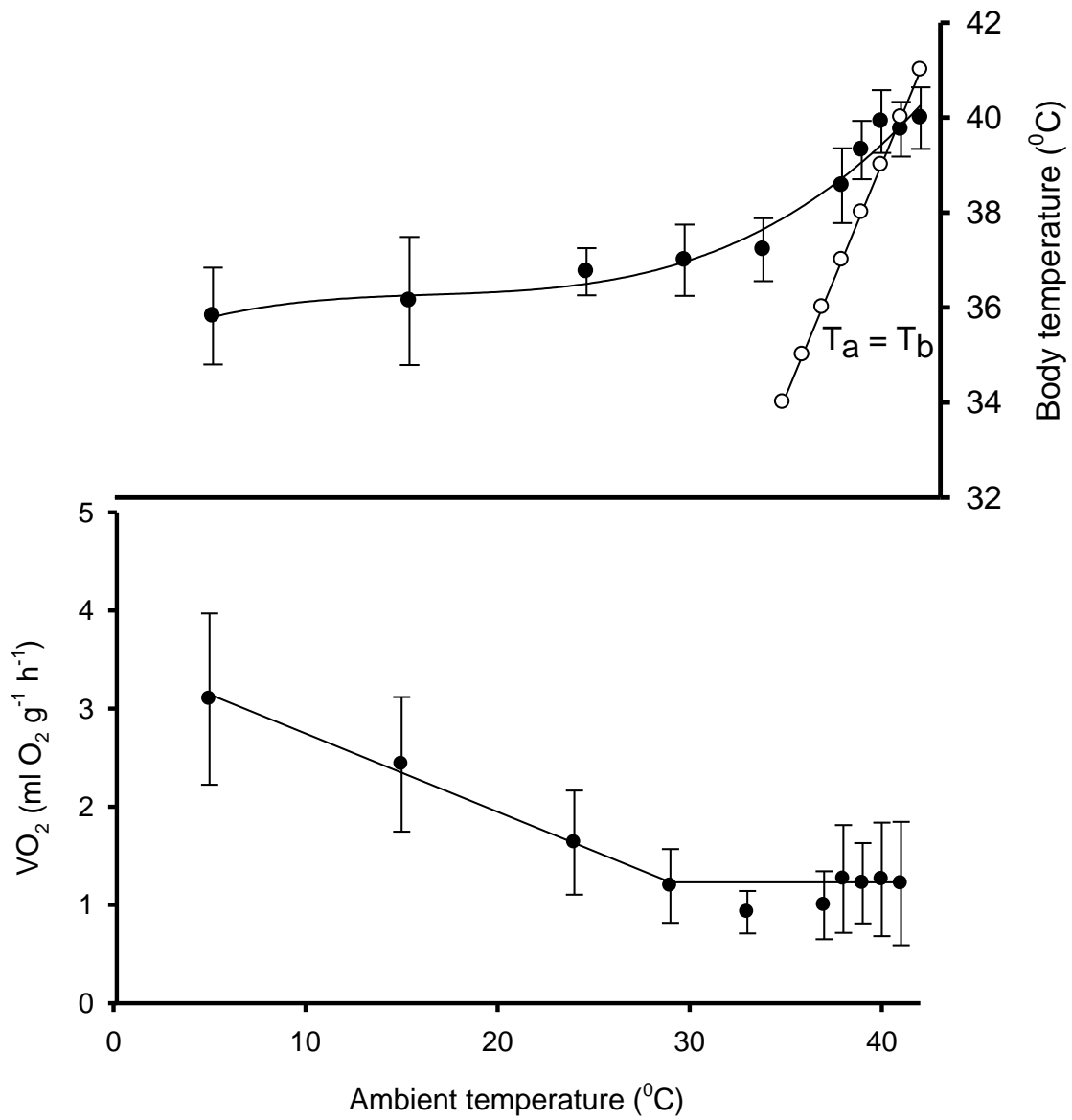
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1803 Figure 2



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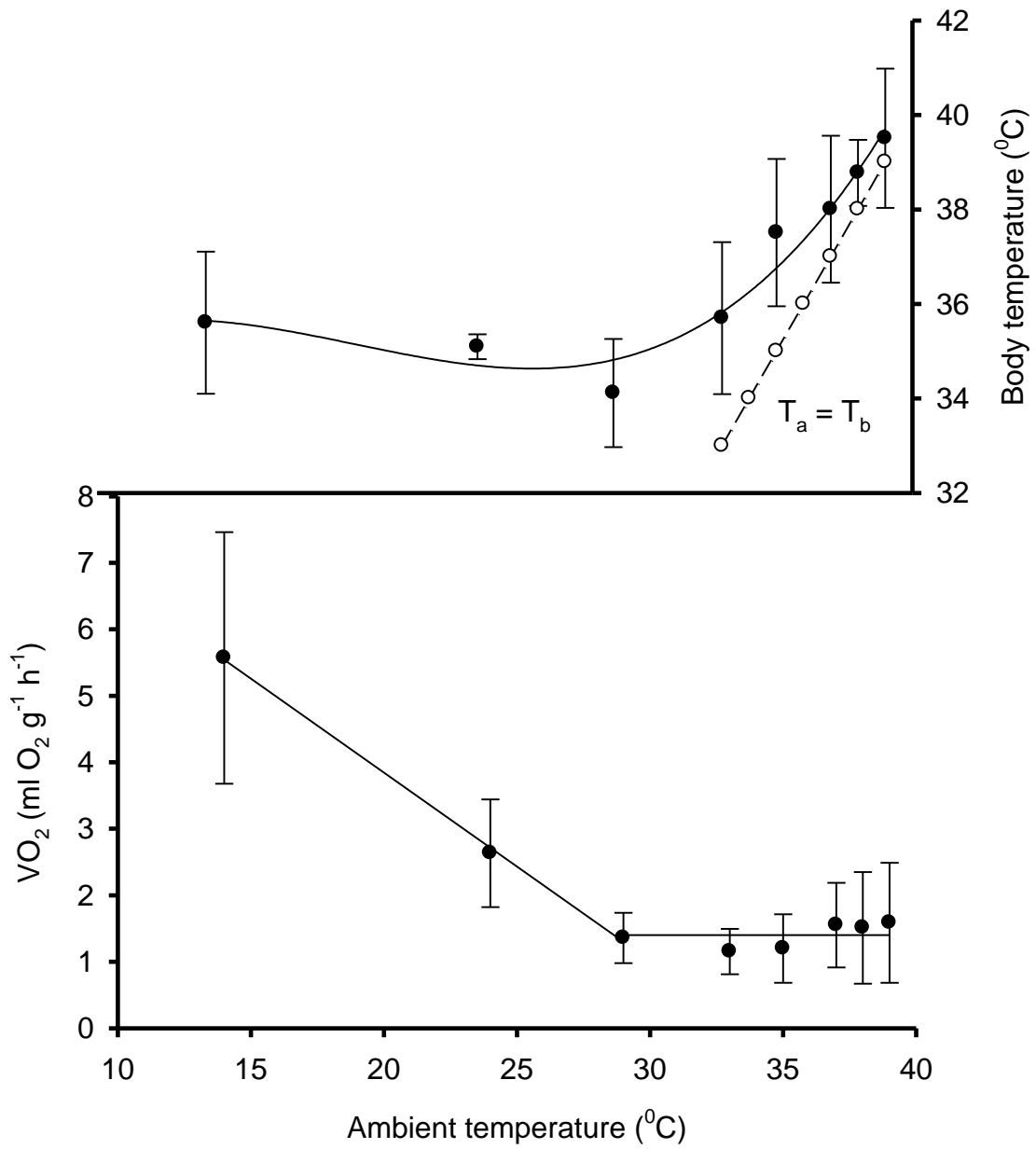
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1811 Figure 3



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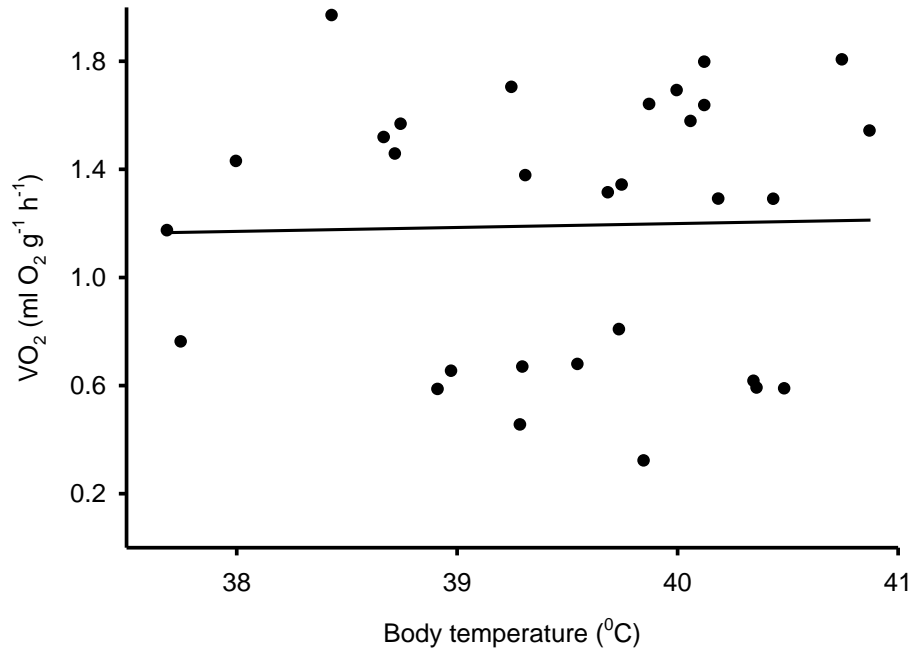
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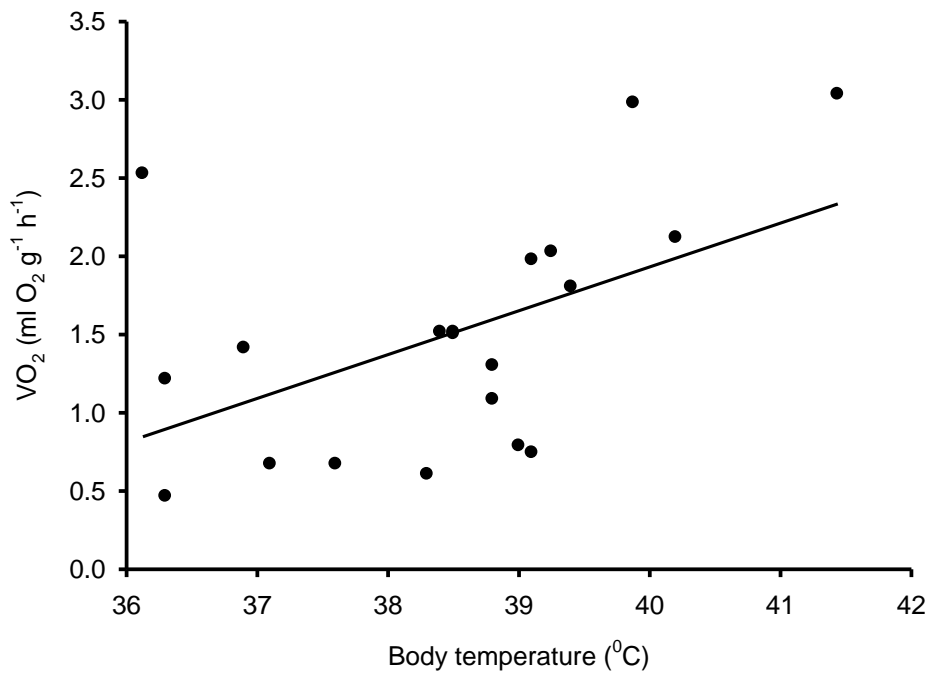
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1818 **Figure 4**

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## Chapter 5

### General conclusions and future directions

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This study has shown that some small mammals are capable of hyperthermia-induced hypometabolism recently proposed by Lovegrove et al., (in press). We found that *A. namaquensis* was able to downregulate its metabolism during hyperthermia whereas *P. collinus* was not. Such a physiological response will minimize the risk of entry into pathological hyperthermia and increase water conservation. The literature has no examples, to our knowledge, where the approach of regressing metabolic rate with body temperature at high ambient temperature is intended specifically to test for hyperthermic Arrhenius effects. Studies that have reported such regressions have not done so intentionally as a measure of  $Q_{10}$  under hyperthermia. These lack of data may indicate, understandably, a reluctance to expose study animals to potential lethal hyperthermia. Given the urgency for the incorporation of physiological response data into predictive climate change models, the precautionary approach of most mammalian physiologists may require some reconsideration. For example, we attempted to minimize the risk of lethal hyperthermia by exposing our study animals to increasing experimental ambient temperatures over an extended period of time thereby inducing thermal tolerance.

Endothermic models on thermal specificity based on ectothermic models have predicted differences in the thermoregulatory responses of mammals to high ambient temperatures predicted by climate change models. Our study provides some of the first data showing these thermoregulatory differences as shown by the incapacity of *P. collinus* for

1844 hyperthermia-induced hypometabolism. We also show that, despite slight over- and  
1845 underestimations by subcutaneous temperatures outside the thermoneutral zone, there is no  
1846 significant difference between the subcutaneous and core temperatures of *A. namaquensis* at  
1847 least during the rest-phase. We attribute these slight thermal gradients between subcutaneous  
1848 and core temperatures to the effect of the thermoregulatory mechanisms that are activated in  
1849 order to maintain the body temperature setpoint when the ambient temperatures deviate from  
1850 the thermoneutral zone. Nevertheless, we find that, over the wide range of ambient  
1851 temperatures measured, this thermal gradient is much less than the maximum that has been  
1852 measured by skin temperature dataloggers. Abiotic factors such as solar radiation or lowered  
1853 winter temperatures may result in misleading thermal gradients being recorded by skin  
1854 temperature dataloggers. We argue that a measure of subcutaneous temperature is a reliable  
1855 proxy for core temperatures in free-ranging mammals.

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### 1857 **Future directions**

1858 We have identified two seemingly opposing metabolic responses of small mammals to high  
1859 ambient temperatures. This observation poses the important question about whether a  
1860 continuum of physiological responses to hyperthermia exists in mammals. This is a topic that  
1861 has received very little empirical attention, but has been formulated in theoretical models of  
1862 endotherm responses to climate change. Angilletta et al., (2010) have attempted to model  
1863 endotherm performance curves as a function of body temperature based upon ectotherm  
1864 models. They have borrowed the concept of a continuum between “specialist” endotherms  
1865 that have a high performance index but a narrow range of operative body temperatures, and

1866 “generalist” endotherms that have a much broader operative range of body temperatures but a  
1867 lower modal performance index.

1868         These endotherm models were formulated prior to the identification of three categories  
1869 of endotherms, namely basoendotherms ( $T_b < 35^\circ\text{C}$ ), mesoendotherms ( $35^\circ\text{C} \leq T_b \leq 37.9^\circ\text{C}$ ) and  
1870 supraendotherms ( $T_b > 37.9^\circ\text{C}$ ) (Lovegrove 2012). Supraendotherms display a very narrow  
1871 range of high body temperatures, and seldom if ever, relax this control in the form of  
1872 heterothermy. Thus, in terms of the performance curves terminology, these mammals could be  
1873 considered to be endothermic specialists. However, we doubt the prediction of Angilletta et al.,  
1874 (2010) and Boyles et al., (2011) for basoendotherms that meso- or basoendotherms may attain  
1875 body temperatures higher than those of supraendotherms. We therefore suspect that the  
1876 generalist body temperature ranges, especially the extremes, may be unrealistic for  
1877 endotherms.

1878         What we have identified in this study is a putative dichotomy of responses (specialist,  
1879 generalist?) within small baso- and mesoendotherms, that is, within mammals with  $T_b < 37.9^\circ\text{C}$ .  
1880 We would argue that the specialist-generalist performance curves models are well intended,  
1881 but are too simplistic to describe the diversity of physiological responses in endotherms. Clearly  
1882 there may be dietary, habitat, and water availability constraints which ectotherms do not face,  
1883 but which may determine how small endotherms will respond to increasing ambient  
1884 temperatures associated with climate change. The challenge in the future is to identify and  
1885 quantify the variables associated with endothermy-related continua, and to obtain more  
1886 physiological data on individual responses to hyperthermia. The quest will undoubtedly involve

1887 the measurement of new data, but large data bases can also be generated more rapidly by  
1888 analysing published data.

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