GENETIC DIVERSITY OF THE RATTUS COMPLEX (RODENTIA: MURIDAE) IN KWAZULU-NATAL

by

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ABSTRACT

The rodent genus *Rattus* is considered to be the single largest genus of mammals in the world. One species of *Rattus* is usually more dominant than another within a specific geographical area; however within the province of KwaZulu-Natal South Africa current observations indicate that Norway rats (*R. norvegicus*), black rats (*R. rattus*) and the indistinct Asian house rat (*R. tanezumi*) exist sympatrically.

DNA sequencing of the cytochrome *b* and D-loop regions of the mitochondrion were used in conjunction with karyotyping of bone marrow and tissue culture cells to analyse the genetic diversity of selected *Rattus* populations from KwaZulu-Natal.

Comparison of sequence data obtained during the study to reference sequences obtained from the NCBI GenBank revealed three well-supported monophyletic groups in maximum parsimony and Bayesian analyses. These three monophyletic groups indicated the existence of three species of the *Rattus* complex within KwaZulu-Natal, namely *Rattus rattus*, *Rattus norvegicus* and *Rattus tanezumi*.

Analysis of cytochrome *b* sequence data revealed the presence of 6, 3 and 2 haplotypes in 20 *R*. *norvegicus*, 8 *R. rattus* and 5 *R. tanezumi* specimens, respectively. The *R. norvegicus* haplotypes were separated from *R. rattus* and *R. tanezumi* haplotypes by 60 mutational steps, while *R. rattus* haplotypes were separated from *R. tanezumi* haplotypes by 24 mutational steps. Analysis of D-loop sequence data revealed the presence of 6, 2 and 1 haplotypes in 14 *R. norvegicus*, 4 *R. rattus* and 3 *R. tanezumi* specimens, respectively. *R. norvegicus* haplotypes were separated from *R. rattus* and *R. tanezumi* haplotypes by 15 mutational steps, while *R. rattus* haplotypes were separated from *R. tanezumi* haplotypes by 11 mutational steps.

Karyotype analysis of specimens revealed that: (1) R. rattus specimens sampled presented with a karyotype of either 2n = 38 or 2n = 40; (2) R. tanezumi specimens sampled presented with a karyotype of 2n = 42 and (3) R. norvegicus specimens sampled presented with a karyotype of 2n = 42 which was very distinct from that of R. tanezumi.

PREFACE

The experimental work described in this dissertation was carried out in the School of Biological and Conservation Sciences, Department of Biology, University of KwaZulu-Natal, Durban, from August 2005 to December 2006, under the supervision of Prof. J. M. Lamb, Dr. G. Contraffato and Prof. P.J. Taylor.

This study represents original work by the author and has not otherwise been submitted in any form for any degree or diploma to any tertiary institution. Where use has been made of the work of others it is duly acknowledged in the text.

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DECLARATION 1 – PLAGARISM

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DECLARATION 2 – PUBLICATIONS

Details of contribution to publications that form part and/or include research presented in this thesis:

Publication 1:

Armanda DS Bastos, Deenadayalan Nair, Peter J Taylor, Helene Brettschneider, Frikkie Kirsten, Elmarie Mostert, Emil von Maltitz, Jennifer M Lamb, Pim van Hooft, Steven R Belmain, Giancarlo Contrafatto, Sarah Downs and Christina T Chimimba (2011). Genetic monitoring detects an overlooked cryptic species and reveals the diversity and distribution of three invasive *Rattus* congeners in South Africa. *BMC Genetics* 12. DOI: 10.1186/147-2156-12-26

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CHAPTER 1 INTRODUCTION

1.1 Background

The rodent genus *Rattus* Fischer de Waldheim 1803, consisting of at least 61 valid species, is considered to be the single largest genus of mammals. Members of this genus are observed to be widely distributed throughout the world, thus earning them the reputation of being successful colonisers. Species belonging to the genus *Rattus* occur in all types of habitats including sandy desert (De Graaff, 1981; Aplin *et al.*, 2003; Chinen *et al.*, 2005, Yiğit *et al.*, 1998). Members of this ubiquitous genus are perhaps most known as pests, for their involvement in the spread of diseases such as plague during the 5th and subsequent centuries in Europe (Aplin *et al.*, 2003) and currently as reservoirs for at least 61 different emerging diseases including Hantavirus in America (Aplin *et al.*, 2003; Mills *et al.*, 1999) and plague, leptospirosis and toxoplasmosis in Africa and worldwide (Begon, 2003; Singelton *et al.*, 2003; Taylor *et al.*, 2008; Meerburg *et al.*, 2009). Only 15 *Rattus* species are known to be significant agricultural pests, of which five are true commensals, while three species now exist solely within the confines of human environments (Aplin *et al.*, 2003).

Rats are also of considerable benefit to humans in the medical field where they are used as model organisms in the study of various diseases. The brown or Norway rat (*Rattus norvegicus* (Berkenhout, 1769)) has been much studied in relation to the formulation of cures for diseases, the workings of the mammalian brain and the effects of various substances on the mammalian body. In fact the Norway rat has been used as a model for learning about human physiology and disease from as early as the 1800s (Twigg, 1975).

Even though wild *Rattus* populations may be beneficial to humans, their negative impacts are thought to far outweigh the benefits that they provide (Twig, 1975; Aplin *et al.*, 2003). Five percent of *Rattus* species cause considerable damage to agricultural crops, thus creating major problems for farmers, as their crop yields are reduced (Singleton, 2003; Brown *et al.*, 2003). Aside from the damage caused to crops, introduced *Rattus* populations are also known to negatively affect the conservation status and population sizes of indigenous rodents (Goodman, 1995). Within urban environments, rodents, especially those belonging to the genera *Rattus* and *Mus*, are considered as significant pest species that normally co-inhabit human environments,

preferring to live within human homes whilst living off discarded human waste material (Singleton *et al.*, 2003; Begon, 2003).

Another major concern related to rodents that are capable of co-inhabiting human environments is the potential these animals have to behave as zoonotic vectors capable of actively transmitting disease, especially since members of the genus *Rattus* are known to serve as hosts to approximately 60 zoonotic diseases (Twigg, 1975; De Graaff, 1981; Aplin *et al.*, 2003; Belmain, 2003; Meerburg *et al.*, 2009). In South Africa, rodents belonging to the genus *Rattus* are known to transmit diseases such as leptospirosis, plague and toxoplasmosis, with leptospirosis being of major concern, as it is viewed as an emerging infectious disease (Leclerc-Madlala and Janowski, 2004; Taylor *et al.* 2008). Plague is also of concern, as transmission of the plague bacterium from rats to humans via fleas usually results in death if treatment is not administered (Aplin *et al.*, 2003; Twigg, 1975; Duplantier *et al.*, 2003; Duplantier *et al.*, 2005). Toxoplasmosis is only fatal to immuno-compromised persons; within the context of South Africa, this is a major problem owing to the high proportion of immune-compromised HIV positive people in the population (Berdoy *et al.*, 2000; Leclerc-Madlala and Janowski, 2004).

The purpose of this study is to derive some insight into the genetic diversity of members of the *Rattus* complex within the province of KwaZulu–Natal, as knowledge of the species present and their distribution is a necessary first step towards controlling rodent–derived problems in this society.

1.2 The biology, taxonomy and distribution of the *Rattus* complex in South Africa

1.2.1 General Review

The spread of the black (*Rattus rattus* (Linnaeus, 1758)) and Norway (*Rattus norvegicus* (Berkenhout, 1769)) rats, which are commensal organisms by nature, has been mainly attributed to recent human migrations, trade and the higher acclimatisation rates of these species compared with other species in this genus (De Graaff, 1981; Aplin *et al.*, 2003; Chinen *et al.*, 2005, Yiğit *et al.*, 1998). Throughout the world one species of *Rattus* is usually more dominant than another within a specific geographical area (De Graaff, 1981; Aplin *et al.*, 2003). Within the province of KwaZulu-Natal current observations indicate that a *Rattus* complex comprising distinct

populations of Norway rats (*Rattus norvegicus*) and black rats (*Rattus rattus*) exists. Prior to this study, the presence of *Rattus tanezumi* Temminck, 1844 was independently reported in Limpopo and western KwaZulu-Natal provinces (Bastos *et al.*, 2005; Taylor *et al.*, 2008).

1.2.2 The Norway Rat (Rattus norvegicus)

Rattus norvegicus, more commonly called the brown or Norway rat, is very different in character and to some extent in appearance from the common house rat, Rattus rattus. The former attains a much larger size (captured specimens have been recorded at total body length approximately 200 – 400 mm) and can always be distinguished by its stouter body as well as by the shortness of its ears, length of tail (shorter than or approximately equal to length of head and body) and coarser fur. The most distinguishing feature of this species is the colour of its fur, which is usually reddish-brown to a grayish-brown on the back, often darker medially, and is woolly and harsher to touch than that of R. rattus (De Graaff, 1981).

R. norvegicus has a high reproductive rate. Wild populations, which have an average lifespan of two years, are able to breed and produce young throughout the year, with a decline in the rate of breeding and offspring production only occurring during cold seasons. The gestation period of *R. norvegicus* is 22 - 24 days; litter size varies from two to 14 individuals. These rats have the capability to breed up to seven times a year, as the young that are produced from a single breeding phase are independent from the adults at around four to five weeks, and gain sexual maturity at around three to four months (Twigg, 1975).

R. norvegicus is thought to be originally native to the Hondo region of Japan as it is represented there by Holocene and late Pleistocene fossils (Musser and Carleton, 2005). Late Pleistocene – Holocene fossils of *R. norvegicus* have also been found in cave deposits in the Sichuan-Guizhou region of China (Musser and Carleton, 2005). This discovery adds to the conventional view that the original home range of this species also included the northerly temperate regions of China and Southeast Siberia, with distributions limited to forest and brushy areas, woodlands and open fields (Twigg, 1975; De Graff, 1981; Musser and Carleton, 2005). In South Africa, this species is not present within the fossil record and it is speculated to have only been introduced within the last 100 years (De Graaff, 1981).

Following a number of introductions that began in the 1700s, the distribution of the Norway rat has spread to such an extent that it is found virtually everywhere in the world, including areas that are dominated by cold harsh climates such as Antarctica (Twigg, 1975; De Graaff, 1981;

Musser and Carleton, 2005). The widespread dispersal of this species is mainly due to its ability to survive in a variety of environments, probably acquired as a result of its adaptation to the harsh Asian environments where it evolved (De Graaff, 1981). However, it has been suggested that the tropics (and possibly temperate areas as well) are unsuitable for this species, and that it acclimatises less readily than *R. rattus* to countries with warmer climates (Twigg, 1975; De Graaff, 1981; Musser and Carleton, 2005; Borchert *et al.*, 2007).

Possibly due to its slower acclimatization rate and relative inability to survive in an arid environment, as well as its less arboreal habit, this species is observed to have a preference for ground-based dwellings in areas that are close to a water supply. Large *R. norvegicus* populations are normally found close to or alongside rapidly expanding human populations in areas containing garbage dumps or sewers, where there is easy access to an abundant source of discarded human food and an ample water supply (De Graaff, 1981; Twigg, 1975; Musser and Carleton, 2005; Borchert *et al.*, 2007).

1.2.3 The Black Rat (Rattus rattus)

Rattus rattus is more commonly referred to as the black or roof rat. It is clearly distinguishable from *R. norvegicus* as it is smaller in size (approximately 165–254 mm) and has a tail that is longer than its body. Other distinguishing features include fur that is blue-black to black on the upper parts and slate or light gray to white on the under parts. The ears and eyes are large and the tail is black or brown and almost hairless (Long, 2003; De Graaff, 1981).

R. rattus has a higher reproductive rate than R. norvegicus (De Graaff, 1981). Wild individuals, which have an average lifespan of 11–17 months, are able to breed and produce young throughout the year. The female oestrus cycle occurs every ten days, whilst the gestation period is between 21 and 30 days. Usually, five to six litters are produced in a year with as many as 17 pups per litter. There is an observed interval between litters of approximately 32 days (De Graaff, 1981). During this 32 day interval, 21–28 days are spent weaning the current litter, after which individuals from weaned litters become independent from the adults and usually reach sexual maturity at three to four months (Long, 2003; De Graaff, 1981).

R. rattus is native to the Indian Peninsula and has since been introduced worldwide (Long, 2003, De Graaff, 1981; Musser and Carleton, 2005; Hingston *et al.*, 2005; Matisoo-Smith and Robins, 2009). The species is unknown in Europe before the Holocene and may have reached here via trade routes established by the Roman Empire with Asia (Long, 2003; De Graaff, 1981;

Musser and Carleton, 2005; Robins *et al.*, 2007; Tollenaere *et al.*, 2009). In North Africa (viz. Egypt and Libya) the earliest *R. rattus* remains were dated to 2000 BC, whilst in East Africa no fossils or archaeological remains have been found. However, *R. rattus* is considered to have been present since the beginning of the Christain era, as commercial links between the Arabian Peninsula, the Middle East and the East African Coast were already important during this period (Tollenaere *et al.*, 2009). In South Africa, fossil evidence indicates that *R. rattus* has been present in KwaZulu-Natal since the eight century and in Limpopo since 1000 A.D. (Borchert *et al.*, 2007). However, major introduction of this species into South Africa have only occurred within the last 100 years with its spread attributed to the spread of European settlements (De Graaf, 1981; Borchert *et al.*, 2007; Tollenaere *et al.*, 2009).

R. rattus is observed to be an excellent climber and prefers to inhabit areas that are above the ground, such as ceilings (De Graaff, 1981; Twigg, 1975). It has been observed, however, that Black rats will inhabit terrestrial environments and create burrows if arboreal environments are not available (Long, 2003). Much like the Norway rat, Black rats, although they are able to acclimatise relatively faster to warmer climates, cannot survive in arid areas and require sufficient access to a water supply (De Graaff, 1981). In South Africa, it has been found that this species has spread to virtually all areas where the rapid expansion of human populations has occurred, such as cities, towns, villages and farming centres where abundant food and water are present. This species is generally not, however, found in human settlements located in the drier areas of the country (De Graaff, 1981; Twigg, 1975).

1.2.4 The Asian House Rat (Rattus tanezumi)

Rattus tanezumi is commonly referred to as the Asian type house rat. This Rattus species is considered to be indigenous to Southeast Asia, Japan, the Philippines, Island Southeast Asia, New Guinea and several Pacific islands (Musser and Carleton, 2005; Robins et al., 2007; Hingston et al., 2005; Matisoo-Smith and Robins, 2009). This species is similar in appearance, habitat preference and behaviour to the black rat, R. rattus (Long, 2003; Musser and Carleton, 2005) and has only recently been recognised as a distinct species as previously it was considered a sub-species of the black rat (Long 2003; Musser and Carleton, 2005). This reallocation from sub-species to species was based primarily on biochemical, cytogenetic and to a lesser degree morphological traits (Long, 2003; Musser and Carleton, 2005).

It should be noted that this species displays parapatric or overlapping population distributions with *R. rattus* (Musser and Carleton, 2005), however, it has been found that if *R. rattus* is

introduced to locations where populations of *R. tanezumi* are already present, *R. rattus* populations will be restricted along port areas (Musser and Carleton, 2005; Robins *et al.*, 2007; Matisoo-Smith and Robins, 2009).

1.2.5 Taxonomy

The taxonomy of the genus Rattus, since its initial definition in 1803, has over the years presented a number of problems, especially regarding subdivisions of the genus (De Graaff, 1981; Musser and Carleton, 2005). Initial problems emerged when the great majority of rodents that were obviously either rats or mice were referred to the genus Mus. This policy continued until 1881, when the erection of the sub-genus Epimys was proposed to cover the more typical rat, as opposed to mice (De Graaff, 1981). With the implementation of this sub-genus, only 27 forms were left in Mus, while 140 forms were placed in Epimys. However, in 1916 the subgenus Epimys was dropped and all members placed within the genus Rattus, as a means of differentiating rats from mice (De Graaff, 1981). Even though the genus Rattus had been in existence for a number of years and had provided a better morphological description of what a typical rat was, its taxonomic priority was over-looked (De Graaff, 1981). At one point the genus Rattus was considered to consist of more than 550 distinct forms. Over the years a number of species that were initially allocated to Rattus have been reallocated to genera such as Maxomys, Berylmys, Leopoldamys and Niviventer. However, even though a number of species have been removed from the genus Rattus, the boundaries of this genus have not finally been decided (Aplin et al., 2003; Musser and Carleton, 2005).

The initial type species of *Rattus* is *Mus decumanus* Pallas 1778, which is a synonym for *R. norvegicus*. *R. norvegicus* is morphologically distinctive, highly aggressive and territorial and appears to have few close relatives (Aplin *et al.*, 2003; De Graaff, 1981; Musser and Carleton, 2005). In contrast *R. rattus* appears to have numerous close relatives and is often confused with other Asian *Rattus* species, thus making field identifications highly questionable (Aplin *et al.*, 2003; Musser and Carleton, 2005).

Other problems with the taxonomy of the genus *Rattus* relate to the fact that several groups of species may still need to be removed from this genus (Aplin *et al.*, 2003; Musser and Carleton, 2005). Evolutionary relationships of *Rattus* to other murid genera also remain somewhat enigmatic, and this problem is further compounded by the lack of attention given to this genus by taxonomists and geneticists (Aplin *et al.*, 2003; Chinen *et al.*, 2005; Musser and Carleton, 2005).

Taxonomic revisions within the genus *Rattus* are essential, as other species that could belong to this genus may be recognised once more detailed morphological and genetic studies have been conducted. Even though many authors have attempted to make sense of the morphological diversity among black rats, these attempts have been largely regionally–based, with authors placing too great an emphasis on belly fur colour, even though this characteristic is highly polymorphic within populations and under relatively simple genetic control (Aplin *et al.*, 2003; Musser and Carleton, 2005).

The most significant breakthrough in understanding the *R. rattus* complex came with Yosida's studies, from 1980 onwards, of chromosomal variation (Aplin *et al.*, 2003). He documented 11 chromosomal variants that resolve into five major groups viz. (i) Asian black rats with 2n = 42 chromosomes; (ii) Japanese black rats with 2n = 42 chromosomes; (iii) Ceylonese black rats with 2n = 40 chromosomes; (iv) 'Oceanian' or European black rats with 2n = 38 chromosomes; and (v) Mauritius black rats with 2n = 42, where the notation 2n refers to the diploid chromosome number (Aplin *et al.*, 2003). In more recent taxonomic revisions by Musser and Carleton (2005), Yosida's five recognised *R. rattus* groups have been reduced to only two basic population groups, viz. the 'Oceanian' or European type having a chromosome number of 2n = 38/40, which has been assigned the name *R. rattus* by Musser and Carleton (2005), and the Asian type black rats having a chromosome number of 2n = 42, which has been assigned the name *R. tanezumi* by Musser and Carleton (2005) (Hingston *et al.*, 2005; Robins *et al.*, 2007; Matisoo-Smith and Robins, 2009).

It is clear that further genetic, cytogenetic and morphometric studies are still required in order for us to fully understand the complexity of the relationships between the various species that make up the *R. rattus* complex.

1.3 Review of species concepts

Prior to the 1990s, the Biological Species Concept (BSC) was the dominant species concept (Agapow *et al.*, 2004). The BSC defines species as populations that cannot interbreed successfully, and implies that species are necessarily reproductively isolated, and represent separate evolutionary lineages. The appeal of the BSC is that it proposes that species boundaries are falsifiable by the natural (and substantial) production of fertile hybrids across them.

However, due to its simplicity, the BSC is flawed as a concept, as assessing reproductive barriers can be difficult and time consuming. Speciation often occurs via an allopatric route in the wild, thus making this process difficult to define objectively. The BSC cannot be applied to asexually reproducing organisms. Difficulties are also encountered in populations where hybridization occurs (Agapow *et al.*, 2004).

The specific-mate recognition species concept of Paterson (as reviewed by McNaught and Owens, 2002) dictates that species—specific pre-mating signals allow individuals to recognize members of their own species, thus preventing interbreeding between members of different species. The specific-mate recognition species concept is considered to contain a number of flaws, as it does not predict reproductive character displacement in sympatric populations, and is not falsifiable when sympatric species appear to be no more divergent than allopatric species (McNaught and Owens, 2002).

A cohesion species is an evolutionary lineage whose boundaries arise from the genetic and ecological forces that create cohesive reproductive communities. According to this species concept, an evolutionary linage is a reproducing population with sufficient historical continuity (ancestral – descendant relationships) to have its own evolutionary trajectories and tendencies. The use of the Cohesion Species concept is highly appealing as it can be used to define evolutionary lineages that occur within sexual and asexual taxa. This species concept can also be used to define hybrids produced from a stabilized or recombinant hybridization event between species in botanical taxa. The appeal of the Cohesion Species concept is that unlike other species concepts, it acknowledges asexual reproduction and hybridization as important and potentially creative forces in speciation (Templeton, 2001).

According to the Phylogenetic Species Concept (PSC), a species is defined as a group of organisms that share at least one uniquely–derived character, have a shared pattern of ancestry and descent, or exhibit monophyly. The PSC is widely applicable in practice, in comparison to other species concepts, due to its applicability to asexual organisms and allopatric populations. It has also been argued by many authors that the PSC is highly objective, has the ability to distinguish morphologically unremarkable but important populations and can be considered to be a good indicator of the biodiversity and conservation worth of a population. Criticism of the PSC is that zealous application can result in the creation of large numbers of taxonomic units (Agapow *et al.*, 2004).

The Genetic Species Concept utilizes DNA base composition and sequence data as well as biochemical and enzymatic data to classify and define a species, as opposed to the practice of splitting up genera into a number of species based on small phenotypic differences (Friedman and De Ley, 1965). Over the years this species concept has been continuously reviewed and to date the current definition of a genetic species is that of a group of genetically compatible interbreeding natural populations that is genetically isolated from other such groups. Under this revised definition of the Genetic Species Concept, speciation is considered as the accumulation of genetic changes in two lineages that produces genetic isolation and two gene pools that have independent evolutionary fates (Baker and Bradley, 2006).

The Phylogenetic and Genetic Species concepts appear to be the most relevant species concepts for use in interpreting results obtained in this study of genetic variation in *Rattus* in KZN. These two species concepts will be utilized primarily due to their widespread applicability and the objectivity offered by both concepts.

1.4 Molecular methods used in assessing genetic diversity

Since the last decade of the 20th century, we have seen a considerable impact of population-genetic studies on our understanding of evolutionary processes, population and species history. These advances have revolutionized population genetic research to such a degree that this discipline is no longer largely a debating field of mathematics and theory, but has become an explanatory science. The recent development of coalescent and phylogenetic theory has changed the way we analyse and interpret molecular data. The effects of such technical advances are so profound that they have transformed the mainstream of population-genetics research (Zhang *et al.*, 2003).

With recent advances in molecular technology, the number of DNA-based markers have greatly increased (Isabel *et al.*, 1999). This increase in DNA-based markers has resulted in DNA sequencing becoming the most commonly–utilized molecular method for assessing genetic variation, as it provides knowledge of the nucleic acid sequence that is specific for the target DNA (Gülbitti *et al.*, 2003; Gharizadeh *et al.*, 2003; Chan, 2005). This increase in the reliance on DNA sequencing has resulted in mitochondrial DNA (mtDNA) being identified as one of the most suitable markers for genetic studies where taxonomic reconsideration of closely related species or populations of a variety of species is required (Koh *et al.*, 2004).

Mitochondrial DNA has been chosen as a suitable marker for genetic studies as it contains relatively conserved sequences such as the cytochrome *b* gene, which in recent years has been utilized in many phylogenetic studies. Mitochondrial DNA also contains relatively variable noncoding regions such as the D-loop, which is often used in systematic studies in conjunction with the coding cytochrome *b* region. mtDNA has a small size, a highly conserved structure and a mean mutation rate of 2% of its nucleotide composition per one million years in its coding regions, while non-coding regions are quoted to have a nucleotide mutation rate in the range of 3.6% per million years (Gülbitti *et al.*, 2003; Ballard and Whitlock, 2004; Koh *et al.*, 2004; Aboim et al., 2005).

While analysis of mitochondrial DNA (mtDNA) has proven powerful for genealogical and evolutionary studies of animal populations, it has a number of limitations. These include the presence of mitochondrial pseudogenes in the nucleus that may occur at high copy numbers and be mistakenly amplified, resulting in false comparisons (Gülbitti *et al.*, 2003). mtDNA is maternally-inherited, thus biasing inferences made about species/population history using mtDNA as a genetic marker (Gülbitti *et al.*, 2003; Zhang *et al.*, 2003). Another consideration with regards to the use of mtDNA as a genetic marker is that the effective population size of mtDNA is only a fourth of that of nuclear autosomal sequences, thus mtDNA lineages have a much faster lineage sorting rate and higher allele extinction rate, resulting in (i) possible oversimplification of evolutionary relationships estimated from mtDNA data, (ii) under–estimation of genetic diversity and (iii) uncertainty in genealogical analysis due to the increased probability of missing links in mitochondrial haplotypes (Zhang *et al.*, 2003).

Even though a number of problems have been encountered in the use of mtDNA, its popularity in genealogical and evolutionary studies on animals has not diminished (Freeland, 2005). The popularity of mtDNA in these studies is based on its small size and highly-conserved structure (Gülbitti *et al.*, 2003), as well as the ease with which it can be manipulated, its relatively rapid mutation rate, its presumed lack of recombination, which results in an effectively clonal inheritance, and the fact that universal animal mitochondrial primers are readily available (Freeland, 2005).

For this study it was decided to sequence the cytochrome b and D-loop regions of the mitochondrial DNA. The choice of the mitochondrial cytochrome b gene sequence was based on its widespread successful use in other phylogenetic studies on rodents, as well as the availability of previously–published cytochrome b sequences on DNA databases such as

GenBank, which allow for comparison with sequences obtained in this study (Robinson *et al.*, 1997; Martin *et al.*, 2000; Ballard and Whitlock, 2004; Koh *et al.*, 2004; Yang and Speller, 2006).

The use of D-loop sequences in this study was primarily to provide a greater level of resolution, as D-loop sequences usually evolve at a faster rate than cytochrome *b* sequences as they are non-coding (Martin *et al.*, 2000; Kerth *et al.*, 2000; Ballard and Whitlock, 2004; Koh *et al.*, 2004; Yang and Speller, 2006). Martin *et al.* (2000) also state that if the cytochrome *b* sequence analyses are in agreement with D-loop sequence analyses, the relationships between populations inferred from DNA samples indicate stable phylogenetic relationships.

1.5 Phylogeography

The concept of phylogeography was first introduced by Avise *et al.* in 1987 as a means of intergrating and uniting the disparate fields of phylogenetics and population genetics and to provide a means by which phylogenetic analysis of geographically contextualized data could be used to test hypotheses with regard to the causal relationship among geographic phenomena, species distributions, and the mechanisms driving speciation (Hickerson *et al.*, 2010). Phylogeography can thus be considered as the study of principles and processes governing the geographical distributions of genealogical lineages, including those at the intraspecific level (Ditchfield, 2000), or more simply put, it is defined as the phylogenetic analysis of organismal data in context of the geographic distribution of the organism (Hickerson *et al.*, 2010).

The initial and still-dominant infrastructure that governs the discipline of phylogeography is that of mitochondrial DNA (mtDNA) analyses at species level (Bermingham and Moritz, 1998; Hickerson *et al.*, 2010). Analysis of mtDNA has permitted genealogical traces to be followed across the genetic boundaries between populations, species and higher taxonomic levels due to its lack of recombination, putative neutrality and shorter time to reciprocal monophyly between geographic regions (Bermingham and Moritz, 1998; Hickerson *et al.*, 2010). The empirical success of mtDNA-based phylogeography has led to more precise descriptions of geographical distribution, phylogenetic relationships and genetic distances among evolutionary lineages of animals, thus allowing for a better understanding of biogeographical distributions and areas of endemism (Bermingham and Moritz, 1998).

In this this study, the concept of phylogeography will be applied to identify the geographical

distribution of the members of the *Rattus* complex in KwaZulu – Natal based on the genetic composition of the various populations sampled.

1.6 Data analysis

1.6.1 Introduction to phylogenetic analyses

Many types of data can be applied to the investigation of evolutionary relationships among organisms. The classical method of estimating the relationship between taxa was comparison of their morphological characters (the Morphological Species Concept). However with the advent of new molecular technologies and the increasing availability of nucleotide or amino-acid sequences, phylogenetic relationships can now be determined using molecular data as well as visible morphological characteristics. The use of molecular data to produce phylogenetic trees is considered by some to be a more precise and objective way of inferring phylogenetic relationships amongst species (Vandamme, 2003).

Molecular data can be analyzed in a variety of ways to produce trees; methods include phenetic/distance-based analyses and phylogenetic (cladistic) analyses (Vandamme, 2003).

1.6.2 Character - state methods

Character-state methods of analyzing molecular data include Maximum Parsimony analysis, Maximum Likelihood analysis and Bayesian Inference. Maximum Parsimony analysis aims to find the tree topology for a set of aligned sequences that can be explained with the smallest number of character changes (mutations). The Maximum Parsimony algorithm starts by considering a tree with a particular topology and then infers the minimum number of character changes required to explain all nodes of the tree at every sequence position. The Maximum Parsimony algorithm evaluates all possible tree topologies obtainable using a given data set, with the best tree being chosen by this algorithm as that tree which contains the minimum number of changes (Vandamme, 2003).

Maximum Likelihood analysis is similar to the analyses carried out using the Maximum Parsimony algorithm in that it examines every reasonable tree topology and evaluates the support for each by examining every sequence position. In principle, the Maximum Likelihood algorithm calculates the probability of expecting each possible nucleotide (amino acid) in the ancestral (internal) nodes and infers the likelihood of the tree structure from these probabilities.

The likelihood of all reasonable tree topologies is searched in this way, and the most likely tree is chosen as the best tree (Vandamme, 2003).

Bayesian Inference is a relatively new technique that is rapidly gaining popularity. In Bayesian analyses inferences are based upon the posterior probability of a parameter, which is the probability of the parameter conditional on the observed data. To define phylogenetic trees, Bayesian Inference uses the Markov Chain Monte Carlo method to estimate the posterior probability of phylogenies. A Bayesian analysis of phylogenies requires that the investigator specify any prior knowledge about the phylogeny before observing any data; this is considered to be a strength of this technique, as it takes advantage of any prior knowledge the investigator may have with regards to the phylogeny under study. A robust Bayesian analysis can usually be conducted in a relatively short time, for example, overnight, which makes Bayesian analysis easier to use than the more computer-intensive Maximum Parsimony or Maximum Likelihood methods (Huelsenbeck and Bollback, 2001).

1.6.3 Genetic distance models

Any two sequences, derived from a common ancestor, which evolve independently, will eventually diverge from each other; genetic distance is a measure of this divergence. As the divergence increases, there is an apparent saturation of the amount of sequence change. This is due primarily to the occurrence of multiple substitutions at the same site. A number of genetic distance models have been developed to correct for this; these include the Jukes Cantor, Kimura 2-parameter, HKY85 and General Time Reversible genetic distance models (Strimmer and von Haeseler, 2003). These models may take into account factors such as the transition/transversion ratio, nucleotide frequencies and the proportion of invariant sites in estimating corrected genetic distances, which are larger than uncorrected distances as they account for the occurrence of multiple substitutions at the same site. Computer programmes such as ModelTest (Posada and Crandall, 1998) and MrModelTest, a modification of ModelTest by Nylander (2004), are used to predict which model best fits a particular dataset based on the Akaike Information Criterion (AIC). The Akaike Information Criterion is an unbiased estimator of the expected relative Kullback-Leibler information quantity or distance (K-L) which represents the amount of information lost when we use one model to evaluate another to identify the best-fitting model to be used for analysis (Burnham and Anderson, 2002; Posada and Buckley, 2004).

1.6.4 Software packages used to analyze data for this study

There are a multitude of methods to assess and analyze molecular data, some of which include mathematical calculations that are very time consuming. Software programs have thus been developed to aid researchers in analyzing their data. The programs used in my study are BioEdit, ClustalX, MEGA, PAUP, MrBayes and ModelTest.

BioEdit is a user–friendly sequence alignment editor and analysis package (Hall, 1999) that may be used to visually edit and align sequence data. The program may also be used to perform Blast searches of DNA databases such as NCBI GenBank (Hall, 1999) to obtain highly similar sequences for reference purposes.

ClustalX (Thompson *et al.*, 1997) is a sequence alignment program which can be used to convert sequence data to alternate file formats which are used by other programs such as MEGA (Kumar *et al.*, 2004); PAUP (Swofford, 2001) and MrBayes (Ronquist and Huelsenbeck, 2003). Both PAUP and MEGA can be used to carry out standard phenetic and phylogenetic analyses, although PAUP is preferred. MrBayes may be used to conduct phylogenetic analyses using Bayesian Inference (Hall, 2001).

The program ModelTest (Posada and Crandall, 1998) is designed to compare different nested models of DNA substitution in a hierarchical hypothesis-testing framework. The program is used to determine which of the 56 genetic distance models available is the most appropriate for the analysis of a particular dataset.

MrModelTest is a modification of ModelTest by Nylander (2004). This program is used to determine which of 24 genetic distance models best fits sequence data (Nylander, 2004) and to determine the model parameters to be used in Bayesian analysis (Ronquist and Huelsenbeck, 2003).

The program DNASP 4.10 (Rozas *et al.* 2003) is a statistical software package that makes use of coalescent-based methods by Monte Carlo computer simulations to extensively analyze genetic differentiation and gene flow among populations. The use of this program in any genetic study is critical for the detection of any possible signatures of positive natural selection, the identification of haplotype blocks across the genome, and for inferring the effects of intragenic recombination (Rozas *et al.* 2003).

The program TCS v1.21 (Clement *et al.* 2000) estimates genealogical relationships amongst organisms using sequence data, by collapsing sample sequences into haplotypes and calculating the frequencies for these haplotypes. The frequencies are then used to estimate haplotype outgroup probabilities as well as calculate an absolute distance matrix. The absolute distance matrix is comprised of all pairwise comparisons of haplotypes which are calculated until the probability of parsimony exceeds 95% for all pairwise differences. All mutational differences associated with the probability just before the 95% cut-off are then considered as the maximum number of mutational connections between pairs of sequences and output as a haplotype network (Clement *et al.* 2000).

1.7 Cytogenetic analyses

Within a eukaryote cell, the genome is divided into a number of chromosomes. Each chromosome contains a very large single linear DNA molecule, which is supercoiled, and is normally associated with DNA-binding proteins. Such proteins, complexed with the DNA, compromise the chromatin. Different eukaryotic species contain widely–varying numbers of distinguishable chromosomes, from one in an Australian ant to 190 in a species of butterfly (Mathews *et al.*, 2000). Most eukaryotes have diploid cells and carry two copies of each chromosome, although instances of polyploidy, especially in plants, are common.

Past studies of chromosomal variability within rodents have revealed that members of the family Muridae exhibit a tendency for extensive variation within species and species complexes (see Taylor, 2000 for a full review of southern African rodent case studies). In his review, Taylor (2000) reported that there was a large amount of chromosomal variation in species belonging to genera such as *Otomys* and *Mastomys*. One species, *O. irroratus* displays karyotypes with diploid numbers ranging from 2n = 23 to 2n = 32 (Contrafatto *et al.*, 1992a, 1992c). Species belonging to the genus *Mastomys* are generally characterised as having a karyotype of either 2n = 32 or 2n = 36 (Taylor, 2000). Within *O. irroratus*, variation is attributed to the number of heterochromatic short arms (Contrafatto, 1992a, 1992c) as well as pericentric inversions and a complex tandem fusion (Rambau *et al.*, 2001; Engelbrecht *et al.*, 2006, Taylor *et al.*, 2009), whereas within *Mastomys*, karyotype variation is due to either perior para- centric inversions, heterochromatic changes, or Robertsonian fusions and fissions (Taylor, 2000).

Initial studies into the chromosome variability of members of the genus Rattus have reported

this genus as having karyotypes that are highly conserved (Baverstock *et al.*, 1983; Caldarini *et al.*, 1989; Yosida, 1985). However, more recent studies have revealed that chromosome variability amongst certain members of this genus is much higher that initially reported (Baverstock *et al.*, 1983; Yiğit *et al.*, 1998; Chinen *et al.*, 2005).

Within the *R. rattus* complex, observations indicate that a pattern of chromosome polymorphism exists, and that this polymorphism is either derived from one of the karyomorphs of *R. rattus* or differentiated progressively from that of the black rat (Baverstock *et al.*, 1983; Yosida, 1985). It is generally accepted that the basic karyotype of *R. rattus* has a diploid number of 2n = 42 and comprises 13 pairs of acrocentric chromosomes, 7 metacentric pairs and an acrocentric pair of XY or XX sex chromosomes (Baverstock *et al.*, 1983; Caldarini *et al.*, 1989; Yosida, 1985; Chinen *et al.*, 2005). Variations to this basic karyotype are due to either Robertsonian fusions or fissions (Yosida, 1985).

Polymorphisms have not been observed within the basic karyotype of R. norvegicus, and as such the karyotype of this species is considered to be conserved amongst all observed populations worldwide (Yiğit et al., 1998). The basic karyotype for R. norvegicus is composed of 10 acrocentric chromosome pairs, 2 sub-telocentric chromosome pairs, 9 metacentric chromosome pairs and an acrocentric pair of XY or XX sex chromosomes, resulting in a diploid number of 2n = 42 (Yiğit et al., 1998).

In this study, metaphase chromosomes of somatic cells were used to construct karyograms that were then compared to the basic karyotypes of *R. rattus* and *R. norvegicus* to identify *Rattus* specimens analyzed.

1.9 Aims, objectives and hypotheses of this study

The primary goals of this study were to elucidate and compare patterns of genetic diversity between different members of the *Rattus* complex in KwaZulu-Natal, to identify the number of species of *Rattus* occurring within this complex, and to obtain an estimate of their relatedness, phylogenetic and phylogeographic structure in KwaZulu-Natal.

To achieve these goals, the cytochrome *b* and D-loop regions of the mitochondrial DNA were sequenced from tissues obtained from specimens collected from various populations, and dendrograms and haplotype networks were created using phenetic and phylogenetic methods.

Karyology of the collected specimens were carried out to further substantiate relationships inferred from sequence data. It was hypothesized that there were two distinct species of *Rattus* within the complex found in KZN, but as the presence of *R. tanezumi* became increasingly apparent during this study, the study's aim was refocused to include an elucidation of the relationship within and between this species and its close sibling *R. rattus*.

CHAPTER 2

MATERIALS AND METHODS

2.1 Sample collection and storage

Sampling was conducted in various areas of KwaZulu-Natal, with emphasis on populated areas, as the study organisms are usually found in close proximity to human habitation.

Live specimens were captured using either multi–capture or Sherman traps. Once captured, specimens were identified in the field using morphological characteristics such as size and position of the ears, overall size of specimen and fur colour. These characteristics were chosen for preliminary field identification of captured *Rattus* specimens, as *R. norvegicus* is identified as a very large rodent with ears that are reduced in size and closer to the skull, with a brown fur colour (Twigg, 1975; De Graaff, 1981; Long, 2003), whereas *R. rattus* is identified as a rodent with large ears that protrude from the skull, a gray fur colour and a relatively longer tail (De Graaff, 1981; Long, 2003).

Once specimens were initially identified, they were transported to animal housing facilities at the School of Biological and Conservation Sciences and quarantined for two weeks. Specimens were then euthanazed within a sealed container that contained tissue paper doused with 100% chloroform which was in accordance with the protocol approved by the Animal Ethics subcommittee of the University of KwaZulu-Natal Research committee. Liver tissue was excised from each specimen and stored in 90% ethanol at 4°C until needed for genetic analysis. Voucher specimens were prepared using standard natural museum procedures for mammal specimens and were deposited in the South African mammal reference collections of the Durban Natural Science Museum. Sample details are reported in Table 1.

 Table 1: Sample names and collection localities of Rattus specimens. Identification numbers
 indicated in the Specimen/Sample ID column represent a single specimen. DM = Durban Natural Science Museum

Sample Origin		Coord	Specimen/	Museum Catalogue	
		Latitude	Longitude	Sample ID	Number
KwaZulu-N	latal Province				
Durban Ce District	ntral Business				
	Cnr. Russell & St. George Street	29°51' 43.24"S	31° 00' 57.94"E	SA 213 SA 217	DM7783
	Cnr. Commercial & Soldiers Way	29°51' 24.53"S	31° 01' 26.04"E	SA 234 SA 235	DM7804 DM7805
	Browns Road	29°52' 16.65"S	31° 02' 52.49"E	SA 136 SA 141	DM7706 DM7711
	Warwick Avenue	29°51' 11.60"S	31° 00′ 35.68″E	SA 75	DM7645
Sydenham					
	View Street	29°49' 49.75"S	31° 00′ 2.97″E	SA 174 SA 175	DM7744 DM7745
Cato Crest					
	Nzuza CC1504	29°51' 36.37"S	30°58' 35.08"E	SA 220 SA 221	DM7802 DM7803
	MaKhanyile CC1514	29°51' 36.37"S	30°58' 35.08"E	SA 232 SA 233	DM7790 DM7791
	145 Cato Manor Rd	29°51' 36.37"S	30°58' 35.08"E	SA 252	DM7820
Montclair					
	Montclair Park	29°55' 26.67"S	30°57' 56.91"E	SA 218 SA 219	DM7788 DM7789

Sample Origin		Coord	Specimen/ Sample ID	Museum Catalogue Number	
Shongwe	ni				
	Pickford Estates	29°47' 51.24"S	30°44' 27.93"E	SA 253	DM8401
	r iomora Estates	25 47 51.24 6	00 44 27.30 L	SA 254	DM8402
Umkomaa	as				
				SA 255	DM8403
				SA 256	DM8404
	Axbridge Place, Widenham	30°13′ 13.81″S	30°47′ 39.07″E	SA 257	DM8405
	Widomiam			SA 258	DM8406
				SA 259	DM8407
Verulam					
	Park Station, Canelands	29°38' 47.47"S	31° 2' 47.98"E	PS1	
Richmon	d	29°52' 27.70"S	30°16′ 48.46″E	R1	DM8685
Gauteng l	Province				
	Hannan an alima al	050001 00 00110	0004010.7485	ARC 170	
	Hammanskraal	25°23' 26.68"S	28°18' 3.74"E	ARC 171	
Pretoria		25°44' 45.67"S	28°11′ 13.63″E	UP02	
Limpopo	Province				
	.	000001.05.0.4110	00045147.0015	ARC 79	
	Nkomo – B	23°26′ 25.84″S	30°45' 17.82"E	ARC 101	
Vietnam					
	Hung Yen Province,	20020144 70111	4000 21 25 2015	HP1 [*]	
	North Vietnam	20°38' 11.72"N	106° 3′ 25.20″E	HP2 [*]	
Indonesia	1				
	Subang, West Java	06°34'5.05"S	107°45'35.39"E	S1 ^{**}	

^{*}Specimens donated by Dr. Nguyen Phu Tuan, National Institute of Plant Protection, Chem Tu Liem, Hanoi, Vietnam

^{**} Specimens donated by Dr. M. P. Sudarmaji, Indonesian Center for Rice Research, Subang, West Java, Indonesia

2.2 Molecular analyses

2.2.1 DNA isolation, extraction and quantification

DNA was extracted from 25 mg of rat liver using a QIAGEN DNeasy® Tissue Extraction Kit according to manufacturer's instructions. Quantification of all extracted samples was conducted using a Nanodrop® spectrophotometer set at an absorbance wavelength of 260 nm. The absorbance of a 1 μ l DNA sample was measured with reference to a blank comprising 1 μ l AE buffer (QIAGEN). The integrity of the extracted DNA was assessed by electrophoresis (Figure 1) in 1.2% agarose gels containing 0.005 mg/ μ l ethidium bromide using a 0.5x TBE running buffer at 70 volts for \pm 1 1 / $_{2}$ hours.

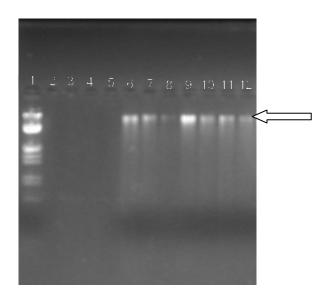


Figure 1: Agarose gel electropherogram used to assess the integrity of DNA isolated from representative samples of *Rattus* liver tissue (lanes 6-12). All samples in above electropherogram contain high molecular weight bands (indicated by the arrow). Lane 1 contains Molecular Weight Marker III (Roche Molecular Biochemicals).

2.2.2 Polymerase Chain Reaction (PCR) amplification

The cytochrome *b* gene was amplified as a single fragment using the forward primer L14724 and the reverse primer H15915-mus (Dr. A. D. Bastos pers. comm., 2006). The D-loop region was amplified using the forward primer P and reverse primer E (Wilkinson and Chapman, 1991). The primer sequences are presented in Tables 2 and 3, respectively.

Table 2: Primers used in PCR amplification of the mitochondrial cytochrome *b* region

Primer Name	Direction	Sequence 5' – 3'
L14724/ L24	Forward	TGACATGAAAAATCATCGTTG
H15915/ H15	Reverse	CATTTTTGGTTTACAAGAC

Table 3: Primers used in PCR amplification of the mitochondrial D-loop region

Primer Name	Direction	Sequence 5' – 3'
Р	Forward	TCCTACCATCAGCACCCAAAGC
Е	Reverse	CCTGAAGTAGGAACCAGATG

Amplification of the cytochrome b and D-loop regions was conducted by combining 32 μ l of master mix (Tables 4 and 5) with 60 ng sample DNA in 18 μ l sterile water to produce a reaction mixture with a total volume of 50 μ l. Temperature profiles for these reactions are presented in Tables 6 and 7, respectively.

Table 4: PCR master mix composition for a single amplification of the cytochrome *b* gene

Component	Volume (μl)
Sterile Water	1.6
Buffer (10x)	5
MgCl ₂ (25 mM)	8
Primer L14724 (6.25 μM)	8
Primer H15915-mus (6.25 μM)	8
dNTP's (10mM)	1
<i>Taq</i> (5 μ/μl)	0.4
Final Volume	32

Component	Volume (μl)
Sterile Water	1.6
Buffer (10x)	5
MgCl ₂ (25 mM)	8
Primer P (6.25 μM)	8
Primer E (6.25 μM)	8
dNTP's (10mM)	1
<i>Taq</i> (5 μ/μl)	0.4
Final Volume	32

Table 6: Thermal cycling procedure used for the Cytochrome *b* PCR

Stage	Repeat	Temperature (°C)	Time (minutes)	Purpose	
1	1	94	2	Denaturation of DNA	
		96	0:12	Denaturation of DNA	
2	5	49	0:25	Annealing of primers to DNA	
		72	0:45	Extension of primer	
		96	0:12	Denaturation of DNA	
3	10	47	0:20	Annealing of primers to DNA	
		72	0:45	Extension of primer	
		96	0:12	Denaturation of DNA	
4	25	45	0:10	Annealing of primers to DNA	
		72	0:45	Extension of primer	
5		72	7	Extension of primer	
6		15	∞	Holds at this temperature after the reaction until DNA is removed from machine	

Table 7: Thermal cycling procedure used for D-loop PCR

Stage	Repeat	Temperature (°C)	Time (minutes)	Purpose	
1	1	95	2	Denaturation of DNA	
		95	1	Denaturation of DNA	
2	35	55	1:30	Annealing of primers to DNA	
		72	2	Extension of primer	
3	1	72	7	Extension of primer	
4	1	15	∞	Holds at this temperature after the reaction until DNA is removed from machine	

The cytochrome b PCR products were separated by electrophoresis (Figure 2) in 1.2% agarose gels containing 0.005 µg/ml ethidium bromide for $\pm 1^{1}/_{2}$ hours in 0.5x TBE running buffer at 70 volts, while D-loop PCR products were separated by electrophoresis in a 1.2% agarose gel containing 0.005 μ g /ml ethidium bromide for \pm 24 hours in 0.5x TBE running buffer at 15 volts.

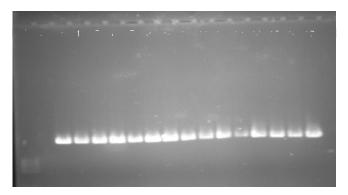


Figure 2: Agarose gel electropherogram containing cytochrome b PCR-amplification products comprising a single band (lanes 3 - 17) that indicated successful amplification reactions. Lane 1 contains Molecular Weight Marker III (Roche Molecular Biochemicals). The presence of smears and extra bands was attributed to the amplification of non-target DNA.

After electrophoresis the gels were transilluminated with an ultraviolet light source to locate the position of bands corresponding to the amplification products. Desired amplification products were identified by their mobility relative to a co-electrophoresed molecular weight marker (Fermentas). Appropriate bands were excised from the gel for purification with a QIAquick ® Gel Extraction Kit. Gel slices were stored in a -20 °C freezer in appropriately labeled 1.5 ml

Recovery of DNA from gel slices was conducted using a QIAGEN QIAquick® Gel Extraction Kit according to manufacturer's instructions. The recovered DNA was quantified using Nannodrop® spectrophotometry as described in Section 2.2.1 but with the use of buffer EB (QIAGEN) as a reference. Samples containing 50-80 ng of template DNA were suitable for use in sequencing reactions. In order to verify that only a single band had been purified, 1.2% agarose gels containing 0.005 μ g/ml ethidium bromide were electrophoresed (Figure 3) in 0.5x TBE running buffer at 70 volts for \pm 1 1 / $_{2}$ hours.



Figure 3: Agarose gel electropherogram containing extracted and purified mitochondrial DNA amplification products. Lane 1 contains a Molecular Weight Marker (Fermentas) while lanes 3–13 contain the extracted and purified D-loop PCR-amplification products.

2.2.3 DNA sequencing

DNA obtained from the gel extraction protocol was directly sequenced by technicians at Inqaba Biotech, Hatfield, Pretoria, South Africa. Both the cytochrome *b* and D-loop regions were sequenced in the forward and reverse directions using the primers used in the original PCR amplifications. Sequences were returned in the form of ABI data files.

2.2.4 Sequence editing and alignment

Electropherograms were imported into the BioEdit Sequence Alignment Editor (Hall, 1999) for editing. The forward and reverse electropherograms of each sample were compared and where discrepancies arose, appropriate changes to the sequence were made. Reference sequences for both the cytochrome b and D-loop regions of the mitochondrion of *R. norvegicus*, *R. rattus* and *R. tanezumi* were obtained from the NCBI GenBank (Tables 8 and 9) and aligned with the

sequences obtained in this study (Apendices 4-6). As certain key GenBank-derived cytochrome b reference sequences were too short in comparsion to study sequences generated, it was decided that two cytochrome b datasets would be analyzed viz. a cytochrome b dataset comprising sequences 1146 nucleotides long and a cytochrome b dataset comprising sequences 676 nucleotides long (Apendices 4 and 5).

 Table 8: NCBI GenBank reference sequences included in the analysis of cytochrome b sequences

Sample Origin	Species	Sample Name	GenBank Accession No.
China	R. norvegicus Mus musculus domesticus	RnChina MmdChina	AF295545 AF520636
Copenhagen, Denmark	R. norvegicus	RnCopen	AJ428514
Haiphong Port, Vietnam	R. norvegicus	RnHai	AB355902
Huahine, Society Islands, French Polynesia	R. norvegicus	RnHua	EF186461
Raiatea, Society Islands, French Polynesia	R. norvegicus	RnRai	EF186462
USA	R. norvegicus	RnUSA	DQ673916
Japan	R. tanezumi R. rattus R. argentiventer Diplothrix legata	RtJap RrJap RaJap DIJap	AB211042 AB033702 AB033701 AB033696
Samoa	R. Rattus	RrSam	EF186475
Titirangi, New Zealand	R. rattus	RrTit	EF186470
Moitaka, Papua New Guinea	R. rattus	RrMoi	EF186471
Kuala Lumpur, Malaysia	R. rattus	RrKL	EF186413
Huahine, Society Islands, French Polynesia	R. rattus	RrHua	EF186469
Jakarta, Indonesia	R. tanezumi	RtJak	EF186491
Philippines	R. tanezumi	RtPhil	DQ191488
Northern Sulawesi, Indonesia	R. tanezumi	RtNS	EF186511
Yogyakarta, Indonesia	R. tanezumi	RtYog	EF186378
Amami Island, Japan	R. tanezumi	RtAI	EF186508
Philippines	R. everetti	RevPhil	DQ191485
Philippines	R. praetor	RpPhil	DQ191487
Philippines	Limnomys bryophilus	LbPhil	DQ191479
Philippines	Tarsomys apoensis	TaPhil	DQ191491

Table 9: NCBI GenBank reference sequences included in the analysis of D-loop sequences

Sample Origin	Species	Sample Name	GenBank Accession No.
Italy	R. norvegicus	RnItaly	X14848
Copenhagen, Denmark	R. norvegicus	RnCopen	AJ428514
Huahine, Society Islands, French Polynesia	R. norvegicus	RnHua	EF186346
Raiatea, Society Islands, French Polynesia	R. norvegicus	RnRai	EF186347
USA	R. norvegicus	RnUSA	AY172581
	R. rattus	RrUSA	RRU13750
Hauhine, Society Islands, French Polynesia	R. rattus	RrHua	EF186354
Kuala Lumpur, Malaysia	R. rattus	RrKL	EF186298
Madagascar	R. rattus	RrMad	DQ009791
Moitaka, Papua New Guinea	R. rattus	RrMoi	EF186356
Samoa	R. rattus	RrSam	EF186360
Titirangi, New Zealand	R. rattus	RrTit	EF186355
Northern Sulawesi, Indonesia	R. tanezumi	RtNS	EF186396
Hong Kong, China	R. tanezumi	RtHK	EF186440
Amami Island, Japan	R. tanezumi	RtAI	EF186393
Yogyakarta, Indonesia	R. tanezumi	RtYog	EF186378
Jakarta, Indonesia	R. tanezumi	RtJak	EF186376
New Zealand	R. exulans	RexNZ	AF104177
South Africa	Mastomys coucha	McSA	AY576896
Turkey	Mus macedonicus	MmTurk	AJ286327

2.2.5 Sequence data analyses

For both mitochondrial cytochrome *b* and D-loop sequences (Appendices 4 – 6), the ClustalW function contained within the BioEdit Sequence Alignment Editor version 7.0.4.1 (Hall, 1999) was used to produce a multiple alignment of sample and reference sequences which was further edited by eye. Once all sequences had been aligned, they were trimmed to the length of the shortest sequence. The aligned sequences were then input into ClustalX version 1.81 (Thompson *et al*, 1997), which was used to change file formats from Fasta (*.fas) used in BioEdit version 7.0.4.1 (Hall, 1999) to the Nexus file format (*.nxs) used in PAUP version 4.0 (Swofford, 2001). All the analyses were run in an Intel Celeron 1.6 GHZ Celeron M based personal computer with a Windows XP operating system.

Haplotype analyses were conducted using the computer programs DnaSP version 4.10.9 (Rozas *et al.*, 2003) and TCS version 1.6.0_02 (Clement *et al.*, 2000). Cytochrome *b* and D-loop sequence datasets were initially analyzed in DnaSP version 4.10.9 (Rozas *et al.*, 2003) to determine the number of haplotypes present and to produce a haplotype data file for further analysis. DnaSP version 4.10.9 (Rozas *et al.*, 2003) was further used to calculate haplotype and nucleotide diversity within the cytochrome *b* and D-loop sequence datasets. Once the number of haplotypes had been identified for the cytochrome *b* and D-loop datasets, the information was then input into TCS version 1.6.0_02 (Clement *et al.*, 2000) for the production of a haplotype network for each dataset.

Haplotype data files generated for the cytochrome *b* and D-loop sequence datasets were analyzed using the program MrModelTest version 2.2 (Nylander, 2004) to determine the most appropriate evolution models to be used in further analyses. Once suitable models were identified, the haplotype data files generated for the cytochrome *b* and D-loop sequence datasets were analyzed using PAUP version 4.0 (Swofford, 2001) and MrBayes version 3_0b4 (Ronquist and Huelsenbeck, 2003).

PAUP version 4.0 (Swofford, 2001) was utilized to generate a maximum parsimony tree from the haplotype data files obtained for the mitochondrial cytochrome *b* and D-loop sequence data sets, respectively. A distance matrix indicating the genetic distance values between haplotypes was also generated by PAUP version 4.0 (Swofford, 2001) via use of the genetic distance models recommended by MrModelTest version 2.2 (Nylander, 2004).

MrBayes version 3_0b4 (Ronquist and Huelsenbeck, 2003) was used to create phylogenetic

trees using Bayesian Inference under the model recommended by MrModelTest version 2.2 (Nylander, 2004). Phylogenetic trees created using the program MrBayes version 3_0b4 (Ronquist and Huelsenbeck, 2003) utilized 1 000 000 generations with burn-in values of 1000 and a sampling frequency of 100. Burn-in values were estimated by visual examination of probabilities in relation to number of generations during a preliminary run. The burn-in value was chosen based on the number of generations it took for the probabilities to plateau.

The reliability of nodes within phylogenetic trees created by PAUP version 4.0 (Swofford, 2001) was estimated by bootstrap re-sampling analysis (1000 iterations). In the case of the Bayesian analysis performed by MrBayes version 3_0b4 (Ronquist and Huelsenbeck, 2003), reliability of nodes was estimated as posterior probabilities.

2.3 Cytogenetic Analyses

The *Rattus* specimens listed in Section 2.1 (Table 1) were also used for cytogenetic analysis. Chromosome preparations for microscopic analysis were obtained either from direct harvesting of bone marrow or from fibroblast cultures established from ear biopsies.

2.3.1 Preparation of slides from bone marrow

The femur was removed from dead animals that were euthanized in accordance with the protocol approved by the Animal Ethics subcommittee of the University of KwaZulu-Natal Research Committee, i.e. by exposing these animals to an overdose of inhaled halothane. All excess tissue surrounding this bone was removed, after which an 18 gauge syringe needle was inserted through holes drilled into the upper and lower epiphyses. The bone marrow was removed from the femur by flushing it with 5 ml of a solution of DMEM 20 (Dulbecco's Modified Eagles' Medium supplemented with 20% v/v foetal bovine serum; Highveld Biological (Pty) Ltd., South Africa) containing 200 µl of 10 µg/ml colchicine (Sigma, Germany). Colcemid was included in this solution in order to inhibit the completion of the metaphase stage of mitosis within the bone marrow cells. The centrifuge tube containing the bone marrow was placed in an incubator at 37°C for 1 hour, after which it was centrifuged at 400 to 600 x g for five minutes using a MSE model Minor 35 centrifuge (MSE Scientific Instruments, Ltd., England).

The supernatant from this centrifugation step was decanted until at least 0.25 ml was left above

the centrifuged pellet. The pellet was then re-suspended in 5 ml of a 0.075 M hypotonic KCl solution and incubated for 20-27 minutes at 37°C. The solution was centrifuged at 400 to 600 g for five minutes and the supernatant decanted until at least 0.25 ml was left above the centrifuged pellet. The pellet was then re-suspended in 10 ml of Carnoy's fixative (absolute methanol:glacial acetic acid 3:1), added drop-wise. This suspension was centrifuged for five minutes, after which the supernatant was decanted until only 1 ml was left above the pellet. The pellet was then re-suspended in a further 10 ml of Carnoy's fixative, centrifuged again for five minutes, and the supernatant again decanted until only 1 ml was left above the pellet. The pellet was re-suspended in the remaining volume of supernatant and withdrawn with a Pasteur pipette, from which 2-3 drops were dropped onto a microscope slide from a height of 30 – 60 cm. The slides were heat dried by placing them into an incubator set at 65°C for 15-30 seconds, and then stained in a Copeland jar containing 3 % Giemsa (Merck (Pty) Ltd, South Africa), dissolved in deionised water, for five minutes. The slides were then rinsed in water and air-dried.

Slides were initially viewed with a Medilux-12 microscope (Kyowa Optical CO. Ltd, Japan) using the 20x objective to assess slide quality in terms of density of metaphase spreads per slide. Preparations with a sufficient number of spreads (> 5/slide) were then screened using a Vanox AHBS3 microscope (Olympus, Japan) to identify chromosome spreads suitable for recording. Such spreads were viewed with the oil immersion objective (100x) and captured digitally using a Snappy Snapshot image capture adapter (Play Incorporated, USA). These chromosome spreads were arranged into karyograms using the Open Source image manipulation program The Gimp version 2.2.12 (http://www.gimp.org) installed in a 1.6 GHZ Intel Celeron M based personal computer and a Windows XP operating system.

2.3.2 Skin fibroblast culture cells

Skin fibroblast cultures were established from a biopsy (approximately < 1 cm² in size) of disinfected (by wiping with 70% ethanol) ear or skin from the study organism. This biopsy was then placed in 70–100 % ethanol for approximately two minutes to disinfect the tissue. Thereafter, the biopsy was rinsed sequentially in three Petri dishes containing 2 ml of DMEM each. In the last Petri dish the tissue was minced into the smallest fragments possible using scissors and forceps. This suspension was transferred into a 15 ml Sarstedt sterile conical tube (Highveld Biological (Pty) Ltd., South Africa), centrifuged at 400 to 600 x g for five minutes and the supernatant discarded. Thereafter, 5 ml of a solution containing 0.25% w/v trypsin (Highveld Biological (Pty) Ltd., South Africa) and 0.02% EDTA in DMEM were added to the

This suspension was then shaken with a Snijders vortex mixer (model 34524, Holland) for a total of 30 to 45 minutes at room temperature, centrifuged at 400 to 600 g for five minutes and the supernatant recovered. This supernatant was mixed in a sterile conical tube with 10 ml DMEM 20 supplemented with 200 unit/ml each of penicillin and streptomycin (Highveld Biological (Pty) Ltd., South Africa), centrifuged again for five minutes at the same speed and the supernatant discarded. The resulting cell-pellet was re-suspended in 5 ml DMEM 20 and transferred to a 25 cm² Cellstar tissue culture flask (Greiner Bio-One GmbH, Germany) which was then placed in a water-jacketed growth incubator (Forma Scientific, USA) supplied with a 5% CO₂ atmosphere at 37°C. The culture flask was first inspected after 72 hours, using an inverted microscope (Olympus, Japan) at a 20x magnification, for evidence of cells, morphologically identifiable as fibroblasts, attached to the bottom. Thereafter, the culture was microscopically inspected daily for evidence of cellular growth, such as mitotic figures, and absence of microbial contamination. The growth medium (DMEM 20) was replaced every 48 to 72 hours.

Harvesting for the purpose of producing chromosome preparations was carried out shortly before the cells reached confluence. This time varied, according to specimen, from three to eight weeks.

Harvesting was initiated by adding 25 μ l/ml of Colchicine (Sigma, Germany) to the culture flask, which was then incubated at 37°C for 45 minutes. The culture medium was then removed from the culture flask and the cells within the flask were rinsed with two changes each of 10 ml DMEM.

The DMEM was then replaced with 2 ml of 0.25% w/v trypsin (Highveld Biological (Pty) Ltd., South Africa); and the preparation incubated for three to five minutes at 37°C and energetically tapped with the index finger to dislodge the cells from the bottom of the flask. This cell suspension was then transferred to a centrifuge tube containing 5 ml DMEM 20. The tube and contents were centrifuged for five minutes at 400 to 600 g, after which the supernatant was removed and replaced with 10 ml of a hypotonic 0.075 M KCl solution. After this step, the procedure was identical to the one described in Section 2.3.1 for the harvesting of bone marrow cells.

2.3.3 Banding techniques

2.3.3.1 **G-Banding**

G-Banding was performed one week after slide production. Slides were prepared for treatment by dividing the individual slides into three sections, as each division was exposed to the treatment at different times. Initial treatment of an individual slide was conducted by placing the slide into a Copeland jar containing 50 ml phosphate buffer (0.07 M Na₂HPO₄ combined 0.07 M KH₂PO₄ 8:17; Merck, South Africa) pH 6.8, supplemented with 25 mg of trypsin powder (Sigma, Germany) and kept at a constant temperature of 37°C within a water bath. The first section of a slide being treated was placed into the above trypsin/phosphate buffer solution for 15 seconds, thereafter the slide was lowered so that the second section was immersed for 10 seconds, following which the final section was immersed for 5 seconds.

The slide was then removed from the trypsin/phosphate buffer solution and rinsed in a Copeland jar containing phosphate buffer supplemented with 20% v/v foetal bovine serum (Highveld Biological (Pty) Ltd., South Africa) for approximately two minutes. Thereafter, the slide was rinsed in a Copeland jar containing only phosphate buffer and stained in a Copeland jar containing a 3% Giemsa (Merck (Pty) Ltd, South Africa) solution (1.5 ml Giemsa stock solution in 50 ml phosphate buffer) for five minutes. Slides were then rinsed in water, air dried and inspected for banded chromosome spreads using the 20x objective of a Medilux-12 microscope (Kyowa Optical CO. Ltd, Japan) (Seabright, 1971).

After initial viewings, a Vanox AHBS3 microscope (Olympus, Japan) was used to identify suitable chromosome spreads. These were brought into focus using the oil immersion lens of the microscope and then digitally captured using the Snappy Snapshot image capture adapter (Play Incorporated, USA). The chromosome spreads were then arranged into karyograms using the Open Source image manipulation program The Gimp version 2.2.12 (http://www.gimp.org) installed in a 1.6 GHZ Intel Celeron M based personal computer and a Windows XP operating system.

2.3.3.2 C-Banding

C-Banding was performed after slide production. Slides were placed in a Copeland jar containing 0.2 N HCl (Merck (Pty) Ltd, South Africa) at room temperature for 20 minutes, rinsed in distilled water and air-dried. Thereafter, they were placed onto a slide rack over an open sink and flooded with a 0.1M BaOH solution (Merck (Pty) Ltd, South Africa) preheated to

a temperature of 65°C, for two to twelve minutes, after which they were thoroughly washed on each side with two litres of double deionised water, air dried and placed into a container lined with moistened tissue paper (Sumner *et al.*, 1971).

Within the container, slides were flooded with 2x SSC buffer (0.3M NaCl added to 0.03M Sodium Citrate, pH 7.5) and placed in an incubator set at 65°C for two hours. They were then rinsed in water, air dried and stained in 3% Giemsa (Merck (Pty) Ltd, South Africa) solution (1.5 ml Giemsa stock solution in 50 ml phosphate buffer) for five minutes. Slides were then rinsed in water; air dried and inspected for banded chromosome spreads as described in Section 2.3.3.1.

CHAPTER 3

RESULTS

3.1 Molecular Analyses

3.1.1 Haplotype Analyses

Haplotype analysis using DnaSP version 4.10.9 (Rozas *et al.*, 2003) was conducted on both the 1146 nucleotide and 676 nucleotide cytochrome *b* datasets produced. For each dataset, it was observed that 11 haplotypes (six haplotypes belonging to field-identified *R. norvegicus* samples and five haplotypes belonging to field-identified *R. rattus*) (Table 10) were derived for the specimens used in this study. The 1146 nucleotide cytochrome *b* dataset contained 102 variable sites, whilst the 676 nucleotide cytochrome *b* dataset contained 89 varaiable sites. The mean genetic distance between the field-identified *R. norvegicus* and field-identified *R. rattus* haplotypes was 0.786 for both datasets.

The haplotype diversity of the 19 samples of field-identified *R. norvegicus* (forming 6 haplotypes) was 0.516 (with 6 variable sites) for both the 1146 nucleotide and 676 nucleotide cytochrome *b* datasets. The haplotype diversity of the 13 samples of field-identified *R. rattus* (forming 5 haplotypes) was 0.731 for both the 1146 nucleotide cytochrome *b* dataset (53 variable sites) and 676 nucleotide cytochrome *b* dataset (32 variable sites).

A consolidated statistical parsimony haplotype network was constructed in TCS version 1.6.0_02 (Clement *et al.*, 2000) (Figure 4) to illustrate mutational relationships between the *Rattus* cytochrome *b* haplotypes (Table 10).

Table 10: Mitochondrial cytochrome *b* haplotypes of South African field-identified *R. norvegicus* and R. rattus specimens obtained from the 1146 cytochrome b dataset (Haplotype No.1) and 676 cytochrome *b* dataset (Haplotype No.²).

Hapotype No. ¹	Hapotype No. ²	No. Of Samples	Sample ID.	Species Field ID.	Origin
1	1	1	SA 75	R. norvegicus	Warwick Avenue, Durban CDB
2	2	15	SA 136 SA 141	R. norvegicus	Browns Road, Durban CBD
			SA 174 SA 175	R. norvegicus	View Street, Sydenham
			SA 213 SA 217	R. norvegicus	Cnr. Russell & St. George Street, Durban CBD
			SA 218 SA 219	R. norvegicus	Montclair Park, Montclair
			SA 232 SA 233	R. norvegicus	MaKhanyile CC1514, Cato Crest
			SA 234 SA 235	R. norvegicus	Cnr. Commercial & Soldiers Way, Durban CBD
			SA 252	R. norvegicus	145 Cato Maor Road, Cato Crest
			PS1	R. norvegicus	Park Station, Canelands, Verulam
			RnHua	R. norvegicus	Huahine, Society Islands, French
3	3	2	SA 220 SA 221	R. norvegicus	Polynesia Nzuza CC1504, Cato Crest
4	4	4	SA 253 SA 254	R. tanezumi	Pickford Estates, Shongweni
			ARC 79	R. tanezumi	Nkomo-B, Limpopo Province
			UPO2	R. tanezumi	Pretoria
5	5	10	SA 255 SA 256 SA 257 SA 258 SA 259	R. rattus	Axbridge Place, Widenham, Umkomaas
			ARC 170	R. rattus	Hammanskraal, Gauteng Province
			RrTit	R. rattus	Titirangi, New Zealand
			RrHua	R. rattus	Huahine, Society Islands, French Polynesia
			RrSam	R. rattus	Samoa
			RtJap	R. tanezumi	Japan
6	6	1	ARC 101	R. rattus	Nkomo-B, Limpopo Province
7	7	1	ARC 171	R. rattus	Hammanskraal, Gauteng Province
8	8	1	R 1	R. tanezumi	Richmond
9	9	1	HP1	R. norvegicus	Hung Yen Province, North Vietnam
10	10	1	HP2	R. norvegicus	Hung Yen Province, North Vietnam
11	11	1	S1	R. norvegicus	Subang, West Java, Indonesia
12	12	2	RnUSA RnRai	R. norvegicus	USA Raiatea, Society Islands, French Polynesia
13	13	1	RnCopen	R. norvegicus	Copenhagen, Denmark
14	14	1	RnChina	R. norvegicus	China
15	15	1	RrJap	R. rattus	Japan
	16	1	RtAI	R. tanezumi	Amami Islands, Japan

Hapotype No.	Hapotype No. ²	No. Of Samples	Sample ID.	Species Field ID.	Origin
	17	1	RtYog	R. tanezumi	Yogyakarta, Indonesia
	18	1	RtJak	R. tanezumi	Jakarta, Indonesia
16	19	1	RtPhil	R. tanezumi	Philippines
17	20	1	RaJap	R. argentiventer	Japan
18	21	1	RevPhil	R. everetti	Philippines
19	22	1	RexPhil	R. exulans	Philippines
20	23	1	RpPhil	R. praetor	Philippines
21	24	1	DIJap	D. legata	Japan
22	25	1	LbPhil	L. bryophilus	Philippines
23	26	1	TaPhil	T. apoensis	Philippines
24	27	1	MmdChina	M. musculus domesticus	China
	28	1	RnHai	R. norvegicus	Haiphong Port, Vietnam
	29	1	RrMoi	R. rattus	Moitaka, Papua New Guinea
			RrKL	R. rattus	Kuala Lumpur, Malaysia
	30	2	RtNS	R. tanezumi	Northern Sulawesi, Indonesia
25		1	RnRai	R. norvegicus	Raiatea, Society Islands, French Polynesia

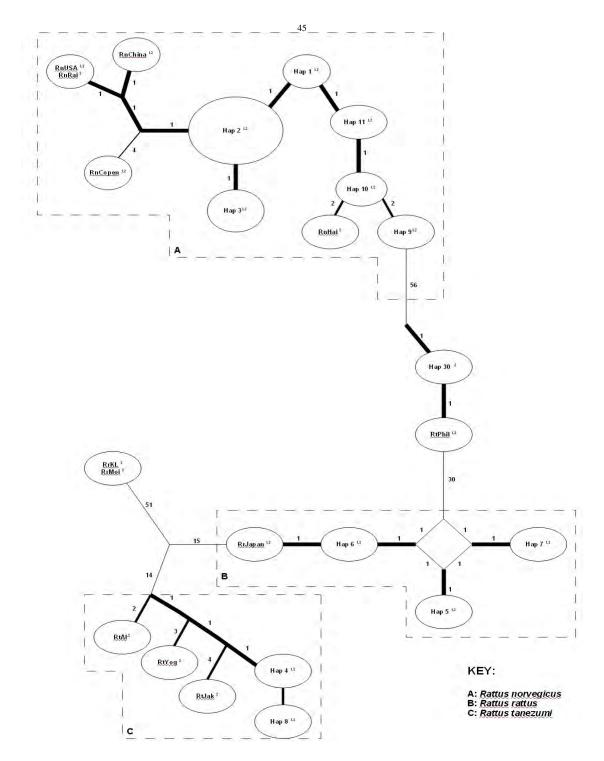


Figure 4: Consolidated statistical parsimony haplotype network showing the relationship between the haplotypes identified from the 1146 cytochrome b dataset (1) and 676 cytochrome b dataset (2) dataset for South African *Rattus* samples (Haps 1 to 11, Table 10) and those obtained from NCBI-GenBank. Connections between haplotypes demarcated by thick lines and less than 10 mutational steps indicate 95% parsimony connections, whilst connections demarcated by thin lines and greater than 10 mutational steps indicate < 95% parsimony

The consolidated cytochrome *b* haplotype network (Figure 4) contained three distinct subnetworks when TCS version 1.6.0_02 (Clement *et al.*, 2000) was set at a 95% parsimony limit; the six haplotypes (1, 2, 3, 9, 10 and 11) derived for the field-identified *R. norvegicus* specimens formed a *R. norvegicus* sub-network with NCBI-Genbank derived *R. norvegicus* haplotypes (RnUSA, RnRai, RnChina, RnCopen, RnHua), the five haplotypes derived for the field-identified *R. rattus* specimens (4, 5, 6, 7and 8) formed the remaining two sub-networks with haplotypes 4 and 8 forming a *R. tanezumi* sub-network with NCBI-Genbank derived *R. tanezumi* haplotypes (RtAI, RtYog and RtJak) and haplotypes 5, 6 and 7 forming an *R. rattus* sub-network with a NCBI-Genbank derived *R. rattus* haplotype (RrJapan). The program was then set to 100 steps to join all sub-networks into a single network (Figure 4).

The sub-network comprising the *R. norvegicus* haplotypes was observed to separate from the sub-networks containing the *R. rattus* and *R. tanezumi* haplotypes by a minimum of 88 and 117 mutational steps, respectively, whilst the *R. rattus* sub-network separated from the *R. tanezumi* sub-network by a minimum of 28 mutational steps. Within the *R. norvegicus* sub-network, all the haplotypes were separated from one another by a single mutation step with the exception of Hap 9, which was separated from Hap 10 by two mutational steps. Within the *R. tanezumi* sub-network haplotypes were separated from one another by a minimum of one to seven mutational steps, and within the *R. rattus* sub-network haplotypes were separated by between one and five mutational steps.

Haplotype analysis using DnaSP version 4.10.9 (Rozas *et al.*, 2003) was conducted on 320 nucleotides of the D-loop region of the mtDNA extracted from the South African field-identified *R. norvegicus* and *R. rattus* samples. This analysis revealed 7 haplotypes, four from *R. norvegicus* genotypes and samples and three from *R. rattus* genotypes (Table 11). 82 sites were variable over the 320 nucleotides of the D-loop region examined. The mean genetic distance between the field-identified *R. norvegicus* and field-identified *R. rattus* haplotypes was 0.752.

For 14 samples of *R. norvegicus* (forming four haplotypes) the haplotype diversity was 0.526 (with 12 variable sites), whilst the seven samples of field-identified *R. rattus* (forming three haplotypes) had a haplotype diversity of 0.810, with 27 variable sites over the 320 nucleotides of the D-loop region..

A statistical parsimony haplotype network constructed in TCS version 1.6.0_02 (Clement *et al.*, 2000) from 320 nucleotides of the mitochondrial D-loop region (see Table 11) is presented in

Figure 5.

Table 11: Mitochondrial D-loop haplotypes of South African field-identified R. norvegicus and R. rattus specimens and outgroups downloaded from the NCBI GenBank. Refer to Tables 1, 8, 9 and 10 for information regarding sample origin and species names.

Hapotype No.	No. Of Samples	Sample ID.	Species Field ID.	Origin
		CA 444	D. mamuaniaua	Drawna Daad Durhan CDD
		SA 141	R. norvegicus	Browns Road, Durban CBD
		SA 75	R. norvegicus	Warwick Avenue, Durban CDB
		SA 174 SA 175	R. norvegicus	View Street, Sydenham
		SA 221	R. norvegicus	Nzuza CC1504, Cato Crest
		SA 233	R. norvegicus	MaKhanyile CC1514, Cato Crest
1	12	SA 234	R. norvegicus	Cnr. Commercial & Soldiers Way, Durban CBD
		SA 252	R. norvegicus	145 Cato Maor Road, Cato Crest
		PS1	R. norvegicus	Park Station, Canelands, Verulam
		S1	R. norvegicus	Subang, West Java, Indonesia
		RnUSA	R. norvegicus	USA
		RnHua	R. norvegicus	Huahine, Society Islands, French Polynesia
2	2	SA 213	R. norvegicus	Cnr. Russell & St. George Street, Durban CBD
-	-	SA 218	R. norvegicus	Montclair Park, Montclair
3	3	SA 253 SA 254	R. tanezumi	Pickford Estates, Shongweni
		R 1	R. tanezumi	Richmond
4	1	SA 255	R. rattus	Axbridge Place, Widenham, Umkomaa
		SA 256 SA 258 SA 259	R. rattus	Axbridge Place, Widenham, Umkomaa
5	7	RrUSA	R. rattus	USA
		RrTit	R. rattus	Titirangi, New Zealand
		RrHua	R. rattus	Huahine, Society Islands, French Polynesia
		RrSam	R. rattus	Samoa
6	1	HP1	R. norvegicus	Hung Yen Province, North Vietnam
7	1	HP2	R. norvegicus	Hung Yen Province, North Vietnam
8	1	RnItaly	R. norvegicus	Italy
9	1	RnCopen	R. norvegicus	Copenhagen, Denmark
10	1	RnRai	R. norvegicus	Raiatea, Society Islands, French Polynesia
11	1	RrMad	R. rattus	Madagascar
12	1	RrMoi	R. rattus	Moitaka, Papua New Guinea
		RrKL	R. rattus	Kuala Lumpur, Malaysia
13	2	RtNS	R. tanezumi	Northern Sulawesi, Indonesia
14	1	RtYog	R. tanezumi	Yogyakarta, Indonesia
		RtAI	R. tanezumi	Amami Islands, Japan
15	3	RtHK	R. tanezumi	Hong Kong, China
		RtJak	R. tanezumi	Jakarta, Indonesia
16	1	RexNZ	R. exulans	New Zealand
17	1	RfAust	R. fuscipes	Australia
18	1	MmTurk	M. macedonicus	Turkey
19	1	McSA	M. coucha	South Africa

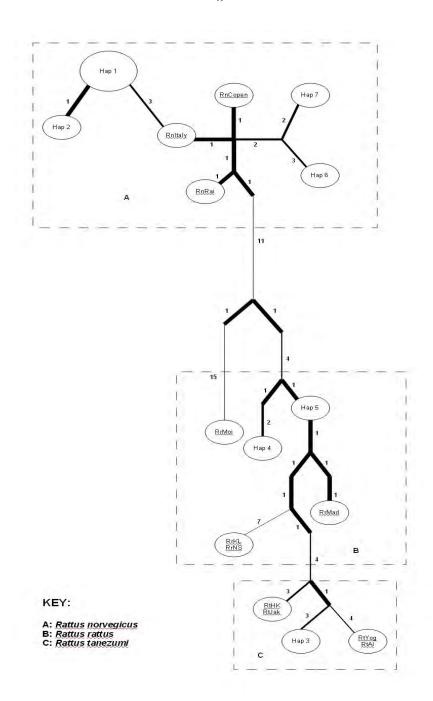


Figure 5: Statistical parsimony haplotype network showing the mutational relationships between *Rattus* mitochondrial D-loop haplotypes. Codes: Haps 1, 4, 6, 9, 10, 11 and 12 refers to haplotypes presented in Table 11. Connections between haplotypes demarcated by thick lines and less than 10 mutational steps indicate 95% parsimony connections, whilst connections demarcated by thin lines and greater than 10 mutational steps indicate < 95% parsimony connections.

The D-loop haplotype network (Figure 5), constructed by treating gaps as missing data in TCS version 1.6.0_02 (Clement et al., 2000), produced three distinct sub-networks when set at a 95% parsimony limit; the four haplotypes (1, 2, 6 and 7) derived for the field-identified R. norvegicus specimens formed an R. norvegicus sub-network with NCBI-Genbank derived R. norvegicus haplotypes (RnItaly, RnRai, RnCopen), the three haplotypes derived for the field-identified R. rattus specimens (3, 4 and 5) formed the remaining two sub-networks with haplotypes 4 and 5 forming a R. rattus sub-network with NCBI-Genbank derived R. rattus haplotypes (RrMoi, RrMad, RrKl and RrNS) and haplotype 3 forming a R. tanezumi sub-network with NCBI-Genbank derived R.tanezumi haplotypes (RtHK, RtJak, RtYog and RtAI). The connection limit was then set to 50 steps to join all sub-networks into a single network (Figure 5). The subnetwork comprising the R. norvegicus haplotypes (1, 2, 6 and 7) was separated from the R. tanezumi sub-network by a minimum of 26 mutaional steps, and from R. rattus sub-network by a minimum of 19 mutational steps. The R. rattus sub-network separated from the haplotypes of R. tanezumi sub-network by a minimum of 5 mutational steps. The haplotypes comprising the R. norvegicus sub-network, were separated from each other by between one and three mutational steps, whilst the haplotypes that comprised both the R. rattus and R. tanezumi sub-networks, were separated from each other by between one and seven mutational steps.

3.1.2 Genetic Distance Analyses

The GTR model was identified in both Modeltest version 3.7 (Posada and Crandall, 1998) and MrModelTest version 2.2 (Nylander, 2004) as the best-fitting both the 1146 and 676 nucleotide cytochrome *b* datasets. This model was used where appropriate in further analyses.

Table 12: Pairwise GTR genetic distances based on 1146 nucleotides of the mitochondrial cytochrome *b* region between *Rattus* study haplotype groups (Haps 1, 2, 3, 4, 5, 6, 7, 8, 9, 10 and 11), NCBI-GenBank derived haplotype groups (Haps 12, 13, 14, 15, 16, 17, 18, 19, 20, 21, 22, 23, 25) and outgroups (Hap 24).

Haplotype Number	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24	25
1	-																								
2	0.005	-																							
3	0.009	0.005	-																						
4	0.865	0.875	0.934	-																					
5	0.695	0.704	0.739	0.157	-																				
6	0.686	0.695	0.733	0.165	0.028	-																			
7	0.671	0.680	0.713	0.165	0.013	0.034	-																		
8	0.911	0.920	0.989	0.009	0.170	0.179	0.178	-																	
9	0.018	0.023	0.027	0.750	0.614	0.605	0.596	0.785	-																
10	0.009	0.013	0.018	0.822	0.663	0.655	0.642	0.864	0.009																
11	0.004	0.009	0.013	0.856	0.686	0.678	0.663	0.901	0.013	0.004	-														
12	0.018	0.013	0.018	0.916	0.731	0.722	0.707	0.967	0.027	0.018	0.013	-													
13	0.028	0.023	0.028	0.813	0.666	0.656	0.647	0.853	0.037	0.028	0.023	0.028	-												
14	0.018	0.014	0.018	0.896	0.697	0.688	0.674	0.945	0.028	0.018	0.014	0.009	0.030	-											
15	0.663	0.672	0.707	0.171	0.033	0.004	0.039	0.186	0.586	0.633	0.655	0.697	0.636	0.665	-										
16	0.578	0.586	0.610	0.221	0.200	0.188	0.195	0.234	0.519	0.554	0.571	0.612	0.565	0.583	0.195	-									
17	0.843	0.854	0.905	0.496	0.515	0.459	0.515	0.517	0.803	0.883	0.833	0.821	0.852	0.896	0.448	0.527	-								
18	0.896	0.872	0.910	0.528	0.652	0.627	0.678	0.551	0.859	0.859	0.884	0.980	0.935	0.904	0.612	0.523	0.837	-							
19	0.899	0.867	0.920	0.465	0.426	0.411	0.428	0.486	0.780	0.854	0.890	0.914	0.904	0.865	0.424	0.411	0.532	0.617							
20	0.920	0.935	0.985	0.654	0.654	0.651	0.683	0.688	0.877	0.947	0.902	0.915	1.233	0.863	0.635	0.734	0.916	6.713	0.528						
21	0.820	0.835	0.881	0.619	0.538	0.524	0.576	0.647	0.728	0.785	0.811	0.839	0.835	0.796	0.544	0.612	0.671	0.579	0.668	0.542					
22	0.671	0.659	0.676	0.575	0.713	0.648	0.749	0.603	0.646	0.646	0.660	0.635	0.963	0.598	0.671	0.588	0.800	0.589	0.464	0.574	0.718				
23	0.804	0.781	0.819	0.486	0.513	0.505	0.548	0.508	0.714	0.770	0.796	0.839	0.781	0.791	0.491	0.594	0.988	0.564	0.582	0.592	0.442	0.436	_		
24	1.390	1.407	1.529	1.609	3.356	6.713	6.713	1.737	1.398	1.398	1.390	1.521	1.599	1.402	6.713	1.358	2.455	6.713	6.713	6.713	1.066	1.377	1.152	_	
25	0.018	0.013	0.018	0.831	0.672	0.664	0.650	0.872	0.018	0.009	0.013	0.018	0.028	0.018	0.642	0.560	0.901	0.821	0.834	0.977	0.791	0.647	0.742	1.362	

Table 13: Pairwise GTR genetic distances based on 676 nucleotides of the mitochondrial cytochrome *b* region between *Rattus* study haplotype groups (Haps 1, 2, 3, 4, 5, 6, 7, 8, 9, 10 and 11), NCBI-GenBank derived haplotype groups (Haps 12, 13, 14, 15, 16, 17, 18, 19, 20, 21, 22, 23, 24, 25, 26, 28, 29, 30) and outgroups (Hap 27)

Haplotype																														
Number	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24	25	26	27	28	29	30
1	_																													
2	0.004	-																												
3	0.009	0.004	-																											
4	0.847	0.857	0.933	-																										
5	0.670	0.679	0.724	0.147	-																									
6	0.625	0.635	0.675	0.156	0.014	-																								
7	0.644	0.653	0.694	0.155	0.014	0.020	-																							
8	0.904	0.914	1.006	0.010	0.160	0.170	0.169	-																						
9	0.019	0.025	0.030	0.716	0.579	0.541	0.560	0.757	-																					
10	0.009	0.014	0.019	0.799	0.635	0.593	0.611	0.850	0.009	-																				
11	0.004	0.009	0.014	0.838	0.660	0.616	0.634	0.894	0.014	0.004	-																			
12	0.019	0.014	0.019	0.905	0.711	0.662	0.684	0.971	0.030	0.019	0.014	-																		
13	0.030	0.025	0.030	0.786	0.637	0.595	0.616	0.832	0.040	0.030	0.025	0.031	-																	
14	0.020	0.015	0.020	0.882	0.673	0.628	0.648	0.944	0.030	0.020	0.015	0.009	0.032	-																
15	0.602	0.611	0.649	0.163	0.019	0.004	0.025	0.178	0.522	0.571	0.593	0.637	0.575	0.604	-															
16	0.934	0.947	1.038	0.025	0.153	0.165	0.162	0.037	0.786	0.879	0.923	1.017	0.877	0.948	0.172	-														
17	0.980	0.992	1.097	0.025	0.153	0.163	0.162	0.037	0.818	0.920	0.970	1.066	0.907	0.991	0.170	0.031	-													
18	0.890	0.900	0.981	0.031	0.161	0.170	0.169	0.043	0.753	0.839	0.879	0.969	0.842	0.905	0.178	0.035	0.048	-												
19	0.546	0.554	0.580	0.236	0.202	0.196	0.195	0.250	0.483	0.521	0.538	0.586	0.530	0.544	0.204	0.258	0.260	0.269	-											
20	0.784	0.795	0.848	0.448	0.464	0.429	0.462	0.469	0.743	0.824	0.773	0.767	0.806	0.863	0.418	0.441	0.449	0.503	0.489	-										
21	0.895	0.870	0.909	0.472	0.568	0.560	0.594	0.494	0.855	0.855	0.880	4.718	4.718	1.082	0.544	0.508	0.500	0.529	0.480	0.743	-									
22	1.085	1.014	1.135	0.453	0.412	0.383	0.416	0.476	0.876	1.008	1.075	1.101	1.074	1.018	0.397	0.451	0.491	0.461	0.406	0.559	0.555	-								
23	0.994	1.016	1.098	0.673	0.672	0.640	0.713	0.712	0.940	1.046	0.974	0.997	4.718	0.927	0.622	0.665	0.734	0.742	0.803	0.909	4.718	0.521	-							
24	0.793	0.806	0.850	0.604	0.484	0.486	0.521	0.634	0.703	0.758	0.783	0.802	4.718	0.754	0.507	0.662	0.657	0.656	0.609	4.718	0.495	0.689	0.545	-						
25	0.794	0.779	0.800	0.585	0.725	0.668	0.774	0.618	0.755	0.755	0.771	0.704	4.718	0.645	0.696	0.575	0.636	0.592	0.624	0.801	0.560	0.496	0.568	4.718	-					
26	0.832	0.803	0.850	0.483	0.488	0.488	0.525	0.507	0.727	0.792	0.822	0.946	0.891	0.856	0.473	0.497	0.491	0.478	0.619	0.993	0.509	0.554	0.617	0.456	0.458	-				
27	1.248	1.258	1.372	1.328	1.735	2.359	1.971	1.414	1.251	1.251	1.239	1.352	1.426	1.252	4.718	1.546	1.583	1.427	1.195	1.810	1.714	1.674	4.718	1.002	1.175	0.989	-			
28	0.019	0.014	0.019	0.809	0.645	0.603	0.620	0.858	0.019	0.009	0.014	0.019	0.030	0.020	0.581	0.913	0.930	0.851	0.526	0.843	0.806	0.960	1.089	0.757	0.767	0.757	1.223	-		
29	0.779	0.749	0.789	0.547	0.629	0.598	0.612	0.578	0.74	0.809	0.765	0.817	0.816	0.763	0.579	0.534	0.555	0.551	0.827	0.638	0.747	0.549	0.407	0.839	0.735	0.613	4.718	0.786	-	
30	0.528	0.537	0.562	0.246	0.211	0.204	0.204	0.26	0.468	0.504	0.521	0.568	0.514	0.536	0.213	0.269	0.271	0.28	0.004	0.508	0.501	0.421	0.774	0.609	0.606	0.654	1.191	0.509	0.904	-

Pairwise comparison of the six *R. norvegicus* haplotypes identified in this study (1 to 3 and 9 to 11, Table 10) (Table 12 and 14) yielded genetic distance values ranging from 0.004 (0.4%) to 0.027 (2.7%) with a mean of 0.012 (1.2%). Pairwise comparison of these six *R. norvegicus* haplotypes to NCBI GenBank-derived *R. norvegicus* haplotypes (12, 13, 14, Table 11, 13, 14 and 28, Table 11) yielded similar values (minimum distance 0.013 (1.3%), maximum 0.037 (3.7%), mean 0.021 (2.1%)).

 $R.\ tanezumi$ haplotypes (4 and 8) (Figure 4), were separated by a genetic distance of 0.009 (0.9%) in the 1146 nucleotide cytchrome b dataset (Table 13) and by 0.010 (1%) in the 676 nucleotide cytchrome b dataset (Table 13), whereas $R.\ rattus$ haplotypes (5, 6, 7) (Figure 4), were separated by 0.025 (2.5%) (Table 13) and 0.016 (1.6%) (Table 14). The mean genetic distance (combination of values from Table 12 and 14) between the $R.\ rattus$ clade and $R.\ tanezumi$ clade was 0.164 (16.9%).

Pairwise comparison of haplotypes 4 to 8 to the NCBI GenBank-derived *Rattus* haplotypes (haplotypes 12 to 19 and 25 in Table 10, haplotypes 12 to 23 and 28 to 30 in Table 10) revealed an apparent split within these *R. rattus* study haplotypes.

Haplotypes 4 and 8 were observed to be less distant from the *R. tanezumi* reference haplotypes (haplotypes 16 to 19 in Table 10) (mean genetic distance 0.084 (8.4%), range 0.025 – 0.250 (2.5% - 25%)) than from the *R. rattus* reference haplotype (15 in Table 10) (mean genetic distance 0.328 (32.8%). Similarly, haplotypes 5, 6 and 7 were more similar to the *R. rattus* reference haplotype (15, Table 10) (mean genetic distance of 0.025 (2.5%) and 0.016 (1.6%)) than they were to the *R. tanezumi* reference haplotypes (16, Table 10 and 16 to 19, Table 10).

Comparison of the six R. norvegicus haplotypes (1 to 3 and 9 to 11, Table 10) to the five R. rattus haplotypes identified in this study (4 to 8, Table 10) yielded genetic distance values ranging from a minimum of 0.596 (59.6%) to a maximum of 0.989 (98.9%) with a mean of 0.836 (83.6%).

Analysis of the D-loop dataset using Modeltest version 3.7 (Posada and Crandall, 1998), identified the HKY model as best fitting the data. The haplotype groups presented in Table 11 and outgroups were analyzed using this model to produce a HKY genetic distance matrix (Table 14).

Pairwise comparison of the four *R. norvegicus* haplotypes identified in this study (1, 2 6 and 7, Table 11) (Table 14) yielded genetic distance values ranging from 0.012 (1.2%) to 0.108 (10.8%) with a mean of 0.077 (7.7%). Pairwise comparison of these four *R. norvegicus* haplotypes to NCBI GenBank-derived *R. norvegicus* haplotypes (8, 9 and 10 Table 11) yielded similar values (minimum distance 0.038 (3.8%), maximum 0.092 (9.2%), mean 0.131 (13.1%)).

Pairwise comparison of the three field-identified *R. rattus* haplotypes identified in this study (3, 4 and 5, Table 11) (Table 14) yielded genetic distances between 0.052 (5.2%) and 0.185 (18.5%) with a mean of 0.158 (15.8%). These three haplotypes formed two clades in the D-loop haplotype network (Figure 5). *R. tanezumi* comprised haplotype 3 only (Figure 5), whilst *R. rattus* comprised haplotypes 4 and 5 (Figure 5), separated by a genetic distance of 0.052 (5.2%) (Table 14). The mean genetic distance between Clades 1 and 2 was 0.211 (21.1%).

Pairwise comparison of haplotypes 3, 4 and 5 to the NCBI GenBank-derived *Rattus* haplotypes (9, 10, 11, 12, 13, 14, 15, 16 and 17) (Table 11) showed that haplotype 3 was more similar to the *R. tanezumi* reference haplotypes (14 and 15, Table 11) (mean genetic distance 0.095 (9.5%)) than to the *R. rattus* reference haplotypes (11, 12 and 13, Table 11) (mean genetic distance of 0.291 (29.1%)).

Haplotypes 4 and 5 were more similar to the *R. rattus* reference haplotype (11, Table 11) (mean genetic distance 0.071 (7.1%)) than to the *R. tanezumi* reference haplotypes (14 and 15, Table 11) (mean genetic distance 0.237 (23.7%)).

Pairwise comparison of the four *R. norvegicus* (1 and 2, 6 and 7, Table 11) to the three *R. rattus* haplotypes identified in this study (3, 4 and 5, Table 11) yielded genetic distances between 0.308 (30.8%) and 0.468 (46.8%), with a mean of 0.363 (36.3%).

Table 14: Pairwise HKY genetic distances based on 320 nucleotides of the mitochondrial D-loop region between *Rattus* study haplotype groups (Haps 1, 2, 3, 4, 5, 6, 7), NCBI-GenBank derived haplotype groups (Haps 8, 9, 10, 11, 12, 13, 14, 15, 16, 17) and outgroups (Haps 18 and 19).

Haplotype					_		_					4.0	40	4.4	4-	4.5	4=	40	
Number	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19
1	-																		
2	0.012	-																	
3	0.350	0.375	-																
4	0.331	0.356	0.237	-															
5	0.308	0.330	0.185	0.052	-														
6	0.092	0.108	0.468	0.393	0.370	-													
7	0.079	0.094	0.398	0.353	0.328	0.064	-												
8	0.038	0.052	0.396	0.328	0.306	0.078	0.064	-											
9	0.067	0.082	0.398	0.329	0.307	0.078	0.064	0.025	-										
10	0.083	0.099	0.429	0.308	0.288	0.092	0.079	0.038	0.038	-									
11	0.330	0.355	0.209	0.102	0.040	0.447	0.405	0.375	0.376	0.354	-								
12	0.504	0.542	0.491	0.353	0.328	0.580	0.534	0.530	0.532	0.504	0.405	-							
13	0.289	0.311	0.174	0.221	0.144	0.396	0.318	0.355	0.356	0.388	0.164	0.415	-						
14	0.427	0.460	0.094	0.323	0.222	0.568	0.524	0.484	0.487	0.530	0.252	0.455	0.227	-					
15	0.407	0.440	0.095	0.220	0.183	0.475	0.434	0.462	0.464	0.511	0.212	0.503	0.235	0.117	-				
16	0.490	0.530	0.346	0.383	0.310	0.528	0.453	0.482	0.485	0.527	0.370	0.487	0.425	0.333	0.312	-			
17	0.475	0.504	0.601	0.512	0.481	0.550	0.501	0.447	0.447	0.475	0.511	0.309	0.588	0.636	0.704	0.596	-		
18	0.660	0.698	0.571	0.624	0.585	0.855	0.776	0.689	0.692	0.737	0.626	0.822	0.607	0.634	0.642	0.779	1.001	-	
19	0.459	0.483	0.604	0.525	0.465	0.593	0.534	0.532	0.533	0.567	0.494	0.750	0.489	0.682	0.614	0.815	0.902	0.630	_

3.1.3 Phylogenetic Analyses

Analyses conducted on the cytochrome b and D-loop haplotype datasets resulted in the production of two cladograms for each of the sequence datasets, viz. a maximum parsimony phylogenetic tree (Figures 6, 7 and 10) and a Bayesian Inference phylogenetic tree (Figures 8, 9 and 11).

Analysis of the cytochrome b and D-loop haplotype datasets in MrModelTest version 2.2 (Nylander, 2004) identified the GTR model as the best-fitting for the cytochrome b haplotype dataset and the HKY model as best-fitting the D-loop haplotype dataset.

Bayesian likelihood trees (Figures 8, 9 and 11) were created using MrBayes version 3_0b4 (Ronquist and Huelsenbeck, 2003) using the parameters set by MrModelTest version 2.2 (Nylander, 2004) for both the cytochrome *b* and D-loop haplotype datasets.

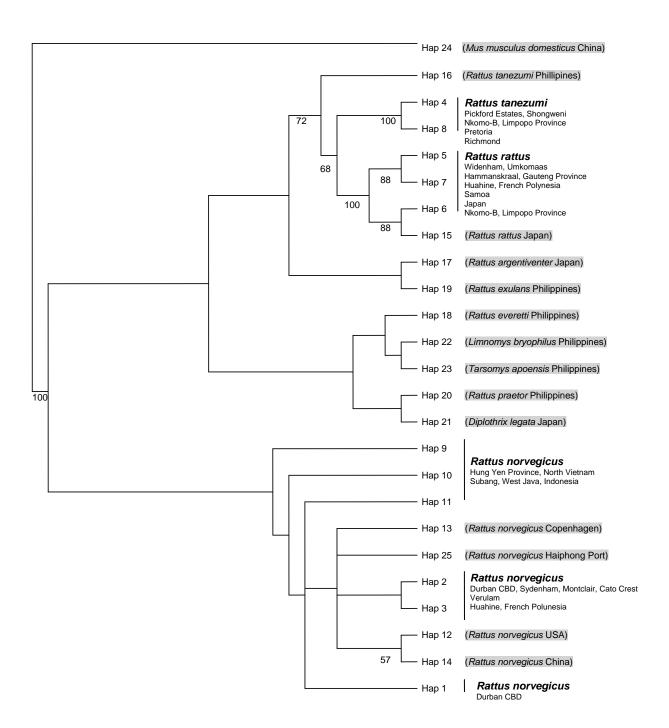


Figure 6: Maximum Parsimony tree showing evolutionary relationships between samples based on 1146 nucleotides of the mitochondrial cytochrome *b* sequence. Bootstrap values are indicated adjacent to the nodes. Tree rooted to the outgroup *Mus musculus domesticus (*Hap 24). Labels in bold indicate species identified in this study.

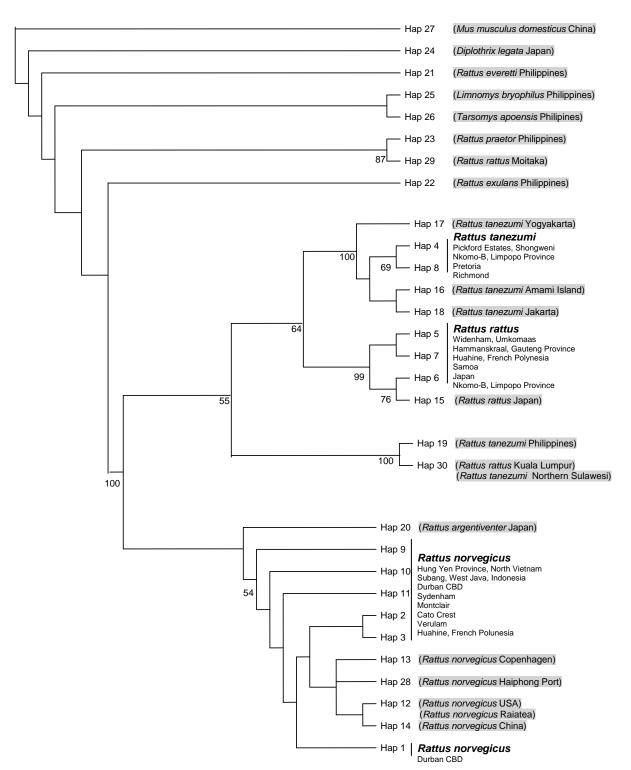


Figure 7: Maximum Parsimony tree showing evolutionary relationships between samples based on 676 nucleotides of the mitochondrial cytochrome *b* sequence. Bootstrap values are indicated adjacent to the nodes. Tree rooted to the outgroup *Mus musculus domesticus (*Hap 27). Labels in bold indicate species identified in this study.

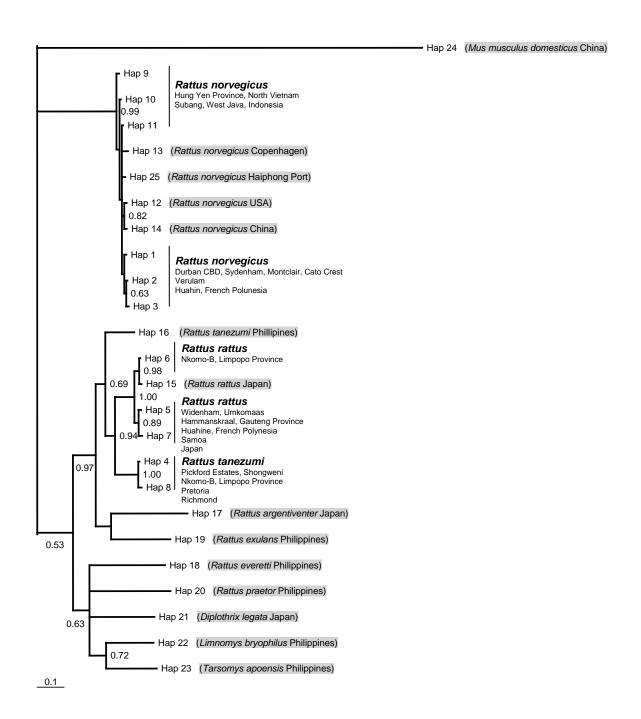


Figure 8: Bayesian phylogenetic tree obtained from the analysis of 1146 nucleotide cytochrome *b* dataset using the GTR genetic distance model. Posterior probabilities are indicated adjacent to the nodes. Tree rooted to the outgroup *Mus musculus domesticus* (Hap 24). Labels in bold indicate species identified in this study.

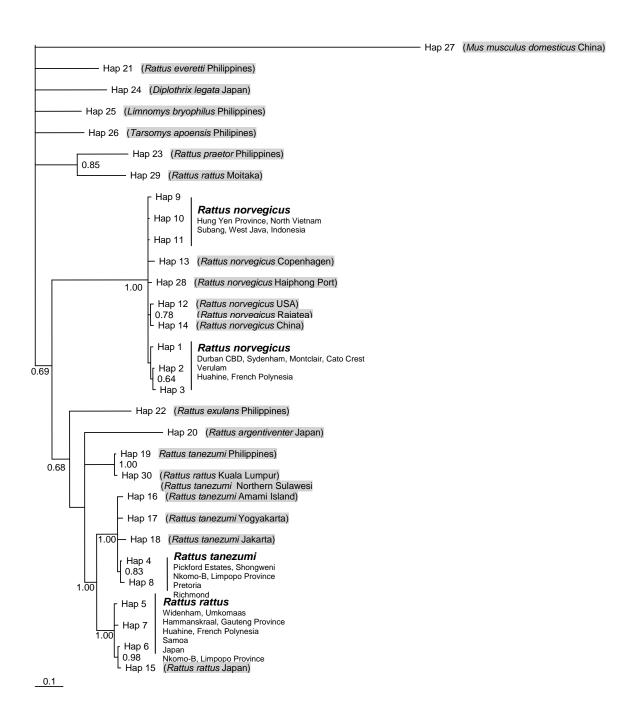


Figure 9: Bayesian phylogenetic tree obtained from the analysis of 676 nucleotide cytochrome *b* dataset using the GTR genetic distance model. Posterior probabilities are indicated adjacent to the nodes. Tree rooted to the outgroup *Mus musculus domesticus* (Hap 27). Labels in bold indicate species identified in this study.

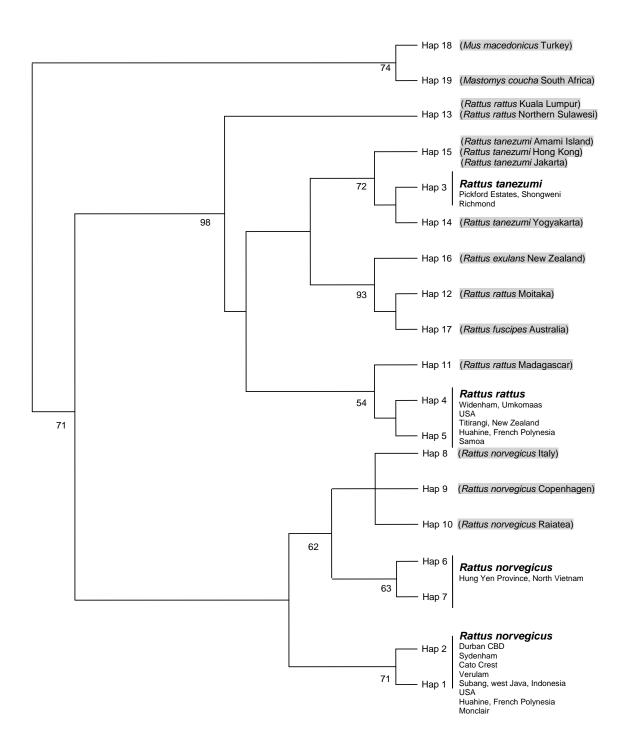


Figure 10: Maximum Parsimony tree showing evolutionary relationships between samples based on 320 nucleotides of the mitochondrial D-loop sequence. Bootstrap values are indicated adjacent to the nodes. Tree rooted to outgroups *Mus macedonicus* (Hap18) and *Mastomys coucha* (Hap 19). Labels in bold indicate species identified in this study.

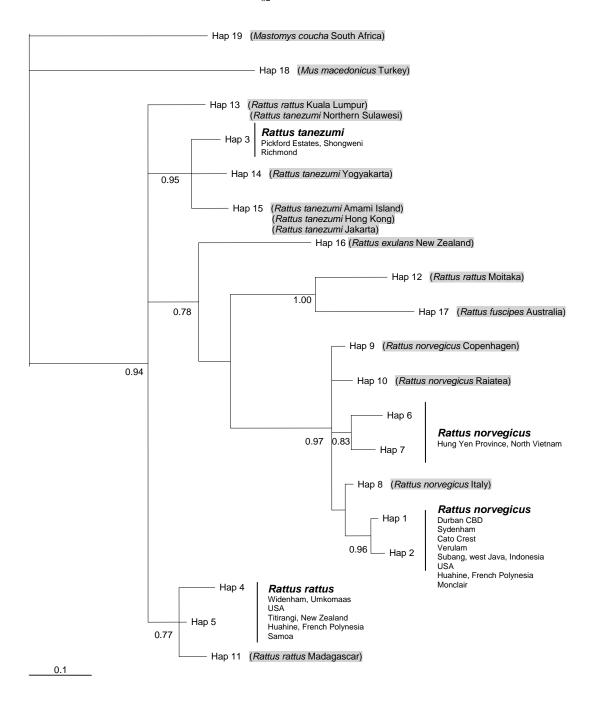


Figure 11: Bayesian phylogenetic tree obtained from the analysis of D-loop sequences (320 nucleotides long) using the HKY genetic distance model. Posterior probabilities are indicated adjacent to the nodes. Tree rooted to outgroups *Mus macedonicus* (Hap18) and *Mastomys coucha* (Hap 19). Labels in bold indicate species identified in this study.

Maximum parsimony and Bayesian analyses of the 1146 and 676 nucleotide cytochrome *b* haplotypes (Table 10) (Figures 6, 7, 8 and 9) were largely congruent. All *R. rattus*, *R. norvegicus* and *R. tanezumi* study haplotypes (1 to 11) formed a strongly-supported clade in the maximum parsimony analysis (bootstrap 100%) (Figures 6 and 7). This clade was essentially unsupported (posterior probability 0.69) in the Bayesian analysis of the shorter cytochrome *b* dataset (Figure 10), and not present in the 1146 nucleotide cytochrome *b* dataset (Figure 8).

All *R. norvegicus* haplotypes (1, 2, 3, 9, 10 and 11) formed a clade which was poorly-supported in the maximum parsimony analysis (<50% and 54%, Figures 7 and 8), but well-supported (posterior probability 0.99 and 1.00, Figures 8 and 9) in the Bayesian analysis.

Sister to this clade was a clade which included *R. tanezumi* and 2 study samples and other taxa (*R. everetti*, *R. argentiventer*, *R. exulans*, *R. praetor*, *D. legata*, *L. bryophilus* and *T. apoensis*), although there was no support for this wider grouping. The sub-clade containing *R. tanezumi* and two study samples was moderate- to well-supported (bootstrap 72% and 64%, Figures 6 and 7, posterior probability 0.69 and 1.00 (Figure 8 and 9, respectively). This sub-clade comprised two reciprocally-monophyletic *R. rattus* sub-clades. One of these sub-clades (support 100%, 69%, 1.00, 0.83; Figures 6, 7, 8, 9) contained *R. tanezumi* study haplotypes 4 and 8 as well as *R. tanezumi* haplotypes derived from Amami Islands in Japan and Yogyakarta and Jakarta in Indonesia (Figures 7 and 9). The other sub-clade contained *R. rattus* study haplotypes 5, 6 and 7 and *R. rattus* (Japan) haplotype 15 (support 100%, 99%, 1.00, 1.00; Figures 6, 7, 8, 9). There is good support (posterior probability 0.97, Figure 8) for the inclusion of a sub-clade comprising *R. argentiventer* and *R. exulans* in the clade containing the *R. rattus* study samples.

Analysis of the D-loop haplotypes (Table 11) (Figures 10 and 11) revealed, with respect to the outgroups *Mus macedonicus* and *Mastomys coucha* (haplotypes 18 and 19), all *R. rattus*, *R. norvegicus* and *R. tanezumi* study haplotypes (1 to 7) formed a clade which was moderately supported (maximum parsimony bootstrap 71%, posterior probability 0.94). Within this, *R. norvegicus* haplotypes (1, 2, 6 and 7) formed a sub-clade (<50% bootstrap support, posterior probability 0.97) which included *R. norvegicus* haplotype 8, 9 and 10 from Italy, Copenhagen and Raiatea, respectively. Study haplotypes 1 and 2 formed a moderately-supported (bootstrap 71%) sub-clade, and study haplotypes 6 and 7 a relatively poorly-supported sub-clade (bootstrap 63%, posterior probability 0.83).

In the maximum parsimony analysis, *R. rattus* study haplotypes (3, 4 and 5) were contained within a strongly-supported (98% bootstrap) clade which was sister to that containing the *R. norvegicus* haplotypes (Figure 10). In the Bayesian analysis, however, haplotypes 4 and 5, and haplotype 3 were part of separate sister-clades to the *R. norvegicus* clade. Both maximum parsimony (72%) and Bayesian analysis (0.95) provided good support for a clade comprising *R. tanezumi* (haplotype 3) and *R. tanezumi* haplotypes 14 (Yogyakarta) and 15 (Amami Island, Hong Kong and Jakarta).

3.2 Cytogenetic Analyses

For the construction of *Rattus* karyograms, the chromosomes were arranged according to the system used for *R. rattus* by Yosida (1985). Only karyograms obtained from uniformly-stained chromosomes are reported here. This is because the G- and C-banding methods did not yield clearly-defined banding patterns that could be confidently used for analysis.

Karyograms produced from chromosome spreads obtained from field-identified *R. rattus* specimens yielded three karyogram arrangements each characterized by a different diploid number (ranging from 38 to 42).

Arrangement 1 had a diploid number of 2n = 38 (Figure 12) and was characterized by nine pairs of acrocentric chromosomes, acrocentric M_1 and M_2 marker chromosomes, seven pairs of metacentric chromosomes and one pair of acrocentric X chromosomes. Specimens that had this arrangement were SA255, SA256, SA258, ARC101, ARC170 and ARC171.

Arrangement 2 had a diploid number of 2n = 40 (Figure 13) and was characterized by nine pairs of acrocentric chromosomes, acrocentric M_1 and M_2 marker chromosomes, eight pairs of metacentric chromosomes and one pair of acrocentric X chromosomes. Specimens that had this arrangement were SA257 and SA259.

Arrangement 3 had a diploid number of 2n = 42 (Figure 14) and was characterized by 13 acrocentric chromosome pairs, seven pairs of metacentric chromosomes and acrocentric X and Y chromosomes. No marker chromosomes were identified for this arrangement. Specimens that had this arrangement were SA253, SA254, UP02, ARC79 and R1.

Only a single karyogram could be produced from chromosome spreads obtained from field-identified R. norvegicus specimens. This arrangement was observed to have a diploid number of 2n = 42 (Figure 15) and was characterized by one pair of sub-telocentric chromosomes, 11 pairs of acrocentric chromosomes, eight pairs of metacentrics and a pair of acrocentric X chromosomes. All field-identified R. norvegicus specimens had this arrangement.

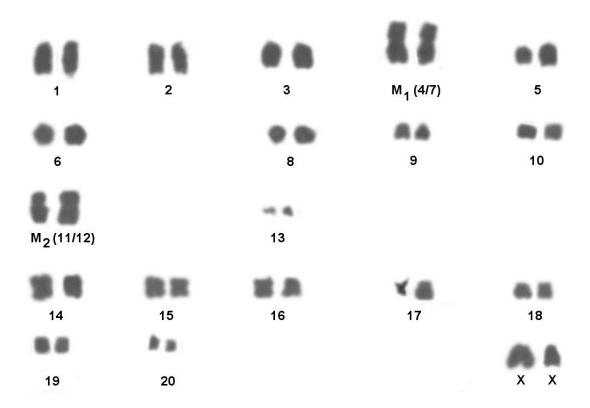


Figure 12: Karyogram of R. rattus Arrangement 1

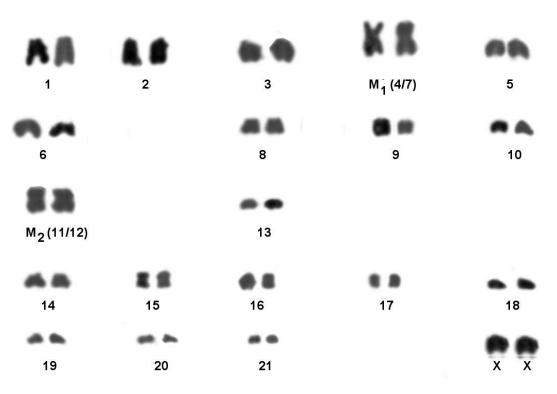


Figure 13: Karyogram of R. rattus Arrangement 2

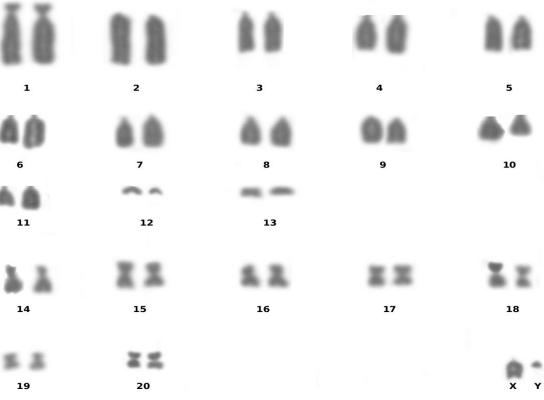


Figure 14: Karyogram of R. rattus Arrangement 3

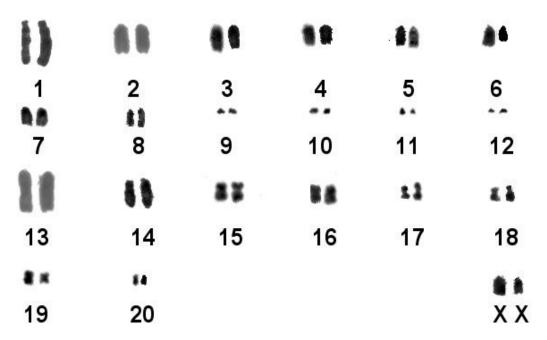


Figure 15: Karyogram of R. norvegicus

CHAPTER 4

DISCUSSION

Morphological identification of many *Rattus* species is generally considered to be difficult and in some cases unreliable, even if whole carcasses are available; this is mainly due to the lack of distinct morphological identification criteria (Robins *et al.*, 2007; Matisoo-Smith and Robins, 2009). Past morphological studies have identified the presence of only two invasive *Rattus* species viz. *R. norvegicus* and *R. rattus* within the southern African region (De Graaff, 1981; Skinner and Smithers, 1990). It was therefore expected that *Rattus* specimens collected for this study would either be *R. norvegicus* or *R. rattus*. Initial field identifications confirmed this assumption as specimens field-identified as either *R. norvegicus* or *R. rattus* conformed to morphological identification criteria published by De Graaff (1981), Skinner and Smithers (1990), Long (2003) and Musser and Carleton (2005).

However, molecular and cytogenetic analysis of these wild-caught specimens indicated that morphologically-based field identifications underestimated the number of *Rattus* species present in the sample. There were two genetically-distant monophyletic clades present within the sample set morphologically-identified as *R. rattus*, indicating the presence of a cryptic species.

4.1 Molecular Analyses

All analysis of the mitochondrial cytochrome *b* and D-loop datasets (genetic distance, maximum parsimony, statistical parsimony haplotype network and cytogenetics) were congruent in supporting *R. norvegicus* as a discrete, genetically distant *Rattus* species, and in finding cryptic genetic diversity within the clade of morphology-identified *R. rattus* samples. This led to the identification of *R. tanezumi* within the sample set, a first record of the presence of this species in KwaZulu-Natal.

4.1.1 Rattus norvegicus

Specimens collected from various points within the Durban Central Business District (CBD), Sydenham, Montclair, Cato Crest and Verulam (Tables 1 and 10) and initially field-identified as *R. norvegicus* showed close association with GeneBank-derived *R. norvegicus* reference sequences when analysed using Bayesian and maximum parsimony analysis of both the

cytochrome *b* and D-loop datasets, thus providing support for the identity of these specimens. The haplotype diversity amongst these *R. norvegicus* specimens was fairly low (0.516). This was further reflected in both the cytochrome *b* (Figure 4) and D-loop (Figure 5) haplotype networks: The six cytochrome *b* and four D-loop haplotypes obtained for these *R. norvegicus* specimens were observed to be linked to one another by 1-3 mutational steps. This was consistent with the low cytochrome *b* genetic distances between *R. norvegicus* haplotypes (mean 1.2%, range 0.4% to 2.7%) (Table 12 and 13), which fell within the range reported for intraspecific variation amongst rodents (mean 1.5%, range 0.0 to 4.7%) by Baker and Bradley (2006).

Rattus norvegicus specimens collected from the Durban CBD (with the exception of the specimen collected at Warwick Avenue), View Street in Sydenham, Monclair Park in Montclair, Makhanyile CC1514 and 145 Cato Manor Road in Cato Crest, Park Station in Verulam were observed to form a singular haplotype (2, Table 10 and 11) along with an R. norvegicus mitochondrial sequence obtained from GenBank that originated from Huahine, Society Islands, French Polynesia. Rattus norvegicus specimens collected from populations in View Street in the Durban CBD and from Nzuza CC1504 in Cato Crest which formed two separate haplotypes (1 and 3, respectively, Table 10 and 11), appear to be derived from the populations in haplotype 2 as comparisons between haplotypes 1, 2 and 3 yielded very low genetic distance values ranging from 0.004 (0.4%) to 0.009 (0.9%) and were only separated from haplotype 2 by one mutaional step (Figure 4). Although these three haplotypes formed a monophyletic clade with R. norvegicus samples retrieved from the NCBI Genbank and originating from Copenhagen, the USA and China (Figure 6) as well as French Polynesia (Raiatea) (Figure 7), genetic distance values indicate that these specimens are most closely related to the NCBI GenBank R. norvegicus samples that originated from China (between 1.4% and 1.8% GTR-corrected genetic distances, Table 12 and 13) and Raiatea in French Polynesia (between 1.3% and 1.8% GTRcorrected genetic distances, Table 12 and 13). This relationship between South African R. norvegicus specimens and the NCBI GenBank R. norvegicus samples from China and Raiatea was further supported when the D-loop sequences were analysed (Tables 11 and 14, Figures 5, 10 and 11). However, it is most likely that the R. norvegicus populations observed in KwaZulu-Natal are due to two separate introductions from French Polynesia as they share a haplotype with samples derived from this area.

4.1.2 Rattus rattus

The five cytochrome *b* and three D-loop haplotypes obtained for field-identified *R. rattus* specimens were seen to split into two monophyletic clades (*R. tanezumi* and *R. rattus*) (Figure 4 and 5) separated from each other by 13 to 31 mutational steps. The inter-clade genetic distance of 16.9%, is consistent with the inter-clade genetic distance reported for sister species (mean 9.55%, range 2.70% to 19.23%) by Baker and Bradley (2001) with haplotype diversity being fairly high (0.731).

4.1.2.1 Rattus rattus

Specimens collected from Widenham in Umkomaas, Hammanskraal in Gauteng Province and from Nkomo-B in Limpopo Province formed three distinct haplotypes. Specimens collected from Nkomo-B in Limpopo Province and one specimen (ARC 171) which was collected from Hammanskraal in Gauteng Province formed singlular haplotypes (6 and 7, Table 10 and 11), with specimens collected from Widenham in Umkomaas and the remaining specimen from Hammanskraal in Gauteng Province (ARC 170) forming a haplotype (5, Table 10 and 11) with GenBank-derived *R. rattus* sequences originating from Titirangi in New Zealand, Huahine in French Polynesia and Samoa. Interestingly, a reference sequence identified as *R.tanezumi* on the NCBI-Genbank was also seen to be part of this haplotype composition and it is highly likely that this sequence was mis-identified and probably originated from an *R. rattus* specimen and not from an *R. tanezumi* specimen. The close association of the specimens collected from Widenham in Umkomaas, Hammanskraal in Gauteng Province and from Nkomo-B in Limpopo Province with the GenBank *R. rattus* sequences provides support for the identification of these specimens as *Rattus rattus*.

There appear to be two lineages of *R. rattus* in South Africa possibly originating from two separate introductions of this species into the country (Figures 7, 8 and 9). The first lineage is comprised of populations found at Widenham in Umkomaas and Hammanskraal in Gauteng Province (haplotype 5, Table 10), with this lineage most likely originating from stock introductions into South Africa from Samoa, New Zealand or French Polynesia as specimens collected during this study share a haplotype with specimens originating from these locations. Haplotype 7 is considered to be derived from haplotype 5 as it separates from haplotype 5 by three mutational steps (Figure 4), has the lowest genetic distance (1.3% GTR-corrected genetic distances, Tables 12 and 13) when compared to haplotype 5, and forms strongly supported monophyletic clades with haplotype 5 in all cladograms produced (Figures Figures 6, 7, 8 and

9).

The second lineage is comprised of populations located at Nkomo-B in the Limpopo Province, with this lineage being considered to have been introduced into South Africa from Japan as specimens collected from this area were observed to form a monophyletic clade with the *R. rattus* sample retrieved from the NCBI Genbank originating in Japan (Figures 6, 7, 8 and 9) and was most similar to this Japanese sample as it consistently showed the lowest genetic distance (0.4% GTR-corrected genetic distances, Tables 12 and 13).

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4.1.2.2 Rattus tanezumi

Specimens collected from Pickford Estates in Shongweni, Richmond and Pretoria as well as a specimen that was collected from Nkomo-B in Limpopo Province (ARC 79) were monophyletic with Genbank-derived *R. tanezumi* samples when analysed using both Bayesian and maximum parsimony analysis of the cytochrome *b* and D-loop datasets, thus providing support for the indentification of these specimens as *R. tanezumi*.

R. tanezumi study haplotypes (4 and 8, Table 10 and 3, Table 11) formed a monophyletic clade with *R. tanezumi* samples retrieved from the NCBI Genbank and originating from Japan (Amami Island), Indonesia (Jakarta and Yogyakarta) (Figures 7 and 9) and Hong Kong (Figures 10 and 11). The Amami Island and Yogyakarta samples consistently showed the lowest GTR-corrected cytochrome *b* genetic distance to both *R.tanezumi* study haplotypes (4 and 8) (between 2.5% and 3.7%, Table 13).

As both R. tanezumi study haplotypes formed a monophyletic clade (Figures 6 – 9), it appears that they may have originated from one stock introduction into South Africa possibly from either Amami Island or Yogyakarta or possibly from two introductions but with founder stocks not yet analysed.

4.2 Cytogenetic Analyses

R. rattus was initially characterized by Yosida in 1985 as a species that comprises four major chromosomal groups; Asian group, Oceanian, Ceylonese and Mauritian.

The Asian group is characterized by a diploid number of 2n = 42 and is considered by Yosida (1985) as being the basic *R. rattus* karyotype. This group has recently been elevated from the subspecies *R. rattus tanezumi* to the species *R. tanezumi*. This change in taxonomic status is primarily based on biochemical and cytogenetic differences between *R. rattus* and *R. tanezumi*, although, specimens that have this karyotype are still considered as Asian type black rats (Baverstock *et al.*, 1983; Chinnen *et al.*, 2005; Long, 2003; Hingston *et al.*, 2005, Robins *et al.*, 2007; Musser and Carleton, 2005). The Asian group is defined by a karyotype that has 13 acrocentric chromosome pairs, seven metacentric chromosome pairs and acrocentric X and Y (found only in the male karyotype) or a pair of acrocentric X chromosomes (found only in the female karyotype) (Yosida, 1985).

The Oceanian group is characterized by having a diploid number of 2n = 38 (Baverstock *et al.*, 1983; Yosida, 1985; Chinnen *et al.*, 2005; Hingston *et al.*, 2005, Robins *et al.*, 2007; Matisso-Smith and Robins, 2009; Musser and Carleton, 2005) and normally has nine acrocentric pairs, seven metacentric pairs, M_1 and M_2 marker chromosomes derived from the Robertsonian fusion of pairs 4 and 7 and 11 and 12, respectively and an acrocentric X and Y or pair of X chromosomes (Yosida, 1985).

The Ceylonese group is characterized by a diploid number of 2n = 40 and is considered as being an intermediate R. rattus karyotype (Baverstock et al., 1983; Yosida, 1985; Chinnen et al., 2005; Musser and Carleton, 2005). This karyotype is comprised of nine acrocentric pairs, eight metacentric pairs, one metacentric M_2 marker chromosome derived from the Robertsonian fusion of pairs 11 and 12 and an acrocentric X and Y or pair of X chromosomes (Baverstock et al., 1983; Yosida, 1985).

The Mauritian group is characterized by a distinct 2n = 42 karyotype (Baverstock *et al.*, 1983; Chinnen *et al.*, 2005; Matisso-Smith and Robins, 2009), which is comprised of nine acrocentric pairs, M_1 and M_2 marker chromosomes derived from the Robertsonian fusion of pairs 4 and 7 and 11 and 12, five metacentric pairs and an acrocentric X and Y or pair of X chromosomes. The distinctive trait observable in this karyotype is that it also contains four small acrocentric pairs that are derived from the Robersonian fission of two metacentric pairs, namely metacentric pairs 14 and 18 (Yosida, 1985).

From the arrangements obtained in this study, three of the four *R. rattus* groups that were identified by Yosida in 1985 are present in KwaZulu-Natal. Arrangement 1 (Figure 12) and Arrangement 2 (Figure 13) conformed exactly to the description given by Yosida (1985) of the Oceanian group and Ceylonese group karyotypes, respectively. This indicates that specimens that had these karyotypes (*R. rattus*) are *R. rattus* as this is the species name given to all black rat populations with the Oceanian, Ceylonese or Mauritian group karyotype (Baverstock *et al.*, 1983; Chinnen *et al.*, 2005; Hingston *et al.*, 2005; Robins *et al.*, 2007; Musser and Carleton, 2005). Arrangement 3 (Figure 14) conformed to the description given by Yosida (1985) of the Asian group karyotype, thus indicating that specimens with this karyotype (*R. tanezumi*) should be referred to as *R. tanezumi* as this is the species name assigned to all black rat populations that present with the Asian group karyogram (Baverstock *et al.*, 1983; Chinnen *et al.*, 2005; Hingston *et al.*, 2005; Musser and Carleton, 2005; Robins *et al.*, 2007).

Rattus norvegicus is described as having a karyotype of 2n = 42 that is composed of two subtelocentric pairs, nine acrocentric pairs, nine metacentric pairs and an acrocentric X and Y or pair of X chromosomes (Baverstock *et al.*, 1983; Yosida, 1985; Yiğit *et al.*, 1998). The arrangement seen in the karyogram obtained for *R. norvegicus* in this study (Figure 15) conforms to the description given by Yosida (1985) and Yiğit *et al.* (1998) as it has a diploid number of 42 and contains one pair of sub-telocentric chromosomes, 11 pairs of acrocentric chromosomes, eight pairs of metacentrics and a pair of acrocentric X chromosomes. However, due to the lack of karyological studies on this species (Yiğit *et al.*, 1998), it is uncertain whether polymorphisms in its karyotype do exist as in *R. rattus* (Yosida, 1985; Caldarini *et al.*, 1989; Chinnen *et al.*, 2005).

From the molecular and cytogentic data collected in this study it is evident that there are three invasive species of *Rattus* present in KwaZulu-Natal, viz. *R. norvegicus*, *R. rattus* and *R. tanezumi*. *R. tanezumi* is considered to be the latest invader to KwaZulu-Natal as specimens for this species are only now being identified. With regards to *R. rattus* and *R. norvegicus*, it is most likely that the two stock introductions of *R. rattus* occurred first followed by a single stock introduction of *R. norvegicus*, which along with its higher breeding rate and highly territorial behavior (De Graaff, 1981; Long 2003) has displaced *R. rattus* from the ports and some urban areas (Borchert *et al.*, 2007). However, further studies into the origin and dispersal of the various *R. rattus*, *R. norvegicus* and *R.tanezumi* populations present are still needed to fully understand the complexity of *Rattus* introductions to KwaZulu-Natal.

4.3 Conclusion

Mitochondrial cytochrome b and D-loop sequences and cytogenetic data obtained during this study were consistent with the presence of three distinct species of *Rattus* (*norvegicus*, *rattus* and *tanezumi*) within KwaZulu-Natal even though only two had initially been field-identified.

Study specimens identified as Rattus norvegicus yielded typical 2n = 42 karyograms, were monophyletic with R. norvegicus specimens from other continents, and were separated from other Rattus species by genetic distances large enough to be consistent with their allocation to a separate geneus or still-higher taxon. Cytochrome b phylogeny indicates that the Rattus norvegicus populations in KwaZulu-Natal are most like due to one original stock introduction.

Specimens morphologically-identified as *Rattus rattus* formed two clades, consistent with the existence of two species (*Rattus tanezumi* and *Rattus rattus*) on phylogenetic, genetic and karyological grounds. This represents the first reporting of *Rattus tanezumi* in South Africa. Cytochrome *b* phylogeny indicates that the *Rattus tanezumi* populations in KwaZulu-Natal are likely to have originated from one stock introduction and the *Rattus rattus* populations from two stock introductions.

This study has aided in expanding current knowledge of the number of invasive commensal *Rattus* species known to inhabit South Africa and allowed for an extension in distribution and genetic records of *Rattus norvegicus* (6 new records), *Rattus rattus* (6 new records) and *Rattus tanezumi* (3 new records) on the NCBI GenBank.

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APPENDICES

APPENDIX 1: Preparation of 1.2% agarose gels

A 1% agarose gel that is produced by combining 1.2 g of agarose in 100 ml of 0.5x TBE. To this mixture 100 μ l of 0.005 μ g/ml Ethidium bromide is added and the gel allowed to set in a mould. Once set, samples are loaded into the wells present in the set gel and electrophoresed at 70V for +/- 1^{1} /₂ hours.

APPENDIX 2: Preparation of DMEM

To prepare one litre of DMEM add 13.75 g/l DMEM powder to one litre of sterile double deionised water within a beaker placed on a magnetic stirrer set at medium speed. Once the DMEM powder has completely dissolved, check pH of solution and adjust to between 6.8 and 7.4 using sterile NaHCO₃. Once the pH has been adjusted, add 2 to 4 ml of antibiotics containing penicillin, streptomycin and fungizone. Solution is then to be filter sterilized using a Sartorius filtration system that has a pore size of 0.22 μ and a filter size of 47mm and is connected to a vacuum flask and pump. After filtration, filter sterilized DMEM can be stored in sterile Pyrex bottles.

APPENDIX 3: List of Published sequences

Sample Id.	Description	Accession Number
ARC 79	Rattus tanezumi SA Bastos bankit 784793	DQ439819
ARC 101	Rattus rattus SA Bastos bankit 796328	DQ439830
ARC170	Rattus rattus SA Bastos bankit 796332	DQ439833
ARC171	Rattus rattus SA Bastos bankit 796334	DQ439834
SA75	Rattus norvegicus Nair & Bastos	DQ439839
SA136	Rattus norvegicus Nair & Bastos	DQ439840
SA141	Rattus norvegicus Nair & Bastos	DQ439841
SA218	Rattus norvegicus Nair & Bastos	DQ439842
SA219	Rattus norvegicus Nair & Bastos	DQ439843
SA252	Rattus norvegicus Nair & Bastos	DQ439844
SA253	Rattus tanezumi Nair	DQ439849
SA254	Rattus tanezumi Nair	DQ439850
SA256	Rattus rattus Bastos & Nair	DQ439836
SA258	Rattus rattus Bastos & Nair	DQ439837
SA259	Rattus rattus Bastos & Nair	DQ439838

APPENDIX 4: 1146 nucleotide Cytochrome *b* consensus sequences

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SA75
SA136
SA141
SA174
SA175
SA213
$8217
SA219
SA220
SA221
SA233
SA234
SA235
SA252
SA253
SA254
$8255
SA256
SA257
SA258
SA259
ARC79
ARC101
ARC170
ARC171
UP02
R1
PS1
HP1
HP2
RnUSA
RnCopen
Rn China
Rr Jap
RtJap
RtPhil
      RaJap
RevPhil
RexPhil
RoPhil
Di Jap
Lb Phil
TaPhil
Mmd China
RnHai
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9075 SA136 SA141 SA174 \$8175 SA213 SA217 SA218 SA219 SA221 SA232 \$4233 SA234 SA235 SA252 SA253 SA254 SA255 SA256 SA257 SA258 SA259 ARC79 ARC101 ARC170 ARC171 UP02 R1 P.S.1 HP2 S1 Rollsa RnCopen RnChina RrJap RtJap RtPhil RaJap RevPhil RexPhil RpPhil DIJap LbPhil CATCCAACATTTCATCATGATGAAACTTTGGGTCTCTTCTAGGAGTATGCCTT - ATAATCCAAATTATCATCCAACATTTCATCATGATGAAACTTTGGGTCCCTTCTAGGAGTCTGCCTA - ATAGTCCAAATCAT TaPhil

RnHai CATCTAACATCTCATCATGATGAAACTTCGGCTCTCTACTAGGAGTATGCCTC-ATAGTACAAATCCTCA

	150	160 170	180	190	200 210
SA75	CAGGCTTATTCCTAGCAA				
SA136	CAGGCTTATTCCTAGCAA				
SA141	CAGGCTTATTCCTAGCAA				
SA174	CAGGCTTATTCCTAGCAA				
SA175	CAGGCTTATTCCTAGCAA				
SA213	CAGGCTTATTCCTAGCAA				
SA217	CAGGCTTATTCCTAGCAA				
SA218	CAGGCTTATTCCTAGCAA				
SA219	CAGGCTTATTCCTAGCAA				
SA220	CAGGCTTATTCCTAGCAA				
SA221	CAGGCTTATTCCTAGCAA				
SA232	CAGGCTTATTCCTAGCAA				
SA233	CAGGCTTATTCCTAGCAA				
SA234	CAGGCTTATTCCTAGCAA				
SA235	CAGGCTTATTCCTAGCAA				
SA252	CAGGCTTATTCCTAGCAA	ATACACTACACGTC	TGATACCATAACAGC	ATTTTCATCAG	TCACCCACATCTG
SA253	CAGGCCTATTCCTAGCAA				
SA254	CAGGCCTATTCCTAGCAA	ATACATTACACATC	CGACACTTTAACAGC	ATTCTCATCAG	TTACCCACATCTG
SA255	CAGGATTATTTCTAGCAA	ATACACTACACATC	CGACACTTTAACAGC	ATTCTCATCAG	TTACTCACATCTG
SA256	CAGGATTATTTCTAGCAA	ATACACTACACATC	CGACACTTTAACAGC	ATTCTCATCAG	TTACTCACATCTG
SA257	CAGGATTATTTCTAGCAA	ATACACTACACATC	CGACACTTTAACAGC	ATTCTCATCAG	TTACTCACATCTG
SA258	CAGGATTATTTCTAGCAA	ATACACTACACATC	CGACACTTTAACAGC	ATTCTCATCAG	TTACTCACATCTG
SA259	CAGGATTATTTCTAGCAA	ATACACTACACATC	CGACACTTTAACAGC	ATTCTCATCAG	TTACTCACATCTG
ARC79	CAGGCCTATTCCTAGCAA	ATACATTACACATC	CGACACTTTAACAGC	ATTCTCATCAG	TTACCCACATCTG
AR C101	CAGGCTTATTTCTAGCAA	ATACACTACACATC	CGACACTTTAACAGC	ATTCTCATCAG	TTACTCACATCTG
AR C170	CAGGATTATTTCTAGCAA	ATACACTACACATC	CGACACTTTAACAGC	ATTCTCATCAG	TTACTCACATCTG
ARC171	CAGGCTTATTTCTAGCAA	TACACTACACATC	CGACACTTTAACAGC	ATTCTCATCAG	TTACTCACATCTG
UP02	CAGGCCTATTCCTAGCAA	ATACATTACACATC	CGACACTTTAACAGC	ATTCTCATCAG	TTACCCACATCTG
R1	CAGGCCTATTCCTAGCAA	ATACATTACACATC	CGACACTTTAACAGC	ATTCTCATCAG	TTACCCACATCTG
PS1	CAGGCTTATTCCTAGCAA	ATACACTACACGTC	TGATACCATAACAGC	ATTTTCATCAG	TCACCCACATCTG
HP1	CAGGCTTATTCCTAGCAA	ATACACTACACGTC	TGATACCATAACAGC	ATTTTCATCAG	TCACCCACATCTG
HP2	CAGGCTTATTCCTAGCAA	ATACACTACACGTC	TGATACCATAACAGC	ATTTTCATCAG	TCACCCACATCTG
S1	CAGGCTTATTCCTAGCAA	ATACACTACACGTC	TGATACCATAACAGC	ATTTTCATCAG	TCACCCACATCTG
RnUSA	CAGGCTTATTCCTAGCAA	ATACACTACACGTC	TGATACCATAACAGC	ATTTTCATCAG	TCACCCACATCTG
RnCopen	CAGGCTTATTCCTAGCAA	ATACACTACACGTC	TGATACCATAACAGC	ATTTTCATCAG	TCACCCACATCTG
RnChina	CAGGCTTATTCCTAGCAA	ATACACTACACGTC	TGATACCATAACAGC	ATTTTCATCAG	TCACCCACATCTG
RrJap	CAGGCTTATTTCTAGCAA	TACACTACACATC	CGACACTTTAACAGC	ATTCTCATCAG	TTACTCACATCTG
RtJap	CAGGATTATTTCTAGCAA	TACACTACACATC	CGACACTTTAACAGC	ATTCTCATCAG	TTACTCACATCTG
RtPhil	CAGGCCTATTCCTAGCAA	ATACACTACACATC	CGACACTTTAACAGC	ATTCTCATCAG	TTACCCACATCTG
RaJap	CAGGCCTGTTCCTAGCAA	ATACACTACACATC	CGATACTTTAACAGC	ATTCTCATCAG	TTACTCACATCTG
RevPhil	CAGGCTTATTCCTAGCAA	ATACACTACACATC	CGACACTATAACAGC	ATTTTCATCAG	TTGCCCACATCTG
RexPhil	CAGGCCTGTTCCTAGCAA	ATACACTACACATC	CGACACTCTAACAGC	ATTCTCATCAG	TTACCCACATCTG
RpPhil	CAGGCCTATTCCTAGCAA	ATACACTATACATC	CGACACTACGACAGC	ATTCTCATCAG	TCACCCACATCTG
DIJap	CAGGTCTATTCCTAGCAA	ATACACTACACATC	TGACACTACTACAGC	ATTCTCATCAG	TCGCCCACATCTG
LbPhil	CGGGCCTCTTCCTAGCAA				
TaPhil	CAGGTCTATTCCTAGCAA				
Mmd China	CAGGTCTTTTCTTAGCCA				
RnHai	CAGGCTTATTCCTAGCAA	ATACACTACACGTC	TGATACCATAACAGC	ATTTTCATCAG	TCACCCACATCTG
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SA136 SA141 90174 SA213 SA217 \$8218 SA220 SA221 SA232 SA234 SA235 \$8252 SA253 SA254 SA255 SA256 SA257 SA258 SA259 ARC79 ARC101 ARC170 ARC171 UP02 R1 PS1 HP1 HP2 RnUSA RnCopen RnChina RrJap RtJap RtPhil RaJap RevPhil RexPhil RpPhil C - CGAGATGTAAACTACGGCTGACTAATCCGATATATACATGCCAACGGAGCCTCAATATTTTTTATCTG C - CGAGACGTAAATTACGGCTGACTAATTCGATACCTACATGCCAACGGAGCCTCAATATTTTTCATCTG DiJan LbPhil

TaPhil T. CGAGATGTAAACTACGGCTGACTAATTCGATACTTACATGCCAATGGAGCCTCAATATTTTTCATCTG
MmdChina
RnHai T. CGAGACGTAAATTACGGGTGACTAATCCGATATTACACGCCAAACGGAGCCTCAATATTTTTATTTG
C. CGAGACGTAAACTACGGCTGACTAATCCGATACCTACACGCCCAACGGCGCCC

	290	300 310	320	330	340 350
SA75 SA136 SA141 SA174 SA213 SA213 SA217 SA218 SA220 SA220 SA221 SA232 SA232 SA232 SA235 SA255 SA256 SA257 SA256 SA257 SA258 SA258 SA258 SA259 AR C101 AR C170	CCTATTCCTCCATGTG CTATTCCTCCATGTA CTTATTCCTCCATGTA CCTATTCCTCCATGTG CCTATTCCTCCATGTG CCTATTCCTCCATGTG CCTATTCCTCCATGTG CCTATTCCTCCATGTG CCTATTCCTCCATGTG CCTATTCCTCCATGTA CCTATTC				CTGAAACATTGGG AACATTGGG AACATTGGG AACATTGGG ATGAAACATTGGG CTGAAACATTGGG CTGAAACATTGGG ATGAAACATTGGG
SA75 SA136 SA141 SA174 SA175 SA213 SA217 SA218 SA220 SA221 SA222 SA232 SA232 SA232 SA235 SA255 SA252 SA255 SA256 SA257 SA258 SA258 SA257 SA258 SA259 ARC101 ARC170 ARC171 UP02 R1 HP1 HP2 S1 HP1 HP2 S1 RNUSA RNCOpen RnChina Rr.Jap Rt.Jap	ATCATTCTACTATTO ATTATCCTACTATTO ATCATTCTACTATTO ATCATTCTACTACTATTO ATCATTCTACTATTO ATCATTCTACTATTO ATCATTCTACTATTO ATCATTCTACTATTO ATCATTCTACTATTO ATCATTCTACTATTO ATCATTCTACTATTO ATCATTCTACTATTO ATCATTCTACTACTATTO ATCATTCTACTACTATTO ATCATTCTACTACTATTO ATCATTCTACTACTACTATTO ATCATTCTACTACTACTATTO ATCATTACTACTACTACTACTATTO ATCATTCTACTACTACTACTACTACTACTACTACTACTAC	CAGTCATAGCAACTG CAGTCATAGCAACCG	CATTCATGGGCTAT CATTCATAGGTTAT CATTCATAGGCTAT CATTCA	G T A C T C C C A T G A G T A C T C C C C A T G A G T A C T C C C C A T G A G T A C T C C C C A T G A G T A C T C C C C A T G A G T A C T C C C C A T G A G T A C T C C C C A T G A G T A C T C C C C A T G A G T A C T C C C C A T G A G T A C T C C C C A T G A G T A C T C C C C A T G A G T A C T C C C C A T G A G T A C T C C C C A T G A G T A C T C C C C A T G A G T A C T C C C C A T G A G T A C T C C C C A T G A G T A C T C C C C A T G A G T A C T C C C C A T G A G T A C T C C C C A T G A G T A C T C C C C C A T G A G T A C T C C C C A T G A G T A C T C C C C A T G A G T A C T C C C C C C C C C C C C C C C C	G G A C A A A T A T C A T G G G A C A A A T A T C A T G G A C A A A T

DUAD ATCATCCTACTATTCACAGTCATAGCAACCGCATTCATAGGCTATGTACTTCCATGAGGACAAATATCCT
LEPHII GTCGTCCTGTTATTTGCAGTCATAGCAACCGCATTCATAGGCTACGTCCTTCCATGAGGACAAATATCCT
TAPHII ATTATCCTACTATTTACAGTCATAGCAACCGCATTCATAGGCTACGTCCTTCCATGAGGACAAATATCCT
BOHAI ATCATCTACTGTTCGCAGTCATAGCCCACAGCATTTATAGGTTACGTCCTTCCATGAGGACAAATATCAT
ATCATTCTACTATTTTGCAGTCAACTAGCAACTGATTCATAGGCTATGTATCTCCATGAGGACAAATATCAT
ATCATTCTACTATTTTGCAGTCTATGAACTACAATTCAT

SA75 SA136 SA141 SA174 SA175 \$8213 SA218 SA219 SA220 SA232 SA233 SA234 SA252 SA253 98254 SA256 SA257 SA258 \$8259 ARC79 ARC101 ARC170 ARC171 UP02 R1 PS1 HP1 HP2 **S1** RnUSA Rn Copen Rn China RrJap RtJap RtPhil RaJap RevPhil RevPhil RpPhil DIJap LbPhil TaPhil Mmd China

SA75 SA136 SA141 58174 SA175 SA213 SA217 SA218 SA220 SA221 \$4232 SA234 SA235 SA252 SA254 SA255 SA256 SA257 SA258 SA259 AR C79 ARC101 AR C170 ARC171 UP02 HP1 HP2 RnUSA RnCopen GAATCTGAGGAGGCTTCTCAGTAGACAAAGCAACCCTAACACGCTTCTCGCATTCCACTTCATCCTCCC Rn China GAATCTGAGGAGGCTTCTCAGTAGACAAAGCAACCCTAACACGTTTTTTCGCATTCCACTTCATCCTCCC GAATCTGAGGAGGCTTCTCAGTAGACAAAGCAACCCTAACACGTTTTTTCGCATTCCACTTCATCCTCCC GAATCTGAGGAGGCTTCTCAGTAGACAAAGCAACCCTAACACGTTTTTTCGCATTCCACTTCATCCTCCC RrJap RtJap RtPhil GAATCTGAGGAGGCTTCTCAGTAGACAAAGCAACCCTAACACGTTTTTTCGCATTTCACTTTATCCTCCC GGATCTGAGGGGGATTCTCAGTAGATAAAGCAACTTTAACACGATTTTTCGCATTTCACTTCATCCTCCC RaJan RevPhil

RexPhil
RpPhil
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GAATTTGAGGAGGCTTCTCAGTAGACAAAGCAACCCTAACTCGTTTTTTCGCATTCCACTTCATTCTCCC
LbPhil
TaPhil
RmdChina
RnHai
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RnHai
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SA75 SA136 SA141 \$8174 SA175 SA213 SA217 SA218 SA220 SA221 ATTCATTATCGCCGCCCTTGCAATTGTACATCTTCTTTTCCTCCACGAAACAGGATCAAATAACCCCACA ATTCATTATCGCCGCCCTTGCAATTGTACATCTTCTTTTCCTCCACGAAACAGGATCAAATAACCCCACA
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ATTCATCATCGCCGCCCCTTGCAATTGTACATCTCCTCTTCCTCCACGAAACAGGATCAAACAACCCCACA
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ATTCATTATCGCCGCCCTTGCAATTGTACATCTTCTTTTTCCTCCACGGAACCAGGATCAAATAACCCCACA SA255 SA256 SA257 SA258 SA259 AR C79 ARC101 ARC170 ARC171 HP02 HP1 HP2 RnUSA ATTCATTATCGCCGCCCTTGCAATTGTACATCTTCTTTTCCTCCACGAAACAGGATCAAATAACCCCACA ATTCATTATCGCCGCCCTTGCAATTGTACATCTTCTTTTCCTCCACGAAACAGGATCAAATAACCCCACA RnCopen RnChina ATTCATTATCGCCGCCCCTTGCAATTGTACATCTTCTTTTTCCTCCACGAAACAGGATCAAATAACCCCACA
ATTCATCATCGCCGCCCTTGCAATTGTACATCTCCTCTTCTCCTCCACGAACACAGGATCAAACAACCCCACA
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ATTCATCATCGCCGCCCTTGCAATTGTACACCTCCTCTTCCTCCACGAAACAGGGTCAAACAACCCCACA
ATTTATCATCGCCGCCCTCGCAATCGTACACCTTCTTTTTCCTCCACGAAACAGGGTCAAACAACCCCACA
ATTCATCATCGCCGCCCTCGCAATCGTACATCTCCTTTTTCCTCCACGAAACAGGGTCAAACAACCCCACA
ATTCATCATCGCCGCCCTTGCAATCGTACATCTCTTTTCCTCCACGAAACAGGGTCAAACAACCCCACA
ATTTATCATCGCCGCCCTTGCAATTGTACATCTCCTTTTTCCTCACGGAACCAGGATCAAACAACCCCACA RrJap RtJap RtPhil RaJan RevPhil RexPhil RpPhil ATTTATTATCGCCGCTCTTGCAATTGTACACCTCCTTTTCCTCCACGAAACAGGATCAAATAACCCCACA ATTCATTATCGCCGCCCCTTGCAATCGTACATCTCCTCTTCCTCCACGAAACAGGATCAAACAACCCTACA ATTTATTATTGCTGCCCTTGCAATCGTACATCTCCTCTTCCTCCACGAAACAGGATCAAACAACCCTACA ATTTATTATTGCGGGCCCTAGCAATCGTTCACCTCCTTTTCCTCCACGAAACAGGATCAAACAACCCAACA DiJap LbPhil TaPhi Mmd China ATTCATTATCGCCGCCCTTGCAATTGTACATCTTCTTTTCCTCCACGAAACAGGATCAAATAACCCCACA RnHai

SA75 \$8136 SA141 SA174 SA175 SA213 SA218 SA219 \$8220 SA232 SA233 SA234 SA252 SA253 SA254 SA255 SA256 SA257 \$4258 SA259 ARC79 ARC101 AR C170 ARC171 UP02 R1 PS1 HP1 HP2 \$1 Rollsa Rn Coper Rn China RrJap RtJap RtPhil

THATATTACTATTATTCCTAATAACCCTAGTACTATTCTTCCCAGACCTACTAGGAGACCCAGACAATTA
TTATATTACTATTATTCCTAATAACCCTAGTACTATTCTTCCCAGACCTACTAGGAGACCCAGACAATTA
TTATATTACTATTATTCCTAATAACCCTAGTACTATTCTTCCCAGACCTACTAGGAGACCCAGACAATTA
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TTATATTACTATTATTCCTAATAACCCTAGTACTATTCTTCCCAGACCTACTAGGAGACCCAGACAATTA
TTATATTACTATTATTCCTAATAACCCTAGTACTATTCTTCCCAGACCTACTAGGAGACCCAGACAATTA
TTATATTACTATTATTCCTAATAACCCTAGTACTATTCTTCCCAGACCTACTAGGAGACCCAGACAATTA SA75 \$8136 SA141 SA174 SA175 SA213 SA218 SA219 SA220 SA232 SA233 \$8234 SA252 SA253 SA254 SA255 SA256 SA257 SA258 SA259 AR C79 ARC101 AR C170 ARC171 UP02 R1 PS1 HP1 HP2 RnUSA TTATATTACTATTATTCCTAATAACCCTAGTACTATTCTTCCCAGACCTAGTAGGAGACCCAGACAATTA
TTATATTTACTATTATTCCTAATAACCCTAGTACTATTCTTCCCAGACCTACTAGGAGACCCAGACAATTA
TTATATTACTATTATTCCTAATAACCCTAGTACTATTCTTCCCAGACCTACTAGGAGACCAGACAATTA
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TCATACTACTCCTATTTCTAATAACTCTAGTATTATTCTTCCCAGACCTACTAGGAGACCCAGACAACTA
TCATACTACTCCTATTTCTAATAACTCTAGTATTATTCTTCCCAGACCTACTAGGAGACCCAGACAATTA
TCATATTACTTCTACTATTATAACACCCTAGTATTATTCTTCCCAGACCTACTAGTAGGAGACCCAGACAATTA
TCATATTACTTCTACTATTATAACACCCTAGTATTATTCTTCCCAGACCTACTACTAGGAGACCCAGACAACTA
TCATACTTACTACTATTCTCAATAATCCTAGTACTATTTTTTCCCAGACCTACTACTAGGAGACCAGACAACTA Rn Copen Rn China RrJap Rt.Jan RtPhil RaJap RevPhil TETTACTIC TACTATTET AATAACCCTAGTATTATTCTCCCAGACTACTATTAGGAGATCCAGCAACTA
TCATATTACTCTATTTTAATAACCCCTAGTATTATTCTCCCAGACTACTACGAGGACCCAGACCAATTA
TCCTGCTTCTTCTATTTCTAATAACCCTAGTACTGTTCTTCCCAGACCTACTAGGAGACCCAGACAACTA
TCATACTACTACTACTACTAATAACCCCTAGTACTATTCTTTCCCAGACCTACTAGGAGACCCAGACAACTA
TTATACTACTCCTATTATTAATAACCCTAGTACTTGTTCTTCCCAGACTCATTAGGAGACCCAGACAACTA
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TTATATTATTATTCTATACTCCTAAACCCTAGTACTATTCTTTCCCAGACCTACTAGGAGACCCAGACAACTA RexPhil RpPhil DIJap LbPhil TaPhil Mmd China

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	850	960	870	880	890	900	910
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SA136	ATTCTACGCTCCATT						
SA141	ATTCTACGCTCCATT						
SA174	ATTCTACGCTCCATT						
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SA219 SA220	ATTCTACGCTCCATT						
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SA232	ATTCTACGCTCCATT						
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	ATTCTACGCTCCATC						
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ARC101	ATTCTACGCTCCATC						
ARC170	ATTCTACGCTCCATC						
ARC171	ATTCTACGCTCCATC						
UP02	ATTCTACGCTCCATC						
R1	ATTCTACGCTCCATC						
PS1	ATTCTACGCTCCATT						
HP1	ATTCTACGCTCCATT						
HP2	ATTCTACGCTCCATT						
S1	ATTCTACGCTCCATT						
RnUSA	ATTCTACGCTCCATT						
RnCopen	ATTCTACGCTCCATT						
RnChina	ATTTTATGCTCCATT						
RrJap	ATTCTACGCTCCATC						
RtJap	ATTCTACGCTCCATC						
RtPhil	ATTCTACGTTCCATC						
RaJap	ATCCTACGCTCTATC						
RevPhil	ATTCTACGCTCTATC						
RexPhil	ATTTTACGCTCCATT						
RpPhil	ATTTTACGCTCTATC						
DIJap	ATTCTACGCTCTATC						
LbPhil	ATTCTACGCTCTATC						
TaPhil	ATCCTACGCTCCATT						
Mmd China	ATTCTACGCTCAATC						
RnHai	ATTCTACGCTCCATT(CCCAACAAACT	AGGAGGAGTC	GTAGCC	CTAATCTTAT	CAATCCTAATCT	TAG

SA75 CCTTACTACCATTCCTGCATACTTCAAAACAACGCAGCTTAACATTCCGCCCCAATCACCAAATCCTTTA
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CCTTCCTACCATTCCTGCATACTTCAAAACAACGCAGCTTAACATTCCGCCCCAATCACCCAAATCCTTTA
CCTTCCTACCATTCCTGCATACTTCAAAACAACGCAGCTTAACATTCCGCCCAATCACCCCAAATCCTTTA
CCTTCCTACCATTCCTGCATACTTCAAAACAACGCAGCTTAACATTCCGCCCAATCACCCAAATCCTTTA SA136 SA174 SA175 \$8213 CCTTCCTACCATTCCTGCATACTTCAAAACAACGCAGCTTAACATTCCGCCCAATCACCCAAATCCTTTA CCTTCCTACCATTCCTGCATACTTCAAAACAACGCAGCTTAACATTCCGCCCAATCACCCAAATCCTTTA
CCTTCCTACCATTCCTGCATACTTCAAAACAACGCAGCTTAACATTCCGCCCCAATCACCCAAATCCTTTA
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CCTTTCTACCATTCTTACATACCTCAAAACAACGCAGTCTAACATTCCGCCCCAATCACCCAAACTCTGTA
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RnChina RrJap RtJap RtJap RevPhil RevPhil RevPhil DIJap LbPhil TaPhil MmdChina RnHai	CCTTCCTACCATTCCTGCATACTTCAAAACAACGCAGCTTAACATTCCGCCCAATCACCCAAATCTCCCCTTTCTACCATTCTTACATACCTCAAAACAAC	ATA ATA ATA ATA ATA ATA ATA ATA ATA GTA
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MmdChina RnHai SA75 SA136 SA136 SA136 SA213 SA213 SA213 SA218 SA219 SA229 SA220 SA221 SA223 SA223 SA223 SA224 SA225 SA225 SA225 SA225 SA225 SA225 SA225 SA225 SA226 SA226 SA227 SA22	CTGAATCCTAGTAGCCAACCTCCTAATCTTAACCTGAATTGGAGGCCAACCAGTAGAACACCCATTT CTGAATCCTAGTAGCCAACCTCCTAATCTTAACATGAATCGGAGGCCAACCAGTAGAACACCCCATTT 1000 1070 1070 1070 1070 1070 1070 107	ATC 1120 TTTG TTTG

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TCGAAGACAAAATACTAAAATTATAT RnChina RrJap RtJap RtPhil RaJap RevPhil RexPhil RpPhil DiJan LhPhil TaPhil Mmd China RnHai TTGAAGACAAAATGTTAAAATGAAAT

APPENDIX 5: 676 nucleotide Cytochrome *b* consensus sequences

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SA75
SA136
SA141
SA174
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SA259
AR C79
ARC101
ARC170
ARC171
UP02
R1
PS1
HP1
HP2
RnUSA
RnCopen
Rn China
RrJap
RrTit
RrHua
RrSam
RtAI
RtYog
RtJak
RtJap
RtPhil
RaJap
RevPhi
RevPhil
RpPhil
DIJap
LbPhil
TaPhil
Mmd China
Rn Rai
RnHua
RnHai
RrMoi
RtNS
         SA75
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SA141
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SA221
SA233
SA234
SA235
SA252
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$8255
SA256
SA257
SA258
SA259
ARC79
ARC101
ARC170
ARC171
UP02
R1
PS1
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HP1
          CATCTARCATCTCATCATGATGAAACTTCGGGTCTCTACTAGGAGTATGCCTC. ATAGTACAAATCCTCA
CATCTAACATCTCATCATGATGAAACTTCGGGTTCTCTACTAGGAGTATGCCTC. ATAGTACAAATCCTCA
CATCTAACATCTCATCATGATGAAACTTCGGTTCTCTACTAGGAGTATGCCTC. ATAGTACAAATCCTCA
HP2
RnUSA
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CATCTAACATCTCATCATGATGAAACTTCGGTTCTCTACTAGGAGTATGCCTC-ATAGTACAAATCCTCA
RnCopen
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CATCCAACATCTCATCATGATGAAATTTTGGCTCTCTTCTAGGAGTATGCCTT-ATAGTTCAAATTATCA
RrJap
         CATCCAACATCTCATCATGATGAAATTTTGGCTCTCTTCTAGGAGTATGCCTT - ATAGTTCAAATTATCA
CATCCAACATCTCATCATGATGAAATTTTGGCTCTCTTCTAGGAGTATGCCTT - ATAGTTCAAATTATCA
CATCCAACATCTCATCATGATGAAATTTTGGCTCTCTTCTAGGAGTATGCCTT - ATAGTTCAAATTATCA
RrTit
RrHua
        Rr Sam
RtAL
RtYog
RtJak
RtJap
RtPhil
RexPhi
RoPhil
Di Jap
Lb Phil
TaPhil
Mmd China
RoRai
RnHai
RrMoi
         CATCCAACATCTCATCATGATGAAATTTTGGCTCTTTACTAGGAGTATGCCTC-ATAGTCCAAATTATCA
CATCCAACATCTCATCATGATGAAATTTTGGCTCTTTACTAGGAGTATGCCTC-ATAGTCCAAATTATCA
RrKL
        SA75
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ARC101
ARC170
        ARC171
UP02
HP1
HP2
RnUSA
RnCopen
Rn China
RrJap
RrTit
RrHua
Rr Sam
RtAL
RtYog
RtJak
RtJap
REPBIL
RevPhi
RexPhil
RoPhil
LbPhil
TaPhil
MmdChina
RnRai
RnHua
         RnHai
RcMoi
         SA136
SA141
SA174
         C - CGAGACGTAAACTACGGCTGACTAATCCGATACCTACACGCCAACGGCGCCTCAATATTTTTCATCTG
SA213
         C.CGAGACGTAAACTACGGCTGACTAATCCGATACCTACACGCCAACGGCGCCTCAATATTTTTCATCTG
SA217
         C - CGAGACGTAAACTACGGCTGACTAATCCGATACCTACACGGCACGGCGCCTCAATATTTTCATCTG
C - CGAGACGTAAACTACGGCTGACTAATCCGATACCTACACGGCAACGGCGCCTCAATATTTTTCATCTG
C - CGAGACGTAAACTACGGCTGACTAATCCGATACCTACACGCCAACGGCGCCTCAATATTTTTCATCTG
C - CGAGACGTAAACTACGGCTGACTAATCCGATACCTACACGCCAACGGCGCCTCAATATTTTTCATCTG
SA218
SA219
SA220
         C - CGAGACGTAAACTACGGCTGACTAATCCGATACCTACACGCCAACGGCGCCTCAATATTTTTCATCTG
C - CGAGACGTAAACTACGGCTGACTAATCCGATACCTACACGCCAACGGCGCCTCAATATTTTTCATCTG
SA221
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C - CGAGACGTAAACTACGGCTGACTAATCCGATACCTACACGCCAACGGCGCCTCAATATTTTTCATCTG SA233 C - CGAGACGTAAACTACGGCTGACTAATCCGATACCTACACGCCAACGGCGCCTCAATATTTTTCATCTG C - CGAGACGTAAACTACGGCTGACTAATCCGATACCTACACGCCAACGGCGCCTCAATATTTTTCATCTG C - CGAGACGTAAACTACGGCTGACTAATCCGATACCTACACGCCAACGGCGCCCTCAATATTTTTCATCTG SA234 SA252 C - CGAGACGTAAACTACGGCTGACTAATCCGATACCTACACGCCAACGGCGCCTCAATATTTTTCATCTG
C - CGAGACGTAAACTACGGCTGACTAATCCGATACTTACATGCCAACGGCGCCTCAATATTTTTCTCTG
C - CGAGACGTAAACTATGGCTGACTAATCCGATACTTACATGCCAATGGAGCCTCAATATTCTTTATCTG
C - CGAGACGTAAACTACGGCTGACTAATCCGATACTTACATGCCAACGGAGCCTCAATATTCTTTATCTG
C - CGAGACGTAAACTACGGCTGACTAATCCGATACTTACATGCCAACGGAGCCTCAATATTCTTTATCTG SA253 SA254 SA256 SA257 SA258 SA259 AR C79 ARC101 C - CGAGACGTAAACTACGGCTGACTAATCCGATACTTACATGCCAACGGAGGCTCAATATTCTTTATCTG
C - CGAGACGTAAACTACGGCTGACTAATCCGATACTTACATGCCAACGGAGCCTCAATATTCTTTATCTG
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C - CGAGACGTAAACTACCGGCTGACTAATCCGATACCTACACGGCCGCCTCAATATTTTTTCATCTG AR C170 ARC171 UP02 R1 PS1 HP2 C - CGAGACGTAAACTACGGCTGACTAATCCGATACCTACACGGCAACGGCGCCTCAATATTTTTCATCTG
C - CGAGACGTAAACTACGGCTGACTAATCCGATACCTACACGGCCAACGGCGCCTCAATATTTTTCATCTG
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C - CGAGACGTAAACTACGGCTGACTAATCCGATACTTACATGCCAACGGAGCCTCAATATTCTTTATCTG 91 RnUSA Rn Copen Rn China RrJap RrTit. Rr Sam C. CGAGACGTAAACTATGGCTGACTAATCCGATACTTACATGCCAATGGAGCCTCAATATTCTTTATCTG
C. CGAGACGTAAACTATGGCTGACTAATCCGATACTTACATGCCAATGGAGCCTCAATATTCTTTATCTG
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C. CGAGACGTAAACTACGGCTGACTAATCCGATACTTACATGCCAATGGAGCCTCAATATTCTTTATCTG
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C. CGAGACGTAAACTACGGCTGACTAATTCCGATACTTACAATGCCCAACGGAGCCTCCAATATTTTACTG
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T. CGAGACGTAAAATTACGGCTGACTAATTCCGATACTACATGCCCAACGGAGCCTCCAATATTTTTACTG
T. CGAGACGTAAAATTACGGCTGACTAATTCCGATACTTACAATGCCAACGGAGCCTCCAATATTTTTACTG
T. CGAGACGTAAAATTACGGCTGACTAATCCGATACCTACACGCCAACGGAGCCTCCAATATTTTTACTG
C. CGAGACGTAAACTACGGCTGACTAATCCGATACCTACACGCCAACGGCGCCCCAATATTTTTACTG
C. CGAGACGTAAACTACGGCTGACTAATCCGATACCTACACGCCAACGGCGCCCCCAATATTTTTACTG
C. CGAGACGTAAACTACGGCTGACTAATCCGATACCTACACGCCAACGGCGCCCCCAATATTTTTCATCTG
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C. CGAGACGTAAACTACGGCTGACTAATCCGATACCTACACGCCCAACGGCGCCCCCAATATTTTTCATCTG
C. CGAGACGTAAACTACGGCTGACTAATCCGATACCTACACGCCCAACGGCGCCCCCAATATTTTTCATCTG
C. CGAGACGTAAACTACCGCCTGACTAATCCGATACCTACACGCCCAACGGCGCCCCCAATATTTTTCATCTG
C. CGAGACGTAAACTACGGCTGACTAATCCGATACCTACACGCCCAACGGCGCCCCCAATATTTTTTCATCTG
C. CGAGACGTAAACTACGGCTGACTAATCCGACTACCTACACGCCCAACGGCGCCCCCAATATTTTTTACTTG
C. CGAGACGTAAACTACGGCTGACCTAACCCGCCAACGGCGCCCCCAATATTTTTTACTTG
C. CGAGACGTAAACTACGGCTGACTAATCCGATACCTACACGCCCAACGGAGCCTCCAATATTTTTTACTTG
C. CGAGACGTAAACTACGGCTGACCTAACCCCACACGCCCAACGGAGCCTCCAATATTCTTTACTTG
C. CGAGAC RtAL RtYog RtJak RtJap RtPhil RaJap RexPhi RpPhil Di Jap Lb Phil TaPhil Mmd China RoRai RnHua RrMoi RrKL C - CGAGACGTAAACTACGGCTGACTAATCCGATACCTACACGCCAACGGAGCTTCAATATTCTTTATCTG

8.875 SA141 SA174 SA175 SA217 SA218 SA219 SA221 SA232 SA233 SA235 SA252 SA253 SA255 SA256 \$4257 SA259 ARC79 AR C101 ARC171 UP02 R1 PS1 HP2 RnUSA RnCopen RnChina RrJap RrTit RrHua RrSam RtAL RtYog RtJak RtJap CTTATTCCTCCATGTAGGCCGAGGGATATACTACGGATCCTACACCTTCTTAGAAACATGAAACATTGGA REPHIL CTTATTCCTTCACGTAGGGCGAGGATATACTACGGATCCTATACTTTCCTAGAGACATGAACATTGGG CTTATTCCTTCACGTAGGACGAGGAATATCTACGGATCCTACACTTTCCTAGAGACATGAAATATTGGG CCTATTCCTACACGTAGGACGAGGATATATTACGGATCCTACACCTTTCTAGAGACATGAAATATTGGA CTTATTCCTCCACGTAGGACGAGGAATGTATCACTATGGATCCTACACCTTCCTAGAAACATGAAACATTCGGA CCTATAACCTCCATGTAGGACGAGGAATGTATTACGGATCCTACACCTTCCTAGAAACATGAAACATTGGA RaJap RevPhi RexPhil RoPhil DiJap

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                             TaPhil
MmdChina
RnRai
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RoHai
RrKL
RtNS
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 SA174
 SA175
 SA213
 SA218
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 SA257
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SA259
AR C79
ARC101
AR C170
ARC171
UP02
R1
 PS1
HP1
HP2
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Rn Copen
Rn China
RrJap
RrTit.
RrHua
RrSam
RtAL
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RtJak
RtPhil
RaJap
 RevPhil
RpPhil
DiJan
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 TaPhil
Mmd China
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 RoHua
RrMoi
RrKL
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SA259
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ARC79 ARC101 ARC170

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 Rt.lan
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RtJak
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RaJap
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DiJap
 LEPÉIL
 TaPhil
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ARC101
ARC170
ARC171
 UP02
R1
PS1
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HP2
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RnCopen
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RrHua
BrSam
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 RtYog
RtJak
 RtJap
 REPHIL
 RaJap
RevPhil
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RpPhil
DIJap
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 TaPhil
MmdChina
RnRai
RnHua
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RnHai
Rr Moi
RtNS
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SA75 SA136 SA141 SA175 SA213 SA217 SA219 SA220 \$8224 SA233 SA234 SA235 SA252 SA253 SA254 SA255 SA256 SA257 SA258 \$8259 ARC79 ARC101 ARC170 AR C171 UP02 R1 PS1 HP1 HP2 RnUSA RnCopen RnChina RrJap RrTit GGACTAAACTCTGACGCAGACAAAATCCCATTTCATCCATACTACA GGACTAAACTCTGACGCAGACAAAATCCCATTTCATCCATACTACA RcHua RrSam

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RtJak	GGACTAAACTCTGACGCAGACAAAATCCCATTTCATCCATACTACA
RtJap	GGACTAAACTCTGACGCAGACAAAATCCCATTTCATCCATACTACA
RtPhil	GGGCTAAACTCTGACGCAGACAAAATTCCATTTCATCCATACTACA
RaJap	GGACTAAATTCCGACGCAGACAAAATCCCATTCCACCCATACTATA
RevPhil	GGGCTAAACTCCGACGCAGACAAAATCCCATTCCATCCAT
RexPhil	GGCCTAAATTCTGATGCAGATAAAATCCCATTTCATCCATACTACA
RpPhil	GGACTAGACTCCAACGCAGACAAAATCCCATTCCATCCAT
DIJap	GGACTAGATTCCAACGCAGACAAAATCCCATTTCACCCCTACTATA
LbPhil	GGACTAAATTCCAATGCAGACAAAATCCCATTTCATCCATATTATA
TaPhil	GGACTAAACTCTGACGCAGACAAAATCCCATTCCACCCATACTACA
Mmd China	GGATTAAACTCAGATGCAGATAAAATTCCATTTCACCCCTACTATA
RnRai	GGATTAAACTCCAACGCAGACAAAATCCCATTCCATCCAT
RnHua	GGATTAAACTCCGACGCAGACAAAATCCCATTCCATCCAT
RnHai	GGATTAAACTCCGACGCAGACAAAATCCCATTCCATCCAT
RrMoi	GGACTAAACTCCGACGCGGACAAAATCCCATTCCACCCATATTACA
RrKL	GGGCTAAACTCTGACGCAGACAAAATTCCATTTCATCCATACTACA
RtNS	GGGCTAAACTCTGACGCAGACAAAATTCCATTTCATCCATACTACA

APPENDIX 6: D-loop consensus sequences

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CAGTACATA - - CA
 SA141
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   SA174
   SA175
   SA213
   SA218
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CAGTACATA-
CAGTACATA-
CAGTACATA-
CAGTACATA-
   SA221
   SA233
   SA252
   SA253
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   $8254
   SA256
 SA258
   SA259
                                                           CAGTACATA - CAGTAC
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 RnUSA
RnCopen
RnRai
RnHua
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CAGTACATA-
CAGTACATA-
CAGTACATA-
 RrUSA
 RrTit.
   RrHua
 RrSam
                                                             CAGTACATA - -
 RrMad
 RrMoi
 RrKL
RtNS
 RtYog
 RtAL
                                                             RtHK
   RtJak
 RexNZ
 RfAust
 MoSA
                                                          SA75
 SA174
   $8175
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 S1
 HP1
 Rolltally
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Rn Copen
Rn Rai
 RnHua
 RrUSA
 BrTit
 RrSam
 RrMad
 Rr Moi
RrKL
RtNS
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 Rtal
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 RexNZ
RfAust
MmTurk
McSA
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 SA75
SA174
 SA175
SA213
 SA218
   SA221
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A. TTTAAACCAACATGAATATTCT...TTCAT..ACATTAAGATAATGTTTTAAA..GACATATCTGTG
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A. TTCATAACAACATGTCTATTCT...CG.AAT.ACATTAAAATAATGCTTATTA..GACATATCTGTG
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A. TTCATAACAACATGTCTATTCT...TA.AAT.ACATTAAGATAATGCTTATTA..GACATATCTGTG
   SA252
   SA254
   SA255
   SA256
   SA259
 PS1
                                                       A - TICATAACAACATGTCTATTCT - TA - AAT - ACATTAAGATAATGCTTATTA - GACATATCTGTG
A - TICACAACAACATGTCTATTCT - CA - AAT - ACATTAAGATAATGCTTATTA - GACATATCTGTG
A - TICACAACAACATGTCTATTCT - CG - AAT - ACATTAAGATAATGCTTATTA - GACATATCTGTG
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Rnitaly
RnUSA
 RnCopen
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 RoHua
   RrUSA
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Rr Sam
Rr Mad
 RrMoi
 RrKL
 RENS
   RtYog
 RtHK
                                                          A - TCCAACCTAACATGAATATTCT - - TTCAT - ACATTAAAATAATGTTTTAAA - GACATATCTGTG
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AC - CTCAT - CAACATGTCTATTAT - - TTCAAT - ACATTCAATTAATGTTCTTAA - GACATATCTGTG
 RtJak
   RexNZ
 RfAust
 MmTurk
 MoSA
                                                       TATTAGACATGCACCATTAA - GTCATAAAC - CTTTCTCTTCCATATGACTATCCCTGTCCCCAATTGGT
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   SA213
   SA233
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 HP2
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 RrHua
 Rr Sam
 RrMoi
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                                                          RHNS
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 MmTurk
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SA174
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   SA218
   SA221
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   SA234
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SA258
 SA259
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PS1