

**Influences of bush encroachment and intensity on small mammals in a mesic savanna,
Pretoria, South Africa**

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**In the School of Life Sciences
College of Agriculture, Engineering and Science
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Pietermaritzburg
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1 **Student Declaration**

2

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We hereby declare that we acted as Supervisors of this MSc student:

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Regular consultation took place between the student and us throughout the investigation. We advised to the best of our ability and approved the final document for submission to the College of Agriculture, Engineering and Science Higher Degrees Office for examination by the University appointed examiners.

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Abstract

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Bush encroachment affects grassland and savanna rangelands leading to agricultural and wildlife production losses through reduced grazing capacity. Bush encroachment negatively impacts biodiversity which has implications for ecosystem functioning as well as for ecotourism. This study investigated the effect of different levels (or intensities) of bush encroachment on the diversity of non-volant small mammals in a mesic savanna at Roodeplaat Farm in Gauteng Province of South Africa. Sherman live traps baited were used to capture small mammals in habitats representing a non-encroached and three bush encroachment intensities, i.e. low, medium and high. I set the traps in rows of three per level of bush encroachment; and each row had ten traps for all three seasons. I set the traps repeatedly for four nights per encroachment level in spring, summer and winter. The traps were distributed. Captured individuals were sexed, weighed, aged, and body dimensions measured before marking and release to identify recaptures. Overall, 125 individuals of rodents belonging to six species were caught across the four levels of bush encroachment in 1568 trapping nights. The sampling was done in spring, summer and winter. The rodents caught were *Aethomys namaquensis*, *Lemniscomys rosalia*, *Mastomys natalensis*, *Mus minutoides*, *Otomys irroratus* and *Rhabdomys pumilio*. I found that the number of individuals decreased with the increase in levels of bush encroachment. The abundance of the generalist species *L. rosalia* was low and this species was observed in the non-encroached, low and medium encroached habitats. Moreover, the number of individuals of *M. natalensis* was also low in the highly encroached habitat. These results were astonishing as these two species are usually recorded in all habitats and in high numbers. The species richness also decreased with the increase in levels of bush encroachment. The non-encroached habitat had the highest number of species (five) compared to the encroached habitats which had only two species. The three species, *M. minutoides*, *O. irroratus* and *R. pumilio* were found exclusively in the non-encroached site. The low and medium encroached sites had *M. natalensis* and *L. rosalia* individuals. The highly encroached habitat had two species, *M. natalensis* and *A. namaquensis*. *Aethomys namaquensis* species was only found in the highly encroached habitat. The number of individuals and species also decreased with seasons. The summer season had the least number of individuals, with only two (*A. namaquensis* and *M. natalensis*) species caught. Summer had a moderate number of individuals from *L. rosalia*, *A. namaquensis* and *M. natalensis*. The spring season had the highest number of individuals and highest species richness of six. Spring marks the start of the growing season; as a result, many species were observed. Furthermore, spring had the highest

97 species diversity as shown by the Shannon diversity index of 1.37 followed by the summer
98 season at Shannon index of 0.61 and winter was the least at Shannon index of 0.43. Males were
99 dominant in abundance compared to females in all the seasons. The weight of *A. namaquensis*
100 adults did not differ among seasons while individuals of *M. natalensis* weighed significantly
101 more in summer than in winter and spring (Kruskal Wallis $\chi^2_{(2)} = 11.737, P = 0.003$). More
102 juveniles were caught followed by adults and subadults. Only *A. namaquensis* and *M.*
103 *natalensis* had individuals in all age groups. These results show that bush encroachment
104 negatively affected the abundance and richness of the non-volant small mammals of
105 Roodeplaat Farm in such a way that even the abundance of generalist species was compromised
106 especially at the highly encroached habitat. The non-encroached habitat supported more
107 species because it had greater amounts of grass that may have served as a hiding place from
108 predators and as a food source. The low abundance of *M. natalensis* in the highly encroached
109 habitat may be attributed to the fact that the habitat was rocky with less grass cover making the
110 rodents more susceptible to predation. *Aethomys namaquensis* individuals survived well in the
111 highly encroached habitat as they are well adapted to live in rocky areas with high tree density
112 which provide them with a nesting place. This study also found that small mammal diversity
113 differs with seasons. The environmental changes due to bush encroachment become reflected
114 in the sizes of animals as I found that *M. natalensis* was low in winter and spring but greater in
115 summer. Moreover, some age groups were not represented, which showed a system with an
116 unstable population of species. Overall, bush encroachment negatively impacted the diversity
117 of non-volant small mammals of the mesic savanna at Roodeplaat Farm. Mitigation of bush
118 encroachment for agricultural and wildlife management may benefit small mammals.

119 **Keywords:** Age structure, bush encroachment, savanna biome, season, Sherman traps

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Acknowledgements

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Chapter 1

Introduction and Literature Review

1.1 Introduction

Land-use change may affect the types of plant and animal species found in an ecosystem (Wigley et al., 2009). These effects can be positive or negative and can affect the ecological functioning of an ecosystem. For example, the increase in agricultural inputs of inorganic fertilizers can lead to eutrophication of terrestrial and aquatic ecosystems (van Vuuren and Taylor, 2015). In Africa, the grassland and savanna biomes, also known as tropical grassy biomes (Bond, 2016) are used for various uses which include mining, human settlements, agriculture (crop and livestock farming), and conservation, among other uses (Frost et al., 1986; Mucina and Rutherford, 2006; Wigley et al., 2009). These biomes contribute enormously to the world's economic, environmental and cultural value (Parr et al., 2014). For example, they store about 15% of carbon, which is more than what forests can store, and account for about 30% of the world's total net primary productivity (Parr et al., 2014). Tropical grassy biomes (TGB) have long existed and provide several ecosystem services to ~500 million people (Bond, 2016).

Tropical grassy biomes are home to the megafauna and many herbaceous plant species (Carbutt et al., 2011). These TGB include the savanna and grassland biomes. Unfortunately, these biomes are experiencing greater reductions attributed to anthropogenic activities. For example, in Brazil, more than 40% of the TGB's land has been converted to agricultural crop farming (Parr et al., 2014; Kharika et al., 2015). The TGB are identified as suitable areas for reforestation and face the risk of conversion to plantations of timber because of deforestation concerns (Bond, 2016). Most of these TGB in many parts of the world have been converted to plantations through the growth of pine trees for fuel (Wigley et al., 2009; Bond, 2016). All these disruptions affect the biodiversity and services that both the savanna and grasslands provide.

Savannas are ecosystems characterised by the presence of both grass cover and a discontinuous layer of trees (Sankaran et al., 2004; Kharika et al., 2015). In South Africa, the savanna biome covers about 32% of the land surface, and is a dominant vegetation type throughout southern Africa (Beerling and Osborne, 2006; Devine et al., 2015; Kharika et al., 2015). In Africa, this ecosystem is divided into mesic, humid and semi-arid savannas (Sankaran

295 et al., 2004). Both savanna types are influenced by fire and herbivory (Parr et al., 2014). Fire
296 is a dominant consumer of woody plants in the less fertile, mesic savannas (Devine et al., 2015).
297 The mesic savanna biome receives intermediate amounts of seasonal rainfall (~ 650 mm),
298 which may allow for the invasion of shrublands and other woody plants when rainfall is very
299 low (Kharika et al., 2015). Less rainfall results in less moisture being available to plants at the
300 upper level of soil, thus favouring the growth of woody plants compared to grasses. At higher
301 amounts of annual rainfall, the biome can experience a transition to woodland or forested
302 ecosystem especially if fire is excluded (O'Connor et al., 2014). Fire exclusion in savannas
303 also allows for seedling regeneration, growth, and survival of woody plants (O'Connor et al.,
304 2014).

305 Savanna ecosystems are economically important because they supply timber and
306 grazing, and are used commercially for ecotourism and as rangelands (Mapiye et al., 2011;
307 Kharika et al., 2015; Louw et al., 2017). Supply of timber is an important source of energy for
308 rural people and it provides wood for fencing and roofing. Savanna ecosystems are used as
309 rangelands in that they can be used as grazing land for animals such as goats (*Capra hircus*),
310 sheep (*Ovis aries*) and even wildlife. Rangelands that are used to keep wildlife species have
311 become public spaces for ecotourism. Ecotourism attracts a large number of tourists to nature
312 reserves thus contributing to a country's economy (Gambiza, 2001; Kharika et al., 2015). For
313 this reason, 10% of savannas in South Africa are under protection (Kharika et al., 2015). The
314 savanna biome is affected by bush encroachment caused by poor or incorrect management
315 strategies and land-uses (Smit, 2004; Ward, 2005; Beerling and Osborne, 2006). Previous
316 studies have determined the effect of these management strategies on plant and herbivore
317 species in savannas (Moleele et al., 2002). For example, high stocking rates may have a direct
318 impact on the carrying capacity of rangelands, which may in turn compromise ecosystem health
319 and functioning (Wigley et al., 2014).

320 Bush encroachment is the increase in woody plants, affecting the grass to trees ratio
321 (O'Connor et al., 2014). Bush encroachment is caused by poor management strategies and
322 climate change and has severe impacts on the biodiversity of savannas (Foley et al., 2005;
323 Devine et al., 2015). For example, the high density of woody plants limits mobility of browsers
324 thus affecting their foraging success (Devine et al., 2015). Poor understanding of TGB ecology
325 and their management have accelerated this problem (Karuaera, 2011). For example, fire is an
326 important management strategy for TGB which limit woody cover and tree density (Parr et al.,
327 2014). Exclusion of fire will then allow woody plants to dominate an area and the grass species

328 will die as there is no fire to trigger or stimulate germination (O'Connor et al., 2014). Similarly,
329 the lack of rotational grazing systems and overgrazing also allows woody plants to invade these
330 ecosystems. The impacts of bush encroachment are severe for agriculture and have led to large
331 economic losses. For example, bush encroachment led to 40% reduction in the number of cattle
332 in Namibia in the past 30 years and resulted in approximately R 700 million (~47 million US\$)
333 losses in meat production annually (Karuaera, 2011). This shows that bush encroachment can
334 negatively influence production and thus food security. Bush encroachment is often driven by
335 a single or few woody species at any site or region which dominate and lead to reduced species
336 diversity (Avenant, 2000). A clear effect of bush encroachment is the reduced structural
337 diversity of the vegetation because it would be made up of few woody species as compared to
338 the higher structural diversity in savannas consisting of many woody plants and grasses. Poor
339 structural diversity is related to limited habitats for animals, including small mammals, birds,
340 reptiles and arthropods. The effect of bush encroachment on habitat structure also influences
341 small mammal diversity, abundance, and richness (Avenant, 2000). For example, small
342 mammal richness tends to decrease with an increase in woody vegetation because of high
343 foraging costs required to find food, which may compromise reproduction (Jayadevan et al.,
344 2018). An increase in woody vegetation is associated with reduced grass cover, which is an
345 important factor in many species of rodent. As a result, several species that are dependent on
346 grass for protection and shelter may not survive in areas of increased woody vegetation thus
347 reducing richness. In this study, I thus focused on the effect of bush encroachment on the
348 species diversity of small mammals.

349 Small mammals provide many ecological services to savanna and grassland ecosystems
350 through their trophic effect as keystone species or ecosystem engineers (Davidson et al., 2012;
351 Hagenah and Bennett, 2013). For example, in the fynbos biome of South Africa, mole rats
352 (*Bathyergidae*) were shown to increase the quality of soil through digging, which in turn
353 allowed for growth of grasses such as *Pennisetum clandestinum* and *Avena barbata* (Hagenah
354 and Bennett, 2013). However, little has been studied on the impact or effect of bush
355 encroachment on diversity (species abundance and richness) of small mammals in savannas
356 (Weltzin et al., 1997; Karuaera, 2011). This has immediate relevance because the savanna
357 biome is of considerable economic value to the economy of South Africa and is threatened by
358 bush encroachment. As a result, this study sought to contribute to the understanding of savanna
359 dynamics and functioning by determining the abundance and diversity of small mammals in a

360 savanna ecosystem as affected by different levels of bush encroachment namely; low-,
361 medium- and highly- encroached habitats versus a non-encroached habitat.

362

363 **1.1.1 Aim and Objectives**

364 The aim of the study was to determine the effect of different levels of bush encroachment on
365 the diversity (species richness and abundance) of small mammals in a mesic savanna, and to
366 determine how the different aspects (line 755) of small mammals differ with season.

367 The objectives were to:

- 368 1) Characterise the habitat on which the small mammals were trapped; this
369 included the grass and woody species, rocks and bare ground.
- 370 2) Identify the species of small mammals occurring across the different levels of
371 bush encroachment and seasons
- 372 3) Count and measure the body dimensions for different species of small mammals
373 caught
- 374 4) Assess species richness, abundance, diversity and aspects of small mammals
375 across the different levels of bush encroachment and among seasons

376 **1.1.2 Structure of dissertation**

377 Chapter 1 of this dissertation provides a general introduction of this research that includes aims
378 and objectives and a brief literature review (Figure 1.1). It is followed by chapter 2 which
379 assesses the diversity of non-volant small mammals across different levels of bush
380 encroachment in a mesic savanna. Chapter 3 studied the seasonal variation in aspects of small
381 mammal community structure in a bush-encroached rangeland at Roodeplaat Farm, South
382 Africa. Lastly, chapter 4 provides concluding remarks and recommendations based on the
383 findings reported in chapters 3 and 4. This dissertation was formatted according to the South
384 African Journal of Botany (SAJB).

385 **1.2 The savanna ecosystem**

386 The savanna ecosystem is distinguished from grasslands in that it allows for the co-occurrence
387 of both grasses and woody plants (Bond and Midgley, 2000; Valeix et al., 2011; Devine et al.,
388 2015). The C₄ grasses are not adapted to higher temperatures as compared to C₃ plants which
389 have the ability to establish even in conditions of increased temperatures and carbon dioxide
390 levels (Bond and Midgley, 2000). The C₃ plants are predominantly woody, while C₄ are largely
391 grasses in Southern Africa. The photosynthetic rate of C₄ grasses is increased when

392 atmospheric CO₂ concentration is low (Beerling and Osborne, 2006). C₃ and C₄ plants respond
393 differently to management practices occurring in savannas, which are fire and herbivory
394 (Kharika et al., 2015). Fire plays an important role in savannas by altering the population of
395 trees in the ecosystem and also changing the dominance patterns of grasses and influences plant
396 species composition (Trollope et al., 2014; Devine et al., 2015). This is important in that it
397 keeps the ratio between grass and trees balanced. Studies found fire to be a more influential
398 factor in decreasing the abundance of woody plants in wet compared to dry savannas (Karuaera,
399 2011; O'Connor et al., 2014). As such, fire management strategies need to be implemented to
400 a savanna biome once they have determined if it is a wet or dry savanna to avoid implications
401 associated with incorrect use of this management method.

402 Savanna ecosystems can be divided into arid and mesic or moist savannas, and are
403 mainly controlled by several factors which include fire, rainfall, herbivory and nutrients (Kraaij
404 and Ward, 2006; Beerling and Osborne, 2006; Devine et al., 2015). It is the interaction of these
405 factors which determines the grass-tree ratio and the occurrence of bush encroachment (Kraaij
406 and Ward, 2006). Dry savannas receive a mean annual precipitation that is less than 600 mm
407 and they are referred to as 'stable systems' which allows for the balance between tree and grass
408 species, and hinders the growth of woody plants (Sankaran et al., 2005; Devine et al., 2017).
409 Tree growth is maintained in such savannas by the limited amount of moisture which is shared
410 by both grasses and trees (Beerling and Osborne, 2006). However, intense grazing or fire in
411 this system may increase the availability of water to woody plants (as grasses may be
412 unavailable) and thus, their dominance.

413 Conversely, mesic savannas receive a mean annual precipitation that is greater than 650
414 mm and are referred to as 'unstable systems' that can be easily transformed into woody
415 ecosystems in the absence of fire and herbivory (Sankaran et al., 2005; Devine et al., 2017).
416 Mesic savannas have sufficient moisture which promotes the growth of trees compared to
417 grasses (de Klerk, 2004). Fire and grazing are the main factors that can help maintain the
418 structure of the mesic savanna biome (van Wilgen, 2009). Moreover, fire and grazing should
419 be applied more regularly to maintain the balance of trees and grasses in mesic savannas (Bond
420 and Midgley, 2000; Devine et al., 2015). An increase in rainfall and atmospheric carbon
421 dioxide in mesic savannas may favour the growth of trees. This likely facilitates bush
422 encroachment in mesic savannas.

423 The savanna biome provides numerous goods to humans. This includes the provision
424 of firewood, timber, and fencing materials which are important for rural households (Bugalho

425 et al., 2011; Matsika et al., 2013; Kharika et al., 2015). The grass species in the savannas
426 sequester a large amount of carbon dioxide and play an important role in nutrient cycling
427 (Fisher et al., 1994). This reduces the amount of carbon dioxide in the atmosphere and its
428 associated effects of global warming and extreme weather events. Additionally, savannas are
429 used for livestock farming and are high-yielding water catchment areas (O'Mara, 2012). Given
430 all these ecosystem services, the savanna biome is subject to extreme human exploitation
431 through their conversion to crop farming (Beerling and Osborne, 2006), plantation agriculture,
432 urbanisation and other infrastructural developments (Mucina and Rutherford, 2006). Moreover,
433 the continuing anthropogenic and land-use changes will likely alter the ecological functioning
434 and species composition of savannas. Bush encroachment and other forms of land degradation
435 such as overgrazing and soil erosion are major threats to the biodiversity of this biome, all of
436 which in turn may affect the diversity of small mammals (Hurst et al., 2014). Specifically, bush
437 encroachment alters the composition and diversity of small mammals (Beck and Vander Wall,
438 2010; Hurst et al., 2014) and as a result, this research determined how land-uses may affect the
439 diversity and of small mammals in savanna ecosystems.

440 **1.3 Bush encroachment and its causes**

441 Woody plant encroachment refers to the conversion of ecosystems from predominantly grass
442 covered to tree-dominated thickets, which results in decreased biodiversity and carrying
443 capacity of an ecosystem (Ward, 2005; Wigley et al., 2009, 2014). Woody plant invasion has
444 been a major concern for land managers around the world because of its effects on herbaceous
445 production and livestock. It also threatens pastoral land, commercial and subsistence farming
446 in savannas and grasslands (Wigley et al., 2009). This problem was recognised during the 20th
447 century and is among the top three rangeland problems affecting South Africa (O'Connor et
448 al., 2014). As a result, bush encroachment is the most studied phenomenon in Australia, North
449 America and Africa (O'Connor et al., 2014). In South Africa, approximately 13 million
450 hectares of the savanna biome have been encroached by thorn species (e.g. *Vachellia karroo*)
451 (Wigley et al., 2009; Tokozwayo et al., 2018). These thorny tree species are invaders of grazing
452 and farming lands which reduces rangeland productivity and land utilisation (Mapiye et al.,
453 2011). In addition, the grazing capacity of savannas in South Africa has declined due to bush
454 encroachment and the economic livestock properties which were previously economically
455 viable and no longer viable (Smit, 2004). However, bush encroachment does have a positive
456 side. For example, the woody plant material can be used for energy, fencing, and in carbon

457 capture and storage. In carbon captor, trees help absorb more carbon that is available in the
458 atmosphere (de Neergaard et al., 2005).

459 Bush encroachment is caused by many factors which include, inter alia, rainfall
460 variability, fire suppression, incorrect grazing practices, exclusion of browsers, land-use
461 practices and elevated concentration of atmospheric carbon dioxide (Smit, 2004; Wigley et al.,
462 2009; Karuaera, 2011). Anthropogenic activities act as a catalyst to the bush encroachment
463 problem. For example, high cattle densities in savannas can lead to overgrazing which, results
464 in bare soil patches where competition for soil moisture between grasses and woody plants can
465 allow for greater woody plant establishment (Moleele et al., 2002; Smit, 2004). The
466 identification of the causes of bush encroachment can help determine better management
467 strategies for savannas and grasslands.

468

469 **1.3.1 Land-uses and bush encroachment**

470 Different land-use practices alter the functioning and structure of savanna ecosystems and this,
471 in turn, affects the quality and quantity of ecosystem services that savannas provide (Wigley et
472 al., 2009). Land-use refers to the purpose to which land is committed (Blaum et al., 2007).
473 Land-use involves the modification and management of the natural environment by people for
474 different purposes (Foley et al., 2005). Land may be transformed from its natural state for
475 agriculture, urbanisation, recreation, and livestock farming and nature conservation areas
476 (Foley et al., 2005). The most prominent land-uses in savannas include agriculture, settlement,
477 infrastructure development and mining (Mucina and Rutherford, 2006; O'Connor and Kuyler,
478 2009; Karuaera, 2011). The management strategies of fire and grazing used in these lands
479 contribute to bush encroachment. For example, Wigley et al. (2009) found that fire suppression
480 and heavy grazing led to 46% decrease in grass cover in commercial farming and conservation
481 sites with an increase in tree cover of 66% for conservation sites and 36% for commercial farms
482 during the period 1937-2000 (Wigley et al., 2009). The decrease in rainfall and fire exclusion
483 were the main reasons for these changes. This is not good for conservation areas, for it revealed
484 that correct conservation measures were not put into practice. As a result, there was an
485 increased growth of woody vegetation at the expense of grassy species.

486 Changes in land-use practices are associated with negative impacts. For example, fire
487 suppression in conservation areas can lead to poor visibility for game viewing as a result of
488 bush encroachment, which reduces the economic revenue from such services (Wigley et al.,
489 2014). Second, there can be less grass for grazers due to fire exclusion (Wigley et al., 2009,

490 2014). Similarly, large losses of communal farming land due to encroachment by woody plants
491 may lower food security (Magige and Senzota, 2006).

492

493 **1.3.2 Rainfall availability**

494 A decrease in the amount of rainfall is a major factor contributing to the increase in woody
495 plants in savannas (Wigley et al., 2009). Absence of rainfall allows trees that have deep-root
496 systems to persist when there is limited amount of water in the soil as they can use their deep
497 roots to access water in the deepest soil layers whilst grasses cannot because of their shallow-
498 root system (Karuaera, 2011; O'Connor et al., 2014). This then allows trees to grow more than
499 grasses. However, when there is sufficient rainfall, grasses can also grow easy as water will be
500 available even on the topsoil layers (Case and Staver, 2018).

501

502 **1.3.3 Fire regimes**

503 Fire is an important management tool of balancing woody and grass plants by reducing tree
504 seedling regeneration and growth and survival of mature trees (Trollope et al., 2014).
505 However, the invasion of humans in TGB have prevented its frequent occurrence especially in
506 urban areas (Lehmann and Parr, 2016). The South African colonial government of the 17th
507 century had a negative view on fire and as such, formed legislation against fires (Thompson,
508 1937). However, fire was then accepted as a management tool for moist/mesic savannas and
509 not for semi-arid savannas in the early 1980s (Thompson, 1937). The reason for excluding fire
510 in semi-arid savannas was that they do not receive enough rainfall to stimulate the growth of
511 plants and that fire could cause desertification (Joubert et al., 2012). Fire suppression involves
512 a reduction in frequency and intensity of the fire in relation to historical burning regimes
513 (Devine et al., 2015). Fire suppression is regarded as the main factor responsible for the
514 increase in woody plants (van Wilgen, 2009).

515 Devine et al. (2015) found that the application of fire had a greater effect in lowering
516 the abundance of woody plants in wet savannas compared to dry savannas. In addition,
517 O'Connor et al. (2014) found that fire exclusion increased the growth of woody plants in
518 savannas receiving an annual rainfall of 386-1300 mm. This increase was 5.8% greater in sites
519 where fire was excluded. This shows the importance of fire in controlling the abundance of
520 woody plants in savanna ecosystems.

521

522 **1.3.4 Incorrect grazing practices and soil types**

523 Incorrect grazing practices can either lead to undergrazing or overgrazing (Beerling and
524 Osborne, 2006), both practices contribute to bush encroachment. Heavy grazing by livestock
525 can favour woody plants as the removal of grass cover allows more water to pass into the soil
526 and be used by woody plants (Monadjem et al., 2015). Continuous grazing systems which may
527 result in overgrazing, reduce the fuel load on the ground and indirectly allows for greater
528 recruitment of woody plants (Beerling and Osborne, 2006). Similarly, overstocking contributes
529 to the encroachment of thicket and shrubs in savannas (Lambert et al., 2006; Wigley et al.,
530 2014).

531 Sandy soils are easily encroached compared to clay or loamy soils because they have a
532 high infiltration rate, promoting greater percolation to deeper soil layers, which favours deep-
533 rooted woody plants (Martin, 2003; Galiano et al., 2014). Therefore, the growth of grasses on
534 heavy soils (clayey) can be favoured as more water is retained in the topsoil (Case and Staver,
535 2018). Grass growth is favoured mostly in mesic savannas because of greater amounts of soil
536 moisture in the upper soil layers.

537

538 **1.3.5 Exclusion of browsers**

539 Browsers increase the amount of open landscapes through their feeding behaviour which
540 indirectly damages or kills young trees and in turn reduces woody plants (Beerling and
541 Osborne, 2006). For example, the foraging activities of elephants (*Loxodonta africana*) may
542 result in the loss of woody plants leading to large open spaces thus allowing growth of grasses
543 (Smit, 2004; O'Connor et al., 2014). The uprooting and bark-stripping behaviour of elephants
544 aids in the mortality of mature woody plants and their seedlings (Guldemond and van Aarde,
545 2008). This may be exacerbated in instances where it leads to local extirpation of some tree
546 species (Valeix et al., 2011). Similarly, the presence of goats (*Capra hircus*) led to about 1.9%
547 decline in woody plants, helping to maintain the balance between grass and tree species in a
548 savanna ecosystem of the Eastern Cape, South Africa (Devine et al., 2015). The role of
549 elephants in reducing woody plants provides many benefits to other browsers such as giraffe
550 (*Giraffa camelopardalis*), kudu (*Tragelaphus strepsiceros*), nyala (*Tragelaphus angasii*) and
551 impala (*Aepyceros melampus*). However, as a consequence of this, browsers influence
552 predator-prey relations in that their behaviour increase predation risks for species which prefer
553 closed habitats as a predator avoidance strategy (Schooley et al., 1996). For example, Valeix
554 et al. (2011) found that giraffe, zebra (*Equus quagga*) and impala preferred sites with fewer

555 woody plants. These sites were associated with better visibility allowing for early detection of
556 predators (Schooley et al., 1996). Valeix et al. (2011) further stated that in these open areas,
557 foraging animals can spend most of their time looking for food as compared to obstructed areas
558 where more energy need to be used in vigilance against predators. Moreover, the high density
559 of woody plants limits the mobility of browsers thus affecting again the foraging and hunting
560 success of many species (Karuaera, 2011). Therefore, the exclusion of browsers (e.g. elephants)
561 can lead to the extensive conversion of savannas into woodlands associated with loss of
562 ecosystem services exclusive to savannas such as it being a rangeland.

563

564 **1.3.6 Bush encroachment and climate change**

565 The continuing release of greenhouse gases into the atmosphere increases CO₂ levels in the
566 atmosphere which lead to increased global temperatures (Bond et al., 2003; Stevens et al.,
567 2017). Higher levels of CO₂ may increase the below-ground biomass of woody plants and
568 allows for their rapid growth when the above-ground grass biomass is lost through fire
569 (O'Connor et al., 2014). Similarly, the increase in prolonged drought periods associated with
570 climate change favours the establishment of woody plants as they have long tap root systems
571 that are able to extract moisture underneath the soil while grasses on the other hand wilt due to
572 dry top-soil (Beerling and Osborne, 2006). As a result, the effect of climate change and drought
573 could likely exacerbate bush encroachment. These effects will mostly affect the semi-arid
574 savannas compared to moist savannas (Beerling and Osborne, 2006). In addition, as the climate
575 continues to change, more CO₂ will be available in the atmosphere for polyphenol production,
576 a defence metabolite for C₃ plants, which are likely to encroach (Ward et al., 2014).

577 **1.4 Anthropogenic activities and small mammals**

578 Anthropogenic activities on the environment are continuously negatively affecting ecosystems
579 and biodiversity of the world (O'Mara, 2012; Tälle et al., 2016). The savanna ecosystem is
580 used for agricultural production (livestock and crop farming) and for keeping wildlife (Kharika
581 et al., 2015). The high demand for food to support the ever-increasing human population has
582 led to an increase in agricultural production, which has drastic implications on savanna
583 ecosystems and the biodiversity that the ecosystems support (Hurst et al., 2014; Tälle et al.,
584 2016). Globally, agriculture covers more than 40% of the land surface and these landscapes
585 have been experiencing changes over the past decades with habitats becoming smaller while
586 others are converted into woody vegetation (Avenant, 2011; Janova and Heroldova, 2016). In

587 South Africa, the increase in livestock numbers and game farming initiatives has led to intense
588 grazing (Trollope et al., 2014). This has also resulted in increased lighting of fires with the aim
589 to increase grazing quality (Yarnell et al., 2007). Although some plant species may react
590 positively to the changes in ecosystems, some may be eliminated from such systems (Hurst et
591 al., 2014). Moreover, intense grazing may lead to overgrazing which then allows for increased
592 growth of woody plants. The loss of grasses due to overgrazing in turn affects the habitat of
593 small mammals.

594 The savanna and grassland ecosystems are also shaped/defined by their undervalued
595 small mammals, which can be semi-fossorial or herbaceous through their feeding and
596 burrowing activities (Davidson et al., 2012). Small mammals are a dominant group of the
597 mammalian family comprising of about 42% of all mammal species known on earth (Price et
598 al., 2010). These animals are found in many parts of the world thus occupying many habitat
599 types except in Antarctica (Aplin et al., 2003). Small mammals include rodents, shrews, tree
600 shrews, bats, mouse lemurs and, marsupials (Avenant and Kuyler, 2002; Price et al., 2010).
601 Small mammals are consumers of plants, soil burrowers, and prey for a number of predators
602 and thus form an important part of the food web (MacFadyen et al., 2012). In many parts of
603 Africa, small mammals are an important source of protein. For example, in Benin, about 500
604 farms are used to farm cane rats (*Thryonomys*), which are served as delicacies in restaurants
605 (Monadjem et al., 2015). Small mammals also have a significant contribution to the survival
606 of the endangered African canid (*Canis simensis*) (Magige and Senzota, 2006). Small mammals
607 have also been shown to play an important role in ecosystem functioning (Dickman, 1999;
608 Avenant, 2011). For example, small mammals help increase soil fertility by mixing the soil
609 underground. Their burrows provide easy movement for plant root system.

610 **1.5 Small mammals as pests, vectors of disease and bio-indicators**

611 Small mammals are popular as agricultural pests and vectors of diseases (Dickman, 1999;
612 Yarnell et al., 2007; Jacob, 2008; Mapiye et al., 2011). Small mammals damage appliances,
613 infrastructure and destroy crops leading to agricultural and household losses (Mapiye et al.,
614 2011). As such, large amounts of money globally are spent each year controlling agricultural
615 pests (Davidson et al., 2012). For example, in Mexico, the population of the prairie dog
616 (*Cynomys mexicanus*) decreased drastically as a result of control practices of poisoning because
617 they were reducing crop yields (Scott-Morales et al., 2004). Moreover, small mammals are
618 carriers of several diseases affecting both humans and livestock (Aplin et al., 2003), such as

619 rickettsia, septicaemia, and tularemia (Scott-Morales et al., 2004). Despite their negative
620 effects on agriculture,

621 Small mammals have been widely used as bio-indicators for environmental change and
622 provide many advantages. Firstly, small mammals have an ability to adapt in small areas and
623 have different habitat preferences which contribute to their diversity (van Deventer and Nel,
624 2006; Price et al., 2010; Avenant, 2011). Second, small mammals are a relatively easy group
625 to work with because trapping them is relatively easy and inexpensive (Hurst et al., 2014).
626 Third, in contrary to other groups such as invertebrates, small mammals are easy to identify
627 and this reduces handling time especially in catch and release methods (Avenant, 2008).
628 Ecologically, these animals are used as indicators of disturbances. For example, a high number
629 of the rodent, *Mastomys coucha*, represents a high level of disturbance in an area (Avenant and
630 Kuyler, 2002; MacFadyen et al., 2012). Avenant (2000) found that the dominance of the two
631 rodents, *Rhabdomys pumilo* and *M. coucha*, was an indication of major ecological
632 disturbance(s) that had been taking place in the Willem Pretorius Nature Reserve, Free State,
633 South Africa. Similarly, other studies found *M. coucha* to be the first species to occupy a habitat
634 immediately after disturbances such as fire, overgrazing, and drought (Rowe-Rowe, 1995;
635 Avenant, 1996; Avenant, 2000). This may indicate that *M. coucha* as potential colonisers of
636 recently disturbed habitats. This study will also identify if small non-volant mammals can be
637 used as indicators for encroachment levels.

638

639 **1.6 Savanna management strategies and small mammal diversity**

640 Savanna ecosystem productivity is dependent on rainfall, fire and grazing systems (Avenant
641 and Kuyler, 2002; Moleele et al., 2002). These three factors influence the composition and
642 succession of many species including small mammals (Avenant and Kuyler, 2002). Changes
643 in small mammal diversity may be influenced by changes in their surroundings (Avenant,
644 2000). Fire influences the diversity of mammals. For example, MacFadyen et al. (2012) found
645 that the densities of small mammals decreased after a fire event. The number of animals
646 remained stable after the burn and survived by feeding on insects and vegetation matter that
647 was resprouting (MacFadyen et al., 2012). Burrowing small mammals may have survived by
648 burrowing deep down the soil and thus surviving fire and its effects. Small mammal
649 communities are dependent on vegetation structure, after fires. The reduction or absence of
650 vegetation exposes these animals to increased predation risk that may result in emigration
651 (Griffiths and Brook, 2014). As such, predation after a burn and may result in lower numbers

652 of small mammals caught as some of them would still be in hiding (Gheler-Costa et al., 2013;
653 Kuiper and Parker, 2013).

654 Conversely, Yarnell et al. (2007) found that the diversity and richness of small
655 mammals was high in the burned habitat as compared to the unburned habitat in Mankwe
656 Wildlife Reserve, Northwest province, South Africa. Yet, both the burnt and unburnt habitat
657 had the same conditions (i.e. received same rainfall and had the same plant species) upon which
658 burning took place. Similarly, MacFadyen et al. (2012) reported an increase in the number of
659 rodents four months after a burn. The reason for the delay in the increase in numbers was due
660 to the shortage of food, lack of recruitment because it was the end of the breeding season, and
661 increased predation risks so that more time was spent on vigilance than on reproduction
662 (MacFadyen et al., 2012). Additionally, the increase in the abundance and richness of small
663 mammals post-fire may be dependent on the vegetation recovery period, which may be
664 influenced by the intensity of fire (Griffiths and Brook, 2014). Yarnell et al. (2007) reported
665 that this period may also be affected by herbivore grazing pressure, as herbivores tend to
666 congregate on areas that are recently burnt.

667 Vegetation cover and height are important factors when determining the type of small
668 mammal species occurring because of differences in habitat requirements among species
669 (Avenant, 2011). Grazing affects the vegetation cover and height of grass species and this can
670 affect the abundance and diversity of small mammals in such ecosystems (Lambert et al.,
671 2006). Yarnell et al. (2007) found that areas with low grazing intensity had a high abundance
672 of small mammals compared to high grazing intensity habitats. Overgrazed habitats had little
673 grass cover and thus lacked food, such as seeds, for small mammals (Lambert et al., 2006),
674 such that small mammals may migrate to sites with food (Yarnell et al., 2007; MacFadyen et
675 al., 2012). As such, low-level burning and grazing are essential in maintaining the diversity of
676 small mammals. Moreover, the changes in vegetation cover varies seasonally and may
677 influence diversity of small mammals. In this study, I characterised the habitat of small
678 mammals to aid in the identification of suitable management strategies for savannas to prevent
679 the loss of small mammals; and determined how the diversity of small mammals may vary with
680 season.

681 **1.7 Roles of small mammals in savanna ecosystems**

682 Small mammals play an important role in soils, vegetation structure, and plant species
683 composition through effects on seed dispersal and seed germination. These roles may influence
684 plant growth and production as well as the heterogeneity of the savanna ecosystem.

685 **1.7.1 Small mammal role in soil properties**

686 Small mammals change the physical and chemical properties of the soil through their digging
687 when searching for food (Hagenah and Bennett, 2013; Louw et al., 2017). Rodents construct
688 complex channels or burrows in the soil during favourable conditions and these channels
689 become a living space for other rodent and non-rodent animal species (Dickman, 1999;
690 Davidson et al., 2012). For example, snakes, lizards and spiders may use such spaces as habitats
691 (Jayadevan et al., 2018). By creating channels through burrowing activities beneath the earth's
692 surface, small mammals contribute to soil aeration thereby making it easier for plants to expand
693 their roots (Martin, 2003; Fischer and Schronder, 2014; Davies et al., 2019).

694 Intensive burrowing by small mammals affects water flow, nutrient cycling and soil
695 structure, which in turn increases the drainage of water in the soil (Dickman, 1999). For
696 example, mound soils may have higher levels of nutrients than undisturbed soils (Martin,
697 2003). This is as a result of urine, faecal decomposition and soil mixing by small mammals,
698 which increases organic matter in the soil and thus the availability of nutrients for plant growth
699 (Davidson et al., 2012). When excavating the soil, small mammals bury vegetation and
700 indirectly reduce aboveground litter and increase soil fertility (Fischer and Schronder, 2014).
701 The soil excavation leads to finer and less compacted soils (Dickman, 1999). These finer soils
702 allow for greater water-holding capacity, increased water infiltration rates, and greater rates of
703 processes such as germination and plant growth (Fuller and Perrin, 2001). Similarly, soil
704 compaction was found to decrease from inter-mound, old mound to fresh mound (Hagenah and
705 Bennett, 2013). Specifically, fresh mounds had the lowest soil compaction. However, the effect
706 of small mammals on soil compaction differs. For example, Hagenah and Bennett (2013) found
707 that the soil mound of the common rat (*Rattus norvegicus*) was less compacted than that of the
708 Cape mole rat (*Georychus capensis*). As a result, mole rats were more effective at loosening
709 the soil. Therefore, small mammals are an important component in savanna and grassland
710 ecosystems. The absence of these animals may negatively influence soil properties and
711 vegetation communities.

712

713 **1.7.2 Small mammals role in vegetation**

714 Seed dispersal allows for the establishment of seedlings and spatial distribution of the plants
715 (Campos et al., 2017). Small mammals are dispersers of plant seeds through frugivory and
716 hoarding (Bakker et al., 1996; Nyiramana et al., 2011). Frugivorous animals disperse seeds of
717 many plants by eating and defecating the seeds in the soil and in distant sites (Campos et al.,

718 2017). Small mammals also play a role in seed hoarding, which is viewed as the most effective
719 dispersal method because it involves the removal of seeds away from siblings and parents thus
720 decreasing competition (Sunyer et al., 2013). The depth at which rodents bury the seeds
721 enhances germination and decreases the effect of abiotic variables (e.g. temperature and ultra-
722 violet light) from negatively affecting the seedlings (Beck and Vander Wall, 2010; Sunyer et
723 al., 2013). However, there are other factors which influence the choice of seeds utilised. This
724 includes seed size, species to which the seed belongs, abundance, and infestation by insects
725 (Lambert et al., 2006; Sunyer et al., 2013). Therefore, most small mammals will select smaller,
726 lightweight seeds that they can carry to their burrows to eat later.

727 Farming practices introduce fragmentation of ecosystems resulting in patches of
728 disturbed and undisturbed soils (Fuller and Perrin, 2001). Fragmentation of these habitats
729 introduces barriers between individuals and may prevent efficient or successful reproduction
730 of small mammals as the movement of species along the landscape is restricted (Beck and
731 Vander Wall, 2010). However, small mammals can also use the disturbed or fragmented areas
732 as a refugia (Beck and Vander Wall, 2010). For example, Fuller and Perrin (2001) found a high
733 diversity of small mammals in disturbed areas because these areas comprised of forbs, shrubs,
734 and sedges which were attractive to small mammals and provided them with a range of food
735 types. In contrast, uniform habitat structure (e.g. pastures) supported a low diversity of small
736 mammals. However, some species such as the common vole (*Microtus arvalis*) had the highest
737 abundance in cultivated fields compared to fallow habitats (Janova and Heroldova, 2016). This
738 showed that fields with diverse vegetation cover attract a high diversity of animals. Therefore,
739 fragmented areas and different farming systems support a high diversity of small mammals, as
740 it introduces habitat heterogeneity.

741

742 **1.8 Population dynamics as indicators of environmental change**

743 Globally, concerns regarding the loss of biodiversity due to bush encroachment, degradation,
744 anthropogenic activities, and habitat loss require more action and tools to assess and monitor
745 biodiversity in the threatened ecosystems (Ofori et al., 2016). Scientists have used several tools
746 such as the South African Scoring System (SASS), diatoms, and grasses to assess ecosystem
747 health (Avenant et al., 2008). However, most of these strategies and models are difficult to
748 validate because of their complexity and are very expensive (Ibáñez et al., 2009; Ofori et al.,
749 2016). Small mammals are a better alternative that is cheap, easy to catch, and identify
750 (Avenant et al., 2002). Environmental disturbances can be measured quickly and more

751 efficiently through small mammals, which are very sensitive to environmental changes.
752 Changes in the environment alter the different aspects of the small mammal community
753 structure; these aspects are size/weight, age structure, sex ratio, and reproduction
754 characteristics (Garshong and Attuquayefio, 2013; Omogbeme and Oko, 2018). Information
755 on the aspects of community structure can reveal essential information about the ecological
756 status of a species and the effect of the environment on the ecology of the species.

757 In ecology, it is crucial to know species ecology to help update species lists, which
758 shows a species status as being threatened, endangered, and extinct (Garshong and
759 Attuquayefio, 2013). Such lists cannot be created without information on the aspects of
760 community structure. This knowledge also helps conservationists and rangeland managers
761 develop management strategists and action plans to help protect ecosystems with declining
762 populations (Pucek and Lowe, 2009). Moreover, information on rodent community structure
763 aspects is essential in giving early warnings for potential threats to a species. Pucek and Lowe
764 (2009) also highlight that the aspects of community structure are important in ecological studies
765 by; helping create detailed analysis on population dynamics, to determine natality and
766 mortality, to determine age-specific natality, fit growth curves, age of sexual maturity, estimate
767 maximum and average longevity and to calculate the growth rate of individuals. Such data can
768 also be applied in developing ecologically-based rodent pest management strategies (Scott-
769 Morales et al., 2004). For example, information on reproduction is essential in developing
770 effective rodent management strategies to get rid of rodents destroying crops (Scott-Morales et
771 al., 2004; Mapiye et al., 2011).

772 Ecosystem changes are evident from the species found in an area. These changes may
773 be reflected in the body of individuals of the species and the population structure of the species
774 of interest (Mahlaba and Perrin, 2003). Populations of small mammals are mostly affected by
775 a change in habitat quality, especially declining availability of food and cover (Mahlaba and
776 Perrin, 2003) as a result of bush encroachment caused by overgrazing, rainfall variability, and
777 increased atmospheric concentration of carbon dioxide (Mahlaba and Perrin, 2003; Wigley et
778 al., 2009). Overgrazing leads to the loss of vegetation cover, which is essential as a source of
779 food and nesting site for small mammals and birds (Lambert et al., 2006). Rainfall variability
780 also influences small mammal abundance through its control of ecosystem productivity (Ofori
781 et al., 2016). As a result, small mammals may experience seasonal changes in distribution and
782 abundance linked to rainfall patterns and ground cover (Ofori et al., 2016; Admas and Yihume,
783 2016). This means that their populations will decline in some seasons and increase in others.

784 Food availability may be greater in summer leading to a high abundance of small mammals
785 (Schradin and Pillay, 2006). The decline in food availability is the cause of low population
786 numbers in winter combined with unfavourable conditions (Banks and Dickman, 2000; Muteka
787 et al., 2006). The decline in food allows for intensified intraspecific competition for food
788 accompanied by a decrease in body weight (Kok et al., 2012; Ofori et al., 2016), low survival
789 rates, and cessation of breeding (Banks and Dickman, 2000; Abu Baker and Brown, 2010).
790 These seasonal changes also affect the aspects of community structure of small mammals.
791 Information on small mammal community structure can be used as an indicator of bush
792 encroachment and its level of intensity. Rodents aspects of community structure can give
793 information on how changes in vegetation differ with increase in bush encroachment levels.

794

795 **1.8.1 Seasonal changes and aspects of community structure**

796 As seasons change, food availability and environmental conditions also change. These changes
797 may alter the reproduction of many small mammals. For example, in winter (South Africa),
798 small mammals have low survival rates because of the cold weather and reproduction of some
799 species cease, and some lose their body weight (Banks and Dickman, 2000). Muteka et al.
800 (2006), who did a study on the reproduction of *Aethomys namaquensis* rodents, found that the
801 body mass of this species increased in the breeding season (summer months) and decreased in
802 the non-breeding seasons (winter months). Availability of food in summer may be the reason
803 behind weight gain in species. Lack of food causes stress and may cause weight loss in small
804 mammals during the winter months. Reproduction in some mammals is seasonal.

805 Suppression of grasses caused by bush encroachment poses a significant threat to small
806 mammal population dynamics, especially reproduction through the loss of nesting sites and
807 high predation risks as there is no grass for hiding (Ofori et al., 2016). Small mammals are
808 known to time their reproduction to ensure that they give birth during times when there is
809 maximum growth and offspring survival (Banks and Dickman, 2000). Most of these animals
810 reproduce seasonally to protect their young ones from dangerous environmental conditions
811 (Muteka et al., 2006). However, not all species of small mammals reproduce seasonally: for
812 example, species with shorter life span tend to reproduce throughout the year as long as
813 environmental conditions are favourable (Muteka et al., 2006). Species such as *A. namaquensis*
814 and *Lemniscomys rosalia* are seasonal breeders, and they time their reproduction to summer
815 months (Stuart and Stuart, 2007).

816 Age structure is another vital aspect of community structure of a species. It includes
817 juveniles, sub-adults, and adults (Erena et al., 2011). Age structure can also be affected by
818 season, just like reproduction. If there is no reproduction, that means there will be no juveniles.
819 The presence of juveniles indicates breeding season, and their absence indicates a non-breeding
820 season (Garshong and Attuquayefio, 2013). Omogbeme and Oko's (2018) study looking at the
821 population dynamics of rodents in different habitats at Okomu National Park in Nigeria
822 observed many juveniles in the wet (also known as summer) season. These results were
823 attributed to rainfall patterns in summer, enhancing species breeding because of the increase in
824 food and dense vegetation for shelter (Omogbeme and Oko, 2018). However, sub-adults and
825 adults were more abundant in the dry season (Erena et al., 2011; Omogbeme and Oko, 2018).
826 I think sub-adults and adults were caught in high abundance in the dry season because they
827 could survive the cold weather, unlike juveniles. Although age structure varies seasonally, this
828 aspect can inform us more about a small mammal population's stability. Just like we always
829 say, "a country without youth is a country without a future". A population of small mammals
830 can never be a stable and thriving population without the presence of juveniles and sub-adults
831 (Garshong and Attuquayefio, 2013).

832 Several studies that have looked at sex-ratio as a community structure aspect found this
833 ratio biased towards males. The reason is that males tend to move greater distances than
834 females, and this increases their likelihood to enter entering traps (Garshong and Attuquayefio,
835 2013). However, the sex-ratio also varies with season. Erena et al. (2011) caught a high number
836 of females in the wet season than in the dry season, and most of these females were pregnant.
837 This result confirmed that reproduction in most small mammals occurs in the wet season, where
838 rain availability allowed for the germination and growth of plants that provide food and shelter
839 for the animals.

840 In contrast, Adams and Yihume's (2016) study that assessed species composition and
841 habitat associations of rodents in Yekoche Forest in Ethiopia found that female sex-ratio was
842 higher than that of males for both the dry and wet seasons. This result showed that it is not
843 season alone that influences the sex-ratio of animals. Even the habitat in which the animals are
844 found affects the sex-ratio. As such, this study also looked at how bush encroachment and
845 season influenced small mammal sex-ratio and the other aspects of small mammal community
846 structure.

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Chapter 2

The influence of bush encroachment on the abundance and species richness of non-volant small mammals in a mesic savanna

Abstract

The savanna biome comprises 32% of the land in South Africa. It is most productive for agriculture, and as a rangeland for keeping livestock and wildlife, and contributes significantly to the economy mainly through ecotourism. Savannas are under severe threat of climate change, unsustainable fire regimes, and inappropriate rangeland management leading to increased density of woody plants and suppression of grasses. This structural change in vegetation may influence the diversity of small non-volant mammals through a loss of nesting sites and increased exposure to predation risks. This study was undertaken to assess the influence of different levels (low, medium, and high vs non-encroached) of bush encroachment on the diversity of non-volant small mammals in a mesic savanna at the Roodeplaat Farm in Pretoria, South Africa. I also compared small mammal diversity in bush encroached and non-encroached mesic savanna sites at the Goss Game Farm in northern KwaZulu-Natal, also in South Africa. Small mammals were trapped using Sherman live traps, marked and released. A total of 125 individuals was recorded from six species in 1568 trap nights. The non-encroached habitat showed the highest species richness (6 species) with three unique species, which were absent in the low, medium, and highly encroached habitats. The abundance of small mammals was different among the levels of bush encroachment in Roodeplaat Farm (Pearson χ^2 (15) = 107.5; $P = 0.001$). Noticeably, the abundance of the common generalist species *Mastomys natalensis* decreased in the highly encroached habitat, which showed that the habitat was likely degraded. Interestingly, the highly encroached habitat had the specialist species *Aethomys natalensis*, which was not found in the other habitats. At Goss Game Farm, the non-encroached habitat also had high species richness than the low encroached habitat. It also had a shrew species that was not captured at Roodeplaat Farm. *Lemniscomys rosalia* was the dominating species at Goss Game Farm found in both the low and non-encroached habitats. These results showed that bush encroachment reduced both the abundance and species richness of small non-volant mammals. These findings likely show potential indicator species for bush-encroached savannas in Southern Africa.

Keywords: bush encroachment, indicators, savanna biome, species diversity, rodents

1132 **2.1 Introduction**

1133 Savannas consist of a mixture of grasses and woody plants (Devine et al., 2015). However, the
1134 grassy layer also consists of other herbaceous plants and at times be outcompeted by woody
1135 plants (Wigley et al., 2009). In southern Africa, savannas are one of the dominant vegetation
1136 types and covers 32% of the land in South Africa. Savannas can be divided into arid and mesic
1137 savannas, but both are maintained by fire and herbivory (Scholes, 1990; Yarnell et al., 2007).
1138 Savannas are productive ecosystems, and in South Africa are used for agriculture, and as a
1139 rangeland (Kharika et al., 2015; Bond, 2016). Savanna ecosystems are economically important
1140 because they supply timber and grazing, and are used commercially for ecotourism (Mapiye et
1141 al., 2011; Kharika et al., 2015; Louw et al., 2017). Ecotourism attracts a large number of tourists
1142 to nature reserves located in the savanna, thus contributing significantly to the economies of
1143 southern Africa (Gambiza, 2001). However, only 10% of savannas in South Africa are under
1144 protection (Kharika et al., 2015).

1145 Although some areas are protected, the savannas are threatened by global climate
1146 change, unsustainable fire regimes, land degradation including bush encroachment, and habitat
1147 fragmentation, among other threats (Bond et al., 2000; O'Connor et al., 2014; Turpie et al.,
1148 2019). These perturbations threaten many habitats and has led to species extinction (Hagenah
1149 and Prins, 2006). Bush encroachment is pervasive in Southern Africa and affects the
1150 biodiversity and agricultural productivity of 10-20 million ha in South Africa (Ward, 2005).
1151 This phenomenon is caused directly by inadequate or incorrect management strategies such as
1152 withdrawal of fire and overgrazing, and indirectly through the increase in atmospheric CO₂ and
1153 climate change, among other causes (Smit, 2004; Ward, 2005; Beerling and Osborne, 2006).
1154 Bush encroachment affects the normal growth of grasses and trees in grasslands and savannas
1155 by allowing for increased growth of woody plants and decreased growth of grasses (Kambatuku
1156 et al., 2011). The loss of grasses in these systems affects overall species diversity of the area
1157 (Hagenah et al., 2009; Avenant, 2011). For example, loss of grasses increases predation risk
1158 and loss of nesting sites for small non-flying mammals. Vegetation height may also influence
1159 the abundance of small mammal species found in a habitat (Rautenbach et al., 2014).

1160 Changes in growth patterns of trees in relation to grasses lead to the need for
1161 identification of plant and animal taxa that can indicate ecosystem change or deterioration of
1162 habitat condition (Avenant, 2011). Many plants, insect groups, aquatic macroinvertebrates, fish
1163 and birds (Rich, 2002), have been used as indicators of ecological change (Leis et al., 2008;
1164 Avenant, 2011; Delcros et al., 2015). environmental impact assessment practitioners have used

1165 most of these strategies with the aim of addressing the threats to savannas, however, some of
1166 these strategies are challenging to use and time-consuming. For example, the use of the South
1167 African Grassland Scoring System (SAGraSS) in monitoring the integrity of grasslands is time
1168 consuming as one needs to identify grasses in the field (Kaiser et al., 2009).

1169 Small mammals, just like plants, can serve as bio-indicators for ecosystem change in
1170 savannas because they are easy to identify, capture, and process, unlike plants that have
1171 complex characteristics making their identification difficult (Avenant, 2011). Also, there are
1172 hundreds to thousands of plant species in a habitat while small mammals may be a few dozen
1173 species making their identification relatively easier than that of plants. Small mammals also
1174 can easily adapt to small areas (Mahlaba and Perrin, 2003; Hurst et al., 2014). For example,
1175 the rodent *Mastomys coucha* represents a high level of disturbance in an area (Avenant and
1176 Kuyler, 2002; MacFadyen et al., 2012). Also, the high prevalence of *Rhabdomys pumilo* and
1177 *M. coucha* was considered an indication of significant ecological disturbances in the Willem
1178 Pretorius Nature Reserve in South Africa (Avenant, 2000). Most small mammals are an
1179 inexpensive and easy group of animals to work with, as they are easy to handle (Dickman,
1180 1999; Jacob, 2008; Davidson et al., 2012).

1181 Small mammals have been studied extensively in agricultural systems because of their
1182 many negative effects on crop productivity (Dickman, 1999; Scott-Morales et al., 2004;
1183 Davidson et al., 2012). However, responses of small mammal populations or communities
1184 under land use change is less studied (e.g. de la Peña et al., 2003; Michel et al., 2007; Jacob,
1185 2008) and to my knowledge, little information is available on how bush encroachment may
1186 influence the diversity of non-flying small mammals such as rodents and shrews. Small
1187 mammals may contribute to grass quality by altering soil properties through their burrowing
1188 activities (Lagesse and Thondhlana, 2016). They help in mixing the soil with organic matter,
1189 which enhances nutrient cycling and increases soil fertility (Delcros et al., 2015; Wu et al.,
1190 2015). Small mammals also disperse mycorrhizal fungal spores which are important for growth
1191 of indigenous plant species (Jacques et al., 2017). Therefore, this study was undertaken to
1192 assess the influence of bush encroachment on the abundance, species richness and diversity of
1193 small mammals. I predicted that the abundance and species richness of small mammals would
1194 decrease with an increase in the level of bush encroachment and that Vegetation height would
1195 influence the species of small mammals found in each level of bush encroachment.

1196

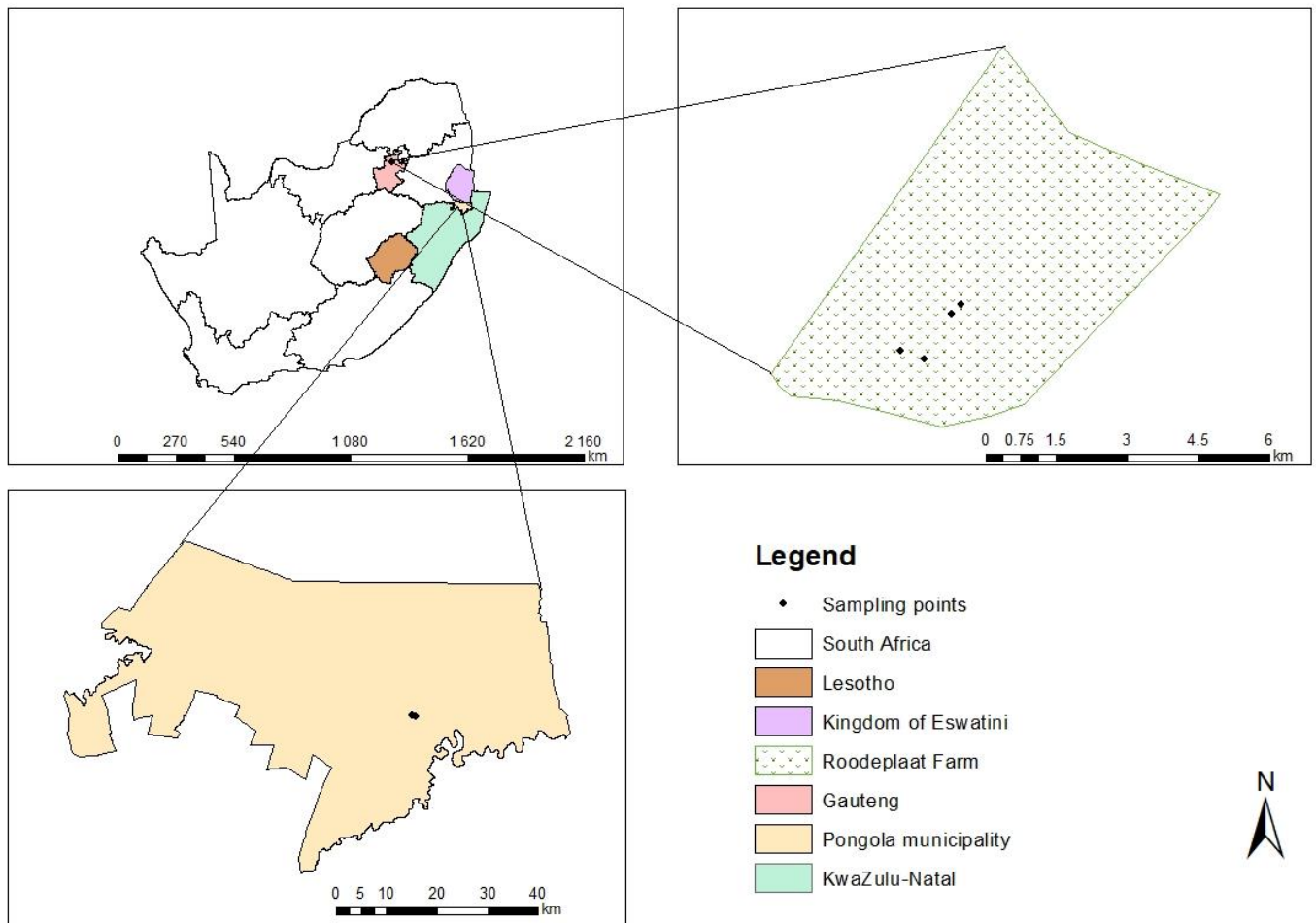
1197 2.2. Materials and Methods

1198 2.2.1 Study site

1199 The study was primarily conducted at Roodeplaat Farm (25° 36' 58" S; 28° 21' 37" E, altitude
1200 1220 m above sea level) north of Pretoria in Gauteng Province and secondarily at Goss Game
1201 Farm in KwaZulu-Natal Province, both in South Africa (Figure 2.1). Roodeplaat Farm is a
1202 4100 ha experimental farm of the Agricultural Research Council (ARC). The area experiences
1203 hot wet seasons (September-February) and cool dry seasons (March-August). Wet season
1204 temperatures vary between 20-29 °C and between 2-16 °C in the dry season (Low and Rebelo,
1205 1996; Schulze et al., 1997). Roodeplaat receives a mean annual rainfall of 646 mm (Mkhize et
1206 al., 2015).

1207 The topography at Roodeplaat includes mountains, rivers, a dam, roads, and the slope
1208 is gentle and gets steep as one moves closer to the mountains. The vegetation is described as
1209 Marikana Thornveld and Central Sandy Bushveld, which are dominated in the woody layer by
1210 *Combretum* spp., *Erythrina caffra*, *Euclea crispa*, *Vachellia karroo*, *V. nilotica*, *V. robusta*, *V.*
1211 *tortilis* and *Ziziphus mucronata* (Mucina and Rutherford, 2006). The herbaceous layer includes
1212 inter alia, *Digitaria eriantha*, *Urochloa panicoides*, *Aristida diffusa*, *Eragrostis curvula*,
1213 *Panicum coloratum*, *Panicum maximum*, *Fingerhuthia africana*, *Tarconanthus camphoratus*
1214 and *Lippia rehmannii* and many forbs. Crops produced on the farm include lucerne, maize, and
1215 various horticultural crops. Most of the farm (2100 ha) is a rangeland for livestock, mainly
1216 cattle (*Bos taurus*), and wild animals such as impala (*Aepyceros melampus*), kudu
1217 (*Tragelaphus strepsiceros*), nyala (*Tragelaphus angasii*), waterbuck (*Kobus ellipsiprymnus*),
1218 black-backed jackal (*Canis mesomelas*), banded mongoose (*Mungos mungo*), warthog
1219 (*Phacochoerus africanus*), and unknown number of small mammals (i.e. rodents, bats, etc.).

1220 The geology of the Roodeplaat area consists of mafic intrusive rocks which include
1221 gabbro, norite, shales, and quartzite, among others (Mucina and Rutherford, 2006). The most
1222 common soil forms are Valsrivier, Arcadia and Hutton, which are suitable for crop production
1223 (Panagos, 1995). Goss Game Farm is situated north of KwaZulu-Natal (27° 55' 00" S; 31° 74'
1224 00" E, altitude 450-900 m above sea level) and the vegetation is described as Northern Zululand
1225 Sourveld (Mucina and Rutherford, 2006). Woody plants include *V. nilotica*, *V. tortilis*, *V.*
1226 *sieberiana* and *Z. mucronata*. The herbaceous layer includes *Themeda triandra*, *E. curvula*,
1227 *Hyparrhenia hirta*, etc.



1228

1229 Figure 2. 1: Map showing the sampling areas at Roodeplaat Farm in Pretoria and at Goss
 1230 Game Farm in KwaZulu-Natal, South Africa. Lesotho and the Kingdom of Eswatini are
 1231 highlighted as they do not fall within the borders of South Africa.

1232 The small mammal habitats are characterised in terms of plant species, grass cover and grass
 1233 height (Table 2.1).

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1241 Table 2. 1 Description of the small mammal trapping habitats

| Habitat/level of bush encroachment | Dominant plants | Description of understory species |
|------------------------------------|---|---|
| <u>Roodeplaat Farm</u> | | |
| Non-encroached | <i>Digitaria eriantha</i> <i>Eragrostis curvula</i> <i>Heteropogon contortus</i> <i>Panicum maximum</i> <i>Vachellia karroo</i> | Predominantly grassland with low tree density. Grass cover up to 100%. Grass height 400-2000 mm. Soil moisture relatively higher because of close proximity to water courses. |
| Low | <i>Aristida diffusa</i> <i>Urochloa panicoides</i> <i>Digitaria eriantha</i> <i>V. karroo</i> <i>V. tortilis</i> <i>Gymnosporia buxifolia</i> | Grasses 40 to 116 mm tall with moderate grass coverage (75%) interspersed with few patches of bare ground. Historically used for trials on sheep grazing. |
| Medium | <i>Urochloa panicoides</i> <i>D. eriantha</i> <i>P. maximum</i> <i>Stipagrostis zeyheri</i> <i>V. karroo</i> <i>V. tortilis</i> <i>V. nilotica</i> | Grass cover (50%) lower than that in the low encroached habitat; Grass height at 15-80 mm long. Bare ground (40%) frequent with soil dug out by porcupines (<i>Hystrix africaeaustralis</i>). Site history similar to that of the low encroached habitat. |
| High | <i>P. maximum</i> <i>Fingerhuthia africana</i> <i>Hyparrhenia hirta</i> <i>V. karroo</i> <i>Z. mucronata</i> <i>G. buxifolia</i> <i>Euclea crispa</i> | Short grasses and sparse grass coverage (40%). The area is moderately rocky with numerous patches of bare ground (50%). Historically, this habitat intensively grazed or overgrazed by Nguni cattle. |
| <u>Goss Game farm</u> | | |
| Non-encroached | <i>Z. mucronata</i> <i>P. maximum</i> | |

| | | |
|-----|------------------------------|---|
| | <i>S. zeyheri</i> | Mostly a grassland with 95% grass coverage and few tree species. Grass height 400-800 mm. |
| | <i>Aristida junciformis</i> | |
| Low | <i>V. tortilis</i> | |
| | <i>Dichrostachys cinerea</i> | |
| | | Moderate grass cover and is used as grazing land for goats. Several patches of bare ground. |

1242

1243 **2.2.2 Sampling**

1244 Ethical clearance for the collection of data was obtained from the University of KwaZulu-
1245 Natal’s Animal Ethics Committee with reference AREC/028/019M.

1246

1247 For this study, I chose by sight three habitats that had different levels of encroachment (low,
1248 medium and high) and categorised them as such based on tree density, tree height, stem
1249 diameter and canopy cover. Two square transects (15 m x 15 m) that were at least 10 m apart
1250 were used in each habitat to collect tree height, density, canopy cover and stem diameter
1251 measurements. A fallow habitat was used as a control and was designated the non-encroached
1252 habitat. The habitat on which the small mammals were caught was characterised by
1253 determining the percentage cover and species composition of grasses using a 0.5 m × 0.5 m
1254 quadrat placed at 2 m intervals along a 50 m line transect six times in each habitat. Grass
1255 biomass was measured randomly in each habitat using a disc pasture meter. The disc pasture
1256 meter is a non-destructive and widely used tool for estimating aboveground standing grass
1257 biomass in grassland and savanna ecosystems (Harmse et al., 2019). It has a long aluminium
1258 rod and a sliding base disc which is dropped on grass and readings are recorded in centimetres
1259 on the rod (Harmse et al., 2019).

1260 I used the capture, mark and recapture method (Avenant, 2011) to record small
1261 mammals in the none encroached, low, medium and highly encroached habitats. To achieve
1262 this, I pre-baited a day before traps were set in each habitat to make the animals familiar with
1263 the smell of the bait (Kok et al., 2012). Sherman traps (256 × 85 × 80 mm) baited with a mixture
1264 of peanut butter, oats, bovril (salty meat extract) and cooking oil were used to trap small
1265 mammals in each habitat. Cotton wool was placed inside the traps to insulate captured animals
1266 from the heat or cold (Fuller and Perrin, 2001; Kok et al., 2012). Traps were set in three line
1267 transects with ten traps each, spaced 5 m apart within and between transects in each habitat.
1268 All the trap stations were marked with danger marking tape to reduce searching time for

1269 subsequent measurement and sampling. Traps were baited and set daily for four consecutive
1270 nights in the afternoon between 15h30-17h00 and checked the following day at 06h00-08h00.
1271 Traps were turned upside-down during the day to prevent the capture of small mammals and
1272 other animals (e.g. snakes, birds, lizards) because exposure to high temperatures could lead to
1273 death (O'Farrell et al., 2008).

1274 Trapped animals were identified to species level and length measurements of body
1275 dimensions (to confirm identification) taken as appropriate using a ruler. Non-toxic water print
1276 paint was used to mark captured individuals at the back of the neck before release to avoid
1277 double-counting individuals (Kok et al., 2012). Small mammal body measurements were
1278 collected in the winter (July 2019), spring (September 2019) and summer (January 2020)
1279 seasons. At Goss Game Farm, traps were set for three nights in the spring season only and
1280 animals identified and measured as above. To calculate trap nights, I multiplied the number of
1281 traps I used by the number of nights the traps were set. For this study, I used 392 traps and I
1282 set them up for 4 nights, giving a total of 1568 trap nights.

1283 **2.2.3 Data analysis**

1284 To compare the abundance of small mammals in the four habitats, I used a Pearson chi-square
1285 test in IBM SPSS version 25 for windows (IBM SPSS, 2017). Habitat was the independent
1286 variable and small mammal abundance the dependent variable. The abundance data were log₁₀-
1287 transformed to normalise residuals of the data (Sokal and Rohlf, 2012). A one-factor analysis
1288 of variance (ANOVA) was used to determine whether there were significant differences in
1289 grass biomass as determined by the disc pasture measurements across the four levels of bush
1290 encroachment. All assumptions of an ANOVA were satisfied. The Shannon-Wiener and
1291 Simpson diversity indices (Magurran, 2004) were used to estimate the diversity of small
1292 mammals across the different habitats as follows:

1293 Shannon-Wiener diversity index $H' = -\sum pi \ln pi$

1294 Simpson diversity index = $1 / \sum pi^2$

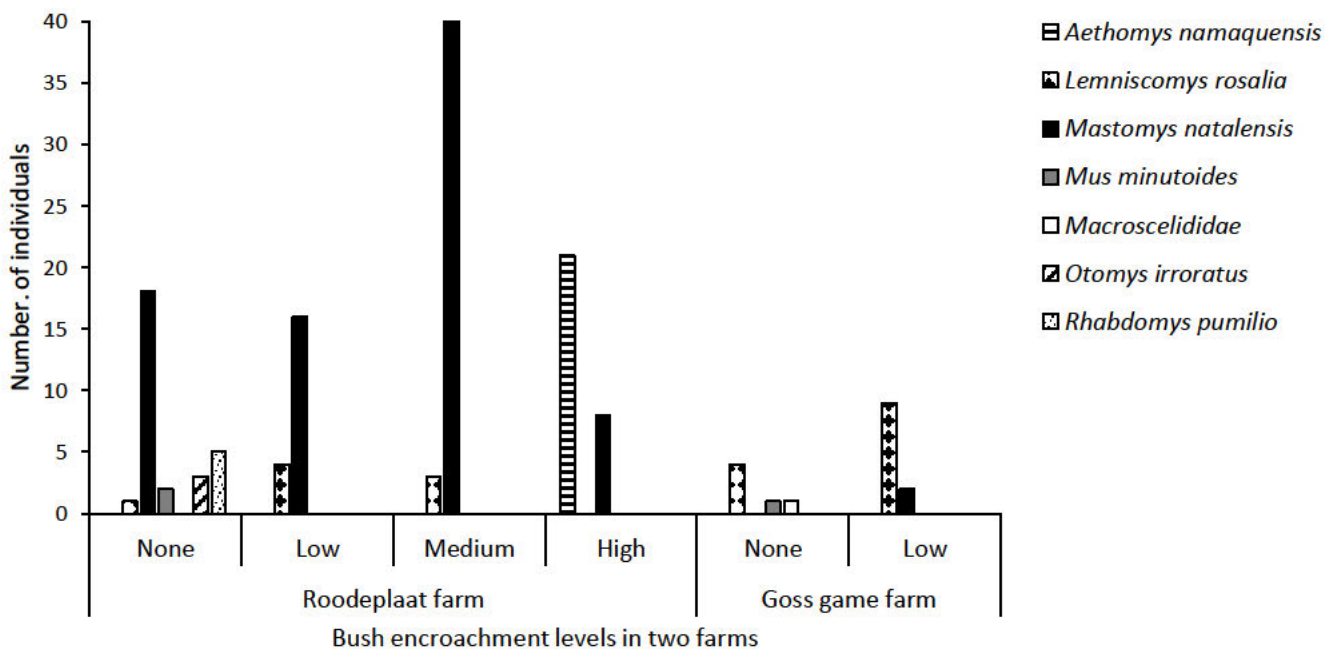
1295 and Pielou's evenness index (J) = $\frac{H'}{\ln(S)}$

1296
1297 where pi is the proportion of the i th species in the total sample and S is the species richness,
1298 which refers to the number of different species found in a certain habitat. Species evenness (J')
1299 is the equitability of relative abundance among species (Wilsey and Polley, 2004).

1300 **2.3 Results**

1301 **2.3.1 Species richness and relative abundance of small mammals**

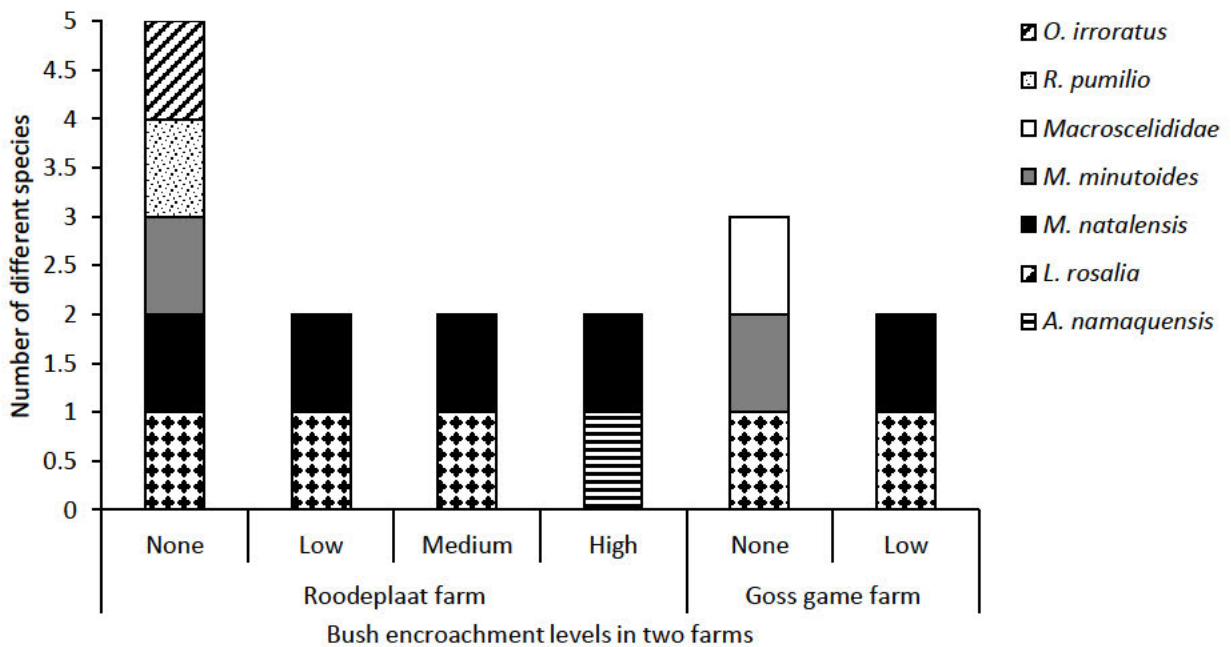
1302 A total of 125 small mammals were caught in Roodeplaat Farm. Twenty nine individuals from
 1303 five species were caught in the none encroached habitat in 340 trap nights (8.5%), 20
 1304 individuals from two species in the low encroached habitat in 432 trap night (4.6%), 43
 1305 individuals from two species in the medium encroached habitat in 420 trap nights (10.2%), and
 1306 33 individuals from two species in the highly encroached habitat in 376 trap nights (8.78%).
 1307 All these small mammals were murid rodents consisting of *Aethomys namaquensis*,
 1308 *Lemniscomys rosalia*, *Mastomys natalensis*, *Mus minutoides*, *Otomys irroratus* and
 1309 *Rhabdomys pumilio*. The relative abundance of the species was: *M. natalensis* (68.8%), *A.*
 1310 *namaquensis* (16.8%), *L. rosalia* (6.4%), *R. pumilio* (4.0%), *O. irroratus* (2.4%) and *M.*
 1311 *minutoides* (1.6%). Seventeen individuals were caught at Goss Game Farm and they consisted
 1312 of *L. rosalia* (76.5%), *Macroscelididae* (5.9%), *M. natalensis* (11.8%) and *M. minutoides*
 1313 (5.9%).



1314
 1315 Figure 2. 2: Number of small mammals (abundance) caught in different levels of bush
 1316 encroachment at Roodeplaat and Goss Game Farm.

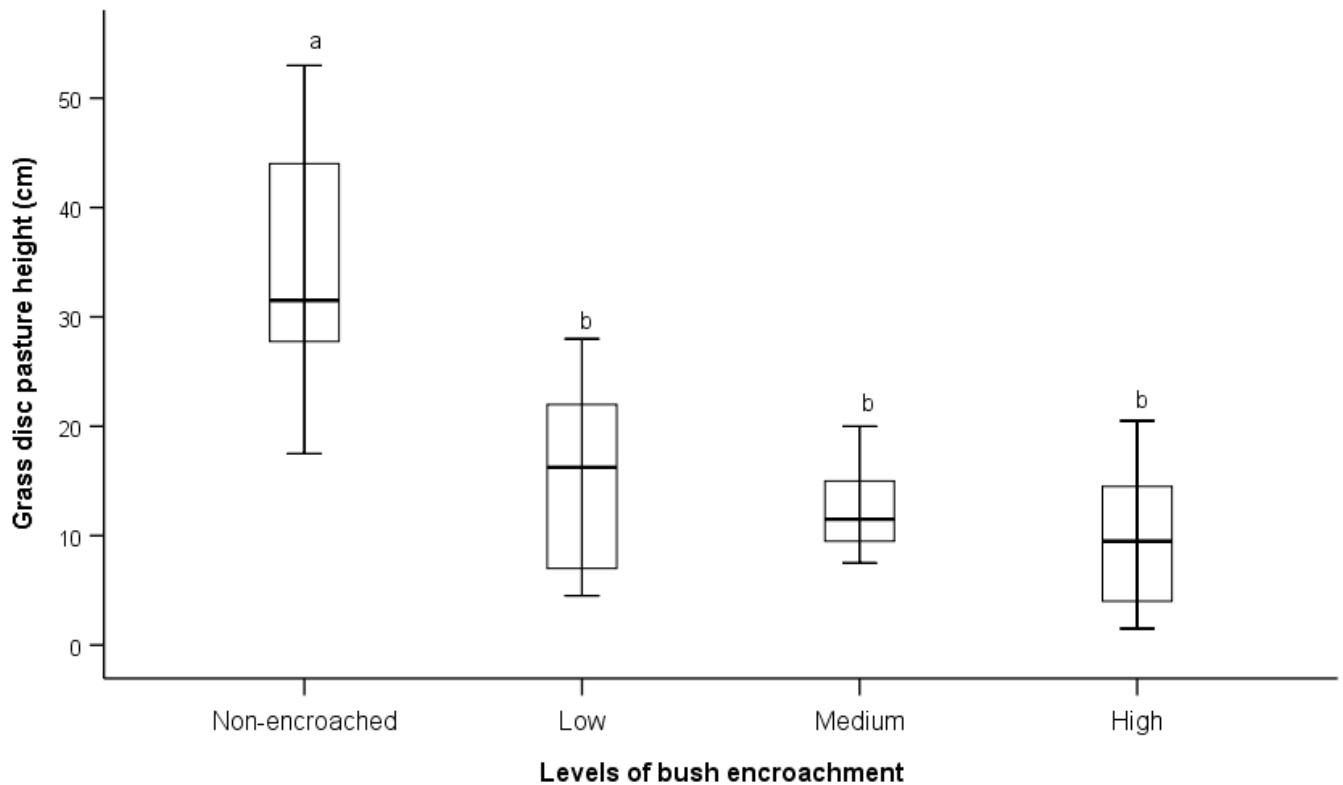
1317
 1318 The abundance of small mammals was different among levels of bush encroachment at
 1319 Roodeplaat farm (Pearson $\chi^2_{(15)} = 107.5$; $P = 0.001$; Figure 2.2). The most common species

1320 recorded in the four habitats was *M. natalensis*, with its highest abundance found in the medium
 1321 encroached habitat. *Aethomys namaquensis* was the second species with high numbers
 1322 exclusively recorded in the highly encroached habitat. *Lemniscomys rosalia* was found in low
 1323 numbers in the none, low and medium encroached habitats but absent from the high encroached
 1324 habitat. Three species (*M. minutoides*, *O. irroratus* and *R. pumilio*) occurred only in the none
 1325 encroached habitat, and they were low in numbers. No significant differences were observed
 1326 in small mammal abundance in the none and low encroached habitat of Goss game farm
 1327 (Kruskal-Wallis $\chi^2_{(1)}=1.404, P = 0.236$). The common species in this farm was *L. rosalia*. This
 1328 farm had a unique species of elephant shrew (*Macroscelididae*) which was not observed at
 1329 Roodeplaat farm.



1330 Figure 2. 3: Species richness of small mammals across different levels of bush encroachment
 1331 at Roodeplaat and Goss Game Farm.

1332
 1333 The none encroached habitat of Roodeplaat farm had the highest species richness while other
 1334 levels of bush encroachment had the same species richness but with different species
 1335 composition (Figure 2.3). Similarly, the none encroached habitat of Goss Game Farm had the
 1336 highest species richness and shared one species (*L. rosalia*) with the low encroached habitat.



1337
 1338 Figure 2. 4: Graph showing the grass disc pasture height measured under four different levels
 1339 of bush encroachment at Roodeplaat farm. Different letters indicate a significant difference.

1340 The grass height decreased with an increase in levels of bush encroachment ($F = 19.906$, $df = 3$,
 1341 $P = 0.001$).

1342 2.3.2 Species diversity at each level of bush encroachment

1343 Based on both the Shannon-Wiener and Simpson diversity indices, the none encroached habitat
 1344 of Roodeplaat farm was more diverse in small mammals than the other habitats while the
 1345 medium encroached habitat had the lowest species diversity (Table 2.2), although it had the
 1346 highest number of individuals (Figure 2.2). The none encroached habitat at the Goss game farm
 1347 was more diverse ($H' = 0.87$, $D = 2$) than the low encroached habitat ($H' = 0.47$, $D = 1.42$).
 1348 Species evenness did not follow the same patterns as the diversity indices; instead, the low
 1349 encroached habitat had the greatest evenness (Table 2.2). At the Goss game farm, the none
 1350 encroached habitat had greater species evenness than the low encroached habitat (i.e. $J = 0.79$
 1351 and $J = 0.68$, respectively).

1352
 1353
 1354

1355 Table 2. 2 Diversity indices for small mammal species recorded at four levels of bush
 1356 encroachment at Roodeplaat Farm

| Encroachment gradient | Shannon-Wiener diversity index (H') | Simpson diversity index (D) | Pielou's evenness index (J) |
|-----------------------|-------------------------------------|-----------------------------|-----------------------------|
| None | 1.13 | 2.32 | 0.70 |
| Low | 0.50 | 1.47 | 0.72 |
| Medium | 0.25 | 1.15 | 0.36 |
| High | 0.45 | 1.38 | 0.65 |

1357

1358 2.4 Discussion

1359 I wanted to assess the influence of bush encroachment on the abundance and species richness
 1360 and diversity (using diversity indices) of small mammals. From the Roodeplaat Farm, a total
 1361 of six rodent species was recorded, and a significant difference in the abundance of small
 1362 mammals was observed among levels of bush encroachment. Of the species captured,
 1363 *Mastomys natalensis* had the highest number of individuals and was recorded in all the habitats.
 1364 This species was also observed in the Goss game Farm. *M. natalensis* is a generalist species
 1365 and can tolerate a wide range of habitats (Mulungu et al. 2014; Lloyd and Vetter, 2019; Loggins
 1366 et al., 2019). Moreover, *M. natalensis* is an all-year breeder that is omnivorous, and feeds on a
 1367 variety of foods including among other things, insects, fruits, seeds, and grasses (Apps, 1996).
 1368 As a result, this species was recorded even in the medium and highly encroached habitats,
 1369 which had low vegetation cover including patches of bare soil. MacFadyen et al. (2012) found
 1370 similar results and further outlined that this species may also be found in habitats recovering
 1371 from fire and any disturbance. It is likely that the species is associated with a variety of
 1372 disturbances including bush encroached habitats. The low abundance of this species in the
 1373 highly encroached habitat compared to other habitats may be an indication of excessive
 1374 disturbance in the area. Loggins et al. (2019) also observed a negative response of *M. natalensis*
 1375 abundance with an increase in woody cover.

1376 *Aethomys namaquensis* was the second most abundant species recorded in this study.
 1377 However, this species was exclusively recorded in the highly encroached habitat. *A.*
 1378 *namaquensis* is known to be a habitat-specific species (Lagesse and Thondhlana, 2006), and
 1379 may have preferred the highly encroached habitat because it was rocky. Also, it prefers to
 1380 forage under bushes than in the open (Apps, 1996; Stuart and Stuart, 2007). The history of this
 1381 habitat may have also contributed to it being preferred by *A. namaquensis* in that it was exposed
 1382 to overgrazing by livestock and wild animals leading to degradation that is evidenced by the

1383 many patches of bare ground, and this species can tolerate disturbed areas. Therefore, the
1384 recording of this species in such a habitat may serve as a useful biological indicator of disturbed
1385 areas by bush encroachment (Abu Baker and Brown, 2010). Low grass cover and biomass in
1386 the highly encroached habitat likely contributed to the absence of other grass-dependent species
1387 such as *L. rosalia* and *M. minutoides*.

1388 The small mammal, *L. rosalia* was the third most abundant species that was found in
1389 the none encroached, low, and medium encroached habitats but absent in the highly encroached
1390 habitat of Roodeplaat Farm. This species was also found in high abundance in the none and
1391 low encroached habitats of the Goss game Farm. Its absence from the highly encroached habitat
1392 may be attributed to its habitat specificity, where grass cover is critical as a food resource
1393 (Stuart and Stuart, 2007), shelter, and for breeding purposes (Monadjem et al., 2015; Lagesse
1394 and Thondhlana, 2016). All these habitats had a 50-100% grass cover compared to 40% in the
1395 highly encroached habitat. In addition to the low grass cover, the highly encroached habitat
1396 had short grasses; as such, it may not have been able to support a diverse assemblage of small
1397 mammals. *L. rosalia* is known to avoid habitats with short to no grass cover (Stuart and Stuart,
1398 2007). Vegetation height is one crucial factor that provides numerous niches for different
1399 species of small mammals (Lagesse and Thondhlana, 2016). Historical overgrazing in the
1400 highly encroached habitat may be the reason for the absence of this species. Overgrazing left
1401 the site with bare ground and no grasses, which are important in the life of this species.
1402 Although *L. rosalia* was found in the three habitats, it occurred in low numbers. The species is
1403 diurnal, and in this study, I did not set-up the traps during the day (Stuart and Stuart, 2007).

1404 *Rhodomys pumilio*, *O. irroratus*, and *M. minutoides* were confined only to the none
1405 encroached habitat, and their abundance was low (Roodeplaat Farm). The same trend of low
1406 *M. minutoides* numbers was also observed at Goss game Farm. Banded mongoose may have
1407 been the cause of such results as they are predators for small mammals and were seen close to
1408 the none encroached habitat (T.J. Zwane, pers. obs.). The presence of the striped mouse (*R.*
1409 *pumilio*) in the none encroached habitat was not surprising, as it occurs in numerous habitats
1410 with grass cover, but the species also occurs in deserts and forests (Stuart and Stuart, 1988).
1411 Yet, in this study, the low and medium encroached habitats at Roodeplaat had considerable
1412 grass cover but the species was not recorded. Similar to *L. rosalia*, another reason for the low
1413 abundance of this species may be because the species is diurnal (Avenant, 2011), and the traps
1414 were not set during the day. However, it is important to note that food alone might not be the

1415 only cause for the low capture rate of this species in the area. Other factors such as predation
1416 may be involved.

1417 *Otomys irroratus* was the second least abundant species, which is known as a water-
1418 loving species common mostly in wetlands and along riverbanks (Stuart and Stuart, 1988).
1419 Individuals of the species were captured in the none encroached area; this result was probably
1420 influenced by the location of this habitat close to a stream compared to the other habitats at
1421 Roodeplaat. Nonetheless, this species was recorded in low numbers, which is not surprising,
1422 considering that the species is known to be trap shy (Avenant, 2011). Avenant et al. (2008)
1423 found only four individuals of this species in Caledon Nature reserve, South Africa. The least
1424 abundant species was *M. minutoides*, with only two individuals recorded at Roodeplaat and
1425 one individual at Goss. The species prefers grasslands and grassland-like areas. As a result, it
1426 was not found in the other habitats with lower grass cover. The none encroached habitat likely
1427 supported this species because it had the greatest grass biomass. Moreover, the low capture rate
1428 of this species may be attributed to the light weight of individuals of the species (adults weigh
1429 ~5.5 g) and can be captured by highly sensitive traps (Habtamu and Bekele, 2012). Other than
1430 trap sensitivity and grassy vegetation, the species is associated with low captures (only one
1431 individual was observed by Avenant et al. (2008) and this trend is confirmed by other studies
1432 (e.g. Avenant et al., 2008; Avenant, 2011). Lastly, the species prefers habitats with less
1433 disturbance (Michel et al., 2007) and high ecological value (Avenant et al., 2008). As such, it
1434 was not recorded in the low, medium and high levels of bush encroachment in both farms, as
1435 these habitats are more disturbed compared to the none encroached habitat.

1436 Small mammals have different habitat preferences, and these differences can be catered
1437 for when there is habitat heterogeneity or complex landscapes (Fischer et al., 2011). Habitat
1438 heterogeneity is significant in maintaining the richness and diversity of small mammals and
1439 other species in general (Jacques et al., 2017). In this study, species richness decreased with
1440 the increase in the levels of bush encroachment. This was evident in both the Roodeplaat and
1441 Goss game farm. High species richness was observed in the none encroached habitat, which
1442 was also associated with high grass biomass that may provide ideal conditions for savanna
1443 rodents. The low and medium encroached habitats as well as the highly encroached habitat all
1444 had a species richness of two although the species composition was different. The low
1445 encroached habitats of Roodeplaat and Goss game farm had the same species: *M. natalensis*
1446 and *L. rosalia*. The low richness in the other habitats may be associated with reduced cover
1447 and food resources.

1448 The none encroached habitat had the greatest species diversity, which is consistent with
1449 the greater number of species reported above. The diversity indices (Shannon-Wiener and
1450 Simpsons) for the other habitats are similar. In terms of species evenness, the medium
1451 encroached habitat had the lowest species evenness, which affected its diversity value.
1452 Monadjem and Perrin (2003) argued that small mammal evenness tends to be low if a
1453 community is dominated by a single species. This argument holds for the medium encroached
1454 habitat as it was only dominated by *M. natalensis*. The low encroached habitat of Roodeplaat
1455 Farm had the highest species evenness meaning that the species caught in that habitat had
1456 equitable abundance compared to the other habitats. Nonetheless, the results supported that the
1457 abundance and diversity of small mammal communities was not the same among the different
1458 levels of bush encroachment in the mesic savanna of Roodeplaat Farm. Similarly, the species
1459 richness at Goss game Farm was also not the same between the none and low encroached
1460 habitats. This study also shows that; indeed, bush encroachment is a problem in these mesic
1461 savannas, and there is an urgent need for rangeland managers to put into action strategies that
1462 will address this issue. If it is not addressed early, these habitats may no longer be suitable for
1463 use by livestock and wildlife.

1464 To conclude, this study showed that the different levels of bush encroachment
1465 influenced the abundance, richness, diversity, and evenness of rodents in Roodeplaat and Goss
1466 game Farm. The results of this study showed that small mammals preferred different habitats
1467 and tended to be more diverse where there was habitat heterogeneity. As a result, the none
1468 encroached habitat accommodated different species of small mammals because of its
1469 heterogeneity. It also showed that small mammals may be used as potential indicator species
1470 of a disturbance such as bush encroachment. For example, the low abundance of *M. natalensis*,
1471 a pioneer species in disturbed areas, in the highly encroached habitat revealed that this species
1472 may not establish well in that habitat although it adapts well to disturbed areas. Bush
1473 encroachment at high levels may thus negatively impact small mammal abundance, richness
1474 and diversity.

1475

1476

1477

1478

1479

1480 **2.4 References**

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Chapter 3

Seasonal variation in aspects of small mammal community structure in a bush-encroached rangeland at Roodeplaat, South Africa

Abstract

Ecosystems are affected by numerous natural and anthropogenic factors that may negatively impact on their health and quality. Bush encroachment is another factor that negatively affect ecosystem quality by reducing the herbaceous layer. As a result, environmental managers consider ecosystem health assessment vital to prevent the loss of valuable species. These assessments may use plants and mammals as indicators of changes taking place in different ecosystems. This study was undertaken to determine the effect of season and levels of bush encroachment on the aspects of small mammal community structure, i.e., age structure, sex ratio, and reproduction characteristics of small non-volant mammals in the Roodeplaat farm. Sherman live traps were used to capture the animals in the spring, summer, and winter seasons. Captured individuals were marked, their body measurements undertaken, and then released. Trapping effort covered 1586 trap nights with an overall trap success of 8%. Six species of rodents were caught, and these were dominated by *Mastomys natalensis* followed by *Aethomys namaquensis*. The numbers of these animals increased from spring to winter. A pronounced decrease in the numbers of these animals was observed in summer, where only two species were caught exclusively in the highly encroached habitat. However, species diversity decreased from spring to winter. The number of species was particularly low in summer and winter. The sex ratio was biased towards males (77.6%), and more juveniles (38.4%) than adults (32.8%) and subadults (28.8%). Overall, few adult individuals (15%) among all species were in reproductive state. These results indicated an unstable and diminishing community of small non-volant mammals.

Key words: Age structure, rangeland, Roodeplaat, seasonality, sex-ratio

1693 **3.1 Introduction**

1694 Ecosystem health assessment and monitoring are fundamental principles for environmental
1695 policies, conservation, and natural resources management (Ofori et al., 2016). Human activities
1696 such as agriculture, poor management of grazing lands and bush encroachment pose a great
1697 threat to biodiversity and ecosystem health (Ibáñez et al., 2009). As a result, further research is
1698 required to investigate the impact of these activities on biodiversity (Ofori et al., 2016) and to
1699 explore strategies for mitigation. The research can help identify and implement conservation
1700 measures to prevent the loss of species and essential ecosystem services. Several indicators
1701 (Wet-Eco services tool, diatoms, etc.) and models have been developed to assess ecosystem
1702 health; however, these models become difficult to validate because of the complexity of factors
1703 that maintain ecosystem functionality (Ibáñez et al., 2009). Indicators are essential for land
1704 managers in providing an accurate assessment of ecosystem conditions and health (Leis et al.,
1705 2008).

1706 Non-volant small mammals are useful resources for use in ecosystem health assessments as
1707 they are susceptible and respond rapidly to environmental change (Mahlaba and Perrin, 2003;
1708 Abu Baker and Brown, 2010; Ofori et al., 2016). Small mammals respond to changes in plant
1709 composition and habitat structure (Leis et al., 2008). These changes may be seen by a decrease
1710 in small mammal abundance and species richness. Therefore, small mammals may be suitable
1711 as biological indicators of environmental change (Leis et al., 2008), and their use in ecosystem
1712 health assessment is an integral part of Environmental Impact Assessment programmes (Ofori
1713 et al., 2016).

1714 Animals found in an ecosystem may be indicative of the state and changes the
1715 ecosystem has undergone (Avenant, 2000). These changes may be reflected in the body
1716 condition of individuals of the species and population structure of the species of interest.
1717 Populations of small mammals are mostly affected by a change in habitat quality, especially
1718 declining availability of food and cover (Mahlaba and Perrin, 2003). Changes in habitat quality
1719 may result from bush encroachment caused either by overgrazing, rainfall variability, and
1720 increased atmospheric concentration of carbon dioxide, among other causes (Wigley et al.,
1721 2009; Archer et al., 2017; Hale et al., 2020). For example, overgrazing leads to the loss of
1722 herbaceous cover, which is essential as a source of food and nesting sites for small mammals
1723 and birds (Lambert et al., 2006). This may affect their abundance.

1724 Rainfall variability also influences small mammal abundance through its controls on
1725 vegetation productivity (Mahlaba and Perrin, 2003). As a result, small mammals may
1726 experience seasonal changes in distribution and abundance linked to rainfall patterns and
1727 ground cover (Mahlaba and Perrin 2003). As such, small mammal populations may decline in
1728 years with decreased annual rainfall and increase in years of greater rainfall. Thus, availability
1729 of food and herbaceous cover or shelter against predation may be greater in wet seasons leading
1730 to a high abundance of small mammals (Schradin and Pillay, 2006). The decline in food
1731 availability allows for intensified intraspecific competition for food which may be
1732 accompanied by a decrease in body weight (Kok et al., 2012; Ofori et al., 2016), low rates of
1733 survival and cessation of breeding (Banks and Dickman, 2000; Abu Baker and Brown, 2010).
1734 The decline in food availability is the cause of low population weight in winter, which is
1735 associated with unfavourable conditions such as the cold and predation (Banks and Dickman,
1736 2000; Muteka et al., 2006). Therefore, seasonal fluctuations in availability of resources (i.e.
1737 food and shelter) may determine the population dynamics of these animals in natural
1738 ecosystems. Information on the population dynamics and other aspects of small mammal
1739 community structure is vital in agriculture where they reduce or destroy agricultural produce
1740 (Jacob, 2008). Hence, in agricultural systems, populations of small mammals tend to be
1741 controlled by humans (Jacob, 2008).

1742 Rainfall variation in addition to injudicious rangeland management are likely to allow
1743 for the invasion of terrestrial ecosystems by woody plants which in turn suppresses growth of
1744 grasses and other herbaceous plants (Bond, 2008; Kharika et al., 2015; Hale et al., 2020). The
1745 phenomenon where grasslands and savanna ecosystems experience an increase in density and
1746 biomass of woody plants as caused by changes in livestock grazing, fire regimes and climate
1747 change is called bush or woody plant encroachment (Wigley et al., 2009; O'Connor et al., 2014,
1748 Nghikembua et al., 2020). Woody plant encroachment causes detrimental effects on savanna
1749 and grassland ecosystems leading to environmental degradation (e.g. loss of biodiversity) and
1750 economic losses in ecotourism and rangeland productivity (Scott et al., 2006; Angassa, 2014;
1751 Kharika et al., 2015). Suppression of grasses caused by bush encroachment also poses a threat
1752 to small mammal populations through loss of nesting sites and high predation risks (Griffiths
1753 and Brook, 2014).

1754 Small mammals are known to time their reproduction to ensure that they give birth
1755 during times where there is maximum growth and survival of offspring (Fitzgerald and
1756 McManus, 2000). Most of these animals reproduce seasonally in order to buffer their young

1757 from detrimental environmental conditions (Muteka et al., 2006). However, species with
1758 shorter life spans such as the rodent *Mastomys natalensis* tend to reproduce throughout the year
1759 as long as environmental conditions are favourable (Muteka et al., 2006). However, bush
1760 encroachment may affect this pattern for small mammals.

1761 Roodeplaat farm in Pretoria is an experimental site for the Agricultural Research
1762 Council (ARC) of South Africa. The farm is bush encroached, which reduces its utility as
1763 rangeland for livestock and wild animals. Efforts are underway to find strategies for
1764 rehabilitation of the farm, using action research. Rehabilitation of bush encroached rangelands
1765 focuses on restoring ecosystems for the benefit of large animals while invertebrates and small
1766 mammal groups are neglected. This study feeds into those rehabilitation efforts by investigating
1767 habitat associations of small mammals and exploring their population dynamics, which
1768 constitute a knowledge gap for managed rangelands. The primary objective of the study was to
1769 determine the seasonal aspects of the ground-dwelling small mammal community structure,
1770 i.e. age structure and sex ratio of small mammals in the Roodeplaat farm as influenced by
1771 different levels of bush encroachment. The second objective was to identify and determine the
1772 seasonal weight variation of these animals in bush encroached sites. To address these
1773 objectives, I asked; (1) Does the size (weight) of small mammals change with seasons, and (2)
1774 Does the age structure of small mammals differ among seasons? I predicted that a greater
1775 number of females would be caught in this study than males and more juveniles than adults
1776 and subadults.

1777 **3.2 Materials and methods**

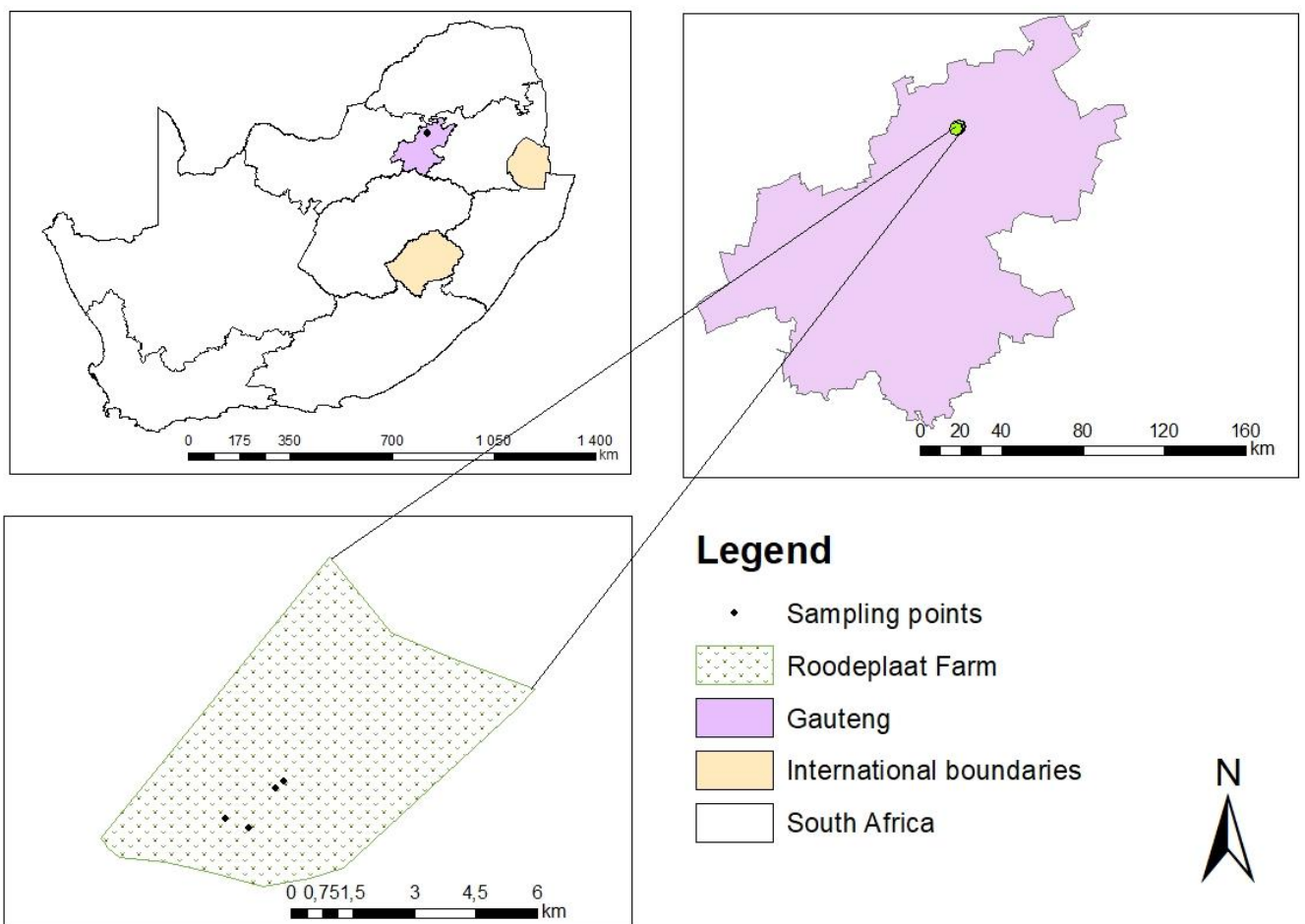
1778 **3.2.1 Study site**

1779 The study was conducted at Roodeplaat farm (25°36'58"S; 28°21'37"E, altitude 1220 m above
1780 sea level) north of Pretoria in South Africa (Figure 3.1). Roodeplaat is a 4100-ha experimental
1781 farm of the Agricultural Research Council. The area experiences hot wet seasons (October-
1782 March) and cool, dry seasons (April-September). Wet season temperatures vary from 20-29 °C
1783 and 2-16 °C in the dry season (Low and Rebelo, 1996; Schulze et al., 1997). Roodeplaat
1784 receives a mean annual rainfall of 646 mm (Mkhize et al., 2015).

1785 The area's topography is a gently sloping terrain that rises to uplands and low scattered
1786 hills and is bisected by access roads (Panagos, 1995). The vegetation is described as Marikana
1787 Thornveld and Central Sandy Bushveld, whose woody layer is dominated by *Combretum* spp.,
1788 *Erythrina caffra*, *Euclea crispa*, *Vachellia karroo*, *V. nilotica*, *V. robusta*, *V. tortilis* and

1789 *Ziziphus mucronata* (Mucina and Rutherford, 2006). The herbaceous layer includes inter alia,
 1790 the grasses *Digitaria eriantha*, *Urochloa panicoides*, *Aristida diffusa*, *Eragrostis curvula*,
 1791 *Panicum maximum*, and *Fingerhuthia africana*, and woody shrubs or small trees such as
 1792 *Tarconanthus camphoratus* and *Lippia rehmannii*, and many forbs. Crops produced on the farm
 1793 include lucerne, maize, and various horticultural crops. Most of the farm (2100 ha) is rangeland
 1794 for livestock, mainly cattle (*Bos taurus*), and wild animals such as impala (*Aepyceros*
 1795 *melampus*), kudu (*Tragelaphus strepsiceros*), nyala (*Tragelaphus angasii*), waterbuck (*Kobus*
 1796 *ellipsiprymnus*), black-backed jackal (*Canis mesomelas*), banded mongoose (*Mungos mungo*),
 1797 and warthog (*Phacochoerus africanus*). Small mammals consist of rodents, shrews and bats.
 1798 The geology of the area consists of mafic intrusive rocks, which include gabbro, norite, shales,
 1799 and quartzite, among others (Mucina and Rutherford, 2006). The most common soil forms are
 1800 Valsrivier, Arcadia, and Hutton, which are suitable for crop production (Panagos, 1995).

1801



1802

1803 Figure 3. 1: Map of the study area (Roodeplaat Farm).

1804

1805 3.2.2 Sampling

1806 I used the same procedure to trap non-volant small mammals as in chapter 2. Sherman Live
1807 traps coated with a mixture of peanut butter and oats were used to capture the small mammals.
1808 These traps were set up at 15h00-17h00 and checked the following morning from 06h00-
1809 08h00. Caught animals were identified to species level, sexed, weighed, and length
1810 measurements of body parts (e.g. body length, tail length, etc) taken as appropriate using a
1811 spring balance and a ruler (MacFadyen et al., 2012). Non-toxic water print paint was used to
1812 mark captured individuals at the back of the neck before release to avoid double-counting (Kok
1813 et al., 2012). I used the weight of the animals to classify them as juvenile (0-20 g), sub-adult
1814 (21-30 g), and adult (> 30 g). This classification is supported by the idea that the weight of
1815 small mammals is correlated with their age (Pucek and Lowe, 2009). However, this
1816 classification applies only to small mammals. The sex of the animals was determined using the
1817 anogenital distance (the distance from the anus of a small mammal to the genitals), which is
1818 shorter in females and longer in males (de Graaff, 1981)

1819

1820 3.2.3 Data analysis

1821 The data were analysed using Microsoft Excel and the IBM SPSS software version 25 for
1822 windows. A non-parametric Friedman's test was used to test for differences in small mammal
1823 abundance among seasons and levels of bush encroachment. A one-factor ANOVA was used
1824 to determine whether there were differences in species richness among seasons. The Kruskal-
1825 Wallis (χ^2) test was used to establish whether there were significant differences in the sex-ratios
1826 of small mammals among levels of bush encroachment. I further tested for differences in sex-
1827 ratio among seasons for *Mastomys natalensis* and *Aethomys namaquensis* using the one-factor
1828 ANOVA. Seasonal sex-ratios were not meaningful for four species because of small capture
1829 numbers. I also compared whether there were differences in the adult weight of small mammals
1830 among seasons for *M. natalensis* and *A. namaquensis* using the Kruskal-Wallis test, as the
1831 normality assumption of a one-factor ANOVA was not satisfied. I also compared the age
1832 structure of small mammals among seasons and levels of bush encroachment using a two-factor
1833 ANOVA. If significant, age structure was compared between seasons using Tukey's Honestly
1834 Significant Difference post hoc test. Lastly, I used the Shannon-Wiener and Simpson diversity
1835 indices (Magurran, 2004) to calculate the diversity of small mammals across the three seasons.
1836 I also used the Pielou's evenness index to estimate species evenness across the seasons. The
1837 indices were calculated as follows:

1838

1839 Shannon-Wiener diversity index $H' = -\sum pi \ln pi$

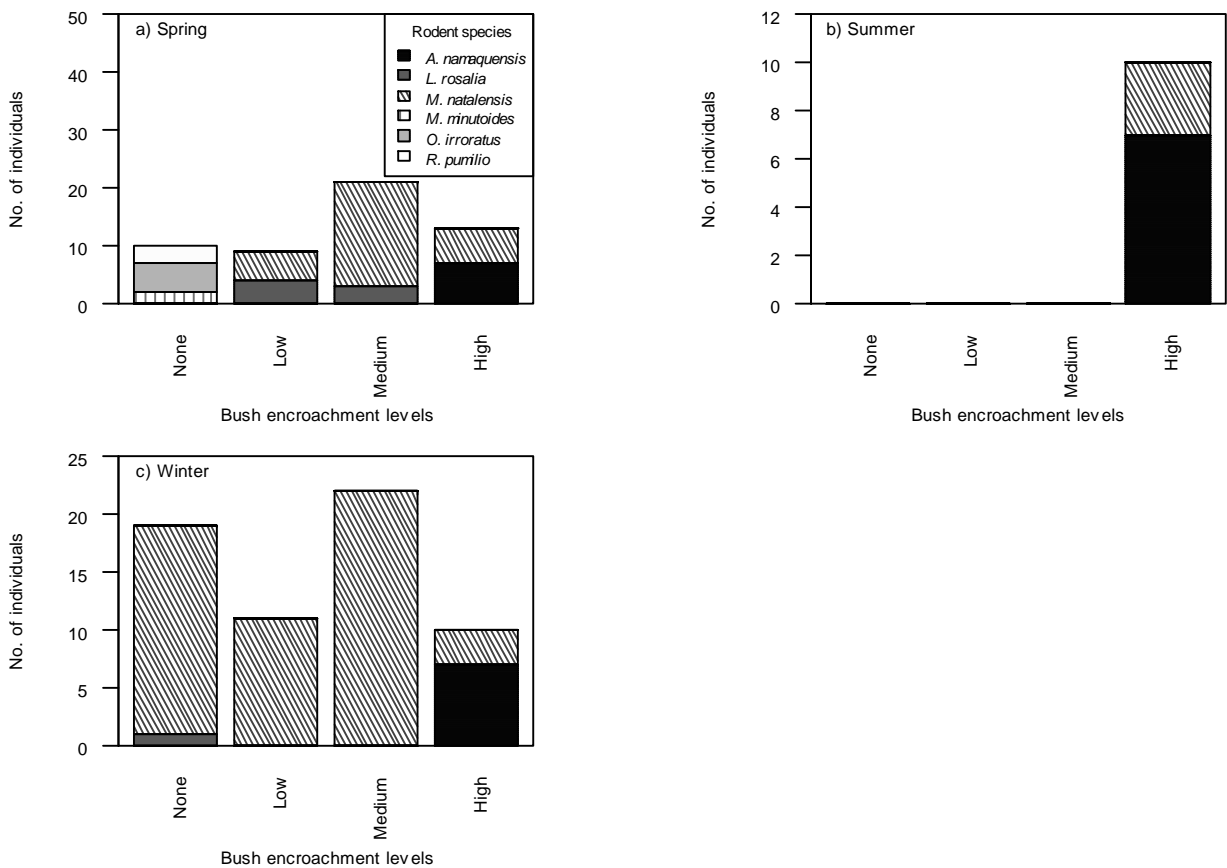
1840 Simpson diversity index = $1/\sum pi^2$

1841 where pi is the proportion of the i th species in the total sample.

1842 Pielou's evenness index (J) = $\frac{H'}{\ln(S)}$, where S is the species richness.

1843 **3.3 Results**

1844 I captured a total of 125 small mammals belonging to six rodent species (*Aethomys namaquensis*, *Lemniscomys rosalia*, *Mastomys natalensis*, *Mus minutoides*, *Otomys irroratus* and *Rhabdomys pumilio*) in 1568 trap-nights in the study, giving an overall trap-success of 8%.
1845
1846
1847 Sixty-two individuals from three species were captured in winter, 53 individuals from six
1848 species in spring, and ten individuals from two species in summer.

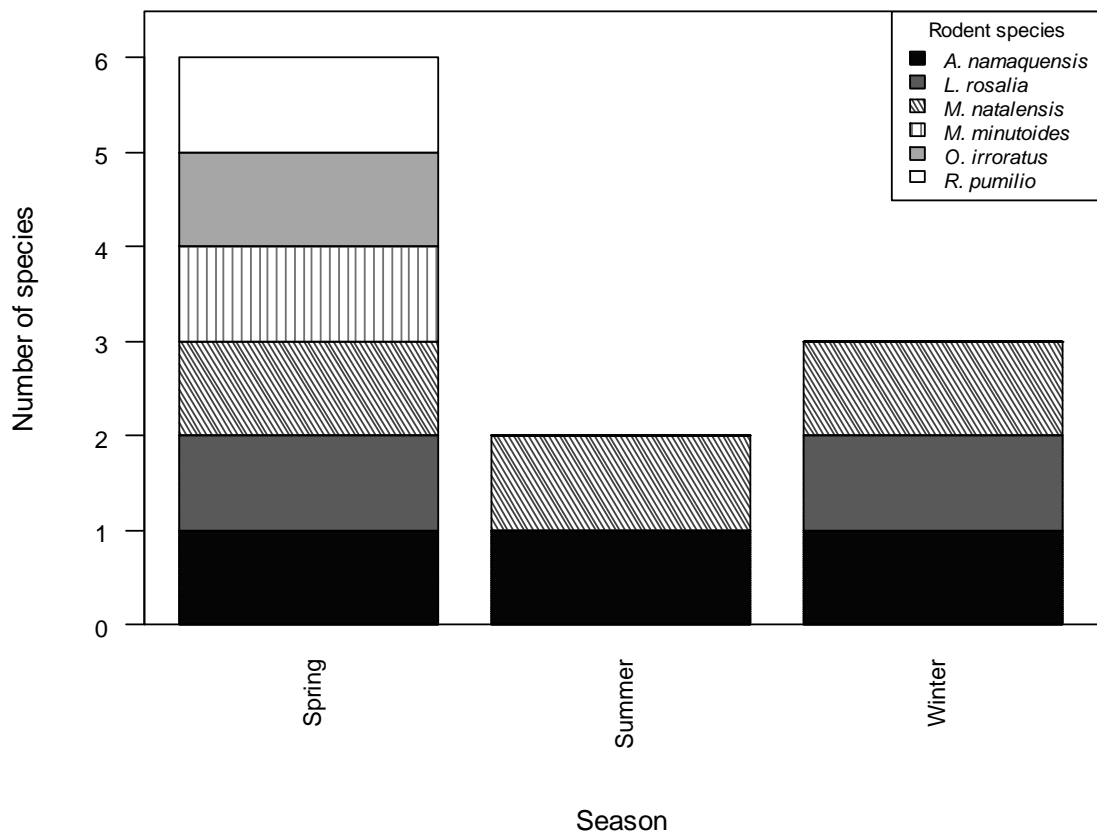


1849

1850 Figure 3. 2: Number of individuals of small mammals by species caught in a bush-
1851 encroached savanna at Roodeplaat in (a) spring, (b) summer and (c) winter.

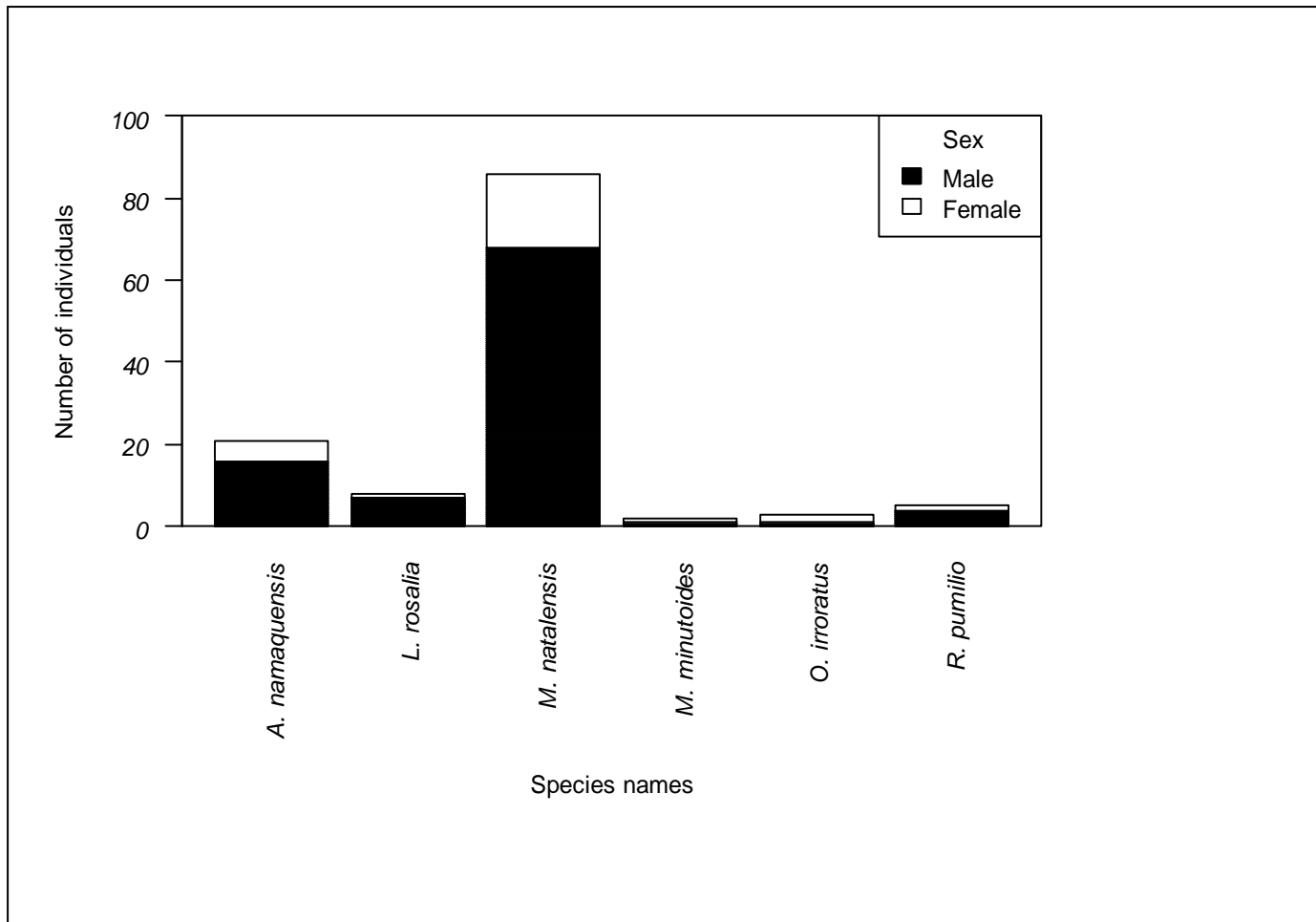
1852 There was a statistically significant difference in small mammal abundance among levels of
1853 bush encroachment and seasons (Friedman's test $\chi^2 (2) = 6.318, P = 0.042$). The number of

1854 individuals increased from the none encroached habitat to the medium encroached but
 1855 decreased in the highly encroached habitat. The number of animals significantly increased from
 1856 summer to winter but was similar between winter and spring ($P = 0.056$). Similarly, there was
 1857 a significant difference in small mammal abundance between spring and summer ($P = 0.05$)
 1858 and winter and summer ($P = 0.021$).



1859
 1860 Figure 3. 3: Species richness of ground-dwelling small mammals at Roodeplaat Farm caught
 1861 in bush-encroached and non-encroached sites during spring, summer and winter.

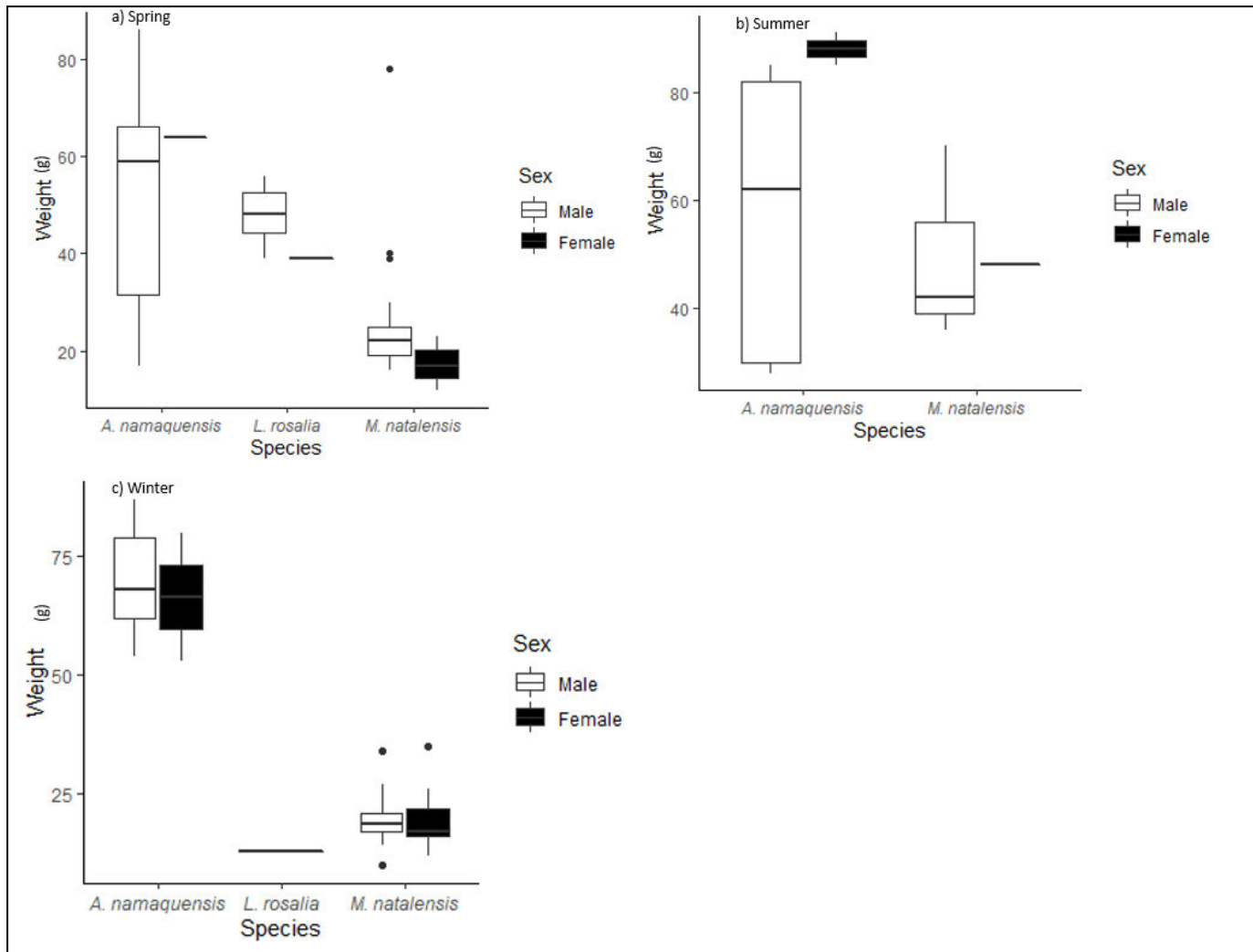
1862 Animal species in summer and winter were a subset of those found in spring (Figure 3.3), and
 1863 species richness was significantly greater in spring than in summer and winter ($F_{2,9} = 5.842$, P
 1864 $= 0.024$). Significant differences in species richness were observed only between spring and
 1865 summer ($P = 0.019$). there were no significant differences in species richness among the levels
 1866 of bush encroachment.



1867

1868 Figure 3. 4: Number of small mammals by species and sex found in a bush-encroached
 1869 savanna at Roodeplaat Farm.

1870 I found more males (77.6%) than females (22.4%) among the different species of rodents
 1871 (Kruskal-Wallis test: $\chi^2_{(1)} = 6.147$; $P = 0.01$). This was particularly so for *A. namaquensis*, *L.*
 1872 *rosalia*, *M. natalensis*, and *R. pumilio*. In contrast, *Otomys irroratus* had more females than
 1873 males (Figure 3.4). *Mus minutoides* had an equal number of males and females. I found a
 1874 significant difference in sex ratio among seasons for *M. natalensis* ($F_{1,5} = 4.396$, $P = 0.009$).
 1875 The post hoc test revealed that these differences were between the winter and summer ($P =$
 1876 0.011), with the sex ratio biased towards males in both seasons. The sex ratio of 1:1 was similar
 1877 among seasons for *A. namaquensis* ($F_{2,18} = 0.186$, $P = 0.964$).



1878

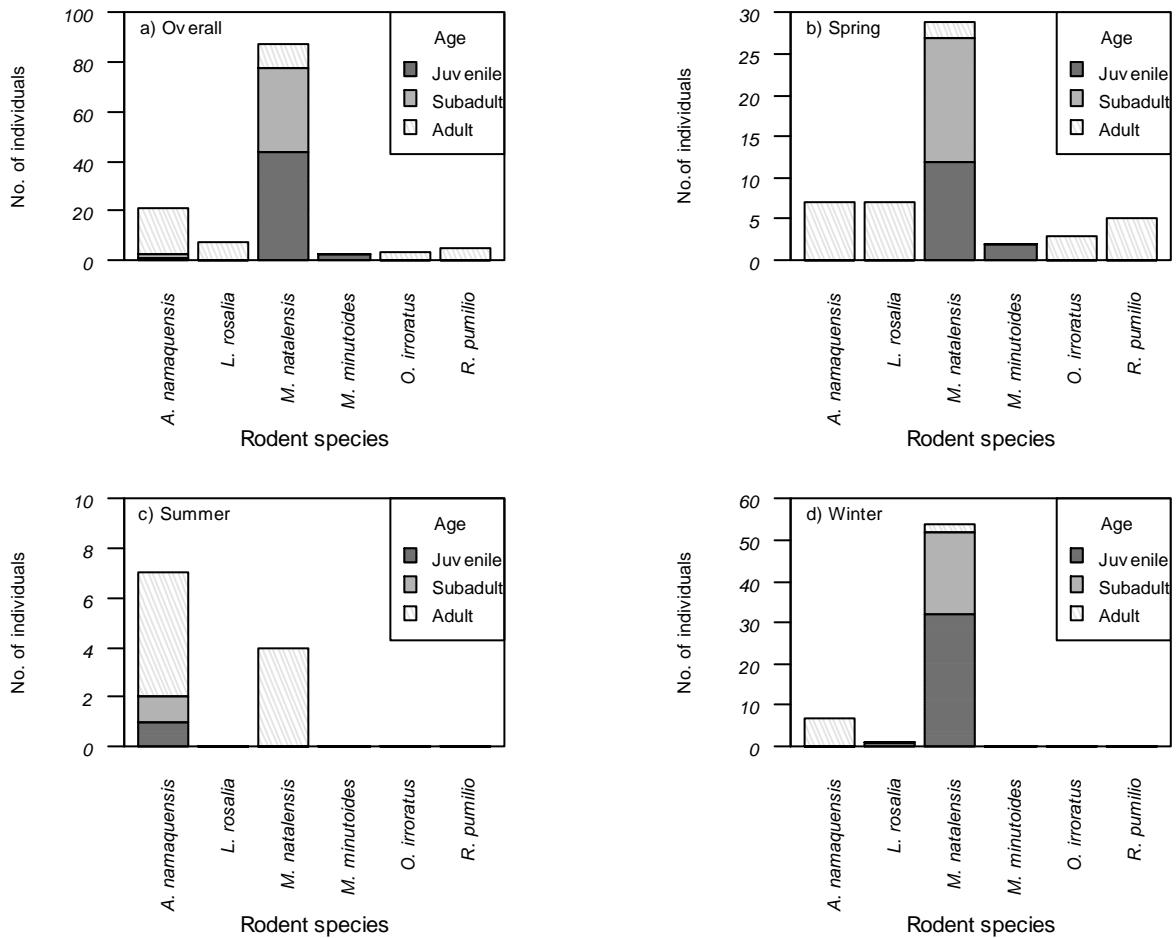
1879 Figure 3. 5: Seasonal size (weight) variation of adult small mammals caught at Roodeplaat

1880 Farm.

1881 The weight of *A. namaquensis* adult rodents was similar among seasons (Kruskal Wallis χ^2 (2)

1882 = 0.2944, $P = 0.229$). *M. natalensis* individuals were significantly larger in summer than in

1883 winter and spring (Kruskal Wallis χ^2 (2) = 11.737, $P = 0.003$).



1884

1885 Figure 3. 6: The age structure of small mammals in (b) spring, (c) summer and (d) winter at
 1886 Roodeplaat farm.

1887 The overall age structure of all the animals caught indicated that most animals were juveniles
 1888 (38.4%), then adults (32.8%) and sub-adults (28.8%). In spring (Figure 3.6b), no individuals
 1889 of *L. rosalia* were caught. *Aethomys namaquensis*, *O. irroratus*, and *R. pumilio* were
 1890 represented by only adult individuals. In summer and winter, animals caught were from two
 1891 and three species, respectively (Figure 3.6c, d). Specifically, only adults of *A. namaquensis*
 1892 were caught in winter. Only *Mastomys natalensis* and *A. namaquensis* had a representation in
 1893 all the age groups. *Mastomys natalensis* also had most subadults compared to other small
 1894 mammal groups. Statistical analyses showed that the age structure of the rodents was similar
 1895 among seasons and habitats ($F_{3,18} = 0.204$, $P = 0.892$).

1896

1897

1898

1899

1900 Table 3. 1 Shannon and Simpson diversity index values for small mammals recorded at
1901 Roodeplaat Farm

| Season | Shannon-Wiener diversity index (H') | Simpson diversity index (D) | Pielou's evenness index (J) |
|--------|-------------------------------------|-----------------------------|-----------------------------|
| Spring | 1.37 | 2.88 | 0.76 |
| Summer | 0.61 | 1.72 | 0.88 |
| Winter | 0.43 | 1.30 | 0.39 |

1902
1903 Species diversity decreased from spring to winter both in terms of Shannon and Simpson index.
1904 The Pielou's index showed a decrease in species evenness from spring to winter.

1905 3.4. Discussion

1906 I observed significant differences among seasons and habitat in small mammal abundance. The
1907 number of small mammals were high in spring and winter. These results are similar to what
1908 Avenant (2000, 2011) and Fuller and Perrin (2001) observed. Winter is the end of the breeding
1909 season for many species of rodents. Moreover, the energy of small mammals in winter is high
1910 as temperatures are dropping a little and can move around to search for food (Avenant, 2011).
1911 As a result, the animals were caught in high numbers in winter.

1912 A decline in food during winter encourages even trap-shy species to visit the traps. Low
1913 abundance in early summer and spring may be because the population numbers are still low
1914 although food is available (Avenant, 2008). Secondly, temperatures in spring and summer are
1915 relatively high, and this affects the energy of small mammals to move around (Avenant, 2011).
1916 Lastly, rainfall may have contributed to the low number of individuals captured. The summer
1917 sampling period coincided with rainfall events, and the animals were possibly sheltering from
1918 the rain in burrows.

1919 All the levels of bush encroachment supported a number of small mammal individuals
1920 in spring and winter. The abundance of small mammals increased from the non-encroached
1921 habitat to the medium encroached habitat. The abundance then decreased on the highly
1922 encroached habitat. However, in summer, small mammals were observed in the highly
1923 encroached habitat only. The reason for no capture rate in the other habitats in summer was
1924 probably influenced by the rainfall event during the sampling period. Which may have
1925 influenced the trapping rate, making it less likely to trap the animals. Additionally, dense and
1926 tall vegetation cover in the non- encroached, low and medium encroached habitat may have

1927 influenced the capture rate at these habitats. This result shows that dense vegetation is not
1928 always safe as rodent predators have different hunting strategies (Hagenah, 2006). As a result,
1929 the tall grass cover in the non-encroached, low and medium habitats may have influenced the
1930 trap rate in the summer season.

1931 The species richness was different across the seasons and similar among the levels of
1932 bush encroachment. Spring had the highest species richness. *Mastomys natalensis* and *A.*
1933 *namaquensis* were found in all three seasons, and this may be attributed to the fact that *M.*
1934 *natalensis* breeds throughout the year. The species *M. minutoides*, *O. irroratus*, and *R. pumilio*,
1935 were only found in spring and in the non-encroached habitat. *Rhabdomys pumilio* was observed
1936 in spring, and is known to breed during this time, which also coincides with the start of plant
1937 growth after the cessation of plant growth in winter. The absence of this species in the other
1938 seasons might relate to that this species exploits different habitats in different seasons (Auffray
1939 et al., 2009). For example, it may forage in open habitats in the wet seasons and closed habitats
1940 or forests during the dry season (Auffray et al., 2009). Such behaviour is mostly attributed to
1941 food availability (Schradin and Pillay, 2006; Lagesse and Thondhlana, 2016). However, in this
1942 study, this species was not observed in the other seasons and was confined only to the non-
1943 encroached habitat. Rimbach et al. (2016) also found the low activity of this species in the dry
1944 season, which coincides with winter because it minimises energy use as there is less food
1945 available. Moreover, the loss of herbaceous plants in the encroached habitats may contribute
1946 to the low capture of this species (Lloyd and Vetter, 2019). In other studies *R. pumilio* is found
1947 to be in high captures, and I believe loss of herbaceous cover to be the main cause of this result
1948 in this study. These results contrast Rautenbach et al. (2014), who observed high small mammal
1949 richness in summer than winter and spring in the bushland and woodland savanna types of
1950 South Africa. Seasonal rainfall variation was the main factor which influenced species richness
1951 in these areas (Rautenbach et al., 2014).

1952 The animals caught were biased towards males except for *O. irroratus*, which had more
1953 females than males. A significant difference in sex ratio between winter and summer was
1954 observed for *M. natalensis*, and this ratio was biased towards males. Most of these males were
1955 found in the encroached sites. Erena et al. (2011) and Omogbeme and Oke (2018) found similar
1956 results. This is mainly because males tend to move greater distances than females in search of
1957 food, and this increases the likelihood of them entering traps. This is consistent with Goudie
1958 and Versey (1986), who found that males of the white-footed mice (*Peromyscus leucopus*)
1959 disperse 75 m away from their nests while females disperse only 39 m. Our findings are in

1960 contrast to those of Mahlaba and Perrin (2003), who reported a female-biased sex-ratio in small
1961 mammals for both the dry and wet season. *Aethomys namaquensis* sex-ratio was similar from
1962 one season to the next.

1963 Annual fluctuations in small mammal density not only affect the population of small
1964 mammal species but also affect body mass or weight (Banks and Dickman, 2000). Lack of food
1965 subsequently leads to a decrease in body weight, low rates of survival, and cessation of
1966 breeding, and as a result, the population declines (Banks and Dickman, 2000). Significant
1967 differences were observed in the adult body weight of *M. natalensis* among seasons. The body
1968 weight of *M. natalensis* was similarly lower in winter and spring than in summer. This may be
1969 because, of a lack of food. However, *M. natalensis* body weight showed a greater increase in
1970 summer. Food availability after spring rainfalls may have contributed to the increase in body
1971 weight. Also, only adults of this species were caught in summer, and adults weigh more than
1972 juveniles. Christensen (1993) also observed a decline in body weight in winter, and
1973 unfavourable climatic conditions played a role. On average, *A. namaquensis* weighed more
1974 than all the other species in all three seasons. Body weight of individuals of *A. namaquensis*
1975 was little variable among seasons. Sex and habitat did not influence the weight of small
1976 mammals caught in this study area.

1977 Of all animals captured, juveniles were the most numerous while subadults were least
1978 common. These results contrast those found by Omogbeme and Oke (2018), who caught more
1979 adults and few juveniles of *M. natalensis* species in the Owabi Wildlife Sanctuary and Okomu
1980 National Park in Nigeria. *Aethomys namaquensis* and *M. natalensis* were the only species with
1981 all three age structures represented. This result may be an indication of an all year breeder, and
1982 *M. natalensis* is known to reproduce throughout the year (Apps, 1996). *Aethomys namaquensis*
1983 had the highest number of adults in all seasons with an equal number of subadults and juveniles.
1984 Overall, more adults of all species were observed in spring than in summer and winter. Only
1985 one juvenile was observed in the summer season and the number of individuals was also very
1986 low. This may be because vegetation in this season was not yet fully established and as a result,
1987 summer is considered the worst time to sample small mammals as population numbers are still
1988 low (Avenant et al., 2008). This may explain the low capture of juveniles in summer. The
1989 reproduction of the species did not differ much among seasons. Only three individuals of *O.*
1990 *irroratus* were observed and two of them were pregnant in spring. Forty percent pregnant and
1991 lactating individuals of *A. namaquensis* were observed in the summer season, as this species
1992 breeds in summer months. The increase in the number of pregnant females in the summer

1993 months means that food was now becoming available and small mammals tend to give birth
1994 when environmental conditions are suitable for the survival of the young. A 5.8% of lactating
1995 individuals of *M. natalensis* was also observed in summer.

1996 The highest diversity of small mammals was in the spring. This diversity decreased
1997 towards summer and in winter. This decrease in diversity was driven by the decrease in
1998 abundance and species richness in the summer and winter season. The observed decrease in
1999 species diversity in winter contrasts with the studies by Avenant (2000) and Fuller and Perrin
2000 (2001), where diversity indices were highest in autumn and continued to early winter, when
2001 food starts to become scarce.

2002 **3.5 Conclusion**

2003 This study found that the abundance of ground-dwelling small mammals at Roodeplaat changes
2004 seasonally. Moreover, there was a difference in small mammal abundance across the levels of
2005 bush encroachment, with the least abundance in summer. The prediction that there would be
2006 more juveniles caught than adults was supported. However, the prediction that the sex ratio
2007 would favour females was not supported as more males than females were caught. Overall, this
2008 study observed that seasonality influences the abundance, age structure, and body weight of
2009 small mammals differently. The absence of juveniles and subadults for other species in this
2010 area indicates an unstable rodent community, as well as the few individuals in the reproductive
2011 state. These results are an indication of an unstable or unfavourable ecosystem condition, which
2012 I attribute to bush encroachment, which may have changed the functioning of small mammals.
2013 The study showed that seasonal effects and bush encroachment have a negative impact on
2014 abundance and species richness of small non-volant small mammals. The differences in rainfall
2015 patterns between seasons likely altered the vegetation dynamics of the different habitats, which
2016 in turn affected the diversity of small mammals. These results suggest that management
2017 strategies need to be put in place to conserve the rangeland, as this small mammal study has
2018 shown that the ecological integrity of this rangeland is affected.

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Chapter 4

Summary, Conclusions and Recommendations

4. 1 Summary and Conclusions

Grassland and savanna ecosystems in southern Africa and elsewhere are threatened by woody plant encroachment and associated decreases in carrying capacity and biodiversity loss. This study aimed to examine the diversity of non-volant small mammals in a woody plant encroached savanna over the wet and dry seasons in a mesic savanna using Sherman traps. The objectives were to: (1) identify the species of small mammals in the non-encroached, low, medium, and highly encroached sites; (2) measure the body dimensions of the animals; and (3) to characterise the habitat on which these mammals were found.

This study found that the diversity of non-volant small mammals decreased with the increase in bush encroachment (Chapter 2). The abundance of the generalist rodent species *Mastomys natalensis* and *Lemniscomys rosalia*, which are usually found in high numbers, decreased significantly with an increase in bush encroachment intensity. Moreover, *L. rosalia* was observed in the non-encroached, low, and medium encroached habitats and was absent in the highly encroached habitats. Low grass cover and numerous bare ground patches were the main factors contributing to the low abundance and absence of these two species in the highly encroached habitat at Roodeplaats Farm. *Aethomys namaquensis* thrived in the highly encroached habitat but was absent in the other levels of bush encroachment. This species is known to prefer rocky areas while nesting on trees, and the highly encroached habitat may be the best habitat for it.

Likewise, small mammal diversity decreased with increased bush encroachment levels, with the non-encroached habitat having the highest diversity. This suggests that the non-encroached habitat was heterogeneous and more complex so that it was able to provide numerous niches to support numerous species. The negative effect of bush encroachment on small mammal diversity was evident in this study as it was associated with a decline in species richness from six species in the non-encroached to two species in the encroached sites. These results show that as bush encroachment worsens, many small mammal species will be lost, together with the services they provide, such as nutrient cycling. There will also be disruptions of the food web (MacFadyen et al., 2012). Moreover, this study has shown that small mammals can be used as possible indicators of rangeland condition, as the species showed different habitat preferences and the number of individuals for many species decreased with encroachment (Chapter 2).

2185 One negative effect of bush encroachment is that it changes an ecosystem's habitat
2186 structure, which may consequently influence the diversity of animals found in such habitats. I
2187 therefore, also assessed changes in small mammal community structure under different bush
2188 encroachment levels and how these changes vary seasonally. I found greater numbers and
2189 species richness of non-volant small mammals in non-encroached habitats than encroached
2190 sites. Abundance by species increased from spring to winter, while summer had the lowest
2191 number of individuals. Summer was the worst sampling time as no species were observed in
2192 the non-encroached, low, and medium encroached habitats (Chapter 3). This finding is
2193 consistent with other studies that report mid-spring and summer to be the worst time to sample
2194 data on small mammals (e.g., Avenant et al., 2008).

2195 Species diversity of small mammals also decreased from spring to winter season, and
2196 more males were observed than females. Most of the individuals were juveniles. These results
2197 show an unstable population of species because of the disproportionate abundance of juveniles,
2198 and a stable population should have adequate representation of all age groups. *Mastomys*
2199 *natalensis* was represented by many individuals in all age groups while *A. namaquensis* only
2200 had one juvenile. Also, few of the females observed were in a reproductive state; this also
2201 shows an unstable population of species.

2202 **4.2 Recommendations**

- 2203 • One limitation of this study is that it was not undertaken with the same sampling
2204 intensity at Goss (180 trap nights in the spring season) and Roodeplaat (1568 trap nights
2205 in spring, summer, and winter). Comparable studies require similar sampling
2206 intensities. However, the work at Goss was opportunistic rather than part of the original
2207 design. Therefore, even though something similar was done at the Goss Game Farm,
2208 data was not collected for all four seasons.
- 2209 • I also suggest that sampling be done for all the months so that the changes in diversity
2210 (abundance and species richness) can be seen clearly. In other words, greater sampling
2211 intensity may be required.
- 2212 • Future studies may need to employ similar sampling strategies and intensities in low
2213 altitude (e.g. Goss) and medium to high altitude rangelands (e.g. Roodeplaat) to
2214 determine bush encroachment's effect over a long period.
- 2215 • This study has shown that bush encroachment negatively affects even generalist species
2216 of non-flying small mammals. This suggests that rangeland managers may need to

2217 develop rehabilitation strategies so that the farm can continue to be utilised as rangeland
2218 for livestock and wild animals.

2219 • Rangeland managers could rehabilitate the habitats that the big animals inhabit using
2220 mechanical (cutting down trees) and chemical mechanisms. This attempt will create
2221 protection for both big mammals and the non-volant small mammals.

2222 • Lastly, rangeland managers may consider increasing species and numbers of browsers
2223 to the encroached sites to reduce woody vegetation and increase the carrying capacity
2224 of the rangeland, which would also benefit small mammals.

2225 **4.3 References**

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