

# An analysis of experiences and meanings that community members have attached to the South African government's neoliberal and privatisation policies in historically disadvantaged suburbs

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# DECLARATION

I declare that this dissertation is my own unaided work. All citations, references and borrowed ideas have been duly acknowledged. This work is under submission for the degree of Master of Social Sciences in the Faculty of Humanities, the school of Applied Human Sciences, at the University of KwaZulu-Natal, Durban, South Africa. None of the present work has been submitted previously for any degree or examination at any other university.

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# ABSTRACT

This research aimed to explore the experiences and meanings that community members have attached to the South African government's neoliberal and privatisation policies in historically disadvantaged suburbs. This study sought to understand how community members of Wentworth make sense of neoliberal and privatisation tactics. Using a qualitative research design, the study further sought to understand how the privatisation of basic services makes community members feel. Participants were community members of Wentworth, a suburb of the city of Durban, who have been residents in the community for more than ten years. Participants were selected using snowball sampling, and the researcher used semistructured interviews to solicit points of view from the participants on their experiences of the privatisation of basic services in their area. Data was analysed using Interpretative Phenomenological Analysis. The analysis produced the following themes: unemployment, unsafe communities, poverty-stricken homes, government shortcomings, not having a place to call home, and dissatisfaction with life. The research revealed that the residents' understanding of these changes were strongly influenced by the injustices of the past, which now manifest as class instead of race. Recommendations for practical implications and future research were made.

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# CHAPTER ONE: INTRODUCTION

# **1.1. Background to the study**

The distribution of resources in South Africa is profoundly unequal. According to Ashman et al. (2011), South Africa is now officially the least equal country in the world, competing only with Brazil for the same title. In pre-democratic South Africa, the unequal distribution of resources was justified by the legislation at the time, which favoured one race over others, legalising the supremacy of Whites under the apartheid regime. The advent of the democratic constitution brought about equality between all race groups and peoples of South Africa. The constitution promised access to adequate housing as a basic human right (Pavelich, 2017), as well as naming other resources such as electricity and water as prerequisites for the optimum development of individuals, families and communities.

While all given socio-economic rights are important, the right to safe housing should be of priority, as inadequate housing leaves citizens in positions of danger where their lives may be at risk. When the privatisation of housing was introduced, residents who could not afford to pay for their housing underwent forced evictions. Forced evictions undermine the human rights of some impoverished citizens, leading courts to play an active role in enforcing housing as a human right. This is done through establishing a jurisprudence that invariably advances a social development agenda (Sobantu et al., 2019).

Privatising housing, as well as the privatisation of other basic needs, does not in any way benefit the poor and, within the South African context, cannot be expected to. Privatisation comes about as the result of a neoliberal agenda that seeks to increase citizen involvement in the provision of their own basic services. The neoliberal theory argues that opportunities for individual freedom and improved access to social goods inevitably increase as deregulation and globalisation work to free the market, and as the market's sphere of influence is enlarged to include public 'goods' areas such as the environment, water and medical care (Harvey, 2005).

Many people believe that governments utilise privatisation as an exit strategy, freeing themselves to be less and less involved in the provision of basic services by instead placing these responsibilities in the hands of the citizens, who must find a way to provide for themselves. All these strategies are hidden under the guise that the government is empowering its citizens through these strategies and by involving them in the management of their state affairs.

As Harvey (2005, p. 2) has argued, "neoliberalism is in the first instance a theory of political economic practices that proposes that human wellbeing can best be advanced by liberating individual entrepreneurial freedoms and skills within an institutional framework characterized by strong private property rights, free markets and free trade". According to Barnett and Bagshaw (2020), some research suggests that there are positive outcomes to the neoliberal approach, standing on the belief that globalisation has removed many people around the world from poverty, and that the increased privatisation of state enterprises has resulted in efficient governance where the burden on governments was lessened. Others have instead argued that privatisation widens the gap between the rich and the poor, and does nothing to improve the livelihoods of the previously disadvantaged. Privatisation has its positives and negatives; however, according to the studies consulted, it seems as if the disadvantages might be more prevalent than the advantages.

# **1.2.** Statement of the problem

Inequality in South Africa is rooted in the injustices of apartheid, which denied the majority of our people formal qualifications and access to basic infrastructure. These inequalities are now manifesting in the privatisation and deregulation of public services. The removal of housing subsidies is perhaps more damaging to poor people than it is beneficial. Mfuku et al., 2006, Ashman et. al., 2011 are among the researchers who have come to conclude that privatisation affects South Africans negatively, especially given the country's particular historical context.

According to Marais and his colleagues (2008), there have been very few studies focusing on the outcomes of housing privatisation in South Africa. They report that "in fact, apart from a few critical conceptual contributions, we were unable to trace any empirical work in this respect in South Africa" (p. 184). It is therefore imperative that research in this field is conducted to find out whether these privatisation strategies have been successful or not in the Township of Wentworth. This research will address the problem by exploring the experiences

and the meanings that residents have attached to the results of privatisation of their basic services.

# 1.3. Objectives

This study seeks to explore the experiences of community members/leaders in the suburb of Wentworth, examining the meanings they have ascribed to the government's conservative fiscal strategy and its privatisation of previously council-owned homes as part of an ongoing neoliberal economic agenda.

In order to meet this aim, the following objectives have been formulated to guide the research process:

- 1. To examine participants' experiences and perceptions of neoliberal privatisation in the suburb of Wentworth.
- How does privatisation make the participants feel?
- 2. To examine how neoliberal policies and the privatisation of homes have affected the lives of the participants.
- To interpret the meanings community members have attached to the outcomes of neoliberal policies in their community.

# 1.4. Research questions

- 1. How have community members experienced and perceived the effects of neoliberalism and of privatisation?
- How does privatisation make the participants feel?
- 2. What meanings have community members attached to the outcomes of the government's neoliberal trajectory?
- What impact has neoliberalism had on the subjectivity of community members and how they make sense of their lives?

# **1.5.** Rationale of the study

By any measure, South Africa is one of the least equal countries in the world (World Bank Group, 2018). In part, inequality is rooted in the injustices of apartheid. These inequalities are now manifesting in the privatisation and deregulation of public services.

Many studies in the South African context have argued against the privatisation of services (Mfuku et. al., 2006, Marais et. al., 2008, Bagshaw, 2020, Ashman et. al., 2011). Although other studies on privatisation have been conducted, no prior research has specifically focused on exploring how the privatisation of basic services has affected the residents of Wentworth, Durban. The results of this study will help shed some light on the lived experiences of these community members, detailing how they cope with the burden of having to pay for basic services on their own. These results might further be used as a basis to change some government policies, or at the very least highlight a need for change in order to better the lives of citizens in underprivileged communities.

# 1.6. Study area and sample

The study was conducted at the Wentworth Organisation of Women in Wentworth, Durban, which is a township-based, non-profit community development organisation. The researcher obtained a gatekeeper's letter before recruiting members of this non-profit organisation for this study. The participants took part voluntarily and identified other members who they knew faced similar challenges. The researcher approached those members who were also identified as willing to partake in this research study.

The researcher explained the subject and goals of the study to each participant before their interview commenced, and also covered their rights during the interview. In the end, six participants were recruited and interviewed.

# **1.7.** Contribution of the study

This study will provide an in-depth exploration of the meanings that the Wentworth community members have attached to neoliberal strategies, detailing their experiences and

describing how privatisation has impacted them, shedding light on the extent of progress—or rather lack thereof—that privatisation strategies have brought to these community members. This study might further assist with ascertaining whether or not any changes are needed regarding the privatisation of basic services, or whether these existing strategies have been effective and beneficial to residents to a sufficient extent.

# **1.8.** Overview of the dissertation

The first chapter of this paper will introduce the research by providing an overview of the research problem and the rationale of the study. The researcher will include the context within which the research took place. The research objectives will be provided for the reader, along with descriptions of the researcher's aims and targeted goals for the end of this research study.

The second chapter is the literature review. This chapter will provide a well-structured literature review discussing the current and past literature on privatisation, and will include an overview of the historical background of privatisation and how it has come into existence in South Africa. The benefits and disadvantages of privatisation and de-regulation will be looked at in depth. The researcher will illustrate why research in this context is important.

The third chapter illustrates the theoretical approach that was used in this study. The research will discuss how this given approach can be applied to address the current research problem.

The fourth chapter will provide an in-depth description of the research methodology used to answer the research questions. The chapter will cover the detailed operations which were performed by the researcher in terms of sampling, how the data was collected, and how it was analysed. It will also include the research design, a description of the participants and the organisation from which they were selected, and a description of the instruments employed by the researcher. Furthermore, this chapter will detail the procedures followed by the researcher to obtain ethical clearance as well as to secure permission from the organisation. Lastly, this chapter will briefly discuss the relevant ethical considerations.

The fifth chapter will provide the results and discussion of the findings. The researcher will link the themes found in the data to what has been discussed in the literature review. The researcher will also link the theoretical approach to the data.

The last chapter will summarise the research study in terms of the aims, desired outcomes, and the findings. The researcher will state limitations and draw conclusions from the results, and offer recommendations for further research on this topic.

# CHAPTER TWO: LITERATURE REVIEW

# 2.1. Introduction

This chapter focuses on previous existing literature relevant to the privatisation and deregulation of services worldwide, and particularly in South Africa. The literature revealed how the privatisation of services—not just of housing, but also of electricity, security, water and other basic services—has affected community members. The literature further indicated some of the advantages of privatisation. Although there have been very few studies focusing on the outcomes of housing privatisation in South Africa (Marais et al., 2008), this chapter reviews similar past studies and their findings. To date, the researcher has not come across a study that researched privatisation and deregulation strategies in Wentworth, Durban.

# 2.2. A brief history of neoliberalism

Neoliberalism has become a controversial topic both internationally and domestically. Barnett and Bagshaw (2020) state that, starting from the 1970s, neoliberalism has become a hegemonic constellation of ideas dominating economic and political institutions at a global level. What has earned it more attention is the detrimental consequences it brings for those at the margins of society. The interpretation of neoliberalism via policy development has been carried out through different measures, among which are export-oriented, fiscal austerity and the privatisation of basic services (Narsiah, 2002). As Harvey (2005, p. 2) has argued, "neoliberalism is in the first instance a theory of political economic practices that proposes that human wellbeing can best be advanced by liberating individual entrepreneurial freedoms and skills within an institutional framework characterised by strong private property rights, free markets and free trade".

The doctrine of neoliberalism therefore suggests that individuals have access to opportunities and are exposed to social goods increases, as the market operates freely as a result of deregulation and globalisation, and as the market influence is broadened to include public 'goods' areas such as water, the environment, and medical care (Harvey, 2005).

In their 2017 study 'The World Bank Improving Environmental and Natural Resource Policies: Power, Deregulation, and Privatisation in (Post-Soviet) Armenia', Burns et al. (2017) reach the conclusion that when international organisations like the World Bank intervene, it is usually done with the claim that they are there in aid of the common good. However, quite the opposite might occur: neoliberal privatisation and deregulation will further weaken state actors and their capacities (Burns et al., 2017).

When nations increase their economic ties with other countries, many potential benefits are likely to arise, such as making goods and services and work opportunities more easily available to people and communities. Harvey (as cited in Sterne, 2006), argues that in practice, neoliberalism usually goes against the core principles of democratic processes. This is why important neoliberal institutions like the International Monetary Fund and World Bank are not elected, nor are they representative bodies. As many people who are against globalisation have noted, their existence outside of traditional governmental or business channels also makes these institutions harder to resist. Since they operate outside of conventional government or business practices, they usually hold a lot of room for exploitation. Harvey (as cited in Sterne, 2006) further states that neoliberalism cannot be sustained, mainly for two reasons: firstly, the degree of economic concentration and mass deprivation cannot continue endlessly; and secondly, contradictions exist between neoliberalism's official dogma of free markets and its actual practice of concentrating wealth.

"The expansion of economic ties between nations has however resulted in the emergence of a neoliberal model of global capitalism that serves to enrich powerful corporations at the expense of workers and ordinary citizens, while increasing social, political, and economic inequalities between nations" (Aguirre et al., 2006, p. 1). There's also much debate over whether neoliberal economic strategies imposed by institutions such as the World Bank and IMF have reduced or worsened global poverty. "Although the neoliberal model has been embraced by political and economic elites in the global north and south, the International Monetary Fund (IMF) and World Bank have often externally imposed it in the south as a condition for obtaining a development loan" (Aguirre et al., 2006, p. 1).

In the South African context, perhaps this answers the valid question: "How did the African National Congress, a movement with socialist goals, shift so quickly to a neoliberal position?" (Narsiah, 2002). Peck and Tickell (2002) identify two interrelated phases or processes to help clarify how neoliberal policy has developed: 'roll-back neoliberalism' and 'roll-out neoliberalism' (cited in Aguirre et al., 2006). For the purposes of this research, we will look closely at roll-back neoliberalism. In roll-out neoliberalism, the rolling back of state intervention in the economy produces national variants of neoliberalism as each country seeks to position itself in relation to global economic circumstances. Roll-back neoliberalism, Aguirre and his colleagues (2006) report, actively destroys or discredits the Keynesian-welfarist and social-collectivist agendas. This process is said to focus on omitting the previous governmental control of resources and state regulations, as well as public services, nationalised industries, and labour and social rights, also known as privatisation. "Privatization takes many forms, including the sale of public assets, voucher programs, deregulation, cutbacks in public services, and the contracting out of those services for profit and non-profit agencies" (Aguirre et al., 2006, p. 2).

Addressing the crowd at the 2008 World Economic Forum, Bill Gates' underlying argument for the privatisation of markets was its potential effectiveness in coming up with systems where market incentives, which include profits and recognition, push to do more for the poor (Sedighikamal & Talebnia, 2014). He went on to state that this idea can also be termed 'creative capitalism', which is an approach whereby governments, businesses, and nonprofits come together and work as a unit in an attempt to tap into market forces so as to reach more people, thereby enabling them to make a profit and also be recognised for doing work that lessens the world's inequalities (Sedighikamal & Talebnia, 2014). Despite the noticeable growth in world economic activity over the last few decades, many authors who research the impact of privatising state-funded services hold mixed opinions on its effectiveness. According to Barnett and Bagshaw (2020), some research suggests that there are positive outcomes, standing on the belief that globalisation has removed many people around the world from poverty, and that the increased privatisation of state enterprises has resulted in more efficient governance where the burden on governments has been decreased. "If privatization must of necessity bring forth the desired benefits, it has to be viewed not as an end itself, but as a means to get government interested in fostering a new division of labour between the public and private sectors in order to increase the efficiency and contribution to development of both sectors" (Sedighikamal & Talebnia, 2014, p. 90-91).

However, some research suggests that there have been poorer results for struggling economies, with many middle and low-income countries facing slow economic growth as compared with previous decades (Barnett & Bagshaw, 2020). With the privatisation of basic services, the means of production of services are in the hands of capitalists whose main goal is to maximise profit. These new initiatives are said to be operating under the guise of Black empowerment and entrepreneurship; however, these local partnerships are in fact controlled by multinational corporations (Narsiah, 2002).

According to van de Walle (as cited in Hentz J., 2000, p. 204), "privatisation in South Africa is defined as the process of deregulating and commercialising public organisations, rather than as the specific transfer of ownership and control from the public sector". This is well explained by the theorist Karl Marx in his views on capitalism, who sees this as a strategy for the bourgeoisie to maximise their profit at the expense of the proletariat. According to Karl Marx, a process of concentration occurs as new branches of capitalist production open up. A process of centralisation subsequently occurs as capital becomes concentrated from state-owned enterprises to the hands of fewer and fewer capitalists (Narsiah, 2002).

In Western Europe, the doing away with government assistance for citizens in need of housing was supported by an ideological turn to the right, and also served as an attempt to decrease the government's expenses by reducing its financial responsibilities. In Eastern Europe, the privatisation of housing was introduced some years later as a result of the changes brought about by the collapse of Communism (Broulikova & Montag, 2020). In Britain, the first steps to privatise housing occurred during the latter parts of 1970s, after Margaret Thatcher and her conservative party had risen to power (Marais et al., 2008).

In the South African context, the decision to privatise is said to also serve other goals of government, particularly that of Black Economic Empowerment (BEE). In theory, BEE is a brilliant concept intended to benefit previously disadvantaged individuals by remedying the injustices and inequalities of the past in its goal to redistribute wealth across broader society in different sectors (Shava, 2016). It has been argued, however, that this has not been the case in practice, and that BEE has instead caused further segregation—this time not along racial lines, but instead by deepening the divide between classes. "BEE comprised a varied set of regulatory initiatives and funding mechanisms aimed at redressing the country's legacy of systematic economic marginalisation of the Black majority" (Pitcher, 2012, para. 3). "Its goals were to reverse the long-standing patterns of racial discrimination with respect to

employment, land tenure, and ownership; to support small and medium-sized businesses belonging to historically disadvantaged groups; to encourage and finance the purchase by Black investors of equity stakes in existing companies; and to build a workforce that reflected the demographic make-up of the country" (Pitcher, 2012, para. 3). "Privatisation has occurred on a wide scale throughout South Africa; there have been water and sanitation concessions in Nelspruit, in the Mpumalanga Province, Queenstown, in the Eastern Cape Province and on the Dolphin Coast in KwaZulu Natal Province, whereas in Johannesburg, the water and electricity utilities have been" (Narsiah, 2002, p. 7). Furthermore, in many provinces-such as the Western Cape, KwaZulu Natal, and Gauteng-water and sanitation are in the process of being provided by multinational companies (Narsiah, 2002). According to Smith (1982), "In South Africa, state-driven housing was closely associated with apartheid planning, as the rental housing units were mostly constructed in the Black townships of urban areas in socalled White South Africa" (cited in Marais et al., 2008, p. 184). Marais et al. (2008) further assert that the state provision of rental houses was also a way by which the state controlled urban influx. Hentz (2000) opines that, considering South Africa's economic reality, privatisation should not have been a government priority. Reddy (as cited in Hentz, 2000) is of the view that privatisation on paper, and not in execution, seemed to align well with BEE's goals, as it increased opportunities for Black ownership. However, when it came to its execution, it is arguably clear that BEE has been doing more harm than good. It is concerning that the same neoliberal policies are in continuation here, just as they were under the apartheid regime.

In these post-apartheid times, the neoliberal government hides under voluntarism and empowerment, underpaying those who work providing municipal services in dangerous environments in disadvantaged, poor Black communities (Miraftab, 2004). Disguised as privatisation, here discrimination and marginalisation continue unchecked across class lines. This further impacts previously disadvantaged groups, given that they make up the majority of occupants living in township areas. Narsiah (2010) is of the view that there was nowhere else where the apartheid legacy was more evident than in the provision of basic services. This was one of the main difficulties faced by the post-apartheid administration: the delivery of basic services to those who were denied them in the past. Indeed, the problems faced by the democratic government were similar to those faced in the rest of sub-Saharan Africa, "of reconciling a commitment to universal provision with a market-oriented approach where all those served must pay full costs" (Jaglin, 2002, p. 231, cited in Narsiah, 2010).

# 2.3. Outsourcing and privatisation as a result of neoliberalism

Privatisation is one of the main vehicles driving neoliberal philosophy, which is centred on the concept of free-market rule (Narsiah, 2010). "In the 1980s, growing frustration over the welfare state politics coupled with an economic crisis led to a revival of laissez-faire ideas, favouring free markets, and limited government (Scanlon and Whitehead, cited in Broulikova & Montag, 2020., p. 52). Broulikova & Montag (2020) further opine that "this political turnover shifted the perception of housing and housing policy: instead of a social good, which needs to be provided by the government and subsidised from the taxpayer's pocket, housing was to be seen as a private good provided mainly by the market" (Broulikova & Montag, 2020, p. 52). Locally, the outsourcing of service providers has undeniably brought harm to those who work in municipalities.

In February 2001, a company to which the Johannesburg City Council had privatised its fleet services and maintenance, reportedly fired around 150 employees (Narsiah, 2002). These employees attempted unsuccessfully to negotiate with their unions, resulting in them receiving contracts with minimal to zero benefits (Narsiah, 2002). This phenomenon of job loss and malfeasance where workers are subjected to mediocre earnings is well explained by Marx's anti-capitalist views concerning injustice and exploitation. Marx opined that "the capitalist system is based, independently of this or that economic policy, on the worker's unpaid surplus labour, source as 'surplus value', of all forms of rent and profit. The extreme manifestations of this social injustice are the exploitation of children, starvation wages, inhumane labour hours and miserable life conditions for the proletarians" (Lowy, 2007, p. 147). Those who live at the brunt end of the capitalist system are the vulnerable who are disadvantaged and likely could not access basic needs in the first place.

The outsourcing of 'non-core' services as a business strategy has been used by many South African organisations, as it allows the employer to focus on the fundamental business functions of the institution (Matlou, 2018). While this may be the initial concept, in the majority of cases businesses ultimately outsource services strictly because those services are strategically important to the business, and not because they are non-core. It is a fact that workers employed through agencies are paid significantly less compared to workers who are employed directly by the companies where they work, leaving the former with no security of employment. Matlou is further of the view that outsourcing negatively impacts workers'

salaries, their overall job security, and oftentimes results in the reduction of their benefits (Matlou, 2018).

The logic for privatisation is rooted, firstly, on the liberal argument for small government and the promotion of private property rights, and again stands on the argument that privatisation brings about economic efficiency. This casts the private sector in a better light economically than the public sector (Narsiah, 2010). According to Feigenbaum et al. (as cited in Narsiah, 2002), privatisation imperatives are driven by privatisation initiatives, suggesting a typology of privatisation that is pragmatic, tactical and systemic. Feigenbaum et al. further suggest that "pragmatic privatisation is frequently introduced as technical solutions to an immediate problem, while tactical privatisation is introduced solely for the purpose of achieving shortterm political goals. Systemic privatisation is intended to reshape the entire society by fundamentally altering economic and political institutions and by transforming economic and political interest" (cited in Narsiah, 2002, p. 4). It is systemic privatisation that is in the forefront in South Africa. One further objective of privatisation is to open extra avenues for the government to reach more people in terms of service delivery. This argument is supported by Sedighikamal & Talebnia (2014), who state "a common argument is that privatisations remove obstacles to proper resource allocation posed by government control and facilitate the dismissal of poorly motivated government appointed managers whose objective function is vastly different from value maximisation. Thus, privatisation reforms can jumpstart performance improvements in formerly State-Owned Enterprises (SOEs)" (Sedighikamal & Talebnia, 2014, p. 84).

Many believe that governments utilise privatisation as an exit strategy, and this view is held by Hentz (2000) and Marais et al., (2013). Hentz (2000) argued that the privatisation policy used by the apartheid government during the 1980s was a political plan used to propel certain political agendas. Furthermore, the neoliberal approach places all the power in the individual. Here the freedom of choice by individuals is promoted, stressing that people must ensure their own individual quality of life and pay for their services by themselves, rather than be recipients of basic needs provided by a more welfare-oriented state (Painter & Mayo, as cited in Houghton, 2010). One can argue that, by this approach, the government aims to be less and less involved in the social welfare of its people by placing its responsibilities in the hands of these citizens. Marais and his colleagues (2013) are of the view that the privatisation of housing can be best discussed in terms of the political economy, from a neoliberal perspective, where it is viewed as a way to cut down government spending and to shift housing responsibility to the individual or household (Marais, 2008; 2013).

Tipple et al. (2004) concur that "the basic reasons for privatisation in developing countries were related to government's realization of their inability to fulfil the demand, the inability to reach the poorer sections of the population, the role of international advisory organizations; and the role of structural adjustment programs" (cited in Marais et al., 2008, p. 187). Basically, the reasons to privatise had nothing to do with empowering the citizens, but rather resulted from the inability and failure of the government to provide for its citizens.

"In urban areas, public-private partnerships between municipal authorities and the private sector to provide service delivery have evolved into much more comprehensive and transformative models of restructuring that employ the language of neoliberalism such as efficiency, competition, rationalisation, deregulation, and de-centralisation to justify their growth" (Smith, 2004, p. 382).

# 2.4. Housing privatisation in South African townships

During the apartheid era, all non-White populations, as previously classified, faced discrimination based on their race, prohibiting them from accessing financial assistance when purchasing houses. Black people specifically did not have right to buy property in suburban areas until the mid-1980s, leaving it to government and municipalities to ensure the provision of rental accommodation for the Black, Coloured and Indian populations (Migozzi, 2020). With the ANC's rise to power at the end of apartheid, home ownership was promoted as a way of addressing previous housing inequalities. The government at the time began by successfully encouraging housing subsidy programmes, resulting in large scale housing which to date has brought over 3.5 million houses to the nation's poorest (Migozzi, 2020). However, according to Lynch (2012), the South African state—encouraged by the World Bank—later ceased to provide and support basic housing, and instead aimed to become an 'enabler of market forces'. This resulted in many South Africans living in squatter camps and impoverished areas, struggling to survive without basic services.

Throughout inception and implementation, neoliberal strategies have had far fewer positive effects than were anticipated. This is because "decades of informal settlement upgrading

projects and efforts to provide affordable private housing led to a situation where decent housing is unaffordable to the poorest, who are instead forced to live in shacks without basic amenities" (Lynch, 2012, p. 9).

After the city jurisdiction had been extended, the aim in Durban in the 1990s was for service delivery to be governed independently (Narsiah, 2010). The economic growth and redress initiatives that came into play post-apartheid were directed towards development in Durban, and remain part of the post-apartheid transition plan to ensure that South Africa plays its part in the global economy (Houghton, 2010).

"The impacts of the privatisation process and the effect of what is commonly known as 'housing transformation' in respect of the housing previously owned by the state, have been low on the agenda of housing researchers" (Marais et al., 2008, p. 184). Thus, not much research has been undertaken to determine the impact of housing privatisation. Processes of neoliberalisation, together with their transitions within the arena of urban development, are evident within public-private partnership activities in Durban. Even though these partnerships aren't explicitly involved in privatisation and marketisation processes, they are however engaged in neoliberalised forms of urban development (Houghton, 2010). One clear example is that of Chatsworth, Durban. Areas which are inhabited by the poorest people (mostly previously disadvantaged) are now targets for the withdrawal of basic services (Narsiah, 2002). This modus operandi of absorbing housing into the private sphere has neoliberalist characteristics. Flats which were previously owned and maintained by the municipality are now owned and maintained by residents. Removing these government-aided subsidies has resulted in tariffs increasing massively after privatisation. These price increases have limited the number and availability of basic services, which is unfair to poor people in South Africa. Private property is reinforced as a major factor of capitalism as people are forced to form body corporates in order to manage their housing needs (Narsiah, 2002).

Modern neoliberals in South Africa are still set on the belief that the free functioning of markets is a way of developing the country's economy and helping South Africa's previously disadvantaged populations (Schneider, 2003). In South Africa, the high rate of job loss, limited access to basic services, poor working conditions for employees, less accountability and responsibility from the state, and increased concentration of economic power, are all attributed to the consequences of privatisation (Ashman et. al., 2011). When private companies take over utility contracts, the prices sky-rocket, service delivery plummets, and

the poor lose out (Vogt, 2003). One can question how the privatisation of services benefits South Africans. Ever since the introduction of cost recovery by the South African government as part of the Growth Employment and Redistribution (GEAR) programme in 1996, over 10 million people have had their water cut off (Vogt, 2003). Vogt (2003) adds a further account of a multinational company by the name of Biwater, which signed a contract in agreement to supply the Nelspruit city with water. This resulted in rate increases, which surged by over 400% within the first five years. Taking South Africa's struggling economy into account, it is unimaginable to think how much more the percentage rates will continue to rise in the coming years.

One can confidently argue that placing service delivery responsibility in the hands of private companies is a purely capitalist action, and seems to not benefit the impacted residents at all. While South Africa's governing party, the African National Congress, advocates the mantra of a better life for all, GEAR's vision of development leans more toward a model that is reliant on a market-driven system which depends on growth as its driving force (Landman, 2006). "From the 1970s onward, with the emergence of neoliberal market ideologies, public housing increasingly came to be viewed as a burden on state finances; and most governments started to reassess the place of the public rental sector" (Sefika, 2012, p. 48).

According to Marais et al., (2013, p. 59), "Neo-Marxists argue that there are four main drawbacks to housing privatisation: Tenants are subjected to rigorous private sector lending rates and fall behind on their payments (see Burrows 1998); private sector repairs are more expensive than in the public domain; those failing to pay rent to housing associations are prone to eviction (Bramley and Morgan 1998); and privatisation leads to landlordism". Sefika (2008) adds that Neo-Marxists further describe the negative impacts privatisation has on residents, for example that it leads to eventual homelessness. Taking into cognisance the overall financial status of most South Africans, the majority of residents simply cannot afford to pay their housing bonds.

The primary issue with the views surrounding privatisation—whether political or economic is that they turn a blind eye to other social theories, often entirely overlooking the historical factors which influence the current reality. This is especially true in the South African context (Sefika, 2012).

It is impossible to divorce present-day South Africa from the South Africa of the apartheid era, as most of the issues that exist today are remnants of the past segregation laws of the then-government and its policies. However, at the same time, Sefika (2012) argues that Neo-Marxists do not consider the other side, which takes into account the fact that local job creation and architectural diversification are also brought about by privatisation. When locals own and transform houses, they hire other locals to extend or renovate their houses, creating employment within local areas. When the government was responsible for maintaining the rental houses it provided for its non-White population groups, it brought in its own labourers for the upkeep and maintenance of these buildings. Furthermore, "housing privatisation has increased the financial burden on households residing in original rental units, this problem was also identified as one of the possible problems associated with privatisation in South Africa" (Dewar, 1982; Mabin and Parnell, 1983, cited in Sefika, 2012, p. 182). Privatisation does bring with it some positives, however the number and extent of its disadvantages remain far greater.

According to Marais et al. (2013), those who advocate for privatisation argue that the provision of ownership allows households to have security of tenure, leading to increased housing investment and the occurrence of a secondary housing market which then becomes a source of income for homeowners who rent their properties out. Even though they concur with this, Sefika (2012) added that the above reasons are not always valid in supporting the use of housing as a source for income. In a study in Mangaung, Sefika (2012, p. 181) opines that "first, the dominant notion of housing stress overshadows economic reasons for housing extensions and the development of secondary markets, and furthermore it is my contention that this reality can be understood only against the historical exclusion of Black people from urban South Africa under apartheid".

With regards to housing privatisation leading to increased housing investments, Southall (p. 11, as cited in Pitcher, 2012) added that "aided by empowerment legislation, the share of Black South Africans in private-sector management has climbed from 18.5% in 2000 to 32.5% in 2008". This benefits citizens, as it includes participation in economic activities. However, in a paper titled 'Was privatisation necessary, and did it work? The case of South Africa' Pitcher (2012) quotes Chalmers Johnson's depiction of Japan after World War II. According to Johnson's now-classic analysis, Japan's phenomenal post-World War II growth can be ascribed to "conscious and consistent governmental policies dating at least from the 1920s" (1999, p. 37). Pitcher (2012) reported that, "to accomplish these objectives, as Johnson argued, it required first 'a small, inexpensive, but elite state bureaucracy staffed by the best managerial talent in the system" (1999, p. 38). Second, "this bureaucracy must be

sufficiently insulated from politics and given latitude to perform its duties without interference" (Pitcher, 2012, p. 38). Third, "the state must have control over a number of 'market-conforming methods' such as financial institutions, parastatals (of the public-private variety), and a tax regime". They further add that the state must be in support of research and development initiatives, and foster discussions to evaluate these initiatives and ways forward. Fourth, "the Japanese model included an agency within the state that essentially spearheaded, controlled, and managed the process and it had its own budget and possessed 'internal democracy'" (Pitcher, 2012, p. 38). Pitcher goes on to state that, as Johnson pointed out, this kind of a model cannot be simply copied and pasted. Instead, there must be indications with respect to the ways in which the South African approach is different from that of a Japanese model. Firstly, according to Pitcher, not enough efforts are directed towards engaging consumers to empower the economy by buying locally produced products. This reduces South Africa's integration into the global economy as, again, the bureaucracy has serious skill shortages and not many people are experienced (Pitcher, 2012).

Turner (1976) questioned state rentals from another angle, making a comparison between state-owned houses and houses in informal settlements (cited in Marais et al., 2008). According to Turner (1976) "the process of providing rental housing, as driven by the public sector, was oppressive, did not consider the needs of prospective residents, and focused too much on the formal nature of building structures" (cited in Marais et al., 2008, p. 186). According to Marais and his colleagues (2008), there have been very few studies focusing on the outcomes of housing privatisation in South Africa. They report that "in fact, apart from a few critical conceptual contributions, we were unable to trace any empirical work in this respect in South Africa" (p. 184). However, even with the limited magnitude of research in this field, it is quite evident that South Africans who are on the receiving end of these policies are the ones suffering the consequences of these practices. Even though the privatisation of houses was advocated for in a positive light, research is yet to be produced indicating how this has been the case. At the time of finalization of this dissertation, the writer did not come across any literature that previously investigated the privatisation of houses in South Africa and its effects on citizens.

# CHAPTER THREE: CONCEPTUAL FRAMEWORK

# 3.1. Introduction

This chapter discusses the conceptual framework which underpins this study. Maxwell (2013) describes the conceptual framework as hypotheses, ideas, beliefs, and theories supporting and informing the research conducted. The researcher will use critical theory to clarify epistemological dispositions, and constructionist theory to explore the participants' experiences. The perspectives from these two theories posit that people become empowered when they are conscious of the issues that concern them, and are able to address and make sense of their experiences. The experiences considered here concern the effects of privatisation on the provision and efficacy of basic services in disadvantaged suburbs.

# **3.2.** Critical theory

Critical theory, drawing from the enlightenment tradition, considers social science to be tasked with liberation from "unnecessary restrictive traditions, ideologies, assumptions, power relations, identity formations, and so forth, that inhibit or distort opportunities for autonomy, clarification of genuine needs and wants" (Alvesson & Willmott as cited in Callaghan, 2016). This theory is relevant to this study as it explores the power dynamics that permeate the neoliberal perspective, mapping the area between the powerful elites and the poor majority who have their 'basic rights' taken away from them. Power in this context is seen as a tool for exploitation, regardless of what the bill of rights stipulates every citizen has rights to access. According to Kincheloe and McLaren (1994), "all thought is fundamentally mediated by power relations that are social and historically constituted; that facts can never be isolated from the domain of values or removed from some form of ideological inscription; that language is central to the formation of subjectivity and that certain groups in any society are privileged over others" (p. 140, as cited in Karataş-Özkan & Murphy, 2010).

Paolo Frere (1970) used the term 'critical consciousness' to refer to the effects political, social, and economic influence have on one's current experiences of oppression and social

inequity. Thus, how the residents of Wentworth view their positionality shapes how they experience oppression and being denied access to basic services. An emerging body of psychological research has investigated consciousness-raising as a catalyst for socio-political action. When community members are conscious of their surroundings, only then can they move towards initiating change and change strategies—or at the very least, attempt to initiate change in their communities.

# **3.3.** Social constructionist theory

According to Karataş-Özkan & Murphy (2010), social constructionism places an emphasis on how reality is constructed-for example, how meanings are shared and negotiated between groups and individuals. In the social constructionist perspective, the use of social inquiry changes from structures and outcomes to processes (Karataş-Özkan & Murphy, 2010). This means the focus is on how community members make sense of the government's privatisation plan, and what meanings they attach to the outcomes of these plans. Gergen (1995) views social constructionism as a "critical stance towards taken-for-granted knowledge, historical and cultural specificity, a focus on processes, specifically on interaction and social practices and, finally, language as a form of social action" (as cited in Karataş-Özkan & Murphy, 2010, para. 20). Social constructionists view reality as something constructed by people over the course of their daily social interactions (Karataş-Özkan & Murphy, 2010). Social constructionism is highly influenced by language discourse. Community members utilise language to describe the reality they experience and to share the meanings they have attached to those experiences. They use language as a way of making sense of the realities around them. The researcher will therefore use this theory to better understand what meanings the community members of Wentworth have drawn from the government's privatisation plan.

# **CHAPTER FOUR: METHODOLOGY**

# 4.1. Introduction

The aim of the current research is to explore the experiences of community members and their leaders, and examine the meanings they've attached to the government's conservative fiscal strategy and its privatisation of homes which were previously council-owned as part of its ongoing neoliberal economic agenda. The study adopted a qualitative research approach, as this is best suited to exploring the experiences of participants. A snowball sampling method was used. The resulting data was analysed using Interpretative Phenomenological Analysis (IPA). The researcher observed various ethical codes used in research, as explained in section 4.4 of this chapter.

# 4.2. Research design

A qualitative research approach attempts to understand the meaning of phenomena (privatisation strategy of basic services) to the community members of Wentworth in the social and cultural context in which they live (Terre Blanche, 2006). Research in recent times is faced with epistemological and ontological challenges. Epistemology deals with theories of knowledge, questions such as what knowledge is and what justified what knowledge is (Ejnavarzala, 2019). On the other hand, ontology is concerned with the existential conditions related to material, social, cultural and political contexts (Ejnavarzala, 2019).

A phenomenological (hermeneutic) design was used. Creswell (2012, p. 76) stated that "a phenomenological study describes the common meaning for several individuals of their lived experiences of a concept or phenomenon". They further stated that "Phenomenologists focus on describing what all participants have in common as they experience a phenomenon" (p. 76). Qualitative methods were employed, as they allow for greater in-depth understanding of the experiences and perceptions of research participants. The researcher used snowball sampling.

# 4.3. Table 1 Participants biographical data

The participants' basic biographical data is given in the table below. The writer gave participants pseudonyms to protect their identity.

Participant	Sex	Age	Race	Resident
Mary	Female	51	Coloured	Yes
Rose	Female	53	Coloured	Yes
Jack	Male	48	Coloured	Yes
Jane	Female	29	African	Yes
Samantha	Female	33	Coloured	Yes
Норе	Female	31	Indian	Yes

# 4.4. Sampling

The researcher used a snowballing sampling method to select the six participants who volunteered to take part in this study. Some participants were part of the Wentworth Organisation of Women. Some participants weren't part of the organisation, however, were contacted by the researcher telephonically after being identified by the initial participants. A snowball sampling is a well-known, non-probability method of survey sample selection commonly used to locate hidden populations (Johnson, 2014). Johnson describes how this method relies on referrals from respondents in the initial sample to identify other persons believed to have characteristic of interest to the study. This study aimed at including community members from diverse backgrounds who were affected by the privatisation of housing and other basic services in the community of Wentworth. The researcher gained access from the gatekeeper (see Annexure E) at Wentworth Organisation of Women, where the participants were selected, and three face-to-face interviews were subsequently planned

and conducted. The researcher also gained ethical clearance from the University of KwaZulu Natal Humanities and Social Sciences Research Ethics Committee. It is of importance to note that the researcher observed all Covid-19 protocols. In addition to the face-to-face interviews, three other interviews were conducted telephonically.

Participants were selected based on the following criteria:

- (a) The participant had to have been a permanent resident in Wentworth for more than ten years.
- (b) The participant had to be over 25 years of age (assuming that by this age, they would have insight or knowledge of their community with regards to housing issues and other matters).
- (c) The participant had to be directly affected by the privatisation of basic services in Wentworth.
- (d) The participant had to be willing to voluntarily participate in the study.

The following criteria was used to exclude participants from the study:

- (a) Community members who did not wish to participate in the study.
- (b) Individuals who were not members of the Wentworth township community.
- (c) Community members who had no knowledge of the matter concerning homes which had previously been owned and overseen by the council.

The researcher arranged a meeting telephonically and later in person to brief the participants on the purpose of the interviews and explain how these interviews would be conducted, together with an explanation of their rights pertaining to this study. Three participants chose telephonic interviews, while the other three opted for face-to-face interviews. The interested participants then signed the informed consent form (see Annexure A). During the face-toface interviews, the researcher observed all Covid-19 protocols for the safety of every person involved.

# 4.5. Data collection

Since research involves collecting data involving details about people's lives, approval from the ethics research committee is imperative (Terre Blanche et al., 2006). Ethical clearance was obtained from the University of KwaZulu Natal Humanities and Social Sciences Research Ethics Committee (see Annexure F). Only once this ethical clearance had been obtained did the researcher proceed with identifying suitable respondents. After suitable participants were identified, they were asked to offer other potentially suitable participants. Creswell (2013) stated that, "It is essential that all participants have [similar lived] experience of the phenomenon being studied" (p. 155). In this case, all interviewees were residents of Wentworth, and all had been affected by the privatisation and de-regulation process.

The research purpose as well as plans for what will be done with the research findings were shared with the potential participants as an initial step in the process. The possible expected benefits of the study were also outlined (Terre Blanche et al., 2006).

The researcher used in-depth, semi-structured interviews with members of the Wentworth community who met the inclusion criteria. According to Creswell and Clarke (2017), an indepth interview is a qualitative data collection method which allows the researcher to pose questions to research participants with the aim of learning more about their views, opinions, and beliefs about a specific phenomenon. Interviews are treasured sources of data which allow for clarification and consideration of participants' responses to specific enquiries (Creswell and Clarke, 2017).

One of the advantages of semi-structured interviews is that they allow participants to truly express themselves in their own words, and share their lived experiences as they would wish for them to be understood. This adds a degree of authenticity to the data that is collected. A semi-structured interview schedule prepared around themes relevant to the experiences and meanings attached to the privatisation of previously council-owned homes was produced. Before conducting the interviews, the researcher arranged a meeting with individual participants via telephone, and gave the participants the option to participants' preferences.

Each interview was conducted over a duration of 30-45 minutes. Three participants opted for telephonic interviews, while the other three opted for face-to-face interviews. The face-to-face interviews were conducted at Wentworth Organisation of Women. All interviews were

recorded electronically on a recording device (phone) with the permission of the research participants. The researcher transcribed each of the recorded six interviews. Interviews are transcribed, as this assists the researcher in coding the data and organising illustrative examples of code pieces (Creswell & Clarke 2017).

According to Creswell and Poth (2017), the advantage of interviews is that participants are capable of offering historical data, and the investigator has influence over the track of enquiry. On the other hand, the main challenge for researchers using this methodology is that data is compromised by the opinions of participants. In as much as the opinions of the participants are objective, they might be blurred by their personal feelings and biases towards certain issues. In this study, the researcher reinforced the interview advantages by guiding the participants to focus on the research issues, and by probing for more relevant information.

### **Research instruments**

According to Creswell & Clarke (2017) research instruments are data collection tools (for example, questionnaires or scales, or interview questions) designed to obtain data on a topic of interest from research subjects. This study made use of semi-structured interviews to collect data from the research subjects. Semi-structured interviews involve conducting intensive individual interviews with a small number of respondents to explore their perspectives on a particular idea, programme, or situation (Boyce & Neale, 2006). These semi-structured interviews were based on a flexible topic guide that provided a loose structure of open-ended questions to explore experiences and meanings. The topic guide (interview schedule) consists of five key questions (see Annexure A). Semi-structured interviews were selected because of their flexibility. Creswell and Poth (2017) stressed that semi-structured interviews have the benefit of flexibility, and can allow the researcher to develop rapport with participants, thereby producing richer data. The researcher also made side notes during the interview, where any emotions or actions by the participants were noted.

As pointed out by Boyce & Neale (2006), another advantage of in-depth interviews is that they provide much more detailed information than what is available through other data collection methods, such as surveys. This gives a fuller, more detailed understanding of the phenomenon in question. Again, in-depth interviews may provide a more relaxed atmosphere in which to collect information—people may feel more at ease having a direct conversation with the interviewer than they would in a group setting, or when filling out a survey (Boyce & Neale, 2006). People may feel more at ease because the interviews are treated as a conversation between two people, with the researcher probing and asking questions that will answer the research questions. This communicates to the participant that the researcher has real interest in them and what they have to share, and that the researcher sees value in their experience.

# 4.6. Data analysis

The researcher used individual interviews to gather data and gain insights, as interviews are particularly useful in uncovering the story behind participants' experiences (Doody & Noonan, 2013). These interviews took place over the telephone and face-to-face, at a time convenient for the participants. The researcher secured a total of six participants (one per interview). Holding individual interviews allowed participants to be themselves, and to express themselves without fear of judgement from others. This made participants feel safe, and they were able to be vulnerable with their stories and truly express themselves. Again, ethical consideration relating to their participation was thoroughly explained. This allowed the research participants the freedom to withdraw from the study at any time they felt compromised by the process, without any repercussions or judgement.

The data was recorded with a recording device, and this will be kept safely locked away where individuals outside of the research community will not be able to access it. The researcher used the recorded interviews to identify themes (analyse the data). Data analysis is done to reduce, organise and give meaning to the data, thereby facilitating interpretation and comparison with previous literature.

The interviews were analysed using Interpretative Phenomenological Analysis. The importance of IPA as a qualitative research approach is its ability to examine and interpret the 'lived experiences' of research participants (Alase, 2017). Smith et al. (as cited in Alase, 2017, p. 11) argued that the bottom line with IPA, as a tradition that is 'participant-oriented', is that its approach is more concerned with the "human lived experience and posits that experience can be understood via an examination of the meanings which people impress upon it". Alase further stated that for a phenomenological researcher to have these understandings of the 'lived experiences' of the research participants, it is important for the researcher to put themselves in the shoes of the participants (Alase, 2017). Where analysis of data is concerned, Creswell (2013) advised researchers to start by describing their own personal

experience with the phenomenon under study. This is so that the researcher is cognisant of what statements resonate with them, and does not over-emphasise the importance of such themes. Additionally, Creswell (2013) suggested that researchers should "develop a list of prevalent statements" as a foundation for their understanding of the phenomenon. According to Creswell (2013, p. 193), researchers should "treat each statement as having equal worth, and work to develop a list of non-repetitive, non-overlapping statements". This is to ensure that all statements are given enough credit, and their individual relevance is not undervalued. In this way, each statement holds relevance because it is the lived experience of the participant. It is important that the researcher treats each statement as significant and having value. According to Creswell (2013), after the development of these statements, researchers should "take the significant statements and then group them into larger units of information, called 'meaning units' or 'themes'" (p. 193). This is to create larger themes under which all the statements fall. This helps to identify themes that are dominant or statements that stand out, as they are repeatedly and independently mentioned by multiple participants. Lastly, Creswell (2013) advised researchers to describe the phenomenon incorporating the textural and structural descriptions.

An interpretive phenomenological analysis was used to examine and interpret the interview data. The process of analysis involved six phases (Smith and Osborn, 2008):

# Phase 1: Familiarising yourself with data

Smith and Osborn (2008) opine that this step is important because it helps the researcher to understand the data they have collected. This step is all about immersing oneself in the data as the researcher.

The researcher listened to all six of the recorded interviews multiple times. Going through the recordings also helped the researcher to recall the tone and atmosphere felt on the day of the recording. This further enabled the researcher to once again step into the shoes of the participants.

# Phase 2: A free text analysis

The researcher transcribed all six interviews verbatim using Microsoft Word. This step was the longest to complete. In this step, the researcher played the audio multiple times while pausing and rewinding the audio to type out the exact words. The researcher wrote down personal summary notes during all the interviews.

### **Phase 3: Allocating notes/transcripts into themes**

When analysing the transcripts and going through notes and personal summaries, themes emerged. The writer added notes about the participants pausing, sighing, or when their tone of voice increased or decreased. This illustrated how the participants felt when talking about certain subjects, revealing their emotions around these topics.

#### **Phase 4: Connecting the themes**

The transcripts allowed for clustered themes to emerge, and the analysis revealed subordinate themes as well as superordinate themes. In total six superordinate themes emerged, from which multiple subordinate themes were also revealed. These below themes emerged in all six participants' interviews.

- Theme 1: Unemployment
- Theme 2: Residing in an unsafe community
- Theme 3: Poverty-stricken homes
- Theme 4: Government shortcomings
- Theme 5: Not having a place to call home
- Theme 6: Dissatisfaction with life

# Phase 5 and 6: Repeat analysis process and identify convergent and divergent themes

Here, the researcher goes over the transcript again and groups together similar and different themes. The researcher was able to spot any information or themes which were initially overlooked, and was confident enough to identify converging and diverging themes.

#### Phase 7: Finalise superordinate and subordinate themes

After going over the themes several times, the researcher decided on the superordinate themes and subordinate themes. The subordinate themes make up the superordinate themes in that when put together, they form part of the bigger theme.

# 4.7. Data trustworthiness methods

The credibility and veracity of the data is of extreme importance in qualitative research. Where the data collection method is more interactive, the researcher is required to be fully present and to immerse themselves in the process. To ensure its reliability, the research needs to be credible, transferable and dependable. The researcher also needs to be reflexive.

### Credibility

According to Cope, credibility "refers to the truth of the data or the participants' views and the interpretation and representation of them by the researcher" (Cope, 2014, p. 89). A study is credible if the descriptions of the participants' experiences resonate with the experiences of others who have been in similar circumstances. This means that the participants will have similar lived experiences and will likely share similar sentiments.

## Dependability

A study would be deemed dependable if, through the researcher's process and descriptions, the study findings yielded were the same with similar participants in similar conditions (Koch, 2006). As cited by Cope in 2014: "Dependability, also known as reliability or consistency-strategy, refers to the constancy of the data over similar conditions" (Cope, 2014, p. 89).

# Transferability

Transferability is when we provide rich, thick descriptions in an attempt to obtain external validity, or transferability (Creswell, 2016). "It requires the researcher to give sufficient details about settings, inclusion/exclusion criteria, sample characteristics, and data collection and analysis methods, so that the reader can evaluate the extent to which the conclusions made by the authors are transferable to other settings, situations, and populations" (Houghton, 2013, para. 13).

# Reflexivity

During data analysis, the researcher must ensure that their views/beliefs do not in any way influence the findings of the study. By doing this, a researcher must clearly describe the existing contextual intersecting relationships (for example race, socio-economic status, age, cultural background) between themselves and their participants. This not only increases the creditability of the findings (Berger, 2015) as cited in (Dodgson, 2019), but also deepens our understanding of the work as the academic community. It is important for the researcher to be cognisant of these similarities and differences, and to make them known to readers (Dodgson, 2019). The quality of the work is highly dependent on the ability of the researcher to articulate these similarities and differences to themselves, the participants, and to later readers (Dodgson, 2019). When being reflexive, I had to dig deep and confront any issues that could've led to me being biased, such as any similar lived experience I might have had with regards to being treated unfairly by the government, or where I felt the government had fallen short. This self-awareness and degree of personal contextual clarity assists in giving the participants their own voice, and avoids the researcher's voice from being heard over that of the participants when gathering, analysing and presenting data.

## CHAPTER FIVE: DATA ANALYSIS, PRESENTATION AND DISCUSSION

## 5.1. Introduction

This chapter reports on the findings from the data collected during the interviews. The data was analysed using themes which were identified over the course of the interviews. Analysing data using IPA is usually inductive; this means it is a bottom-up approach, and themes are generated over the course of this process (McLeod, 2011). The researcher included direct extracts from participants' interviews as evidence in support of the interpretation of the data. "Analysis generally comprises a set of processes that move from the particular (account-specific themes) to the shared (common themes generated through cross-analysis) and from description to interpretation (by using empathetic and questioning hermeneutics)" (Rajasinghe, 2020, p. 184). The participants' responses are interpreted through the theories and research that was consulted during the literature review.

### **5.2.** Emerging themes

The data collected produced six superordinate themes. Each theme contained multiple subordinate themes within it. Below, the researcher will indicate the themes that emerged.

Theme	Sub-theme	Content	Excerpts
1. Unemployment	Historical injustices	Injustices refer to	"Before it was
		the unfair treatment	okay, like it was
The participants		of people. In South	good at like, at
indicated how		Africa, this	those days
unemployment		unfairness was	because, they
partially caused by		visible through the	provided water
historical injustices		ill treatment of	for us, shelter,
has hindered them		Black people. One	like everything
from according		blatant injustice	was good. So
their basic needs.		during the pre-	now, like we

democracy era was	have to like fend
that of the racially	for ourselves,
segregated urban	we, we have to
settlement patterns	pay for
resulting from the	electricity, like
spatially segregated	we don't even
urban development	have proper
laws enforced along	housing here,
racial lines (Strauss,	we don't have
2019). The Land	jobs, we, even
Act and other	paying for all
legislation, and the	these things you
apartheid regime at	know, like things
large, supported the	are just like very
separation of	bad like and it's
residential areas	like the
according to racial	government is
order. The effects of	not even doing
this separation are	anything like to
still evident, as it is	assist us nje,
common for	abasizi nga lutho
townships like	(they're not
Wentworth—unlike	helping with
urban areas—to go	anything), like
for many days	bayazi ukuthi
without running	nje (they know
water. It is no secret	that), the
that the remnants of	counsellors are
the pre-democratic	not doing
South Africa are	anything, there's
still visible today,	ward
and continue to	counsellors,
impact South	sometimes it's

Africans negatively.	like we don't
The	even know who
townships/locations	to complain to
remain poorly	uyabona (you
maintained and	see), yah, like
underdeveloped, and	it's very bad
residents still do not	nje. " (Rose)
have access to	
proper facilities as	"We cannot get jobs
compared to urban	because we're
areas that were	uneducated, and the
previously reserved	reason we're uneducated
for White people.	is because like education
The participants in	is so expensive, we can't
this study indicated	afford it, we cant like
how being	afford to take our kids to
underprivileged and	proper schools"
lacking resources is	(Samantha)
still very much their	
day-to-day reality,	
just as it was pre-	
democracy.	
"We need to have	
conversations	
around 'redress', as	
many people	
continue to live in	
poverty with	
minimal access to	
resources" (Segalo,	
2015, p. 79).	
Limited access to	
proper resources	

like clean running
water may then
result in poorer
health among
residents. According
to critical theory,
people in powerful
positions use their
status to oppress
those who are less
powerful than them,
and often will
pursue their own
goals against the
best interests of
those who are less
powerful. In this
instance, the
powerful officials in
government make
decisions that
negatively impact
those without a
voice. The residents
of Wentworth are
disadvantaged due
to their positionality
and class, and it is
these two
intersecting factors
that render the
residents of
Wentworth less

powerful. People
use language to
challenge the
realities around
them, and how
people make sense
of their lack of
access to basic
resources
contributes to how
they actively
experience these
shortfalls. Just like
social
constructivism, how
experiences are
expressed influences
their lived reality.
Participants reported
negative
experiences of
neoliberal policies,
as they do not deem
these policies to be
beneficial to them in
any way. If
anything,
participants
understand these
strategies as the
government ridding
itself of its
responsibility to

		take care of its	
		citizens and ensure	
		that all population	
		groups have access	
		to the basic services	
		needed for their	
		welfare.	
2. Unsafe	• Gunshots,	All research	"Ohh, yes yes
community	violence and	participants	no, it's also like,
	drugs	mentioned that	you know the
The participants	• High rate of	Wentworth is not a	crime rate is
indicated the high	school leavers	safe community.	very high,
rate of gun violence		Each of them	because, you
and drug abuse,		stressed that at some	know, like, as I
making their		point they had	said, the
communities		witnessed physical	conditions are
unsafe.		violence, or lost	very bad, so like
		someone due to gun	most people,
		or knife assaults	myself included,
		(shootings and	we not very
		stabbings). This left	educated, so it's
		them with a deep	
		fear of being in their	
		own community.	people just end
		Feeling unsafe can	
		lead to prolonged	
		levels of anxiety,	
		which could result	·
		in health issues. The	
		crime rate in the	· ·
		area is also	they can get
		extremely high, and	money. So, like

many community	they, even from
members fear for	a very young
their lives as a	age, the kids
general fact over the	join gangs, so
course of their daily	they can get
existence. It is	money to feed
understandable why	their families.
the residents of	Like they really
Wentworth might	just look into
feel unsafe, as they	crime, even the
don't have proper	young girls, they
housing where they	are prostituting
can reside or take	themselves so
secure refuge, and	that they can
where they feel	uhmm, so that
protected from the	their little
outside world.	cousins, their
Education is	little sisters can
undervalued, as	just eat, so like
residents don't	the crime rate is
realise its	very high, that's
importance. They	the only way
see getting educated	that they know,
as a waste of time,	if they not
and they instead	getting any
pursue other means	grants, because
of 'bettering their	some of them
lives'. This is also	just get
the norm, as	pregnant,
mentioned by one	because they
participant who	tryna get this
explained that no	money from the
one in her	government."

community has	(Jane)
made it to	"This place my sister is
university.	very dangerous, you see
The privatisation	even young kids carrying
strategies that the	guns, just going around
government has	with guns. They don't
employed seem to	even go to school, like
have been doing	school is just not
more harm than	important to them"
good. Residents	(Rose)
have huge electricity	
and water bills, and	"My sister Wentworth is
they do not have	not safe, any day here,
money to pay them	like, you can just hear
off. They live in	gunshots, it's really
constant fear that	scary hey. People just
their water and	shoot one another, the
electricity will be	police work with the
disconnected if they	drug dealers, we don't
do not pay, and	know who to report to"
some residents have	(Jack)
faced evictions due	
to non-payment of	
rent. Their reasons	
for not being able to	
pay tie back to	
poverty, and not	
having the means to	
cover their basic	
needs. Each time	
they receive money	
or work odd jobs,	
they first have to	

		pay a large amount	
		of money toward	
		bills before they can	
		think of other	
		necessities like food	
		and clothing.	
		The residents live at	
		the bitter end of	
		government	
		privatisation	
		strategies. By	
		enforcing the	
		neoliberal model,	
		the government has	
		employed capitalist	
		strategies to	
		maximise profits by	
		minimising its own	
		involvement and	
		culpability.	
3. Poverty and	Poverty cycle continues	Even though some	"It's like things
lack of	from generation to	residents work, they	keep getting
affordability	generation	are frequently	worse instead of
		underpaid, and a	getting better.
The residents		large number of	Like, it's like
indicated that they		residents are not	they getting
cannot afford basic		employed at all.	worse, we are
necessities due to		Even those with	not seeing
poverty		steady employment	progress,
		barely make ends	instead of us
		meet. Community	moving forward
		members are	it feels like

discouraged, and	we're moving
live below the	backwards."
poverty line. As a	(Jane)
result of not having	
their basic needs	"I just started
met, teenagers in the	working at
area turn to crime.	another lady's
These activities in	house, she was
turn affect the	giving me R50
likelihood of them	every day, but I
going to school,	told her to give
inhibiting their	me per month
chances of securing	because now I
employment in	always finish it,
adulthood. This	I don't see
leads to a cycle of	where it goes.
poverty, which then	So, she will give
repeats itself from	me the money
generation to	month end, but
generation. One	(sigh) it's still
participant indicated	not enough, I
that instead of	must take care
things getting better,	of my son, I
they are getting	must pay for his
worse.	school things
	and get him
The poverty rate is	uniform, it's
high in Wentworth	really difficult
township. Residents	for me." (Mary)
indicate how the	
lack of jobs and	"We live in a 2-
education has turned	bedroom rented
poverty into a	flat, the flat was

vicious and	rented out by my
continuous cross-	father-in-law.
generational cycle.	But he passed
Residents also	away. So, the
describe how their	house is now
households are often	rented by my
overcrowded, with	husband and his
families of 7-10	brother. We pay
individuals often	R450 to the
sharing two-	Department of
bedroom flats. This	Human
leaves no room for	Settlements. We
privacy. Parents	pay it every
frequently share a	month. It's
bedroom with their	difficult not
teenage daughters	working. My
and sons. These	husband pays it.
residents do not	But it's tough
have faith in the	living there
government, nor do	because there's
they hold much	many of us and
hope of their	the place is
conditions	small." (Mary)
improving.	
	"The problems
	are arising from
	the fact that
	uhmmm, there's
	bad living
	conditions at
	home and
	nobody's
	working, so like

			.1 1 1 1
			these kids are
			like just trying
			to make ends
			meet, by
			committing
			crime, or uhmm,
			asking sugar
			daddies, and
			they don't even
			have proper
			education, so
			like if we could
			educate them
			and maybe make
			the living
			conditions at
			home better,
			then would help
			stop or engage
			in things that
			would not be
			helpful in their
			futures."
			(Samantha)
4. Government's	• Lack of	Another theme to	"The government has
class	accountability	emerge was the	failed us very much; we
discrimination	, corruption,	government's	deserve to be offered
	greed	failure to fulfil its	basic living needs and
According to the	• Selfish	duties providing for	not have to suffer so
residents,	leaders	the needy, creating	<i>much.</i> " (Mary)
government's	(council	safe communities,	
discrimination has	members)	empowering	"This is where the
moved from race to	• No trust in the	communities, and	residents feel like the
L			1

class, as they are	government	bettering the lives of	government is failing
expected to pay for	system	community	them, as everyone
basic services just		members.	cannot be expected to
like residents who		As discussed earlier	pay the same rates,
can afford to.		in the literature	taxes, accommodation
		review, the doctrine	and so forth." (Hope)
		of neoliberalism	
		suggests that	"The environment is not
		deregulation and	conducive, there's no
		globalisation allow	water, like uhmm, even
		markets to operate	the place, there's no
		freely, granting	proper infrastructure in
		individuals access to	the schools, like
		opportunities and	everything is just, we,
		increased exposure	the government doesn't
		to social goods as	even know there's a
		the market influence	place called Wentworth
		is broadened to	because I don't think
		include public goods	there's a budget for us,
		like water, the	because everything is in
		environment, and	such a bad condition."
		medical care	(Jack)
		(Harvey, 2005).	
		This strategy seems	"So, like
		to be ineffective for	most
		a number of reasons,	people,
		as per the data	myself
		collected:	included,
		1) Residents	we not
		mentioned	very
		that very few	educated."
		of them are	(Rose)
		educated,	

therefore
being active
in the
economy
proves
difficult as
they are only
eligible for
unskilled
jobs.
2) The
distribution
of resources
in South
Africa is
remarkably
unequal,
creating an
imbalance
where the
rich have
plenty and
the poor live
in
destitution.
Freeing the
markets
therefore
means
different
classes do
not start on
equal
- 1

footing.
3) Thirdly,
there are
corrupt
officials in
leadership
positions
who do not
assist
citizens in a
fair or equal
manner. As
one
participant
mentioned,
residents
receive
assistance
from the
council
based on
how well
they know
council
officials.
This leaves
many
residents
without
assistance
from the
government.
Wentworth

community
members indicated
experiencing a
strong sense of
discrimination
according to social
classes. This
sentiment was also
revealed in the
literature, when
discussing the aims
and failings of BEE.
BEE's aim was to
redistribute wealth
across the broader
society and in
within different
sectors of the
government (Shava,
2016). However, in
reality, it benefits
only the elite few
who are educated,
who have access to
information on how
to access tenders,
and who know the
relevant people to
assist them with
obtaining these
tenders. Meanwhile,
the government has
taken a step back

and does not get
involved in the
delivery of goods
and services. The
concern for
Wentworth residents
is that they are
unable to pay for
utilities, or cover
rent, or afford basic
services as other
working classes do,
as they do no not
have stable
employment or
other means of
earning a living to
cover these
expenses. The
residents of
Wentworth feel that
discrimination has
now changed
faces—it is no
longer enacted on
the basis of colour,
but now manifests
on the basis of class.
Unlike the pre-
democratic South
Africa where the
government
discriminated

against people of
colour,
discrimination is
now based on
whether or not one
is able to afford
basic necessities. It
makes no sense for
the government to
privatise even basic
services by
assuming that all of
its citizens will have
the means to pay for
those services.
This then creates a
clear line of
separation between
the 'haves' and the
'have-nots'.
Wentworth residents
feel let down by the
government and
have essentially lost
hope.
It became clear to
the researcher that
the residents feel
unrecognised by
their government, as
if they do not exist
or are otherwise
invisible, because

nothing is done to
better their lives.
The impression
among residents was
that they are not
included in
government
budgets/plans to
improve the lives
and living
conditions of the
South African
people. Marais and
his colleagues
(2013) are of the
view that the
privatisation of
houses can be best
discussed in terms
of the political
economy, from a
neoliberal
perspective, where it
is viewed as a way
to cut down
government
spending and to
shift housing
responsibility away
from itself and over
to the individual or
household (Marais,
2008; 2013). In

simpler terms, the
government uses
this as an exit
strategy to be less
and less involved in
the wellbeing of its
citizens. The
residents echoed the
views of Marais and
his colleagues,
indicating that the
government does
not want to be held
accountable for its
citizens, and instead
expects them to fend
for themselves while
being well aware of
the inequality that
persists in South
Africa. Some
participants felt that
these government
strategies have
brought the
discrimination of the
past back in full
force, only this time
under a different
guise.
One interesting
comment made by
the residents was

that this is a vicious
cycle. Their parents
struggled through
poverty, they are
struggling through
poverty, and the
likelihood is strong
that their offspring
will also be poor,
because they are
already out of
school and working
odd jobs like
washing cars just to
make a living. This
hopeless situation is
discouraging to the
residents, who
struggle to find the
will to push for a
better tomorrow. It
seems to them that
the odds against
them are
insurmountable, and
that they are in this
alone.
School conditions in
the area are not
conducive to
learning, because
the building and
learning facilities

are in such bad
condition. The few
learners who still go
to school are
demotivated,
attending almost
without interest, and
a high number of
them do not perform
well academically.
It is more common
in Wentworth to be
a school dropout
than it is to
complete school and
go on to further
studies.
The state of so many
schools not being
conducive to
learning can be tied
back to the
government failing
to do its part, as
even projects that
are put in place to
maintain the schools
are now outsourced,
and private
companies bid for
these tenders. This
has clearly led to
large amounts of

money being
misused or
companies doing
subpar work to
maintain schools,
leaving the
community with
schools where their
children cannot
receive a proper
education.
This is one example
where privatisation
benefits only the
elite few: namely,
those who are
knowledgeable in
applying for tenders,
those with registered
companies, and
again those who
have people they
'know' in
government places
ready to help them
with their tender
bids. This excludes
the many South
Africans who are
not educated, who
are not equipped or
otherwise able to
apply for these

[		-	
		tenders, and those	
		who by virtue of	
		circumstances	
		cannot provide for	
		themselves. The	
		result of this form of	
		exclusion is further	
		division in wealth	
		and access to basic	
		services, damaging	
		any opportunities	
		the offspring of the	
		poor may otherwise	
		have to gain equal	
		footing by accessing	
		proper education.	
		The discrimination	
		cycle is perpetuated	
		and repeats itself	
		vividly in many	
		different spheres.	
5. Not having a	• No privacy at	The participants	"It's really hard
place to call	home	indicated that they	living there, my
home	• Crowded homes,	are overcrowded in	sister and her
	not having	their homes. Many	daughter, we
The residents	enough space in	of them live in small	don't get along
indicated that they	family homes	flats, and others	very well, and
are overcrowded in	• Children sharing	occupy freestanding	now my son is
their homes and	bedrooms with	homes.	growing, but we
there is no privacy.	parents of the		must share a
Some have no	opposite sex	Some participants	bedroom and the
homes and have to		indicated that they	house is just
L		1	

stay in shelters with	have undergone	very small. You
their children.	forced evictions,	see, I live
	leaving them to	together with
	move in with family	them, and we
	members or stay in	are just
	shelters. Other	crowded."
	residents mentioned	(Jane)
	times when they	
	have had to live	"We got evicted
	with strangers who	because we
	felt sorry for them.	could not pay
	According to	rent and the
	Sobantu et al.	water bill was
	(2019), forced	thousands of
	evictions undermine	rands and we
	citizens' human	couldn't pay, so
	rights. This incites	now we were
	the courts to play an	given this one
	active role in	room to live in
	enforcing housing	here at
	and human rights	Wentworth
	through establishing	Organisation of
	a jurisprudence that	Women. We've
	invariably advances	been fed by (the
	a social	director of
	development	Wentworth
	agenda.	Organisation of
	The participants	Women) because
	described the lack of	she knows my
	privacy in their own	situation and my
	homes and how this	grandkids'
	strips them of their	situation."
	dignity, as parents	(Mary)

share their	
bedrooms with	"We are made to pay for
children who may	the flats, which is unfair
be older and who	because now, how will
sometimes are of the	we pay if we're not
opposite gender.	working, so we are
"Many households	forced to stay in one
are asset-poor for	house while there's like
historical reasons,	many of us. And that
many have high	also leads to many fights
levels of	between family
indebtedness and/or	members"
impaired credit	(Jack)
records and	
generally have low	
levels of income"	
(McGaffin, 2018).	
For these reasons,	
young adults end up	
being crowded in	
with their own	
children while still	
dwelling in the	
homes of their	
parents. Family	
conflict over scarce	
resources (space,	
water, food) causes	
unhappiness and	
disrupts the peace	
within households.	
One participant	
mentioned that	

		•	
		many generations	
		end up living	
		together under one	
		roof as, without the	
		means to survive	
		financially on their	
		own, the younger	
		generation is unable	
		to leave home and	
		establish their	
		independence.	
6. Life	• Not able to secure	According to the	"Like I really don't see
dissatisfaction	employment	participants	where my life is going,
	• Not able to afford	interviewed, many	it's almost like I'm just
The residents	any basic needs	of them are	living, I don't know for
indicated that they		dissatisfied and feel	what" (Samantha)
are generally		'stuck', as they do	
unhappy about their		not have the	"Our lives are
lives and have no		resources or support	becoming worse, its like
hope of a better		to make their lives	there's just no progress
tomorrow.		meaningful. They	at all" (Rose)
		direct their	
		frustrations towards	"The government is like
		the government,	another thief, instead of
		which they blame	providing for us, we are
		for not taking the	left with high bills that
		responsibility of	
		providing for its	
		citizens. These	jobs and we live in, we
		views are also	are, we are poor you
		shared by Marais	
		and his colleagues,	. ,

as indicated in the
literature describing
how privatisation in
developing
countries is related
to a government's
realisation that it is
unable to fulfil the
citizen's demand.
Citizens in this
reality live with no
hope of their
situation improving.
They have
witnessed poverty,
lack, violence and
injustice throughout
their lives. This is
evidently the case in
Wentworth as well,
where many of the
participants of this
study remember
vividly the events of
apartheid and how it
affected themselves
or their loved ones.
Concluding that the
South African
government does
nothing to better
their lives,
participants

expressed a belief
that the government
is trying to take
more away from
them by selling
them basic services
which they cannot
afford. They
associate this
approach by the
government as
another form of
oppression, not any
different from the
apartheid regime.
Four out of the six
participants put
forward that the
privatisation
strategy is
questionable and is
not working for any
of them, because it
leaves them in debt
with high electricity
and water bills
which they will
never be able to pay
considering their
economic status.
They have further
concluded that their
lives will continue

to be a vicious cycle
of poverty,
dissatisfaction,
crime, violence, and
struggle.
The inability of the
participants to
obtain proper
employment has
demoralised them to
an incomprehensible
degree. An added
harmful effect of
unemployment is
the psychological
toll it takes on
citizens, especially
young adults. They
live in dire poverty,
which sometimes
leads to them
entering into and
remaining in
abusive
relationships as they
cannot provide for
themselves alone.
This further
increases the already
alarming number of
Gender-Based
Violence (GBV)
cases found in these

areas and seen in the
country overall.
When the nation's
youth feel as if there
is no hope of
improving their
lives, there is the
added danger that
they will pass this
mentality down to
their offspring.
Young people who
cannot better their
lives in any way
may seek resolution
through crime
and/or by using or
selling drugs.
These activities by
default endanger
their lives, as
involvement with
gangs, drugs and
prostitution is
inherently unsafe
and unstable,
creating scenarios
which frequently
turn fatal.
The government
needs to reconsider
its privatisation
strategies, especially

in the community of	
Wentworth and like	
areas, as they are	
clearly not working.	

## 5.3. Conclusion

This chapter analysed the responses from all six participants and how they make sense of the privatisation of basic services. Similar responses from the participants were grouped into six superordinate themes, which when combined created eleven sub-themes. It became clear that all participants experienced privatisation strategies negatively and could not see how it helps their lives in any way. Residents are expected to do everything for themselves and have lost all hope in today's government. They asserted the belief that they are on their own, and expressed fears that the situation of living through struggle and poverty is continuing unchecked down the generations.

# CHAPTER SIX: SUMMARY, CONCLUSION AND RECOMMENDATIONS

### 6.1. Introduction

This research aimed to explore the meanings the residents of Wentworth have attached to the South African government's privatisation of basic services, and the impacts these strategies have had on their lives. The research revealed that the residents' understanding of these changes were strongly influenced by the injustices of the past, following the belief that discrimination remains an ongoing issue now perpetuated on the basis of class instead of race. The researcher used IPA to analyse the data. This research explored the meanings participants attached to the government's privatisation policies. Semi-structured interviews were used to collect the data, and six superordinate themes then emerged: unemployment, unsafe communities, poverty and lack of affordability, government's class discrimination, not having a place to call home, and life dissatisfaction. Eleven subordinate themes also emerged. This chapter will provide a brief summary of the study's main findings. It will also provide the contributions this study sought to add to the existing literature, and then discuss any limitations encountered during this study.

#### 6.2. Study overview

Looking closely at the analysis section in Chapter Five, it is clear that factors such as class, unemployment, government discrimination, and poverty, intersected and influenced the meanings participants attached to government's privatisation strategies. This section provides an overview of the main findings of the study.

A key finding of this study concerned the roles unemployment, discrimination, poverty and other societal issues played in influencing participants' experiences of privatisation. These societal issues, which have long been a hindrance to the development of this community, were frequently shown to be the reason why the privatisation of basic services is failing in the Wentworth suburb. In the next section, the researcher will look at the objectives of this study and discuss whether each of these has been met.

#### 6.3. Conclusion

In this section, the researcher provides the conclusions of this research study in light of its findings.

# **Objective 1:** To examine the experiences and perceptions of neoliberal privatisation in the suburb of Wentworth

The participants revealed in their interviews that they had all had negative experiences of neoliberal policies, leaving them with antipathetic views on policies such as privatisation. The participants associate these types of strategies with class discrimination and the denial of access to basic services. Some participants related the effects of these strategies to their experiences in pre-democratic South Africa, and mentioned that nothing has changed for them since those times. Following the introduction of the privatisation of services, participants have struggled to make ends meet. Some have faced forced eviction, leaving them to squat in other family members' homes. Some lived with total strangers who took pity on them, and some found refuge at shelters nearby. Given the unemployment and poverty rate in this area, it is not possible for these residents to pay their electricity and water bills. They experienced the government as a capitalist entity whose main aim is to make profits and enrich the few who are connected either politically or otherwise to people in management positions. As revealed in the participants' responses, residents had negative experiences of privatisation, and reported that they gained zero benefit from these strategies. In their opinion, these strategies should not and cannot work as a blanket approach to all South Africans, as some citizens can afford to pay for these government services while others evidently cannot. In this study, no participant indicated that they had benefited from these privatisation strategies.

#### Sub-objective: How the participants feel about privatisation

According to the data gathered, participants view neoliberal privatisation strategies as ways for the government to become less and less involved in the provision of services, effectively removing itself from its duty to ensure that citizens receive the minimal basic services needed for survival. Residents feel that the government does not care for their wellbeing, and in fact works against their progress.

The meaning they attach to these strategies resonates with the findings in the literature review, where the government seeks to rid itself of its responsibility to take care of those among its citizens who are less able to take care of themselves. In simple terms, the government expects everyone to afford housing, water and electricity, without considering the employment status or financial resources available to residents in Wentworth.

# **Objective 2:** To examine how neoliberal policies and the privatisation of homes have affected the lives of the participants

According to the data collected, being made responsible for their own basic services has negatively affected participants. They have been forced to vacate flats due to the inability to pay rent; their water and electricity have been switched off because they could not cover the bills. Homelessness and destitution have led to drastic increases in crime and violent incidents. One participant added that young girls are prostituting themselves in the effort to make ends meet. Corrupt officials in leadership positions have worsened the situation by assisting only those individuals who they personally know or like, overlooking and ignoring other citizens who are left frustrated and hopeless about their living conditions. The school dropout rate was also described as high, as learners abandon their studies in order to seek employment or means to provide for themselves and their families. As a result, the poverty cycle is never ending, instead continuing from generation to generation with seemingly no end.

# Sub-objective: To interpret the meanings community members have attached to the outcomes of neoliberal policies in their community

The residents of Wentworth attached frustration, anger and disappointment to the outcomes of neoliberal policies. They mentioned that, from the outset, they were never once considered when these strategies were rolled over. The government did not analyse their situation accurately to interpret what would be best for these residents. The residents further consider these neoliberal policies as perpetuating greed and corruption, as council officials distribute resources only to who they know or like. One participant mentioned that if you do not have connections, your electricity and water will be disconnected.

Those fortunate enough to know people in leadership positions have it much easier in life, and this is no different from the old apartheid policies which likewise favoured one people over others.

#### 6.4. Recommendations

Based on the above conclusions, the researcher suggested the following recommendations:

**The government must revise its privatisation strategies:** The government must revise the neoliberal policies it rolled out in its attempt to free the markets, a move intended to liberate citizens and include everyone in the global economy. These strategies have clearly failed for communities like Wentworth, of which there are many in South Africa. While these strategies may perhaps be successful in other communities, they have not brought any effective value to previously disadvantaged townships where poverty, crime and unemployment are high.

The government must take responsibility for providing for its citizens: The government must acknowledge that not everyone is in a position to provide basic services for themselves. Privatising basic services cannot be used as a blanket approach, as this works on the erroneous assumption that all citizens are able to afford their rent, water and electricity. Those citizens who cannot afford to pay for these basic services should receive government assistance. The government must also recognise the knock-on effects these neoliberal policies have had on its citizens, such as crime increases, homelessness, forced evictions, and the rising number of girls and women who turn to prostitution to provide for their families, not forgetting the high GBV cases as well. All these are a result of neoliberal policies, and each in turn contributes to the high violence rate in the community of Wentworth.

#### 6.5. Limitations

The writer experienced limitations with the three interviews which were held over the phone. For the researcher to fully connect with the participants, it would have been better if all interviews were conducted face-to-face. However, this decision was made in order to limit human contact as per the Covid-19 regulations still in effect at the time.

Another limitation is the limited sample of participants that the writer could accommodate within the time frame of the enrolment of this course. Other studies could perhaps attempt to cover a broader sample of residents, thereby gathering more of their experiences and capturing a wider and deeper scope of data useful to this area of research.

# Annexures

### **Annexure A: Informed consent form**

#### Informed Consent Document

Dear Participant,

My name is Nkgere Sharon Mashalane (214510754). I am a Masters' candidate studying at the University of KwaZulu-Natal, Howard College Campus. The title of my research is: An analysis of experiences and meanings that community members have attached to government's Neoliberal and Privatisation policies in historically disadvantaged suburbs. I am interested in interviewing you so as to share your experiences and observations on the subject matter.

#### Please note that:

- The information that you provide will be used for scholarly research only.
- Your participation is entirely voluntary. You have a choice to participate, not to participate or stop participating in the research. You will not be penalized for taking such an action.
- Your views in this interview will be presented anonymously. Neither your name nor identity will be disclosed in any form in the study.
- The interview will take about 30 minutes to an hour long.
- The record as well as other items associated with the interview will be held in a
  password-protected file accessible only to myself and my supervisors. After a period of
  5 years, in line with the rules of the university, it will be disposed by shredding and
  burning.
- If you agree to participate, please sign the declaration attached to this statement (a separate sheet will be provided for signatures)

purning.

 If you agree to participate, please sign the declaration attached to this statement (a separate sheet will be provided for signatures)

I can be contacted at: School of Social Sciences, University of KwaZulu-Natal, Howard College Campus, Durban. Email: 214510754@stu.ukzn.ac.za

Cell: 071 6122 398

My supervisor is Mr. Dean Isaacs who is located at the School of Social Sciences, Howard College Campus, Durban of the University of KwaZulu-Natal. Contact details:

Email : isaacsd1@ukzn.ac.za

Phone number: 082 619 6747 / 031 260 1547.

The Humanities and Social Sciences Research Ethics Committee contact details are as follows: Ms. Phumelele Ximba, University of KwaZulu-Natal, Research Office, Email: <u>ximbap@ukzn.ac.za</u>, Phone number +2731260 8350/ 4557/ 3587.

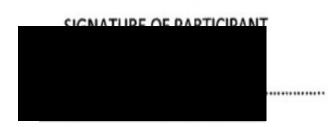
Thank you for your contribution to this research.

DECLARATION

I Nonkonzo Shandu hereby confirm that I understand the contents of this document and the nature of the research project, and I consent to participating in the research project.

I understand that I am at liberty to withdraw from the project at any time, should I so desire. I understand the intention of the research. I hereby agree to participate.

I consent / do not consent to have this interview recorded (if applicable)



DATE

09 March 2022

### DECLARATION

I. Nokwanda Khanyile hereby confirm that I understand the contents of this document and the nature of the research project, and I consent to participating in the research project.

I understand that I am at liberty to withdraw from the project at any time, should I so desire. I understand the intention of the research. I hereby agree to participate.

I consent / do not consent to have this interview recorded (if applicable)



DATE

April 2000

#### DECLARATION

I. Mamong Tubatuba hereby confirm that I understand the contents of this document and the nature of the research project, and I consent to participating in the research project.

I understand that I am at liberty to withdraw from the project at any time, should I so desire. I understand the intention of the research. I hereby agree to participate.

I consent / do not consent to have this interview recorded (if applicable)

.....

SIGNATURE OF PARTICIPANT

DATE

15 March 2022

# **Annexure B: Interview Schedule**

Focus area	Examples of questions and probes
Study and	- What do you understand the study to be about?
participant	(Researcher will give examples to give the participants a
introduction	broader understanding of the topic)
Privatisation	- How have community members experienced and
strategies in the	perceived the effects of neoliberalism and of
Wentworth	privatisation?
community	- How does privatisation make the participants feel?
Perceptions and	- What meanings have community members attached
meaning of	to the outcomes of governments neoliberal
privatisation to	trajectory?
residents	- What impact has neoliberalism had on the
	subjectivity of community members and how they
	make sense of their lives?

#### Annexure C: Information Form/Study Description UNIVERSITY OF KWAZULU-NATAL

#### SCHOOL OF SOCIAL SCIENCES

Dear Participant,

Researcher: Sharon Mashalane

Supervisor: Mr. Dean Isaacs

Research Office: Isaacsd1@ukzn.ac.za; 031 260 1546; MTB Room 02-010

I, Sharon Mashalane, a Master's student at the University of KwaZulu-Natal wish to invite you to participate in a research project titled: An interpretive phenomenological analysis of the experiences of community members of historically disadvantaged suburbs, and meanings they've attached to governments Neoliberal policies and tactical privatisation: The Case of Wentworth and Wentworth Organisation of Women. The aim of this study is to examine the experiences and perception of community members of neoliberal privatisation in the suburb of Wentworth.

You have been chosen because you are a meet the following criteria: you are a permanent resident of Wentworth for the previous 10 years and you have knowledge of the housing privatization strategy that has been taking place at Wentworth area. Your participation in this project is voluntary. You may choose to withdraw from participating from the study at any point or choose not to answer any question that you do not feel comfortable answering and no penalty will be attached to any of such actions. The information that will be gathered from this study will be used in my thesis and may be published in academic journals and presented orally. However, your identity will be protected at all times and will only be made known if you so wish. Unfortunately, I will not be able to afford you any payment for your participating in this study; as such there will be no financial benefits. This interview will be semi-structured and will last for 45 minutes but can be more or less, depending on your availability and willingness. These will be held over Zoom platform or face to face or anywhere at your convenience. I hope you will take the time to participate. If you have any

questions or concerns about participating in this study, you may contact me, my supervisor, or the University's research office through the numbers listed above.

Yours Sincerely,

Sharon Mashalane

#### Annexure D: Consent to audio record

Dear Participant,

Researcher: Sharon Mashalane

Supervisor: Mr. Dean Isaacs

Research Office: Isaacsd1@ukzn.ac.za; 031 260 1546; MTB Room 02-010

I, Sharon Mashalane, a master's student at the University of KwaZulu-Natal wish to invite you to participate in a research project titled: An interpretive phenomenological analysis of the experiences of community members of historically disadvantaged suburbs, and meanings they've attached to governments Neoliberal policies and tactical privatisation: The Case of Wentworth and Wentworth Organisation of Women. The aim of this study is to examine the experiences and perception of community members of neoliberal privatisation in the suburb of Wentworth.

Please note that this interview will be recorded using a recording device. You are assured that this recording will not be accessible to the general public, however it might be requested for presentation of this thesis and where my supervisor/ other markers deem fit. I \_\_\_\_\_\_ am aware that the interview will be recorded and I hereby give consent to the interview being recorded for this research project.

Sharon Mashalane (Researcher)

.....(Participant)

#### Annexure E: Gate keeper's permission

RE: "An analysis of experiences and meanings that community members have attached to government's Neoliberal and Privatisation policies in historically disadvantaged suburbs".

- The research proposal of Ms. Sharon Mashalane pertaining to the above-mentioned topic refers.
- 2. The research will be focusing on how community members of Wentworth perceive and experience the responsibility of taking care of their own basic needs, such as paying for their water, their housing and electricity. This will help us understand the broader issues that affect the community members of Wentworth.
- The research will be conducted at Wentworth Organisation of Women and approximately five or six (6) voluntary participants will take part in the study.
- 4. This study has no monetary or any other benefits to the participants or the researcher. This study is not funded, it is in accordance with the requirements of an Industrial PsychologyMaster's degree dissertation.
- 5. The Wentworth Organisation of Womengrants the researcher permission to access their facilities and conduct research with any willing participant.

Kind regards

**Company Stamp** 

WENTWORTH ORG OF WOMEN 21 Austerville Drive Kzn NPO No 020-200 063 977 0217

#### **Annexure F: Ethical clearance**



19 January 2022

Nkgere Sharon Mashalane (214510754) School of Applied Human Sc Howard College Campus

Dear NS Mashalane,

Protocol reference number: HSSREC/00003456/2021 Project title: An analysis of experiences and meanings that community members have attached to government's Neoliberal and Privatisation policies in historically disadvantaged suburbs Degree: Masters

#### Approval Notification - Expedited Application

This letter serves to notify you that your application received on 27 September 2021 in connection with the above, was reviewed by the Humanities and Social Sciences Research Ethics Committee (HSSREC) and the protocol has been granted FULL APPROVAL

Any alteration/s to the approved research protocol i.e. Questionnaire/Interview Schedule, Informed Consent Form, Title of the Project, Location of the Study, Research Approach and Methods must be reviewed and approved through the amendment/modification prior to its implementation. In case you have further queries, please quote the above reference number. PLEASE NOTE: Research data should be securely stored in the discipline/department for a period of 5 years.

This approval is valid until 19 January 2023.

To ensure uninterrupted approval of this study beyond the approval expiry date, a progress report must be submitted to the Research Office on the appropriate form 2 - 3 months before the expiry date. A close-out report to be submitted when study is finished.

All research conducted during the COVID-19 period must adhere to the national and UKZN guidelines.

HSSREC is registered with the South African National Research Ethics Council (REC-040414-040).

Yours sincerely,



Professor Dipane Halele (Chair)

/ms

	Website: http://weesarch.ukzn.ac	a post of the second second		
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# **Annexure G: Turnitin report**

<b>D</b> turnitin <sup>®</sup>	Nkgere Mashalane	User Info	Messages	Student -	English <del>•</del>	⑦ Help	Logout
Class Portfolio My Grades Discussion Calendar							
VOW VIEWING: HOME > GENERAL							
Class Homepage							

This is your class homepage. To submit to an assignment click on the "Submit" button to the right of the assignment name. If the Submit button is grayed out, no submissions can be made to the assignment. If esubmissions are allowed the submit button will read "Resubmit" after you make your first submission to the assignment. To view the paper you have submitted, click the "View" button. Once the assignment's post date has passed, you will also be able to view the feedback left on your paper by clicking the "View" button.

Assignment Inbox: General					
Assignment Title	Info	Dates	Similarity	Actions	
General	0	Start         16-May-2022         9:12AM           Due         31-Aug-2022         11:59PM           Post         03-Sep-2022         12:00AM	14%	Resubmit View	



This letter serves to certify that the 2022 Master's Thesis by Nkgere Sharon Mashalane, "An analysis of experiences and meanings that community members have attached to the South African government's neoliberal and privatisation policies in historically disadvantaged suburbs", has been proofread for grammar, spelling and punctuation by the undersigned, and that a number of corrections were recommended.

I the undersigned take no responsibility for corrections and amendments not implemented in the final copy submitted for examination purposes.



Karen Runge

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