



**AN INVESTIGATION OF THE ANTI-HYPERGLYCAEMIC, BIOCHEMICAL AND  
MOLECULAR EFFECTS OF 4-HYDROXYISOLEUCINE AND FENUGREEK SEED EXTRACT  
IN COMPARISON TO METFORMIN *IN VITRO* AND *IN VIVO***

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## DECLARATION

I, Nikita Naicker, declare that:

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**16<sup>th</sup> July 2018**

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**Ms. Nikita Naicker**

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**Date**

## **DEDICATION**

To my grandfather, grandmother and aunt, the late Mr M. Naicker, Mrs D. Durgapersad and Mrs B. Naidoo. I am honoured to dedicate my accomplishment to the both of you. You both have imparted the desire to pursue sheer happiness, righteousness, brilliance, boundless knowledge and unconditional love, for which I am undoubtedly grateful.

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## PUBLICATIONS

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## PRESENTATIONS

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2. Poster presentations: International Conference on Targeting Diabetes and Novel Therapeutics September 14-16, 2015 Las Vegas, Nevada, USA. *Trigonella foenum-graecum* seed extract promotes cholesterol and lipid synthesis, in comparison to insulin, under a hyperglycaemic condition in HepG2 cells.
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4. Poster presentation: College of Health Science, School of Laboratory Medicine and Medical Sciences, annual research day 2016 - University of KwaZulu-Natal – Graduate School of Business and Leadership Auditorium, Westville Campus, Durban. 05 August 2016. *Trigonella foenum-graecum* seed extract promotes cholesterol and lipid synthesis, in comparison to insulin, under a hyperglycaemic condition in HepG2 cells.
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## ABBREVIATIONS

AMPK	5' adenosine monophosphate-activated protein kinase
ACC	Acetyl-CoA carboxylase
AGEs	Advanced glycation end-products
Akt	Protein Kinase B
ARE	Antioxidant response element
ATP	Adenosine triphosphate
AO	Antioxidant
Apo A1	Apolipoprotein A1
BSA	Bovine serum albumin
BW	Body weight
C	Control
CB	Citrate buffer
CCM	Complete culture medium
cDNA	Complementary deoxyribonucleic acid
CVD	Cardiovascular disease
CHO	Carbohydrate
DAG	Diacylglycerol
DBC	Diabetic control
DM	Diabetes mellitus
ETC	Electron transport chain
FA	Formic acid
Gck	Glucokinase
GIT	Gastrointestinal tract
GLUT	Glucose transporter
GPx	Glutathione Peroxidase
GSK3 $\alpha/\beta$	Glycogen synthase kinase 3 $\alpha/\beta$
GS	Glycogen synthase
GSH	Reduced glutathione
4-OH-Ile	4-hydroxyisoleucine
FA	Fatty acids
FFA	Free fatty acids
FPG	Fasting plasma glucose

FSE	Fenugreek seed extract
Hr	Hour
HDL	High density lipoprotein
HDLc	High density lipoprotein cholesterol
HepG2	Human hepatoma cell line
HG	Hyperglycaemic
H2O2	Hydrogen peroxide
IDF	International diabetes federation
I.p	Intraperitoneal
IR	Insulin receptor
IR $\beta$	Insulin receptor $\beta$
IRS	Insulin receptor substrate
Keap1	Kelch-like ECH-associated protein 1
LDL	Low density lipoprotein
LDLc	Low density lipoprotein cholesterol
LDLr	Low density lipoprotein receptor
LonP1	Lon protease 1
MF	Metformin
Min	Minute
NCD	Non-communicable disease
MDA	Malondialdehyde
ND	Non-diabetic
NFDM	Non-fat dry milk
NG	Normoglycaemic
NSP	Non-starch polysaccharides
Nrf2	Nuclear factor E2-related factor 2
OGTT	Oral glucose tolerance test
OS	Oxidative stress
p-	Phosphorylated-
PBS	Phosphate buffer solution
PKC	Protein kinase C
PPARG	Peroxisome proliferator-activated receptor gamma
PCSK9	Proprotein convertase subtilisin-like/kexin type
PGC-1 $\alpha$	Peroxisome proliferator-activated receptor gamma coactivator-1 alpha

Ptd	Phosphatidylinositol
PI3K	p85 regulatory subunit of PI-3-kinase
PG	Plasma glucose
RBD	Relative band density
ROS	Reactive oxygen species
RNA	Ribonucleic acid
RT	Room temperature
RXR	Retinoid X receptor
Sec	Second
SOD	Superoxide dismutase
Sirtuin	SIRT
SREBP1c	Sterol regulatory binding element 1c
STZ	Streptozotocin
TBARS	Thiobarbituric acid reactive substances
T1D	Type 1 diabetes mellitus
T2D	Type 2 diabetes mellitus
TC	Total cholesterol
TG	Triglyceride
TTBS	Tween20-Tris-buffered saline
VLDL	Very low density lipoprotein
V	Volts
WHO	World health organization



## ABSTRACT

Type two diabetes mellitus (T2D) is a significant cause of premature death and disability, accompanied with negative socio-economic impacts. This metabolic disorder is characterized by hyperglycaemia and defective insulin signalling. Long-term exposure to hyperglycaemia gives rise to altered fat metabolism and reactive oxygen species (ROS) generation. These precursors are central to the progression of dyslipidaemia and attenuated antioxidant (AO) response and detoxification system, respectively. Diabetic dyslipidaemia and oxidative stress (OS) are risk factors for the onset and progression of cardiovascular disease (CVD) and other diabetic complications. The treatment regimen for T2D comprises self-care and anti-diabetic drugs such as metformin. However, due to the lack of compliance to self-care recommendations and some undesirable side effects of metformin, there is the necessity for alternate therapy.

Natural products have been used for the treatment of many disorders, including T2D. *Trigonella foenum-graecum* commonly known as fenugreek is a plant that possesses anti-diabetic effects. These effects are attributed to its bioactive compound – 4-hydroxyisoleucine (4-OH-Ile), which constitutes approximately 80% of the bio-composition of the fenugreek seed. Despite these effects, biochemical and molecular effects of 4-OH-Ile on insulin signalling, lipid metabolism, and ROS production is not well-documented. This study investigated the effects of 4-OH-Ile in comparison to metformin and fenugreek seed extract (FSE) on hyperglycaemic human hepatoma (HepG2) cells and C57BL/6 male mice. Treatments were conducted under normoglycaemic and hyperglycaemic conditions as follows; control, 4-OH-Ile (in vitro: 100ng/ml; in vivo: 100mg/kg Body weight) metformin (in vitro: 20mM; in vivo: 20mg/kg Body weight) and FSE (in vitro: 100ng/ml; in vivo: 100mg/kg Body weight) treatment groups. The experiments included; blood glucose measurements, lipid profile analysis, spectrophotometric assays (in vitro), western blotting for protein expression and qPCR for mRNA expression.

First, to validate the effects on insulin signalling and glucose sensing, glucose levels were measured with completion of an oral glucose tolerance test. 4-OH-Ile treatment attenuated glucose levels, and elevated the mRNA levels of glycogen synthase (GS) and glucokinase (Gck). This was followed by the investigation of the protein and gene expression of insulin signalling regulators: insulin receptor  $\beta$  (IR $\beta$ ), insulin receptor substrate 1 (IRS1), phosphorylated protein kinase B (pAkt), phosphorylated glycogen synthase kinase 3 $\alpha/\beta$  (pGSK3 $\alpha/\beta$ ) and glucose transport 2 (GLUT2). In in vivo hyperglycaemia, 4-OH-Ile increased the expression of the investigated proteins and genes. The results showed that 4-OH-Ile was just as potent as MF, and FSE in stimulating the insulin signalling cascade.

Second, the effect of 4-OH-Ile on dyslipidaemia was investigated by measuring mRNA levels of sterol regulatory binding element 1c (SREBP1c) and fatty acid synthase (FAS) – key factors in fatty acid metabolism. Both genes were up-regulated and correlated with the changes in triglyceride and cholesterol levels. Next the protein expression of proprotein convertase subtilisin-like/kexin type (PCSK9) - a regulator of low density lipoprotein cholesterol (LDLc) and peroxisome proliferator-activated receptor gamma (PPARG) – a regulator of high density lipoprotein (HDLc) was evaluated. The data showed that 4-OH-Ile down-regulated protein and mRNA expression of PCSK9 and up-regulated protein expression of PPARG. The reduction in PCSK9 levels correlated with the changes observed in low density lipoprotein receptor (LDLr) and LDLc, whereas the increase in PPARG correlated with the elevated mRNA expression of apolipoprotein A1 (Apo A1) and HDLc. Together these results provide substantial evidence for the regulatory effect of 4-OH-Ile, in comparison to metformin, and FSE on PCSK9, PPARG and related lipid factors.

Finally, the effect of 4-OH-Ile on redox status and AO response was assessed by measuring nuclear factor E2-related factor 2 (Nrf2). In both models, there was an increase in the protein expression of phosphorylated Nrf2 accompanied by an increase in mRNA levels of superoxide dismutase 2 (SOD2) and glutathione peroxidase (GPx), and GSH levels. Mitochondria play a central role in contributing to elevated ROS levels. While nuclear responses like Nrf2 regulate ROS, mitochondria possess their own maintenance proteins. These include mitochondrial Lon protease 1 (LonP1), Sirtuin 3 (SIRT3) and peroxisome proliferator-activated receptor gamma coactivator-1 alpha (PGC-1 $\alpha$ ) which play an integral role in combatting OS and mitochondrial dysfunction. The results showed that 4-OH-Ile displayed a potent effect in inducing the AO response and increasing mitochondrial regulatory proteins.

In conclusion, 4-OH-Ile improved the compromised insulin signalling and the altered lipid profile as well as induced the AO response and mitochondrial maintenance proteins, in the presence of elevated glucose. Furthermore, the effect of 4-OH-Ile was greater than the first-line drug; metformin and FSE, albeit in cultured human liver cells and a mouse model. Also, the crude seed extract displayed promising effects on all investigated parameters. Considering the active role of chronic hyperglycaemia in the onset and progression of CVD and diabetic complications, 4-OH-Ile poses as a highly favourable alternate therapy in the treatment of T2D. Moreover, this has great importance in socio-economically challenged communities where T2D is a common disorder, access to healthcare facilities is limited, and plants serve as sources of easily accessible treatments.

1  
2 **CHAPTER ONE**  
3 **INTRODUCTION**  
4

5 Type 2 diabetes mellitus (T2D) is a chronic, progressive disorder attributed to exacerbated levels of  
6 blood glucose. Chronic hyperglycaemia observed in affected individuals' increases the risk of defective  
7 insulin signalling, resulting in insulin resistance. T2D poses a global healthcare problem and has been  
8 listed amongst the four priority non-communicable diseases (NCDs) (WHO 2016). Globally in 2014,  
9 approximately 422 million individuals were diagnosed with diabetes mellitus (DM), in comparison to 108  
10 million individuals in 1980 (WHO 2016). Evidence shows that the incidence of DM has almost doubled  
11 since 1980, escalating from 4.7 to 8.5% in the mature population (WHO 2016). In 2015 the international  
12 diabetes federation (IDF) reported 2.28 million cases (7% of adults' aged 21 to 79) of DM in South  
13 Africa, however, a significant number of these individuals remain undiagnosed (The International  
14 Diabetes Federation 2015). DM and its complications result in a considerable economic loss to affected  
15 individuals and their relatives, healthcare systems and national economies. The socio-economic impact of  
16 T2D has pushed the biochemical and molecular investigations of this disorder to the forefront of medical  
17 research.

18  
19 The main contributors to the development of T2D are excessive calorie consumption and sedentary  
20 lifestyles. In addition, individuals who are genetically predisposed to this disorder are considered high  
21 risk (WHO 2016). Rapid urbanization has exposed South Africans to high caloric diets and processed  
22 foods which contribute to increased rates of T2D. Intense advertising food campaigns, non-conducive  
23 physical activity environments and lack of interest by the government further exacerbates the problem.  
24 The healthcare system provides comprehensive facilities for DM prevention and care but these facilities  
25 are not commonly implemented (WHO 2016). This could be the consequence of a lack of funds or  
26 maladministration, resulting in poor treatment. Furthermore, the public sector is known for shortages of  
27 drugs, and improved treatments with minimal side effects has yet to be discovered. In addition to drug  
28 shortages, high treatment costs in both public and private sectors are also contributing factors (WHO  
29 2016). A key impediment to successful T2D therapy is the lack of inexpensive insulin, ultimately  
30 resulting in diabetic complications and premature deaths (WHO 2016). It has been reported that oral  
31 hypoglycaemic agents, as well as insulin, are only obtainable in a minority of low-income countries.  
32 Moreover, medicines that are critical to controlling DM as well as its complications, are often  
33 inaccessible in both low and middle-income nations (WHO 2016).

35 The liver is the metabolic hub of the body and central to glucose metabolism. Hepatic insulin signalling is  
36 fundamental for metabolic processes such as gluconeogenesis, glycogen storage and recruitment of  
37 glucose transporters (GLUT) (Leturque et al. 2009). Insulin signalling is regulated by insulin receptor  
38 (IR) which is responsible for the phosphorylation and stimulation of insulin receptor substrate (IRS). This  
39 activation is crucial for the integration of extracellular signals into intracellular responses (Copps et al.  
40 2016). These intracellular responses include the stimulation of protein kinase B (Akt) which potentiates  
41 the phosphorylation of glycogen synthase kinase 3 $\alpha/\beta$  (GSK3 $\alpha/\beta$ ), and recruitment of GLUT2. During  
42 T2D, compromised IR signalling and accompanied downstream events lead to insulin resistance, resulting  
43 in the disruption of the body's physiological response to hyperglycaemia. Consequently, attenuating  
44 hyperglycaemia and targeting defective IR signalling is central in maintaining glucose homeostasis.

45  
46 Following defective insulin signalling, hyperglycaemia induces the over-production of free fatty acids  
47 (FFA), resulting in an abnormal lipid profile; termed dyslipidaemia. Diabetic dyslipidaemia is  
48 characterised by reduced plasma levels of high density lipoprotein (HDL) and elevated low density  
49 lipoprotein (LDL), and triglycerides (TG) (Mullugeta et al. 2012). Restoration of plasma lipoproteins  
50 involve targeting abnormal LDL cholesterol (c) and HDL cholesterol (c) levels. New advancements in  
51 lipid-lowering agents has focused on regulators of proprotein convertase subtilisin/kexin type 9 (PCSK9)  
52 and agonists of peroxisome proliferator-activated receptor gamma (PPARG). PCSK9 functions as an LDL  
53 receptor (LDLr) inhibitor, targeting the receptor for lysosomal degradation (Burke et al. 2017). Therefore,  
54 a reduction in PCSK9, increases the LDLr pool ultimately reducing LDLc. PPARG agonists positively  
55 regulate PPARG expression, which in turn increases HDLc levels (Gervois et al. 2000). In T2D, diabetic  
56 dyslipidaemia poses a risk for the progression of atherosclerosis and subsequent cardiovascular disease  
57 (CVD). As a result, targeting both PCSK9 and PPARG could serve as prospective therapeutic  
58 interventions in T2D therapy.

59  
60 The cytotoxic effects of hyperglycaemia are further exacerbated by excessive reactive oxygen species  
61 (ROS) production, causing a redox imbalance termed oxidative stress (OS). T2D individuals are  
62 negatively affected by OS, which is important in the onset and progression of CVD and diabetic  
63 complications (Baynes 1991). Uncontrolled ROS production impairs the antioxidant (AO) response and  
64 detoxification system, elevates protein glycosylation and promotes mitochondrial dysfunction (Baynes  
65 1991). Nuclear factor erythroid 2-related factor 2 (Nrf2) is a master regulator in controlling the expression  
66 of AO defence proteins (Allard et al. 2016). Activated Nrf2 directly impacts the expression of  
67 cytoprotective genes: mitochondrial superoxide dismutase 2 (SOD2) and glutathione peroxidase (GPx),  
68 and non-enzymatic AO: reduced glutathione (GSH) (Giralt and Villarroya 2012, Dinkova-Kostova and

69 Abramov 2015). In addition to hyperglycaemic-induced ROS, mitochondria are also accountable for  
70 elevating ROS production via oxidative phosphorylation. Mitochondria possess Lon protease 1 (LonP1)  
71 which proteolytically clears glycosylated proteins, that ultimately forming advanced glycation end-  
72 products (AGEs) (Pomatto et al. 2017). Another mitochondrial regulatory pathway involves NAD-  
73 dependent deacetylase Sirtuin 3 (SIRT3) that target proteins required for energy metabolism and the rate  
74 of ROS production (Buler et al. 2012, Giralt and Villarroya 2012). This deacetylase activates peroxisome  
75 proliferator-activated receptor gamma coactivator-1 alpha (PGC-1 $\alpha$ ) which sequentially induces the ROS-  
76 detoxifying gene- SOD2 and drives mitochondrial biogenesis (Kong et al. 2010). Accordingly, controlling  
77 defective insulin signalling and hyperglycaemia is central to regulating dyslipidaemia, and OS which is  
78 essential in managing CVD and diabetic complications.

79

80 The T2D regimen comprises of both self-care and anti-diabetic drugs. Self-care includes physical activity,  
81 no smoking, weight loss, diabetic diet and nutritional counselling. Following the failure of self-care  
82 treatment, the use of anti-diabetic drugs is the next line of treatment. Anti-diabetic drugs include multiple  
83 classes and their selection is dependent on the severity of DM and accompanying factors. T2D treatment  
84 include agents that raise insulin secretion in the pancreas, promote the sensitivity of specific organs to  
85 insulin and reduce glucose absorption rate in the gastrointestinal tract (GIT) (Haupt et al. 1991, Hermann  
86 et al. 1991, Owen et al. 2000). These agents are grouped into the following classes: alpha-glucosidase  
87 inhibitors, glycosurics, peptide analogs, sensitizers and secretagogues. The widely used first-line drug for  
88 treatment metformin (MF) belongs to the sensitizer group of drugs. This oral hypoglycaemic agent  
89 reduces hepatic glucose output and improves tissue sensitivity to insulin (Anisimov 2013). Although  
90 highly effective, MF is associated with some undesirable side effects such as dizziness, diarrhoea, and  
91 nausea and/or vomiting (Hermann 1979, Siavash et al. 2017). Consequently, poor self-care and the  
92 shortfalls of first-line therapy urges the requisite for complementary or alternate medicine for the  
93 treatment of T2D.

94

95 The National Centre for Complementary and Alternative Medicine propose natural products for the  
96 possible treatment of T2D. Several studies support the use of various plants for their glucose lowering  
97 effects such as: bitter melon, fenugreek, gymnema, ginseng, prickly pear cactus and tronadora (Shapiro  
98 and Gong 2002, Tundis et al. 2010, Rios et al. 2015). Fenugreek (*Trigonella foenum-graecum*) is a yearly  
99 legume belonging to the *Fabaceae* family (Rios et al. 2015). It is known in the culinary world as a spice,  
100 enhancing the taste of food, and the medicinal world for its anti-diabetic, anti-carcinogenic,  
101 hypocholesterolaemic, AO and immunological properties (Rios et al. 2015). These reported effects are  
102 attributed to a bioactive compound - 4-hydroxyisoleucine (4-OH-Ile), a peculiar amino acid located within

103 the plant's seed. Numerous reviews have reported the medicinal value of fenugreek and its active  
104 compound but there is insufficient evidence in supporting its biochemical and molecular effects in T2D  
105 (Basch et al. 2003, Fuller and Stephens 2015, Rios et al. 2015). With regards to T2D, chronic  
106 hyperglycaemia negatively affects insulin signalling, lipid metabolism, and OS. Consequently, the  
107 regulation of these integrated pathways will allow for the restoration of glucose, lipids and ROS levels.  
108 Studies provide evidence from cell culture and animal models which demonstrated the ability of 4-OH-Ile  
109 and fenugreek seeds in stimulating the insulin signalling pathway and enhancing insulin sensitivity  
110 (Fowden et al. 1973, Sauvaire et al. 1998, Broca et al. 2000, Maurya et al. 2014, Naicker et al. 2016).  
111 Furthermore, studies also reported the glucose lowering effect of both 4-OH-Ile and fenugreek seeds  
112 (Broca et al. 1999, Singh et al. 2010, Haeri et al. 2012). 4-OH-Ile and fenugreek seeds also regulate  
113 plasma TGs, total cholesterol, FFAs, HDLc and LDLc, accompanied by the improvement of liver  
114 function (Narender et al. 2006, Haeri et al. 2009, Singh et al. 2010, Avalos-Soriano et al. 2016). Diabetic  
115 animal studies have reported fenugreek and 4-OH-Ile to reduce abnormal ROS levels via the regulation of  
116 thiobarbituric acid reactive substances (TBARS), catalase, SOD2 and related liver enzymes comparable to  
117 controls as well as disrupt free radical metabolism (Ravikumar and Anuradha 1999, Mohamad et al. 2004,  
118 Dixit et al. 2005, Belguith-Hadriche et al. 2010, Dutta et al. 2014).

119  
120 These studies provide compelling evidence on the anti-hyperglycaemic, anti-lipidemic and anti-oxidative  
121 effects of both fenugreek and its active compound. However, there is a lack of evidence focusing on the  
122 effect of 4-OH-Ile in comparison to MF, and fenugreek seed on the specific biochemical and molecular  
123 responses within these integrating pathways. Understanding the interaction of this plant extract and its  
124 active compound will further enable its use as possible anti-diabetic agents. As a result, we hypothesize  
125 that 4-OH-Ile and FSE regulate genes and proteins responsible for attenuating hyperglycaemia,  
126 dyslipidaemia and OS in hyperglycaemic HepG2 cells and C57BL/6 male mice.

127

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## CHAPTER TWO

### LITERATURE REVIEW

#### **2.1 Type 2 Diabetes Mellitus**

Diabetes, a disease initially described as sweet urine disease, has been affecting individuals for decades. In the early 1910's, the American Diabetes Association reported that medical professionals initiated the first steps towards determining a cause and treatment regimen for DM (Kohen 2004). Insulin injections were the first effective treatment for this disorder, however, some cases were unresponsive to insulin injections (Kohen 2004). In 1963, Diabetic Medicine published that "sweet urine disease" could be defined as "insulin-sensitive" and "insulin-insensitive" (Kohen 2004, Zarshenas et al. 2014). The terms went on to be further categorised as type 1 diabetes mellitus (T1D) and T2D. T1D is regarded as an autoimmune disorder targeting pancreatic  $\beta$ -cells, rendering the individual incapable of producing insulin (Ashcroft and Rorsman 2012). T2D comprises of an array of hyperglycaemic-induced dysfunctions; accompanied with insufficient insulin secretion, resistance to insulin action, and disproportionate and/or inappropriate secretion of glucagon (Kohen 2004). With regards to T1D, there is no cure, however treatment involves the infusion of insulin with a syringe, insulin pen or pump (Kohen 2004). As with T1D, there is no cure for T2D however, this condition can be managed in numerous ways such as: lifestyle modifications (control of carbohydrate (CHO) intake, physical activity, and weight loss), pharmacological agents and insulin. Therefore, it has become apparent that individuals diagnosed with T2D are efficiently able to reverse this disorder.

#### **2.1.1 Epidemiology**

In 2014, it was reported by the world health organization (WHO) that approximately 9% of the world's inhabitants were burdened with DM, and more than 90% of these cases were T2D (WHO 2016). Among the list of NCDs, DM soars among the four main diseases, which include CVD, cancers and chronic lung diseases (WHO 2016). T2D is responsible for approximately 5 million deaths per year (WHO 2016). By the year 2030, it is expected that T2D will escalate to the 7th cause of death worldwide. T2D is associated with obesity, and the major burden arises in developing and middle-income nations due to urbanisation (WHO 2016). T2D is often undiagnosed and investigations to measure the number of newly occurring cases are complex, resulting in limited data on true incidence. Furthermore, in low- and middle-income countries there are limited statistics on the income gradient of DM (WHO 2016). However, existing statistics propose the prevalence of DM to be highest among the wealthy population, but this trend is reversing in a few middle-income countries (WHO 2016). For instance in sub-Saharan Africa, the number of individuals with DM is predicted to escalate from 19.8 million (2013) to 41.5 million (2035) (WHO

300 2016). In 2015, the IDF reported 2.28 million cases of DM in South Africa, conversely, reports have  
301 revealed that there is inadequate statistics accessible on the prevalence of T2D in South Africa (Olokoba  
302 et al. 2012, WHO 2016). However, studies investigating data trends within Africa provide evidence of a  
303 dramatic rise in prevalence in rural and urban settings (Olokoba et al. 2012). In Africa, the majority of the  
304 DM burden is T2D, with less than 10% of cases being T1D (Olokoba et al. 2012). The prevalence of T2D  
305 in adults is predicted to increase in the next two decades.

306

### 307 **2.1.2 Associated Risk Factors**

308 The increasing prevalence of T2D has a massive impact on the healthcare system worldwide. Recent  
309 statistics from the IDF propose that DM is directly responsible for approximately 5 million deaths  
310 annually, exceeding the combined burden of HIV/AIDS, tuberculosis and malaria (Bailey et al. 2016).  
311 DM, if poorly controlled, may cause blindness, kidney failure, lower limb amputation and numerous  
312 long-term consequences that negatively impact on the quality of life (Baquer et al. 2009). However, there  
313 is huge potential in attenuating and reversing the DM pandemic via the modification of risk factors  
314 through lifestyle changes. There are several risk factors which are associated with T2D (Fig 2.1). These  
315 include:

- 316 • **Age**, a driving force in the incidence of T2D. As an individual ages, both impaired fasting  
317 glucose and glucose intolerance are progressively prevalent (Pippitt et al. 2016). Evidence  
318 estimates a normal glucose metabolism of approximately 30% over the age of 80 years old  
319 (Bailey et al. 2016).
- 320 • **Obesity**, the main potentially modifiable risk factor for T2D. Visceral adiposity confers the  
321 highest risk accompanied with T2D (Wing 2010). Research has proven that obesity is accountable  
322 for 80-85% of the risk of developing T2D (Wing 2010).
- 323 • **Physical activity**, positively impacts glucose metabolism and attenuates the risk of obesity.  
324 However, the lack of high intensity physical activity is not as alarming as sedentary behaviour  
325 amongst vast populations (Wing 2010).
- 326 • **Diet**, numerous dietary factors are implicated in the onset of DM. Despite total caloric intake,  
327 certain dietary factors such as processed meat, unprocessed red meat, and sugar-sweetened  
328 beverages are associated with the risk of T2D (Wing 2010, Pippitt et al. 2016). Following the  
329 strong relationship between T2D and obesity, evidence for prevention of T2D arises from studies  
330 which couple dietary intervention with elevated physical activity (Wing 2010).
- 331 • **Socio-economic factors**, two out of three diabetic individuals reside in urban areas, however,  
332 individuals in the lower socio-economic classes are disproportionately affected (Foster et al. 2015).  
333 This occurrence is poorly understood, however healthier lifestyles serve as a mediating factor

334 (Wing 2010). Globally, lower-middle income nations contribute to the prevalence of T2D, as  
 335 these are the countries where urbanisation and economic progression has severely altered  
 336 lifestyles (McIntyre et al. 2006).

- 337 • **Stress**, cortisol and adrenalin are associated with stress reactions. These hormones are recognized  
 338 for their surging effect on glucose levels, in response to insulin (Pernicova and Korbonits 2014).



339 **Figure 2.1: Graphical overview of type 2 diabetes mellitus associated risk factors (Prepared by**  
 340 **author. Sources of images: <http://szzljy.com/assets/download.php?file=/images/age/age6.jpg>;**  
 341 **<http://www.slashdiabetes.com/obesity/>;** **[http://blogs.plos.org/globalhealth/2017/08/the-global-action-](http://blogs.plos.org/globalhealth/2017/08/the-global-action-plan-for-physical-activity/)**  
 342 **[plan-for-physical-activity/](http://blogs.plos.org/globalhealth/2017/08/the-global-action-plan-for-physical-activity/);** **[http://www.doctoroz.com/article/21-day-weight-loss-breakthrough-diet-](http://www.doctoroz.com/article/21-day-weight-loss-breakthrough-diet-faq)**  
 343 **[faq](http://www.doctoroz.com/article/21-day-weight-loss-breakthrough-diet-faq);** **<http://www.doctoroz.com/article/21-day-weight-loss-breakthrough-diet-faq>;** **[http://clipart-](http://clipart-library.com/animated-stress-cliparts.html)**  
 344 **[library.com/animated-stress-cliparts.html](http://clipart-library.com/animated-stress-cliparts.html))**

### 345 2.1.3 Screening and Diagnosis

346 T2D is a metabolic disorder characterized by raised glucose levels accompanied with disrupted CHO,  
 347 lipid and protein metabolism due to deficiencies in the secretion and/or action of insulin (Fisher and Kahn  
 348 2003). Uncontrolled DM can result in blindness, limb amputations, kidney failure, vascular disease and  
 349 heart disease (Alberti and Zimmet 1998). Hence screening patients prior to the development of signs and  
 350 symptoms results in earlier diagnosis and treatment. It is imperative that diagnostic testing is performed in  
 351 patients with a clinical past symptomatic of DM (Pippitt et al. 2016). Symptoms prompting consideration  
 352 of DM include blurry vision, fatigue, numbness, polyuria, polydipsia, poor wound healing, tingling  
 353  
 354

355 sensations and weight loss (Pippitt et al. 2016). Screening for T2D is important for the following reasons  
356 (Pippitt et al. 2016):

- 357 • Global increase in prevalence of T2D
- 358 • Considerable proportion of people with T2D are undiagnosed
- 359 • Significant fraction of new cases of T2D present with evidence of microvascular complications
- 360 • A lengthy, latent and asymptomatic period in which T2D is detectable
- 361 • Importance of the direct effects and long-term complications of T2D
- 362 • Evidence in support of controlling blood-glucose, blood-pressure and blood-lipid levels in T2D
- 363 • Evidence that treatment of dyslipidaemia and hypertension can avoid CVD in individuals with  
364 T2D

365 The recommendations for the screening of T2D are listed below in table 1.

366

367 **Table 1** Recommendations for the screening of type 2 diabetes mellitus

<b>Screen asymptomatic individuals if the following risk factors are present:</b>
Age $\geq$ 45 years
CVD or family history of T2D
Chronic glucocorticoid exposure
HDLc level $<$ 0.91mmol/L and/or a TG level $>$ 2.8mmol/L
History of gestational DM or delivery of a baby $>$ 4.1kg
Hypertension (blood pressure $>$ 140/90 mmHg or prescribed medication for hypertension)
Glucose intolerance, impaired fasting glucose and/or metabolic syndrome
Nonalcoholic fatty liver disease
Overweight or obese
Polycystic ovary syndrome
Sedentary lifestyle
Sleep disorders in the presence of glucose intolerance
<b>Persons with two or more risk factors should be screened annually</b>

368

### 369 **2.1.3.1 Diagnostic Tests for Type 2 Diabetes Mellitus**

370 T2D is diagnosed based on the following; plasma glucose (PG) criteria, fasting plasma glucose (FPG), 2h  
371 PG value following a 75g oral glucose tolerance test (OGTT) and/or the A1C criteria (Table 2)  
372 (Association 2017).

373

374 **Table 2** Criteria for the diagnosis of type 2 diabetes mellitus

1.	FPG $\geq$ 7.0 mmol/L (Fasting includes no caloric intake for at least 8h)
2.	2h PG $\geq$ 11.1 mmol/L during an OGTT (as per the WHO)
3.	A1C $\geq$ 6.5% (48 mmol/L)
4.	Patient with classic symptoms of hyperglycaemia or hyperglycaemic crisis, with a PG $\geq$ 11.1 mmol/L

375

376 **2.1.4 Management**

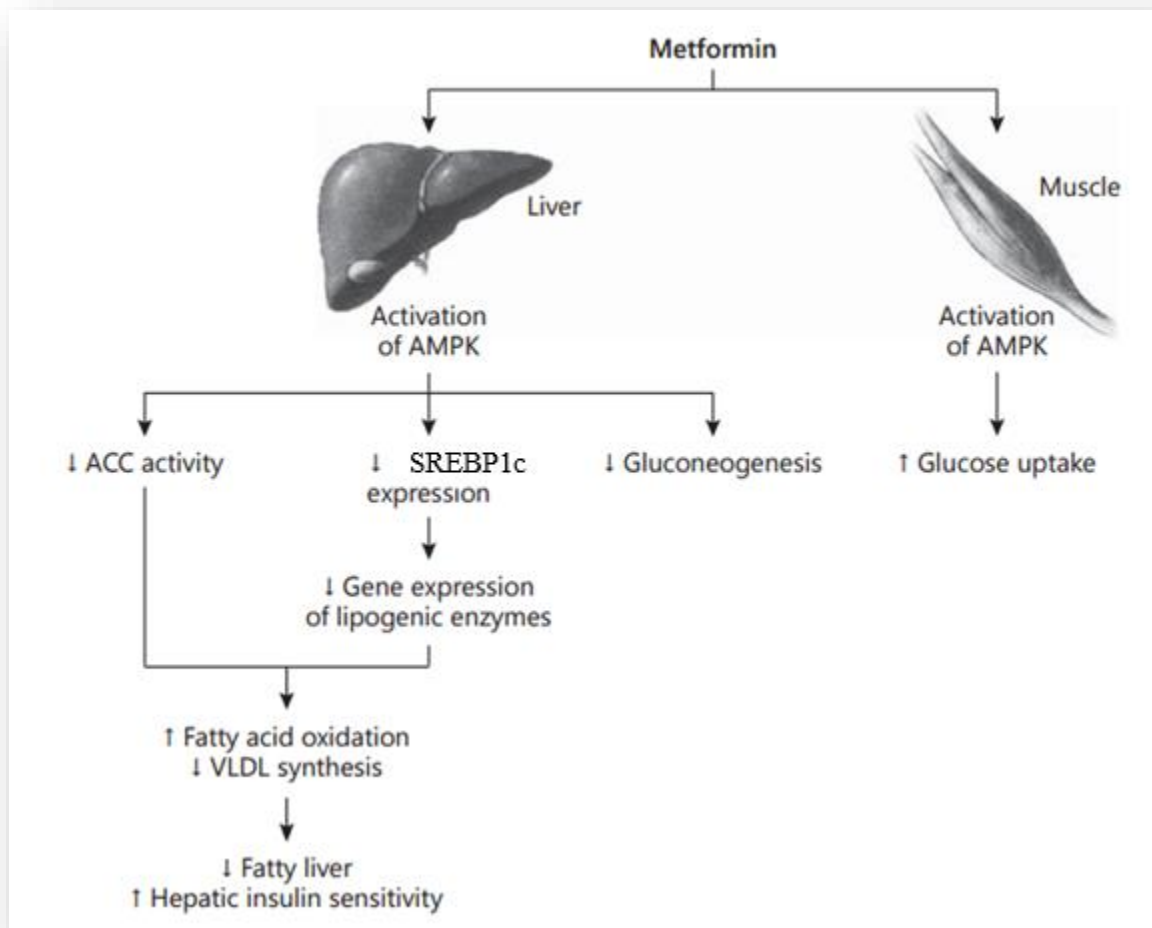
377 The goals in managing T2D include the elimination of symptoms and reduced rate of development of  
 378 complications. Both lifestyle and diet modifications are the most effective way to manage T2D (Olokoba  
 379 et al. 2012). The incidence of T2D declined, in association with a body mass index of  $>25\text{kg/m}^2$ , a high  
 380 fibre and low saturated fat diet, consistent exercise, abstinence from smoking and moderate alcohol  
 381 consumption (Olokoba et al. 2012). This suggests that T2D can be prevented by lifestyle modification. In  
 382 some instances lifestyle modifications may be insufficient in controlling blood glucose levels – since T2D  
 383 is a progressive disease – other interventions are necessary. These include the administration of  
 384 pharmacological agents such as MF.

385

386 **2.1.4.1 Pharmacological Agent: Metformin**

387 Globally, the first line drug of choice for T2D treatment is the oral biguanide antiglycaemic agent, MF  
 388 (1,1-dimethylbiguanide hydrochloride) (Group 2002). The primary mechanism of action is increasing  
 389 peripheral insulin sensitivity and inhibition of hepatic glucose production (Group 2002). 5' adenosine  
 390 monophosphate-activated protein kinase (AMPK) is a crucial cellular regulator of both glucose and lipid  
 391 metabolism, and is directly regulated by MF (Viollet et al. 2012). It is a multi-subunit enzyme regulating  
 392 biosynthetic lipid pathways, owing to its role in the phosphorylation of significant enzymes such as  
 393 acetyl-CoA carboxylase (ACC) (Fig 2.2) (Zhou et al. 2001). In the liver, MF activates AMPK resulting in  
 394 reduced ACC activity, fatty acid (FA) oxidation, and suppressed expression of lipogenic enzymes (Fig  
 395 2.2) (Zhou et al. 2001). In addition, AMPK activation suppresses expression of sterol regulating binding  
 396 element 1c (SREBP1c), a key lipogenic transcription factor (Fig 2.2) (Streicher et al. 1996). The  
 397 suppression of SREBP1c down-regulates gene expression of essential lipogenic enzymes, causing  
 398 reduced fatty liver, and elevated hepatic sensitivity of insulin. With regards to glucose metabolism MF is  
 399 responsible for attenuating gluconeogenesis. Gluconeogenesis is an energy dependent process, which  
 400 depends on mitochondrial function. Metformin accumulates within the mitochondria inhibiting  
 401 mitochondrial complex 1, and suppressing the production of adenosine triphosphate (ATP). As a result,  
 402 the attenuated ATP levels reduce gluconeogenesis (Fig 2.2) (Rena et al. 2017). Apart from its effect on

403 lipid and glucose metabolism, MF treatment is thought to have additional positive effects, including  
 404 stabilization of weight gain and weight loss (Brufani et al. 2013). This occurs by suppression of hepatic  
 405 glucose production, increase in insulin sensitivity, improving glucose uptake via phosphorylating GLUT-  
 406 enhancer factor, increasing FA oxidation, and reducing glucose absorption from the GIT (Group 2002,  
 407 Brufani et al. 2013). Although highly effective and safe, MF therapy is associated with side effects, such  
 408 as acute infections of the nose and throat and irritations of the GIT (Hermann 1979, Siavash et al. 2017).  
 409 The sustained effectiveness of this compound is controversial, resulting in a constant search for new T2D  
 410 therapies.



411  
 412 **Figure 2.2: Mechanism of action of metformin on hepatic and muscle glucose metabolism (Brufani**  
 413 **et al. 2013). AMPK; Adenosine monophosphate-activated protein kinase, ACC; acetyl-coenzyme A**  
 414 **carboxylase, SREBP-1; sterol regulatory element-binding protein-1, VLDL; very-low density**  
 415 **lipoprotein.**

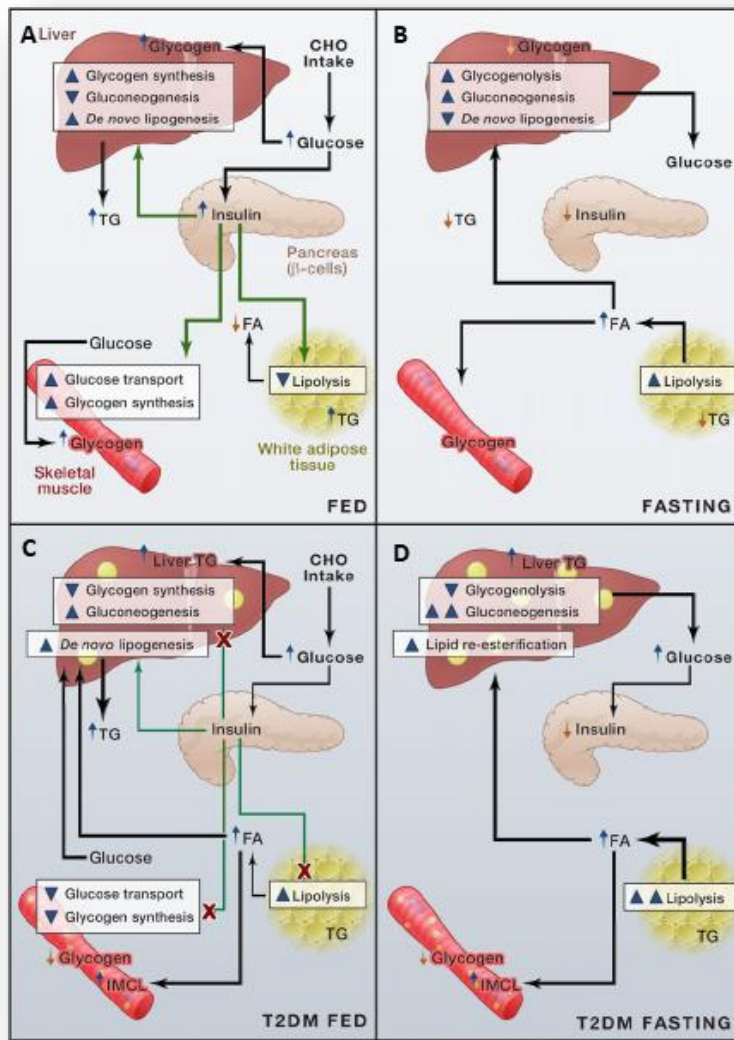
416



### 417 **2.1.5 Pathophysiology**

418 The pathophysiology of T2D comprises: peripheral insulin resistance, compromised regulation of hepatic  
419 glucose production, and diminished  $\beta$ -cell function resulting in  $\beta$ -cell failure (Fig 2.3) (Mahler and Adler  
420 1999). This results in reduced transport of glucose to the liver, adipose, and muscle cells (Olokoba et al.  
421 2012). Insulin resistance arises when liver, adipose and muscle cells become non-responsive to insulin.  
422 Under these conditions, TGs in adipose cells are degraded to produce FFAs for energy (Mahler and Adler  
423 1999). Muscles are disadvantaged of an energy source and liver cells fail to produce glycogen (Mahler  
424 and Adler 1999). The inability of cells to utilize glucose causes an overall rise in circulating glucose.  
425 Glycogen stores become markedly reduced, resulting in decreased availability of glucose accessible for  
426 essential cell functioning (Shulman 2000).

427  
428 Following CHO intake, there is an increase in plasma glucose and insulin secretion (Fig 2.3A). In muscle  
429 cells, insulin elevates glucose transport allowing for both glucose entry and glycogen storage (Fig 2.3A).  
430 In the liver, glycogen synthesis and lipogenesis are promoted by insulin, whilst gluconeogenesis is  
431 inhibited (Shulman 2000). In adipose cells, insulin reduces lipolysis and stimulates lipogenesis (Fig  
432 2.3A). This results in elevated hepatic gluconeogenesis and glycogenolysis, lipolysis and reduced hepatic  
433 lipid production (Fig 2.3B) (Khedoe et al. 2015). During T2D, ectopic lipid accumulation dysregulates  
434 lipid production (Fig 2.3C). Insulin-mediated glucose uptake in skeletal muscle is also impaired as a  
435 result of intramyocellular lipid accumulation, diverting glucose to the liver (Fig 2.3C). The capability of  
436 insulin to control gluconeogenesis and activate glycogen synthesis is impaired by hepatic lipid  
437 accumulation (Fig 2.3D) (Shulman 2000). In contrast, unaffected lipogenesis together with the elevated  
438 glucose leads to increased lipogenesis (Fig 2.3D). In adipose cells impaired insulin action permits  
439 increased lipolysis, promoting re-esterification of lipids in tissues (such as liver), further impairing insulin  
440 resistance (Fig 2.3D) (Khedoe et al. 2015). As a result, hyperglycaemia is coupled with a decline in  
441 pancreatic  $\beta$ -cell insulin secretion. As mentioned above increased FFA levels, inflammatory cytokines  
442 from adipose and oxidative factors are involved in the pathogenesis of T2D, and related CVD  
443 complications.



444

445 **Figure 2.3: Pathophysiology of normal and abnormal glucose metabolism in type 2 diabetes**  
 446 **mellitus (Shulman 2000). Normal glucose metabolism during a (A) fed state and (B) fasted state.**

447 **“Abnormal” glucose metabolism during a (C) fed state and (D) fasted state**

448

## 449 2.2 Glucose Sensing and Insulin Receptor Signalling

450 Pancreatic  $\beta$ -cells release the anabolic hormone, insulin in response to raised levels of nutrients in the

451 blood. Insulin initiates the uptake of amino acids, FAs and protein into muscle, adipose and liver;

452 stimulating the storage of these nutrients as protein, lipids and glycogen, respectively (Fisher and Kahn

453 2003). However failure in the uptake and storage of these nutrients, due to the inability of insulin binding

454 to its receptor and subsequent insulin resistance, results in T2D. The binding of insulin to insulin

455 receptor- $\beta$  ( $IR\beta$ ), initiates a cascade of tyrosine kinases which result in the uptake and storage of nutrients.

456 During T2D, this conventional insulin-receptor binding is defective, resulting in an imbalance in glucose  
457 homeostasis.

458

### 459 **2.2.1 Insulin Signalling**

460 The IR comprises two extracellular  $\alpha$  subunits and two transmembrane  $\beta$  subunits linked via disulphide  
461 bonds. Binding of insulin to the  $\alpha$  subunits, induces a conformational change followed by  
462 autophosphorylation of several tyrosine residues within the  $\beta$  subunit (Arner et al. 1987, Pirola et al.  
463 2004). These residues are recognized by phospho-tyrosine-binding domains on adaptor proteins such as  
464 members of the IRS family (Saltiel and Kahn 2001, Lizcano and Alessi 2002). Receptor mediated-  
465 activation phosphorylates crucial tyrosine residues on IRS proteins, some of which are recognized  
466 through the Src homology 2 domain of phosphoinositide-3-kinase (PI3K) (Shulman 2000). The catalytic  
467 subunit of PI3K - p110, phosphorylates phosphatidylinositol (4, 5) biphosphate (PtdIns (4, 5) P2)  
468 causing the formation of Ptd (3, 4, 5) P3, which is a significant downstream stimulant of Akt (Nolan et al.  
469 1994).

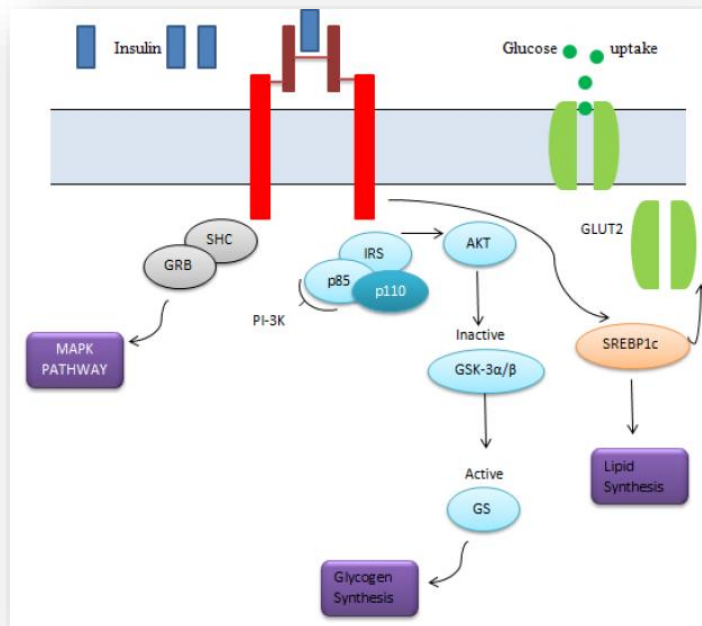
470

471 Activation of Akt is governed by a dual regulatory mechanism; Akt is first recruited to the plasma  
472 membrane, and subsequent phosphorylation via phosphoinositide-dependent kinase-1 and mTOR  
473 Complex 2 (Lizcano and Alessi 2002, Gao et al. 2014). Once active, Akt enters the cytoplasm where it  
474 phosphorylates and inactivates GSK3 $\alpha/\beta$  (Fig 2.4). This serine/threonine protein kinase facilitates  
475 addition of phosphate molecules onto serine and threonine amino acid residues (McManus et al. 2005).  
476 The main substrate of GSK3 $\alpha/\beta$  is glycogen synthase (GS), an enzyme that catalyses the last step in  
477 glycogen synthesis (Fig 2.4). Phosphorylation of GS via GSK3 $\alpha/\beta$  impedes glycogen synthesis,  
478 consequently the inactivation of GSK3 $\alpha/\beta$  via Akt promotes glucose storage as glycogen (McManus et al.  
479 2005). Inactivated GSK3 $\alpha/\beta$  is a major regulatory step in the stimulation of hepatic GS via insulin.  
480 Furthermore, glucose-6-phosphate is a precursor for the formation of hepatic glycogen. Glucokinase  
481 (Gck) is a hepatic enzyme which facilitates the phosphorylation of glucose to glucose-6-phosphate,  
482 providing a substrate for the conversion to glycogen. In muscle and adipocytes, insulin promotes glucose  
483 uptake via translocation of GLUT4, and via GLUT2 in the liver (Fig 2.4). GLUT4 translocation involves  
484 the PI3K/Akt pathway (Carlson et al. 2003), where as GLUT2 translocation involves activation of  
485 SREBP1c via insulin (Fig 2.4) (Horton et al. 2002).

486

487 The transcription factor SREBP1c is responsible for regulating the transcription of genes involved in  
488 cholesterol and lipid synthesis (Sharawy et al. 2016). Raised glucose levels promote binding of SREBP1c  
489 to GLUT2 promoters, initiating GLUT2 transcription (Sharawy et al. 2016). GLUT2 recruitment

490 facilitates the uptake of extracellular glucose, ultimately, reducing circulating blood glucose and  
 491 maintaining glucose homeostasis. Conversely, during T2D the above physiological processes are  
 492 attenuated. Thus insulin resistance, compromised regulation of hepatic glucose production, and  
 493 deteriorating  $\beta$ -cell function are attributable to a reduction in the normal physiology (Fig 2.4).



494  
 495 **Figure 2.4: Normal physiological process of insulin on genes and proteins involved in the proximal**  
 496 **and distal signalling cascade (Prepared by author)**  
 497

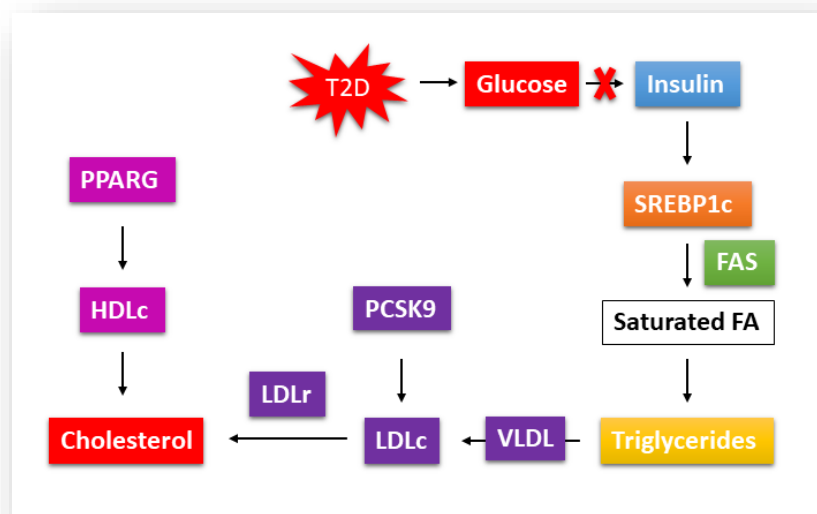
### 498 2.3 Lipid Metabolism

499 Insulin signalling is central in regulating several steps of lipid metabolism. Following disrupted IR  
 500 signalling and glucose sensing, T2D individuals present with abnormal lipid profiles (Mullugeta et al.  
 501 2012). Abnormal lipid profiles classified as diabetic dyslipidaemia are characterized by elevated TGs and  
 502 LDL particles, and depleted HDLc. Uncontrolled glucose levels potentiates FA flux, which gives rise to  
 503 diabetic dyslipidaemia promoting TG production in hepatocytes. In the presence of heightened glucose  
 504 levels, hepatocytes increase in packaging of TGs, which are transported to the cells via very low density  
 505 lipoproteins (VLDL) (Biesenbach 1989). TGs are stripped from VLDL particles, which facilitates the  
 506 delivery and subsequent digestion of TGs in the cells (Ginsberg et al. 2005). VLDL particles become  
 507 denser and undergo remodelling to form LDL particles, which function to transport cholesterol used  
 508 within the membranes and/or for production of steroid hormones (Ginsberg et al. 2005). Cellular  
 509 cholesterol uptake is mediated via receptor mediated endocytosis. The LDL particles containing

510 cholesterol bind to specific LDLr, where hydrolysis within endosomes release cholesterol for use in the  
 511 cell; which is followed by the recycling of the receptor to the cell surface (Biesenbach 1989, Taskinen  
 512 2002).

513

514 In T2D, over-production of LDLc overwhelms the LDLr pool, resulting in insufficient removal of LDLc.  
 515 As a result untreated diabetic dyslipidaemia increases the risk for the onset of CVD and subsequent  
 516 microvascular and macrovascular complications (Lorber 2014). Therefore, it is imperative to target the  
 517 modifiable risk factors, which lead to development of long-term complications. Statins are the most  
 518 popular class of lipid-lowering drugs. These drugs function by inhibiting the enzyme HMG CoA  
 519 reductase which decreases the production of cholesterol in the liver. Unfortunately, the use of statins is  
 520 accompanied by a range of side effects, from muscle damage and pain to liver damage (Banach et al.  
 521 2015). New advancements, differing from the action of statins have emphasized the use of regulators of  
 522 PCSK9 and agonists of PPARG in the treatment of dyslipidaemia (Gervois et al. 2000, Burke et al. 2017).  
 523 Studies have reported PCSK9 as a potent circulating regulator of LDLc through its ability to induce  
 524 degradation of the LDLr in lysosomes of hepatocytes (Grefhorst et al. 2008, Bhat et al. 2015, Burke et al.  
 525 2017, Laugier-Robiolle et al. 2017). The transcription factor PPARG is responsible for mediating  
 526 physiological effects on both glucose homeostasis and lipid metabolism (Memon et al. 2000, Kendall et  
 527 al. 2006). The effects of PPARG in T2D has been well-documented, which include a direct impact on  
 528 HDLc levels (Inoue et al. 2005, Kendall et al. 2006, Bermudez et al. 2010, Ahmadian et al. 2013).  
 529 Following the disparity in lipid homeostasis present in T2D, targeting PCSK9 and PPARG will contribute  
 530 to the restoration of lipid homeostasis (Fig 2.5).



531

532 **Figure 2.5: Regulation of plasma lipoproteins during elevated glucose levels by proprotein**  
533 **convertase subtilisin-like/kexin type (PCSK9) and peroxisome proliferator-activated receptor**  
534 **gamma (PPARG) (Prepared by author).**

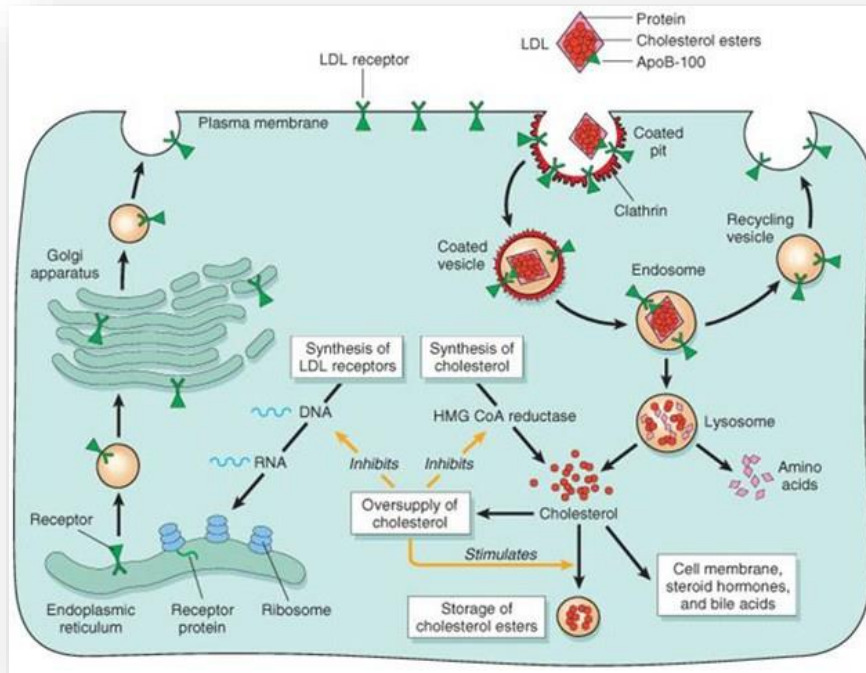
535  
536 **2.3.1 PCSK9, an Inhibitory Regulator of LDLr**

537 Lipoprotein transfer of cholesterol within plasma plays a functional role in the cell membrane, energy  
538 production and hormone synthesis (Virella and Lopes-Virella 2012). Cells use cholesterol via the  
539 internalization of lipoprotein ligands comprising chylomicrons, LDL and VLDL particles mediated by  
540 LDLr, followed by an endocytic process (Fig 2.6) (Olofsson et al. 2000, Virella and Lopes-Virella 2012).  
541 LDLr-mediated endocytosis aids in understanding lipoprotein clearance and LDLr deficiency, as risk  
542 factors for developing CVD. Raised LDL is a predominant risk factor for coronary artery disease and  
543 other atherosclerotic diseases, which governs the largest cause of all morbidities and mortalities in T2D  
544 individuals.

545  
546 LDLr is a cell membrane glycoprotein which functions by binding and internalizing circulating  
547 cholesterol containing lipoprotein particles (Virella and Lopes-Virella 2012). This receptor is ubiquitously  
548 expressed and central to the maintenance of cholesterol homeostasis in mammals. On the cell surface,  
549 clathrin-coated pits contain LDLr which bind to LDLc via adaptin (Virella and Lopes-Virella 2012).  
550 Following binding, the pits are pinched off to form clathrin-coated vesicles within the cell (Fig 2.6)  
551 (Virella and Lopes-Virella 2012). This allows LDLc to undergo endocytosis and avoids LDL diffusing  
552 around the membrane surface. This occurs in nucleated cells but predominantly within the liver, which is  
553 responsible for 70% of LDL removal from circulation. Once the coated vesicle is internalized, it sheds the  
554 clathrin-coat and fuses with an acidic endosome (Virella and Lopes-Virella 2012). Following this cycle,  
555 LDLr is either destroyed or recycled via endocytic cycle to the cell surface, to receive another LDL  
556 particle (Fig 2.6). The synthesis of LDLr is regulated by levels of free intracellular cholesterol, as well as,  
557 other molecules such as PCSK9.

558  
559 PCSK9 regulates the degradation of the LDLr in response to cholesterol levels within the cell; by binding  
560 to an extracellular part of the LDLr (Fig 2.7) (Burke et al. 2017). PCSK9 inhibits LDLr from creating a  
561 closed conformation, causing the receptor to be susceptible to enzymatic degradation (Fig 2.7) (Horton et  
562 al. 2007). LDLr's without PCSK9 bound to them are therefore more likely to be recycled to the cell  
563 surface. Increased function of PCSK9 causes hypercholesterolemia, while reduced function is  
564 accompanied by low LDL. Therefore, inhibiting PCSK9 allows more LDLr's to be recycled to the cell  
565 surface, causing an elevation in the clearance of LDLc from circulation. Several approaches to the

566 pharmacological inhibition of PCSK9 has been investigated. Antisense oligonucleotides and small  
 567 interfering RNAs are responsible for the formation of PCSK9 (Burke et al. 2017). Furthermore, small  
 568 adnectin polypeptides and monoclonal antibodies bind to mature PCSK9 preventing interaction with  
 569 LDLr (Burke et al. 2017). Consequently, attenuating the levels of PCSK9 can be achieved prior  
 570 to/following formation of these molecules, resulting in therapeutic opportunities for targeting PCSK9.



571  
 572  
 573  
 574  
 575

**Figure 2.6: Low density lipoprotein receptor mediated pathway**  
 (<http://i0.wp.com/www.namrata.co/wp-content/uploads/2012/11/cholesterol-metabolism.jpg?resize=628%2C484>)

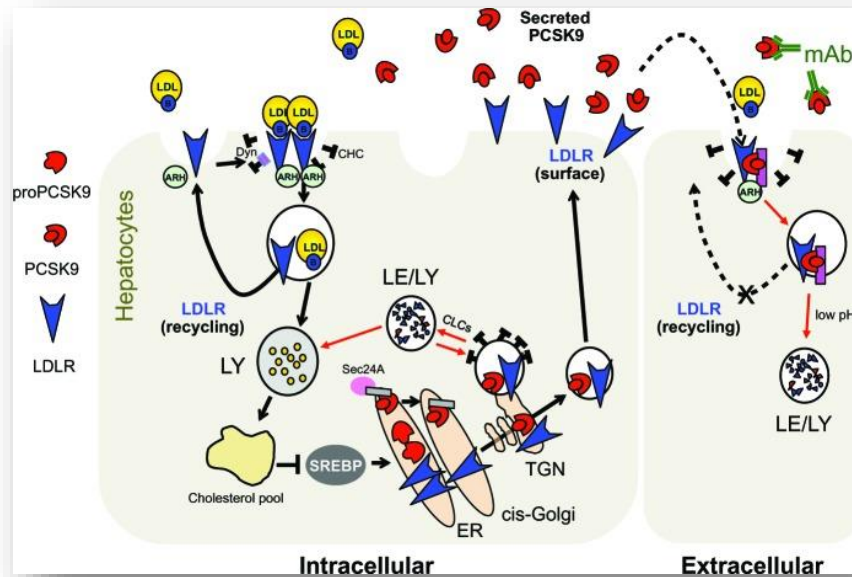


Figure 2.7: Cellular trafficking of PCSK9 (Poirier and Mayer 2013)

576  
577  
578

### 2.3.2 PPAR $\gamma$ ; A Positive Regulator of Lipid Metabolism

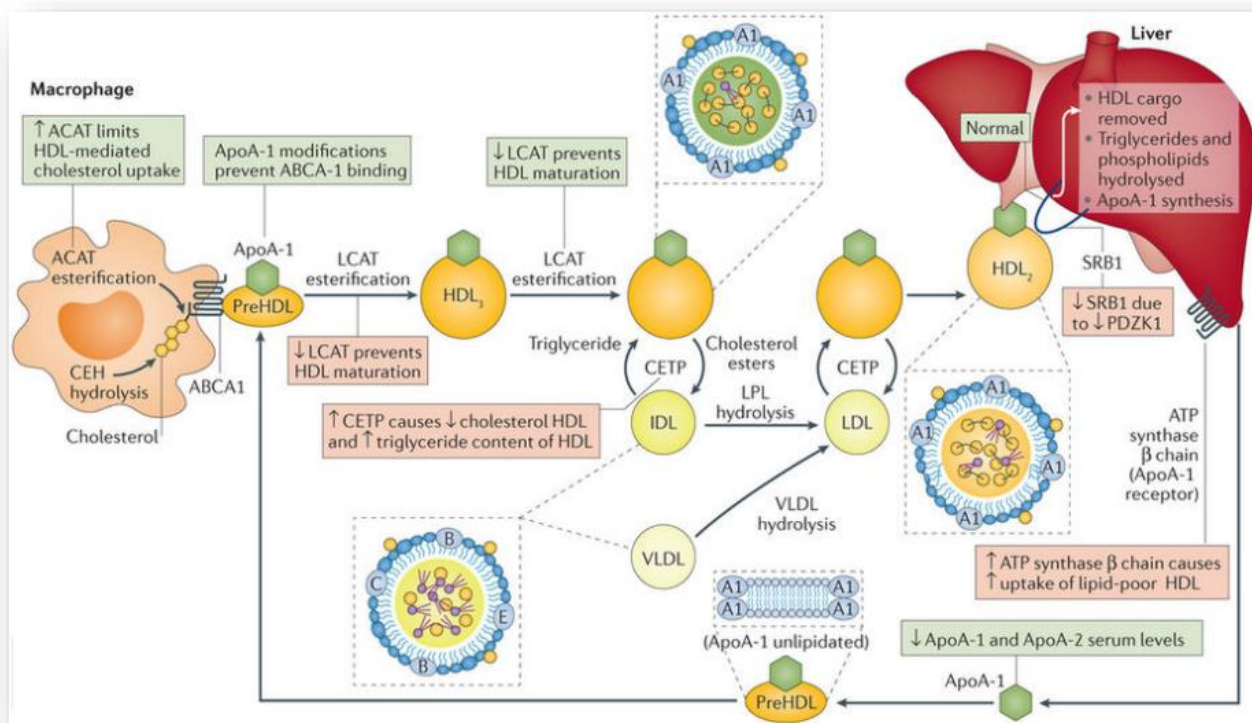
580 Lipoproteins are multifaceted particles responsible for the transport of lipids (Lund-Katz and Phillips  
581 2010). The anti-atherogenic lipoprotein, HDL, facilitates the efflux of cellular cholesterol. HDL partakes  
582 in the reverse cholesterol transport process, whereby surplus cholesterol within peripheral cells are  
583 transported to the liver for excretion (Zhou et al. 2015). Apolipoprotein-facilitated interactions of HDL  
584 particles with lipid transporters and receptors on the cell surface are crucial for this process (Lund-Katz  
585 and Phillips 2010). The interchangeable apolipoproteins within HDL are A, C and E families, which are  
586 structurally similar (Lund-Katz and Phillips 2010, Zhou et al. 2015). Lipoprotein particles in circulation  
587 act as binding agents for cell surface receptors, and facilitate lipoprotein remodelling (Zhou et al. 2015).  
588 With regards to HDL, the apolipoproteins contribute to particle remodelling via the transfer of proteins  
589 and enzymes. These reactions are essential for HDL metabolism and reverse cholesterol transport (Lund-  
590 Katz and Phillips 2010, Zhou et al. 2015).

591

592 HDL particles protect against CVD, OS and systemic inflammation via its anti-thrombotic, anti-  
593 inflammatory, and AO activities, as well as, reverse cholesterol transport (Lund-Katz and Phillips 2010).  
594 Apolipoprotein A1 (Apo A1) is manufactured and released into circulation via the liver. The partial  
595 lipidation of ApoA1 by phospholipids and cholesterol form nascent HDL particles (Lund-Katz and  
596 Phillips 2010) (Fig 2.8). In vascular tissue, these particles initiate the release and transfer of free



604 cholesterol to the surface of HDL; free cholesterol is then re-esterified and stored within the HDL core (Lund-Katz and Phillips 2010, Zhou et al. 2015). This process converts lipid-depleted HDL3 to cholesterol ester-rich HDL2, which separate and release into circulation (Lund-Katz and Phillips 2010, Zhou et al. 2015). In circulation, part of the HDL cholesterol-ester is transferred to intermediate density lipoprotein (IDL) and LDL in exchange for TGs (Zhou et al. 2015). HDL2 then binds to HDL docking receptors allowing for the removal of HDL cholesterol-ester and hydrolysis of its TG, and phospholipid contents cellular uptake (Fig 2.8) (Zhou et al. 2015). Subsequently, the lipid-poor HDL separates and proceeds to circulation to repeat the cycle.



605  
606 **Figure 2. 8: Production and metabolism of high density lipoprotein via reverse cholesterol**  
607 **transport (<https://www.nature.com/articles/nrneph.2015.180/figures/1>)**  
608

609 As previously mentioned, HDL confers important protective functions in addition to its role in mediating  
610 reverse cholesterol transport (Fig 2.9) (Lund-Katz and Phillips 2010). HDL is a protecting agent against  
611 OS via the actions of its constituent AO enzymes, GPx and paraoxonase (Fig 2.9). Systemic inflammation  
612 is mitigated by HDL via the removal of oxidized phospholipids and FAs from LDL, VLDL, and IDL (Fig  
613 2.9) (Howard et al. 2000). This process limits the formation of oxidized phospholipids and their disposal  
614 in the liver. Anti-atherogenic HDL also stimulates proliferation and inhibits injury and apoptosis of

615 endothelial cells via attenuated caspase-3 activity and ROS generation (Giacco and Brownlee 2010).  
616 Furthermore, HDL enables restoration, relocation, and growth of endothelial cells and elevates the  
617 quantity of circulating endothelial progenitor cells - which are vital for vascular repair and inhibition of  
618 plaque formation (Lorber 2014). Subsequently, HDL particles in circulation are responsible for regulating  
619 multiple factors, hence targeting this lipoprotein is imperative in maintaining cholesterol homeostasis.

620  
621 A possible inducer of the anti-atherogenic lipoprotein is PPARG, which belongs to a subfamily of ligand-  
622 inducible transcription factors (Issemann and Green 1990). This transcription factor is central in  
623 controlling the expression of gene networks involved in lipid metabolism, glucose homeostasis,  
624 inflammation, and cell proliferation (Forman et al. 1997, Dussault and Forman 2000, Evans et al. 2004).  
625 Ligand binding is followed by the formation of heterodimers with retinoid X receptor (RXR). PPARG-  
626 RXR heterodimers bind to peroxisome proliferator response element, on the promoter region of specific  
627 target genes (Gervois et al. 2000). Transcription is triggered upon recruitment of different transcriptional  
628 cofactors (Gervois et al. 2000). PPARG is responsible for inducing apolipoproteins which play an integral  
629 role in transporting excess cholesterol to its acceptor – HDL, initiating reverse cholesterol transport  
630 (Gervois et al. 2000). The application of PPARG agonists has been investigated in multiple disease  
631 conditions, the only approved use for PPARG ligands is the use of thiazolidinedione's in T2D (Fruchart et  
632 al. 2001, Fitzgerald et al. 2002, Lalloyer and Staels 2010). These complete PPARG agonists first arose as  
633 a new class of therapy alleviating insulin resistance in individuals with T2D (Fruchart et al. 2001,  
634 Fitzgerald et al. 2002, Lalloyer and Staels 2010). However, drugs from the thiazolidinedione group were  
635 withdrawn from the market due to severe adverse effects. These effects were caused by full PPARG  
636 activation and opposing agonistic effects of endogenous PPARG ligands such as FAs and prostanoids  
637 (Dussault and Forman 2000, Balakumar and Kathuria 2012). The PPARG agonist pioglitazone is  
638 currently used to treat both diabetes and fatty liver disease (Amano et al. 2018; Chandra et al. 2017).  
639 Consequently, research efforts have recently explored the prospective role of selective PPARG agonists -  
640 compounds that increase glucose homeostasis but reduce partial PPARG agonism side effects (Schupp et  
641 al. 2005, Balakumar and Kathuria 2012). Consequently, targeting PPARG poses potential benefit in  
642 potentiating the levels of HDL and its downstream effects in T2D.

643

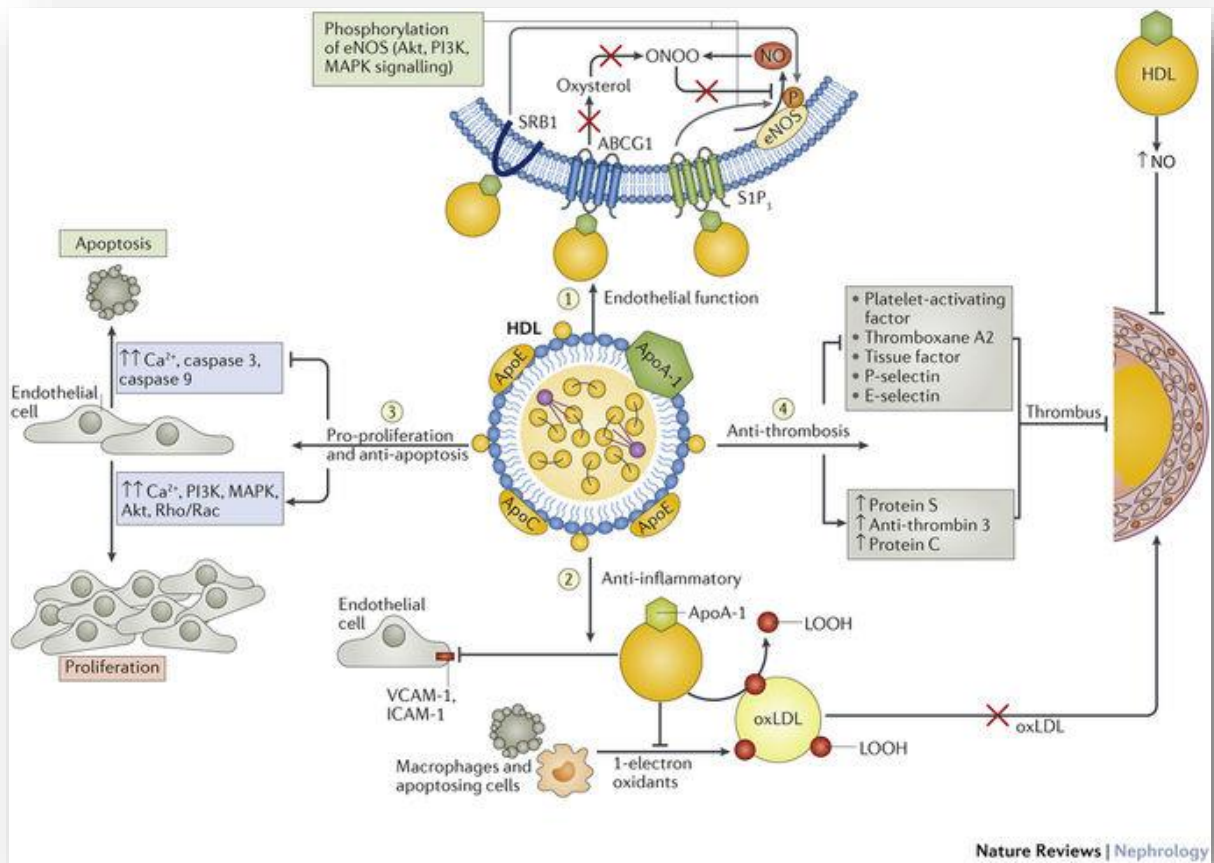


Figure 2.9: Protective functions of high density lipoproteins  
<https://www.nature.com/articles/nrneph.2015.180/figures/2>

## 2.4 Oxidative Stress and Mitochondrial Health

Excessive nourishment and sedentary lifestyle potentiates glucose and FA overload, resulting in the over-production of ROS (Baynes 1991). Disproportionate ROS causes OS, which is representative of the imbalance between ROS and the ability to detoxify their harmful effects (Baynes 1991). In T2D, hyperglycaemia induces ROS production via attenuation of the AO response and detoxification system, stimulation of protein kinase C (PKC) pathway, the formation of AGEs and reduced mitochondrial function. OS has been identified as a pathogenic mechanism in diabetic-related CVD and long-term complications. As a result, targeting factors responsible for mitigating OS levels and neutralizing the adverse effects of hyperglycaemia, are central to T2D treatment.

Studies have established the role of nuclear factor erythroid 2-related factor 2 (Nrf2) in alleviating the complications of DM. Nrf2 is a transcription factor that functions as the major regulator of the

660 endogenous AO and detoxification system. Nrf2 executes its function once released from Kelch-like  
661 ECH-associated protein 1 (Keap1) repression, resulting in the stimulation of the antioxidant response  
662 element (ARE). This response element is central to the activation of genes coding for a number of AOs.  
663 Furthermore, protein kinase pathways such, as the diacylglycerol (DAG)-PKC pathway, has been  
664 associated in transducing OS signals to genes mediated through the ARE. This pathway is known to be  
665 activated by chronic DAG levels, resulting in elevated levels of PKC, promoting Nrf2 activation; whereas  
666 the formation of cytotoxic AGEs are counteracted by Nrf2 and mitochondrial Lon protease.

667  
668 An important source of ROS is the mitochondria, via oxidative phosphorylation. Interestingly, Nrf2  
669 counterbalances mitochondrial produced ROS via the transcription of cytoprotective genes; *SOD2*, *GPx*  
670 and GSH. Furthermore, the mitochondria possess regulatory proteins, enabling these organelles to combat  
671 the over-production of ROS. These regulatory proteins include both SIRT3 and PGC-1 $\alpha$ . SIRT3 is central  
672 to regulating mitochondrial function since it is accountable for deacetylation of mitochondrial proteins,  
673 and regulating mitochondrial biogenesis via the induction of PGC-1 $\alpha$ . Mitochondria are essential for  
674 bioenergetic and metabolic processes, including glucose and lipid metabolism (Kim et al. 2008).  
675 However, when mitochondria are unable to perform their primary function, this results in mitochondrial  
676 dysfunction (Dinkova-Kostova and Abramov 2015). Collectively, impaired AO defences and  
677 mitochondrial dysfunction form a vicious cycle causing OS. Thus, therapeutic intervention using AO  
678 supplements may be beneficial in interrupting this cycle.

679

#### 680 **2.4.1 Nuclear factor erythroid 2-related factor 2 and the Antioxidant Response**

681 OS is central to the pathogenesis of T2D, and the onset of CVD and diabetic complications. As a result  
682 improving the endogenous cellular AO response and detoxification system will enable cells to prevent  
683 ROS induced damage (Allen and Tresini 2000, Ramachandran et al. 2011). Nrf2 regulates the  
684 endogenous AO and detoxification system, providing cells the ability to adapt to OS, by mediating the  
685 induction of cytoprotective genes (Fig 2.10) (Zhang 2006). Under non-stressed conditions, Nrf2 is  
686 inhibited by a negative regulator Keap1 which is located in the cytoplasm (Zhang 2006, Taguchi et al.  
687 2011). Keap1 is a repressor protein that binds to Nrf2 and stimulates its degradation via the ubiquitin  
688 proteasome pathway (Kansanen et al. 2013). Exposure to OS allows Nrf2 to escape Keap1 repression,  
689 translocating to the nucleus, which results in the phosphorylation and activation of Nrf2 (Fig 2.10). In the  
690 nucleus pNrf2 binds to and initiates ARE-dependent gene expression to preserve cellular redox balance  
691 (Zhang 2006). The ARE comprises a sequence involved in controlling the coordinated transcriptional  
692 activation of genes coding for various AO enzymes (Fig 2.10). The AO function of Nrf2 is central to the  
693 preservation of glucose metabolism in insulin-sensitive tissues, via insulin secretion and glucose

694 utilization (Uruno et al. 2015). The cytoprotective genes induced by Nrf2 include the endogenous AOs;  
695 *SOD2* and *GPx*, which are involved in synthesizing the non-enzymatic AO; GSH. Reduced GSH serves  
696 as a major cellular AO determinant between cellular protection and toxic damage (Pompella et al. 2003,  
697 Victor et al. 2011). When this endogenous AO fails to provide adequate compensatory response to restore  
698 the redox balance, GSH levels are reduced and OS ensues (Allen and Tresini 2000). Following elevated  
699 ROS, superoxide radicals are converted to hydrogen peroxide by *SOD2* which is reduced by *GPx* to water  
700 (Vats et al. 2015). The reduction of hydrogen peroxide to water is complemented by the conversion of  
701 reduced GSH into oxidized GSH. Studies have reported decreased Nrf2 in diabetic mice and patients with  
702 T2D, which contribute to elevated OS, endothelial dysfunction, insulin resistance and elevated cardiac  
703 insult (Li et al. 2011, Tan et al. 2011, Cheng et al. 2012). Furthermore, studies have showed that Nrf2  
704 induction improves insulin resistance in diabetic mice models (Uruno et al. 2013, David et al. 2017).  
705 Studies also confirm the relationship between activated Nrf2 and its downstream effects on both *SOD2*  
706 and *GPx*, which are reduced in T2D (Dong et al. 2008, Ramachandran et al. 2011, Giralt and Villarroya  
707 2012). Nrf2 induction alters AO, energy intake, and gluconeogenesis related gene expression in metabolic  
708 tissues (Uruno et al. 2013). Subsequently, Nrf2 plays an invaluable role in modulating the metabolic  
709 aberrations present in T2D, by initiating the AO response and detoxification system.

710

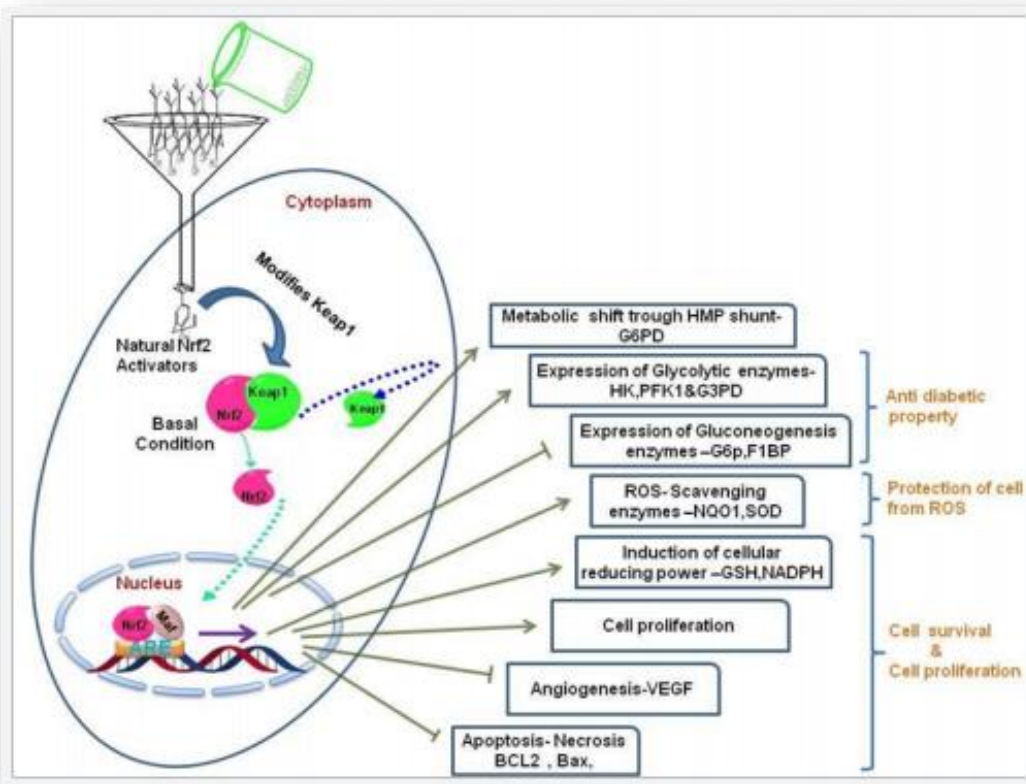
#### 711 **2.4.2 Diacylglycerol-Protein kinase C Pathway**

712 Hyperglycaemic-induced intracellular and extracellular changes alter signal transduction pathways which  
713 negatively impact gene expression and protein function. PKC is a serine/threonine-related protein kinase,  
714 fundamental in several cellular functions, and signal transduction pathways (Geraldès and King 2010).  
715 There are various isoforms of PKC that function in a multiplicity of biological systems, which are  
716 stimulated by DAG (Geraldès and King 2010). DAG is a glyceride consisting of two FA chains  
717 covalently bonded to a glycerol molecule (Geraldès and King 2010). The levels of DAG are chronically  
718 heightened in a hyperglycaemia, owing to an elevation in the glycolytic intermediate - dihydroxyacetone  
719 phosphate (Geraldès and King 2010). Dihydroxyacetone phosphate is reduced to glycerol-3-phosphate,  
720 which consequently raises the de novo synthesis of DAG. In DM, DAG levels are raised in vascular  
721 (heart, retina and kidney) and non-vascular tissues (liver and skeletal muscles). Studies by Kang et al,  
722 2000 and Kang et al, 2001 provided substantial evidence on the involvement of PKC in phosphorylating  
723 Nrf2, and initiating its nuclear translocation in response to OS (Kang et al. 2000, Kang et al. 2001).  
724 Accordingly, PKC phosphorylates Nrf2 at Serine-40, promoting its dissociation from Keap1 (Huang et al.  
725 2002). This action of PKC is a crucial signalling event resulting in the ARE-mediated cellular AO  
726 response.

727

### 728 **2.4.3 Advanced Glycation End-Products Pathway**

729 Diverse biochemical pathways have been suggested in linking the antagonistic effects of hyperglycaemia  
730 to vascular impediments. In addition to the DAG-PKC pathway, is an increase in the AGEs pathway.  
731 Glycation is the process whereby uncontrolled glucose forms covalent adducts with plasma proteins  
732 (Chevion et al. 2000). Glycation is a non-enzymatic process, central to the onset of diabetic  
733 complications, such as, nephropathy, neuropathy and retinopathy (Singh et al. 2014). Glucose-derived  
734 dicarbonyl precursors are responsible for accumulation of intracellular AGEs (Singh et al. 2014).  
735 Intracellular AGEs are important stimuli for triggering intracellular signalling pathways (Brownlee 2001).  
736 Glycation hinders normal functioning of proteins, which disrupts conformation, enzymatic activity,  
737 degradation ability, as well as receptor recognition (Brownlee 1995, Hammes et al. 1999). Glycation  
738 modifies cellular functions via denaturation and functional failing of target proteins, initiation of receptor-  
739 mediated signalling and production of oxidative and carbonyl stress (Yonekura et al. 2005, Hsieh et al.  
740 2007). As a result, reducing the formation of AGEs is imperative in attenuating its effects on protein  
741 structure and function, and subsequent vascular complications. In addition to, the role of Nrf2 in  
742 mitigating hyperglycaemic-induced OS, Nrf2 plays a functional role in reducing the levels of AGEs.  
743 Recent evidence for this interaction was provided by Sampath et al, 2016 (Sampath et al. 2017).  
744 Following the multifaceted effects of Nrf2, the mitochondrial matrix possess an important protease, Lon  
745 protease 1 (LonP1) which is responsible for degrading oxidatively damaged proteins (Gumeni and  
746 Trougakos 2016, Pomatto et al. 2017). This protease degrades oxidized and damaged proteins, in  
747 association with chaperones which preserve the protein in an unfolded state until the initiation of the  
748 proteolytic reaction (Pomatto et al. 2017). Increased LonP1 will enable cells to efficiently reduce  
749 damaged proteins and attempt to restore cellular homeostasis. Studies compared diabetic and lean mice  
750 livers, and observed LonP1 protein levels to be significantly lower in diabetic mice (Lee et al. 2011). This  
751 suggests that LonP1 down-regulation is critical in mitochondrial dysfunction, and may be involved in the  
752 progression of insulin resistance and T2D (Lee et al. 2011).



753

754 **Figure 2.10: Schematic representation presenting the mechanism of cytoprotection via Nrf2**  
 755 **activators (Rashmi et al. 2017)**

756

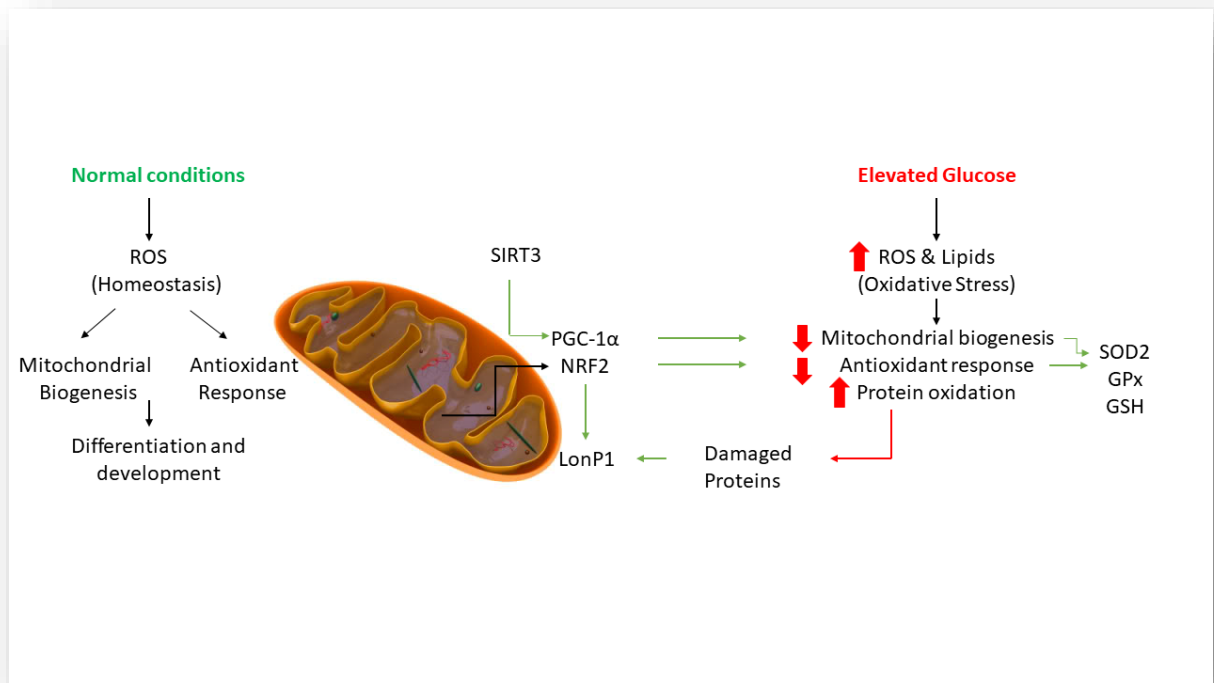
757 **2.4.4 Mitochondrial Regulatory Pathways**

758 In mitochondria, ROS are generated at low levels as by-products of the electron transport chain (ETC)  
 759 (Brownlee 2001). Mitochondria are double-membrane organelles with multiple critical cellular functions  
 760 which include oxidative phosphorylation. Oxidative phosphorylation is the final stage of cellular  
 761 respiration, consisting of two meticulously connected components; the ETC and chemiosmosis (Giacco  
 762 and Brownlee 2010). The ETC comprises of proteins and organic molecules located in the inner  
 763 mitochondrial membrane. In a sequence of redox reactions, electrons are transferred from one member of  
 764 the ETC to the next (Liu et al. 2002). Energy is released during these reactions, which is captured as a  
 765 proton gradient. This facilitates the production of ATP in a process called chemiosmosis (Montgomery  
 766 and Turner 2015). During these processes, ROS production is necessary for the normal functioning of the  
 767 cell, however excessive ROS production can be detrimental, making AO defences essential. In addition to  
 768 the role of Nrf2 in the AO system, Nrf2 also influences mitochondrial function by protecting against  
 769 mitochondrial toxins. The main small molecule AO - GSH is also a product of numerous downstream

770 target genes of Nrf2, which counterbalances the production of mitochondrial ROS (Holmström et al.  
771 2016). Mitochondrial integrity is critical for overall functioning. Mitochondrial integrity is regulated via  
772 mitophagy, a process which removes damaged mitochondria. Autophagic adaptor protein sequestosome-1  
773 (SQSTM1/p62) is a key protein responsible for mitophagy (Holmström et al. 2016). This protein  
774 competes with Nrf2 for its binding site on Keap1, as a result, raised p62 levels triggers the Nrf2 pathway,  
775 creating a positive feedback loop (Holmström et al. 2016).

776  
777 In order to maintain proper mitochondrial function, lysine acetylation has become an important post-  
778 translational alteration of mitochondrial proteins (Nogueiras et al. 2012). In response to environmental  
779 stimuli, vital metabolic enzymes are acetylated within mitochondria. The SIRT family consists of seven  
780 members, three (SIRT 3, 4, 5) of which are located in the mitochondria (Nogueiras et al. 2012). SIRT3 is  
781 important in regulating mitochondrial function, as it is accountable for deacetylation of mitochondrial  
782 proteins. SIRT3 is responsible for targeting proteins involved in energy metabolism processes, which  
783 include the respiratory chain, tricarboxylic acid cycle and FA  $\beta$ -oxidation (Buler et al. 2012). Through  
784 these processes, SIRT3 regulates the flow of mitochondrial oxidative pathways and subsequently, the rate  
785 of ROS production (Giralt and Villarroya 2012). SIRT3-mediated deacetylation stimulates enzymes  
786 accountable for quenching ROS, thereby employing a profound protective action against OS-dependent  
787 pathologies, such as T2D (Nogueiras et al. 2012). In T2D, elevated OS contributes to the occurrence of  
788 mitochondrial dysfunction. As a result, elevated SIRT3 levels increase cellular respiration ultimately  
789 reducing ROS production (Fig 2.11). In addition, SIRT3 is essential for the induction of PGC-1 $\alpha$ , and  
790 PGC-1 $\alpha$ -dependent induction of ROS-detoxifying enzyme, *SOD2* (Fig 2.11) (Kong et al. 2010). PGC-1 $\alpha$   
791 is a transcriptional coactivator which reacts with a wide range of transcription factors, involved in various  
792 biological responses such as mitochondrial biogenesis, glucose and FA metabolism (Moreno-Santos et al.  
793 2016). This transcriptional coactivator is documented to be a master-regulator of mitochondrial  
794 biogenesis - interacting with a complement of transcription factors and nuclear hormone receptors  
795 associated with mitochondrial function (Fig 2.11) (Moreno-Santos et al. 2016). With regards to T2D,  
796 chronic hyperglycaemia is related to reduced expression of PGC-1 $\alpha$  (Moreno-Santos et al. 2016).  
797 Therefore, stimulation of PGC-1 $\alpha$  expression is a crucial regulatory event, leading to initiation of energy  
798 metabolic pathways, which elevate ATP production and exert homeostatic control (Moreno-Santos et al.  
799 2016). Evidence has shown the significance of acetylation/deacetylation of mitochondrial proteins via  
800 SIRT3, and its possible role in the onset of insulin resistance. Thus, the development of new SIRT3-  
801 targeted drugs may aid in regaining regular cellular redox status in T2D patients.





802  
803  
804  
805

**Figure 2.11 Regulation of mitochondrial dysfunction, during type 2 diabetes mellitus via Nrf2, LonP1, SIRT3 and PGC-1 $\alpha$  (Prepared by Author)**

806 **2.5 Natural Compounds for Medicinal Treatment**

807 Globally in rural areas, traditional medicine is a common practice. Apart from its use by traditional  
808 healers, its demand and use has increased with limited knowledge of side effects and therapeutic efficacy  
809 (Ulbricht et al. 2007). It was estimated by the WHO that 80% of the world's population is dependent on  
810 natural compounds for their primary healthcare (Khosla et al. 1995). Between 1983 and 1994 the United  
811 States Food and Drug Administration permitted approximately 78% of new drugs from unmodified  
812 natural products or semi-synthetic drugs acquired from natural sources (Suffredini et al. 2006). During a  
813 survey, the usage of natural compounds increased from 3% (1993) to 37% (1998) (Briskin 2000). This  
814 shift has been facilitated by the low cost of herbal drugs coupled with the developing world. The 'green'  
815 movement in the developed world campaigns the essential safety of natural products, and the  
816 individualistic philosophy of society that encourages self-medication - with countless people choosing to  
817 treat themselves with herbal remedies (Neelakantan et al. 2014).

818

819 Plants have been termed natural producers of medicinal compounds, which led to the discovery of new,  
820 inexpensive drugs, with high therapeutic potential. The exploitation of such medicinal compounds is

821 prehistoric. In the Middle Ages in Europe, internal bleeding and upper respiratory tract infections were  
822 treated by yarrow tea (Zarshenas et al. 2014). Salix leaves were prescribed by Hippocrates to reduce  
823 fever, (Zarshenas et al. 2014) and garlic and onions were thought to lower blood glucose, serum  
824 cholesterol and blood pressure, due to its proposed antibiotic properties (Bayan et al. 2014). Inflammation  
825 and pain were treated with salicin (white willow tree extract), which is now synthetically produced, and  
826 used as a staple over-the-counter drug (Huie 2002). *Gymnema sylvestre* is a woody plant that has been  
827 reported to be beneficial in diabetes therapy, via its ability to suppress the desire for sugary foods (Leach  
828 2007). Other potential sources of anti-diabetic properties include *Momordica charantia* (bitter melon) and  
829 *Opuntia ficus-indica* (prickly pear cactus), which has been documented to display glucose lowering  
830 effects (Leung et al. 2009, Joseph and Jini 2013). Another commonly used plant is *Trigonella foenum-*  
831 *graecum* (fenugreek). Its leaves and seeds are not only used as a nutritional source, but are also  
832 commonly prescribed in traditional medicine (Basch et al. 2003, Ulbricht et al. 2007).

833  
834 *Trigonella foenum-graecum* frequently known as fenugreek is a promising medicinal plant from the  
835 Fabaceae family (Basch et al. 2003). Fenugreek is indigenous to Southern Europe and Western Asia but  
836 cultivated worldwide. Fenugreek seeds contain a rare amino acid, 4-OH-Ile – its biologically active  
837 compound (Ulbricht et al. 2007). Medical uses of fenugreek in Indian and Chinese medicine, include  
838 inducing labour, assisting digestion, and as a general tonic to increase metabolism and health (Basch et al.  
839 2003, Ulbricht et al. 2007). In ancient times, Egyptians used fenugreek together with honey for the  
840 treatment of anaemia, constipation, DM, dyspepsia, rheumatism and rickets (Ulbricht et al. 2007).  
841 Preliminary studies have proposed potential hypoglycaemic and anti-hyperlipidaemic properties of  
842 fenugreek seed powder. However, at present, evidence is insufficient to endorse fenugreek for or against  
843 the treatment of hyperglycaemia or hyperlipidaemia.

844  
845 **2.5.1 *Trigonella foenum-graecum* (Fenugreek)**  
846 Fenugreek is an annual self-pollinating leguminous bean. The seeds are sown in well-prepared soil which  
847 sprouts in approximately three days. Seedlings grow semi-erect, erect or branched based on its variety and  
848 attains a height of approximately 30 to 60cm (Fig 2.12A) (Ulbricht et al. 2007). It has compound trifoliolate  
849 pinnate leaves, axillary yellow to white flowers, and 3 to 15cm long thin pointed hoop-like beaked pods  
850 (Fig 2.12B) (Ulbricht et al. 2007). Every pod comprises 10 to 20 oblong brown-greenish seeds with  
851 unique hoop-like grooves (Ulbricht et al. 2007). Seed shape-size, number of seeds in a pod, pods and plant  
852 height varies from one fenugreek species to another (Fig 2.12C) (Ulbricht et al. 2007).



853

854 **Figure 2.12 *Trigonella foenum-graecum* (A) leaves, (B) pods and (C) seeds used for medicinal**  
855 **purposes (<http://www.punmiris.com/himg/o.12961.jpg>)**

856

### 857 **2.5.2 Fenugreek Seeds**

858 Fenugreek seeds are known to be powerfully aromatic and pungent. These seeds are common spices  
859 widely used for both their culinary and medicinal properties. The seeds are the most important and useful  
860 part of the plant. These seeds are hard, dark yellow in colour, small in size and have a four-faced stone  
861 like structure (Fig 2.13) (Yoshikawa et al. 1997). Naturally the seed is 3-6mm long, 2-5mm wide and  
862 2mm thick (Yoshikawa et al. 1997). The seeds are rich in phytonutrients, vitamins, minerals and soluble  
863 dietary fibre (Grant Reid and Derek Bewley 1979). Major fibre content in the plant comprises of non-  
864 starch polysaccharides (NSPs), such as, saponins, hemicellulose, mucilage, tannin, and pectin (Grant Reid  
865 and Derek Bewley 1979). These NSPs aid in reducing LDLc via inhibition of bile salt re-absorption;  
866 confers protection to the colon mucus membrane by binding to toxins present in food; as well as  
867 augments bowel movements (Grant Reid and Derek Bewley 1979, Fuller and Stephens 2015). The  
868 medicinal properties of the plant are attributed to the phytochemical compounds within the seed, such as,  
869 choline, diosgenin, gitogenin, neotigogens, trigonelline and yamogenin (Sauvaire et al. 1998). Likewise,  
870 this seed is an exceptional source of minerals like copper, calcium, iron, manganese, magnesium,  
871 potassium, selenium and zinc (Yoshikawa et al. 1997). Potassium is significant in controlling heart rate  
872 and blood pressure, and iron is essential for red blood cell production. Moreover the seed is rich in  
873 essential vitamins which are optimum for healthy living, such as, folic acid, niacin, pyridoxine, thiamin,  
874 riboflavin, vitamin A and C (Yoshikawa et al. 1997). In addition to these properties it has been recognised  
875 that 4-OH-Ile has facilitator action on insulin secretion (Sauvaire et al. 1998).



876

877

**Figure 2.13 Dark yellow, four-faced stone like structure of fenugreek seeds**

878

**([http://qafexporters.com/wp-content/uploads/2015/08/1280px-Methi\\_by\\_49264526.jpg](http://qafexporters.com/wp-content/uploads/2015/08/1280px-Methi_by_49264526.jpg))**

879

### 880 **2.5.3 Biologically Active Compound: 4-hydroxyisoleucine**

881

The composition of fenugreek consists of a large number of chemical components, such as, amino acids,

882

proteins, lipids and alkaloids, which include trigonelline, vitamins, minerals, galactomannan, fibre,

883

flavonoids, saponins and coumarin (Al-Jasass and Al-Jasser 2012). Active compounds of the plant

884

include soluble fibre, saponins, trigonelline, diosgenin and 4-OH-Ile. Moreover hypoglycaemic activity

885

has been primarily attributed to dietary fibre, saponin and 4-OH-Ile (Sauvaire et al. 1998). The natural

886

non-proteinogenic amino acid, 4-OH-Ile possesses biological insulinotropic activity (Khosla et al. 1995).

887

4-OH-Ile is a branched-chain amino acid, extracted from fenugreek seeds, with an absolute stereo

888

configuration of (2S, 3R, 4S) (Fig 2.14) (Fowden et al. 1973). 4-OH-Ile has been reported to function by

889

enhancing “glucose-induced release of insulin” (Broca et al. 1999). In comparison to other

890

pharmacological drugs used for T2D therapy (e.g. sulfonylureas), the insulin response facilitated by 4-

891

OH-Ile is dependent on glucose concentration (Fowden et al. 1973, Khosla et al. 1995). This response is

892

ineffective at 3-5mmol/l glucose, conversely 4-OH-Ile potentiates insulin secretion induced by

893

supranormal, 6.6 - 16.7mmol/l glucose (Broca et al. 2000). This property of 4-OH-Ile permits the

894

occurrence of undesirable side-effects such as, hypoglycaemia in T2D therapy (Korthikunta et al. 2015).

895

Glucose-induced insulin release is increased by 4-OH-Ile, in the range of 100µmol/l to 1mmol/l

896

(Korthikunta et al. 2015). In both rats and humans, this is mediated via a direct effect on isolated islets of

897

Langerhans. In addition, in isolated perfused rat pancreas treated with 4-OH-Ile, it was shown i) that

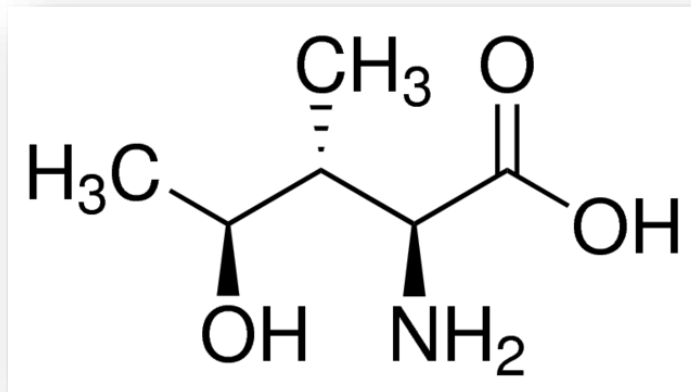
898

insulin secretion was biphasic, ii) this effect ensued in the absence of changes in pancreatic activity, and

899

iii) greater the glucose concentration, greater the response of insulin (Korthikunta et al. 2015). Moreover,

900 4-OH-Ile did not interact with other agonists of insulin secretion, such as leucine, arginine, tolbutamide,  
901 and glyceraldehyde (Korthikunta et al. 2015).



902  
903 **Figure 2.14 Chemical structure of 4-hydroxyisoleucine**  
904 ([http://www.sigmaaldrich.com/content/dam/sigmaaldrich/structure3/194/mfcd07357252.eps/\\_jcr\\_co](http://www.sigmaaldrich.com/content/dam/sigmaaldrich/structure3/194/mfcd07357252.eps/_jcr_content/renditions/mfcd07357252-large.png)  
905 [ntent/renditions/mfcd07357252-large.png](http://www.sigmaaldrich.com/content/dam/sigmaaldrich/structure3/194/mfcd07357252.eps/_jcr_content/renditions/mfcd07357252-large.png))  
906

#### 907 **2.5.4 Pharmacological Action of Fenugreek Seeds and 4-hydroxyisoleucine**

908 As previously mentioned, fenugreek possesses anti-diabetic and anti-lipidaemic effects. However, the  
909 particular mechanism of action remains unclear, as more work is required. The anti-diabetic property of  
910 fenugreek is owed to the formation of a colloidal-type suspension in the stomach and intestine (Yadav and  
911 Baquer 2014). Whereas the anti-lipidaemic effects of fenugreek is due to inhibited intestinal cholesterol  
912 absorption, improved loss of bile through faecal excretion, and effects of amino acid patterns on serum  
913 cholesterol (Yadav and Baquer 2014, Zarshenas et al. 2014). Fenugreek also comprises coumarins and  
914 additional constituents which affect platelet aggregation. Furthermore, this plant's components have also  
915 shown evidence of cardiotoxic, diuretic, anti-inflammatory, anti-hypertensive, and anti-viral properties  
916 (Zarshenas et al. 2014).

#### 917 918 **2.5.4.1 Evidence Based Studies on the Anti-diabetic Properties of Fenugreek**

919 The action of fenugreek on lowering blood glucose levels was said to be almost analogous to that of  
920 insulin, mimicking its effects (Baquer 2011). Baquer et al, 2011 and Ardekani et al, 2009 reported the  
921 unusual amino acid, to display *in vitro* insulinotropic activity and, anti-diabetic properties in animal  
922 models (Haeri et al. 2009). According to Ardekani et al, 2009, the amino acid is a useful and well-  
923 tolerated treatment for insulin resistance, as it serves as a hypoglycaemic and, a protective agent for  
924 hepatocytes. (Haeri et al. 2009). In addition, a meta-analysis on the effect of herbs on glucose homeostasis

925 in T2D patients, stated that HbA1c was significantly reduced in the group supplemented with fenugreek  
926 (Suksomboon et al. 2011). Furthermore, it was reported that ocular histopathological, and biochemical  
927 irregularities relevant to diabetic retinopathy, were controlled when using fenugreek, and sodium  
928 orthovanadate independently, or in low dose combination (Prabhakar and Doble 2011). Another review  
929 article by Assad and Morse, 2013 included three separate studies that assessed the effect of fenugreek in  
930 patients with DM (Assad 2013). The first study assessed the effect of fenugreek use in patients with T1D,  
931 and showed a significant reduction of fasting blood glucose, TGs, total cholesterol, VLDL, and LDL  
932 levels (Assad 2013). The second study assessed the effect of fenugreek usage (25g fenugreek  
933 powder/day) in patients with T2D, and showed that fasting blood glucose levels were decreased, and  
934 glucose tolerance was improved (Assad 2013). The third study showed fenugreek to improve glycaemic  
935 control, insulin sensitivity, and hypertriglyceridemia in newly diagnosed T2D patients (Assad 2013).

936

#### 937 **2.5.4.2 Evidence Based Studies on the Anti-lipidemic Properties of Fenugreek**

938 Anti-lipidemic properties were noted in the Hazra et al, 1996 trial on T1D; minor but statistically  
939 significant decreases were found in TG, and LDLc levels, but HDLc levels remained unchanged (Sharma  
940 et al. 1996). These results were based on a group of 15 non-obese, asymptomatic, hyperlipidaemic adults,  
941 who ingested 100g defatted fenugreek powder/day over 3 weeks (Sharma et al. 1996). In a later study, 60  
942 patient diets were supplemented with 25g powdered fenugreek seed/day for 24 weeks; lipid profiles were  
943 normalized by a decrease of 14-16% in LDLc and TG levels, and a 10% rise in HDLc (Sharma et al.  
944 1996). Likewise, Sowmya and Rajyalakshmi, 1999 observed substantial decreases in TG and, LDLc  
945 levels in 20 adults with hypercholesterolemia, who received 12.5-18g powdered, germinated fenugreek  
946 seeds for a month - but no changes were observed in HDLc, VLDL, or TG levels (Sowmya and  
947 Rajyalakshmi 1999). In another study, Sharma et al, 1990 also described a reduction in total cholesterol  
948 levels in 5 diabetic patients treated with fenugreek seed powder (25g orally/day) over 21 days  
949 (Neelakantan et al. 2014). Also, Bordia et al, 1997 investigated the effects of fenugreek seed powder  
950 (2.5g administered twice daily for 3 months) in a group of 40 individuals with coronary artery disease and  
951 T2D, and observed reductions in TG levels but no changes in HDLc levels (Bordia et al. 1997).

952

#### 953 **2.5.4.3 Evidence Based Studies on the Anti-diabetic and Anti-lipidemic Properties of 4-** 954 **hydroxyisoleucine**

955 The molecular mechanism of action of 4-OH-Ile has been displayed in *in vitro* studies. For example in rat  
956 muscle cells, glucose uptake and GLUT4 translocation to the plasma membrane was elevated following a  
957 16h exposure to 4-OH-Ile (Jaiswal et al. 2012). Another study treated L6 myotubes with 4-OH-Ile,  
958 resulted in reduced insulin resistance (Maurya et al. 2014). 4-OH-Ile displayed its inhibitory effect on

959 both the production of ROS, as well as, reduced activation of the JNK1/2 pathway. The anti-inflammatory  
960 potential of 4-OH-Ile on 3T3-L1 adipocytes was confirmed by an increase in glucose uptake accompanied  
961 with reduced *TNF- $\alpha$*  mRNA expression and secretion (Yu et al. 2013). As previously mentioned, the anti-  
962 diabetic properties of 4-OH-Ile are associated with its capability to stimulate insulin. This was observed in  
963 both diabetic dogs and rats which displayed an improvement in glucose and insulin tolerance, and reduced  
964 hyperglycaemia (Broca et al. 1999). The secretagogue potential of 4-OH-Ile is of particular interest, with  
965 regards to insulin resistance. In STZ-treated rats the stimulating effect of 4-OH-Ile resulted in an  
966 improved diabetic state (Broca et al. 2004). Also, in normal dogs and rats, 4-OH-Ile improved insulin  
967 secretion, and glucose tolerance (Broca et al. 1999). During a diabetic state, reversal of defective insulin  
968 secretion is highly desirable, as well as, improving insulin sensitivity in both peripheral and hepatic  
969 tissues. The insulin-sensitising efficacy of 4-OH-Ile was confirmed in two rat models. These models used  
970 the hyperinsulinaemic clamp method, which improved insulin sensitivity, and reduced hepatic glucose  
971 output in sucrose and lipid-fed rats (Broca et al. 2004). Alloxan-induced diabetic rats treated with  
972 fenugreek seed powder containing 28% 4-OH-Ile, displayed improvements in blood glucose level, and  
973 body weight, when compared to the diabetic control (Khosla et al. 1995). A study by Narender et al, 2006  
974 observed reduced plasma TGs, total cholesterol (TC), and FFAs, complemented with a 39% rise in HDLc,  
975 in dyslipidaemic hamsters (Narender et al. 2006). Haeri et al, 2009 investigated the effect of 4-OH-Ile  
976 (50mg/kg for 8 weeks) on fructose-fed and STZ-induced diabetic rats (Haeri et al. 2009). These rats  
977 displayed improved liver function markers, decreased blood glucose and restoration of blood lipid levels  
978 (Haeri et al. 2009). The glucose-dependent and insulin-sensitising potential of 4-OH-Ile has been  
979 observed via its effects on pancreatic islets, liver, muscle and adipose tissue. These effects, culminated  
980 with the lack of acute toxicity, suggests that this compound, serves as a prospective natural therapeutic  
981 intervention in obesity and insulin resistance.

982

#### 983 **2.5.4.4 Evidence Based Studies on the Antioxidant Properties of Fenugreek Seed and 4-** 984 **hydroxyisoleucine**

985 Studies have reported the AO effect of fenugreek in attenuating ROS levels. Mohamad *et al*, 2004  
986 observed *SOD2* and related liver enzyme levels, comparable to the diabetic group of fenugreek seed  
987 treated rats (Mohamad et al. 2004). Ravikumar and Anuradha, 1999 showed supplementation of diabetic  
988 animal diets with fenugreek seed, interrupted free radical metabolism (Ravikumar and Anuradha 1999).  
989 In a second study, they also showed that treatment with fenugreek seed powder improved AO status, via  
990 reduction in peroxidation (Anuradha and Ravikumar 2001). Another study, investigated the AO activities  
991 of various extracts of fenugreek seeds in cholesterol-fed rats, and observed a reduction in TBARS, and  
992 increase in catalase and *SOD2* gene expression (Belguith-Hadriche et al. 2010). Additionally Dixit et al,

993 2005 revealed significant AO potential of germinated fenugreek seeds, which is due to the presence of  
994 flavonoids and polyphenols (Dixit et al. 2005). Kandhare et al, 2015 observed standardized fenugreek  
995 seed extract to execute anti-fibrotic efficacy, via stimulation of Nrf2, which in turn controls anti-  
996 inflammatory and fibrogenic molecules (Kandhare et al. 2015). The bioactive component of fenugreek  
997 seeds, 4-OH-Ile, independently possesses AO activity as shown by Dutta et al, 2014. This study proved  
998 that 4-OH-Ile scavenges hydroxyl, superoxide anion, hydrogen peroxide and DPPH radicals, decreased  
999 lipid peroxidation and protein carbonyl levels, and concomitantly increased GSH levels (Dutta et al.  
1000 2014). An important study, by Mayakrishnan et al, 2015 found both trigonelline and diosgenin to display  
1001 protective effects via a substantial decrease in liver TGs, expression of liver ER stress marker proteins,  
1002 and rise in liver glycogen content (Mayakrishnan et al. 2015). Other findings, based on other organ  
1003 systems also validates the potent AO potential of fenugreek seeds (Kaviarasan et al. 2004, Gupta et al.  
1004 2010).

1005

#### 1006 **2.5.5 Adverse Effects and Safety**

1007 Fenugreek has been considered non-toxic and well-tolerated, with minimal side effects has been related  
1008 with its usage (Wani and Kumar , Muraki et al. 2011). Fenugreek should not be taken during pregnancy  
1009 and lactation and/or individuals with liver or kidney impairment should be avoided, due to inadequate  
1010 data suggesting usage (Neelakantan et al. 2014). Other reported side effects include dizziness, flatulence,  
1011 hypoglycaemia, transient diarrhoea and maple syrup urine, and decrease in blood urea (Vijayakumar et al.  
1012 2005, Neelakantan et al. 2014). Moreover, fenugreek has been documented to cause allergic reactions  
1013 such as hoarseness, facial angioedema, nasal congestion, persistent coughing, shock and wheezing  
1014 (Neelakantan et al. 2014). Continual chronic use of fenugreek should be avoided to prevent adverse  
1015 reactions or toxicities, due to long-term data being unavailable (Vijayakumar et al. 2005, Neelakantan et  
1016 al. 2014). Most importantly hypoglycaemia is an expected effect, thus care should be taken following  
1017 fenugreek supplementation (Neelakantan et al. 2014).

1018

1019 Despite these documented adverse effects and warnings, there has been reports on the tolerability and  
1020 outcome of fenugreek treatment. No clinical hepatic and/or renal toxicity and haematological  
1021 irregularities were observed in a group of diabetic individuals, who were administered 25g/day of  
1022 fenugreek for 24 weeks (Sharma et al. 1996). It was also shown in an animal study that fenugreek failed  
1023 to induce signs of toxicity or mortality (Muralidhara et al. 1999). However, the data generated to date is  
1024 minimal regarding the adverse effects and safety, and requires well-designed clinical trials to assess the  
1025 outcome of fenugreek on insulin resistance and secretion, and cholesterol metabolism (Basch et al. 2003).

1026



1027 **2.5.6 Drug Interactions**

1028 The administration of fenugreek in combination with other drugs could pose potential negative  
1029 interactions, affecting their activity. Fenugreek powder is abundant in fibre and could hinder the  
1030 absorption of oral medication (Wani and Kumar). Furthermore, simultaneous use of the plant with other  
1031 hypoglycaemic agents, such as insulin and MF may result in hypoglycaemic episodes (Wani and Kumar ,  
1032 Neelakantan et al. 2014). Also, fenugreek can impede the activity of corticosteroids, warfarin, insulin and  
1033 hormone therapy, due to the high content of mucilaginous fibre in the plant, and viscosity in the gut  
1034 (Neelakantan et al. 2014) (Muralidhara et al. 1999). Coumarins found in fenugreek have anti-platelet  
1035 effects (Neelakantan et al. 2014); thus simultaneous use of anti-platelet or anti-coagulant drugs (aspirin,  
1036 clopidrogel, non-steroidal anti-inflammatory drugs, such as ibuprofen, diclofenac, naproxen, heparin,  
1037 dalteparin and enoxaparin) may elevate the risk of bruising and bleeding (Neelakantan et al. 2014).  
1038 Therefore immense care should be taken upon administration of fenugreek in combination with other  
1039 drugs.

1040

1041 **2.6 Research Problem and Significance**

1042 T2D continues to negatively impact socio-economic development in multiple communities, despite efforts  
1043 to control the disorder (WHO 2016). The incidence of T2D in different communities is a well-known  
1044 phenomenon but under-diagnosed and poorly managed. Both self-care and anti-diabetic drugs have been  
1045 widely employed as the priority therapy in treating T2D. However, the lack in compliance to self-care  
1046 requirements, and unwanted side effects accompanying MF pose a potential problem (Rios et al. 2015).  
1047 When strictly adhered to, self-care regimens efficiently maintain basal glucose levels. However, due to  
1048 excessive calorie consumption, and sedentary lifestyle self-care regimens have become redundant. This  
1049 failure in compliance, leads to the use of anti-diabetic drugs, such as MF which achieve basal glucose  
1050 levels. Upon achieving this goal, unwanted side effects and complications make the use of this drug  
1051 undesirable. Therefore, posing the requirement for new anti-diabetic therapy as T2D is a threat to public  
1052 health especially in poor communities.

1053

1054 Long-term exposure to chronic hyperglycaemia due to insulin resistance plays an active role in elevating  
1055 FFAs and ROS production. The elevated levels of FFAs contributes to an abnormal lipid profile,  
1056 predisposing individuals to dyslipidaemia. Furthermore, an over-production in ROS surpasses the  
1057 endogenous AO response and detoxification system, resulting in a highly toxic oxidative state. Both  
1058 dyslipidaemia and elevated OS are major risk factors for the onset and progression of CVD, and  
1059 microvascular and macrovascular complications. Therefore, uncontrolled glucose levels either due to lack  
1060 of self-care or unwanted side effects of MF, pose severe health risks for T2D individuals.

1061 Consequently, there is a need for developing high quality treatment alternatives for chronic  
1062 hyperglycaemia, to improve the long-term outcomes of T2D. Over decades plants have been used for  
1063 treatment of several disorders, and several bioactive compounds isolated from these plants produced  
1064 effective drugs (Rios et al. 2015). Moreover, natural therapy is currently a significant source for the  
1065 development of new treatments (Rios et al. 2015). Natural therapy possesses chemical diversity, which  
1066 aids an array of biological functions, posing plants as an important source for new treatment opportunities  
1067 (Rios et al. 2015). Fenugreek has been traditionally used to treat an array of illnesses and disease, many of  
1068 which has been documented (Basch et al. 2003). In addition, studies have validated the proposed  
1069 traditional usage and therapeutic outcomes of both 4-OH-Ile and fenugreek seed (Neelakantan et al. 2014,  
1070 Fuller and Stephens 2015, Gong et al. 2016). However, there is minimal evidence with regards to 4-OH-  
1071 Ile and the seed; and specific genes, proteins, and related factors in managing and controlling glucose and  
1072 its long-term effects. This indicates the need for research on the molecular and biochemical aspects of 4-  
1073 OH-Ile and fenugreek seed in regulating hyperglycaemia and subsequent downstream effects.

1074  
1075 Consequently, we hypothesized that 4-OH-Ile in comparison to MF, and FSE increased biochemical and  
1076 molecular responses following chronic exposure to hyperglycaemia in human hepatoma (HepG2) cells  
1077 and C57BL/6 male mice. In order to test our hypothesis we measured anti-diabetic effects of 4-OH-Ile in  
1078 comparison to MF, and FSE relative to insulin signalling, lipid metabolism and OS, during normal and  
1079 hyperglycaemic conditions in HepG2 cells and C57BL/6 male mice.

1080

## 1081 **2.7 Research Aims, Objectives and Hypothesis**

### 1082 **2.7.1 Research Aim**

1083 This study investigated the anti-hyperglycaemic, anti-lipidaemic and anti-oxidative effects of 4-OH-Ile in  
1084 hyperglycaemic HepG2 cells and C57BL/6 male mice.

1085

### 1086 **2.7.2 Research Objectives**

1087 To determine the effect of 4-OH-Ile in comparison to MF, and FSE in hyperglycaemic HepG2 cells and  
1088 C57BL/6 male mice:

- 1089 1. On a broad class of proteins and genes regulating insulin signalling and glucose sensing.
- 1090 2. On PCSK9, PPARG, and the lipid profile relative to lipid metabolism.
- 1091 3. On oxidative markers, AO response and mitochondrial proteins relative to OS.

1092

1093 **2.7.3 Research Hypotheses**

1094 During hyperglycaemia, 4-OH-Ile regulates insulin signalling and glucose sensing, dyslipidaemia and OS,  
1095 in comparison to MF, and FSE.

1096

1097 **2.8 References**

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1530 Chapters 3, 4 and 5 comprise the three manuscripts which have been submitted to journals. Each  
1531 manuscript has been formatted as per the specific journal requirements. However for thesis consistency  
1532 the margins, font, line spacing, numbering of sections and figures were adjusted.

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### CHAPTER 3

1563  
1564 4-hydroxyisoleucine enhances glucose sensing and insulin receptor signaling, in streptozotocin-induced  
1565 diabetic C57BL/6 male mice

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1597 **Abstract**

1598 Type two diabetes mellitus (T2D) is a metabolic disorder characterized by chronic hyperglycaemia and  
1599 compromised insulin receptor (IR) signaling ultimately contributing to insulin resistance. Previous studies  
1600 showed beneficial glucose lowering effects of a novel amino acid, 4-hydroxyisoleucine (4-OH-Ile) found  
1601 abundantly in fenugreek seeds, displaying potent anti-diabetic activity. Based on our previous in vitro  
1602 study, we investigated the effect of 4-OH-Ile and metformin (MF), on hepatic IR signaling in  
1603 normoglycaemic (NG) and streptozotocin-induced diabetic (hyperglycaemic –HG) C57BL/6 male mice.  
1604 Mice (both normoglycaemic and hyperglycaemic) were treated via oral administration with 4-OH-Ile and  
1605 MF for 15 days. The oral glucose tolerance test was performed to evaluate glucose sensing ability. 4-OH-  
1606 Ile enhanced glucose utilization, exceeding the effects of MF, under both conditions. We investigated  
1607 protein and gene expression of IR $\beta$ , glucose transporter2 (GLUT2), phosphorylated - IR substrate1  
1608 (pIRS1); protein kinase B (pAkt) and glycogen synthase kinase3 $\alpha/\beta$  (pGSK3 $\alpha/\beta$ ). 4-OH-Ile induced the  
1609 most significant effect on the insulin signaling cascade with increased protein and gene expression of IR $\beta$ ,  
1610 pIRS1, pAkt, pGSK3 $\alpha/\beta$ , and GLUT2, under both conditions. The glucose-lowering effect of 4-OH-Ile  
1611 exceeds that of MF by stimulation of the hepatic insulin signaling pathway in mice.

1612

1613 Keywords: Hyperglycaemia/ insulin signaling/ insulin resistance/ C57BL/6 male mice

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1631 **Introduction**

1632 Hepatic insulin signalling is central to metabolic processes including glycogen synthesis, glycogen  
1633 storage, recruitment of glucose transporters and lipid synthesis [1]. Autophosphorylation of the insulin  
1634 receptor (IR) by insulin recruits and activates intracellular down-stream signalling molecules, leading to  
1635 glucose uptake and subsequent biological effects. Conversely, defective insulin signalling, defined as  
1636 insulin resistance results in post-prandial hyperglycaemia, dysregulated glucose utilization and lipid  
1637 metabolism [1]. During insulin resistance, there is conventional binding of insulin to the IR but signal  
1638 transduction is impaired [2]. The integral role of this signalling cascade in glucose homeostasis leads to a  
1639 disorder classified by chronic hyperglycaemia - type 2 diabetes mellitus (T2D).

1640  
1641 Presently, the treatment of T2D principally involves a sustained reduction in hyperglycaemia using agents  
1642 that increase insulin secretion in the pancreas, stimulate the sensitivity of the liver to insulin and decrease  
1643 glucose absorption rate in the gastrointestinal tract (GIT) [1, 2, 3]. The commonly used first-line drug for  
1644 treatment of T2D is metformin (MF) which belongs to the sensitizer group of drugs. This oral  
1645 hypoglycaemic agent decreases hepatic glucose output and improves tissue sensitivity to insulin [4].  
1646 Although highly effective, some minor side effects have been recorded with chronic use; there is still an  
1647 increasing demand for new compounds or therapeutic alternatives, with less or no side effects for the  
1648 treatment of T2D.

1649  
1650 Alternative therapeutic interventions have become a focus for the treatment for T2D. Alternatives such as  
1651 herbal medicines have gained attention for anti-diabetic potential [15, 16]. *Trigonella foenum-graecum*,  
1652 commonly known as fenugreek, has been investigated as a therapeutic intervention in diabetes [16-18].  
1653 Cell culture, animal model and meta-analysis studies by Khosla et al, 1995, Vijayakumar et al, 2005 and  
1654 Neelakantan et al, 2014 established the glucose lowering properties of fenugreek seeds [18, 19, 20]. The  
1655 anti-diabetic effect of fenugreek seeds is largely attributed to a high content of the branched-chain amino  
1656 acid derivative, 4-OH-Ile, which comprises about 80% free amino acid content within the seed [21].  
1657 Animal studies demonstrated 4-OH-Ile to possess an insulintrophic effect; functions as an insulin  
1658 secretagogue only at elevated blood glucose levels and stimulates the insulin signalling pathway [17, 21,  
1659 22], making 4-OH-Ile a strong candidate as an antidiabetic compound. Most importantly, Vijayakumar  
1660 and his group observed the hypoglycaemic effect of FSE in vivo, and concluded the effect to be mediated  
1661 by the activation of an insulin signalling pathway in adipocytes and liver cells [19]. Our previous in vitro  
1662 study using HepG2 human liver cells as a model was the first to show that FSE and its biologically active  
1663 compound 4-OH-Ile, in comparison to MF, significantly influenced the insulin signalling pathway and  
1664 subsequently increased hepatic glucose uptake [13]. The objective of the present in vivo study was

1665 therefore to investigate the effect of 4-OH-Ile in comparison to MF, on glucose sensing, IR $\beta$ -IRS1 and  
1666 Akt signalling in normal and streptozotocin (STZ)-induced diabetic C57Bl/6 male mice.

1667

## 1668 **Materials and methods**

### 1669 **Materials**

1670 4-OH-Ile (50118) and STZ (S0130) were purchased from Sigma Aldrich (St Louis, MO, USA). Whole  
1671 fenugreek seeds were purchased from Agricol Niche Brands, a South African seed company. A herbarium  
1672 voucher of flowering material was lodged at the Ward Herbarium (UDW-UKZN; N.Naicker 1). All other  
1673 consumables were purchased from Merck (Darmstadt, Germany), unless otherwise stated.

1674

### 1675 **Animals**

1676 Six-week-old male C57BL/6 mice (n=40) were procured from the Biomedical Resource Unit at the  
1677 Westville Campus of the University of KwaZulu-Natal (UKZN), Durban, South Africa. Mice with a mean  
1678 body weight (BW) of  $20 \pm 2.99$ g were randomly divided into 2 groups: non-diabetic (normoglycaemic)  
1679 and diabetic (hyperglycaemic-HG). Each group were further subdivided into 4 groups of 5 mice each as  
1680 follows: Control (C), MF, FSE and 4-OH-Ile. Mice were housed in polycarbonated cages in a humidity  
1681 and temperature controlled room (40-60% humidity,  $23 \pm 1^\circ\text{C}$ ) with a 12 hour (hr) light dark cycle. The  
1682 mice were fed a commercially available pellet diet and normal drinking water ad libitum throughout the  
1683 15 day experimental period. The mice were maintained according to the rules and regulations of the  
1684 Experimental Animal Ethics Committee of the UKZN (Ethical approval number: AREC/057/016).

1685

### 1686 **Induction of diabetes**

1687 Diabetes mellitus is a disease characterized by a relative or absolute lack of insulin resulting in  
1688 hyperglycaemia. Type 2 diabetes mellitus is associated with insulin resistance, as well as a lack of  
1689 appropriate compensation by beta cells, causes insulin deficiency [63-66]. Type 2 diabetes mellitus is  
1690 established in both non-obese and obese animal models with varying degrees of insulin resistance and  
1691 beta cell failure. The mice model should possess characteristics which emulate the pathophysiology and  
1692 complications of T2D comparable to the human condition [63-66]. Streptozotocin is a chemical that is  
1693 principally toxic to the insulin-producing  $\beta$  cells of the pancreas in mammals [23, 61]. Streptozotocin  
1694 offers the additional benefit of being able to select specific traits of interest with regards to disease  
1695 specific conditions [24]. In relation to T2D, STZ allows for the replication of the metabolic characteristics  
1696 and disturbances observed in this disease [25]. Administration of multiple low doses or a low single dose  
1697 of STZ damages pancreatic  $\beta$  cells through alkylation of DNA - by causing partial destruction (and not  
1698 total knockout) of these cells, resulting in hyperglycaemia [23, 26]. Therefore displaying the



1699 pathophysiological characteristics of T2D which include inadequate  $\beta$ -cell mass and  $\beta$ -cell dysfunction.  
1700 As a result T2D was induced in all mice in the diabetic group (HG group) by an intraperitoneal (i.p.)  
1701 administration of STZ (50mg/kg BW) dissolved in 0.1M citrate buffer (pH 4.4) following an overnight  
1702 fast (12hr) [27, 28]. The optimal dosage of 50mg/kg was determined by a pilot study which included a  
1703 range of STZ concentrations (50mg/kg, 100mg/kg and 150mg/kg BW). The blood was collected from the  
1704 tail vein, using a glucometer (Accu-Chek) to monitor and measure the fasting blood glucose (FBG) over a  
1705 10 day period prior to administration of treatment. Once a blood glucose of  $>7\text{mmol/L}$  and  $<16\text{mmol/L}$   
1706 was achieved and stable, the treatment period was inducted.

1707

#### 1708 **Treatment preparation of stock solutions**

1709 Whole fenugreek seeds were crushed using a mortar and pestle, suspended in deionized water (1000  
1710 mg/mL), and placed on a stirrer at room temperature (RT) for 3Hr. The aqueous solution was transferred  
1711 to a sterile conical tube and centrifuged (3600xg for 10min) at RT. Supernatant (FSE) was removed,  
1712 freeze-dried, and stored at  $-20^{\circ}\text{C}$ . Metformin tablets were also crushed with a mortar and pestle and  
1713 suspended in 0.1M phosphate-buffered saline (PBS) (50mg/mL). The solution was then filter sterilized  
1714 (0.45-mm filter). 4-hydroxyisoleucine was obtained in a liquid form, and treatments were prepared from a  
1715 stock solution (as per manufacture instructions). Subsequent treatments were prepared in 0.1M phosphate  
1716 buffer solution (PBS) solution for in vivo.

1717

#### 1718 **Treatment preparations**

1719 Preparation of treatments were guided by the protocol Naicker et al, 2016 [13]. The concentration of 4-  
1720 OH-Ile (100mg/kg BW), MF (20mg/kg BW), FSE (100mg/kg BW) and were based on previous animal  
1721 studies which evaluated a range of concentrations and reported the outcomes of the range which we based  
1722 our optimal concentration [17, 29-32]. Mice were treated once daily for the 15 day treatment period via  
1723 oral gavage.

1724

#### 1725 **Oral glucose tolerance test (OGTT)**

1726 Glucose tolerance ability was measured by the OGTT performed on day 15 (last experimental day) of the  
1727 experimental period. After an overnight fast (12hr), mice in NG and HG groups were orally dosed with a  
1728 D-glucose solution (2.0g/kg BW). The blood glucose concentrations were subsequently measured at 0  
1729 (prior to oral glucose dosing), 30, 60, 90, and 120 min after the oral dosing of glucose. In order to give a  
1730 clear quantitative indication of glucose intolerance in the different animal groups, area under the curve  
1731 (AUC) values were calculated using the formula below (Supplementary data, table 1, 2) [33]:

1732  $\text{AUC} = [(B2 + B1) / 2] \times (A2 - A1)$

1733 B1 and B2 = Initial and final blood glucose values (mg/dl) at a given time period respectively

1734 A1 and A2 = Initial and final time periods (min) respectively

1735 Where:  $(A2 - A1) = (30 - 0), (60 - 30), (90 - 60)$  and  $(120 - 90)$

1736  $(B2 + B1)$  = Blood glucose values at the above mentioned time periods

1737

### 1738 **Animals post treatment**

1739 At the end of the treatment period, the mice were sacrificed using isoflurane. Fasting plasma samples  
1740 were obtained from the body of the mice; blood samples were collected using anticoagulant EDTA tubes.

1741 The blood glucose levels were measured at an accredited laboratory (AMPATH). Liver samples were  
1742 harvested, rinse twice in saline, dissected and stored in cytobuster and triazol at  $-80^{\circ}\text{C}$  until analysis.

1743

### 1744 **Western blotting**

1745 Western blots were performed to quantify expression of phosphorylated (p-) and total proteins in the  
1746 insulin signaling pathway. These include phosphorylated and total; IRS1 (pIRS1/IRS1), Akt (pAkt/Akt),  
1747 GSK3 $\alpha/\beta$  (pGSK 3 $\alpha/\beta$ /pGSK 3 $\alpha/\beta$ ) and total insulin receptor  $\beta$  (IR $\beta$ ) and GLUT2. Crude protein was  
1748 isolated using Cytobuster (Novagen) supplemented with protease and phosphate inhibitors (Roche:  
1749 04693124001 and 04906837001). Liver samples were homogenized and incubated in cell lysis buffer for  
1750 10 min and then centrifuged ( $4^{\circ}\text{C}$ , 12,000g). Crude protein was quantified by the bicinchoninic acid  
1751 (BCA) assay and standardized to 1mg/mL. Samples were boiled in Laemmli buffer [ $\text{dH}_2\text{O}$ , 0.5M Tris–  
1752 HCl (pH 6.8), glycerol, 10% SDS,  $\beta$ -mercaptoethanol, and 1% bromophenol blue] for 5 min. Samples  
1753 were electrophoresed on a sodium dodecyl sulphate polyacrylamide gel (4% stacking and 10% resolving)  
1754 for 1hr at 150V and transferred on to nitrocellulose using the TransBlot Turbo Blotting System (BioRad)  
1755 using a preinstalled Standard SD program. All membranes were blocked for 2hr in 3% BSA in Tween20–  
1756 Tris-buffered saline (TTBS - 0.15M NaCl, 2.68M KCl, 24. 86M Tris, 500 $\mu\text{l}$  Tween20, pH 7.4) at RT on a  
1757 shaker. Thereafter, the membranes were incubated with primary antibody [pIRS1 (CS2381), anti-IR $\beta$   
1758 (CS3050), anti-GLUT2 (AB54460), pAkt (CS9271) and pGSK3 $\alpha/\beta$  (CS9331); 1:5000] at  $4^{\circ}\text{C}$  overnight.  
1759 The membranes were then equilibrated to RT on a shaker for 1hr, followed by 5 washes (10min) with  
1760 TTBS. Membranes were then probed with horseradish peroxidase conjugated-secondary antibody [anti-  
1761 rabbit 1:10000 (CS7074)] for 1hr, followed by 5 washes (10min) with TTBS. Chemiluminescent signal  
1762 was detected using ECL Clarity Western detection reagent (BioRad) and captured on the BioRad  
1763 ChemiDoc Viewing System. Data were expressed as relative band density (RBD) and expression of  
1764 proteins was analyzed with the BioRad ChemiDoc MP Imaging System with Image Lab software.  
1765 Membranes were quenched (5%  $\text{H}_2\text{O}_2$  at  $37^{\circ}\text{C}$  for 30min) and proteins were normalized against anti-  
1766 IRS1 (CS2382), anti-Akt (CS9331), anti-GSK3 $\alpha/\beta$  (cs9315) and  $\beta$ -actin (A5316), 1:1000.

1767 **Quantitative PCR**

1768 The RNA expression of genes of interest was determined by qPCR. These included IR $\beta$ , IRS1, Akt,  
1769 GSK3 $\alpha/\beta$ , GS, GK, GLUT2 and SREBP1c. Total RNA was isolated using an in-house protocol [34].  
1770 RNA was quantified using a spectrophotometer (Nanodrop2000) and standardized to 1,000ng/ $\mu$ l.  
1771 Standardized RNA was reverse transcribed to complementary DNA (cDNA) using the iScript<sup>TM</sup> cDNA  
1772 Synthesis kit (Bio-Rad; 107-8890) as per the manufacturer's instruction. A reaction volume of 10 $\mu$ l was  
1773 prepared consisting of 5X IQ<sup>TM</sup> SYBR<sup>®</sup> green supermix (Bio-Rad; 170-880), nuclease free water, 1 $\mu$ l  
1774 cDNA template (1,000ng/ml), and 1 $\mu$ l sense and anti-sense primer (25 $\mu$ M primer stock, Inqaba  
1775 Biotec<sup>TM</sup>). The mRNA expression was normalized against a housekeeping gene (18S). Thermocycler  
1776 conditions were carried out using the CFX96 Touch<sup>TM</sup> Real-Time PCR Detection System (Bio-Rad,  
1777 Hercules, CA) as follows: initial denaturation (95 $^{\circ}$ C, 10min), 40 cycles of denaturation [95 $^{\circ}$ C, 15seconds  
1778 (s)], annealing and extension (72 $^{\circ}$ C, 30s). mRNA expression was determined using the method described  
1779 by Livak and Schmittgen, 2001 to calculate relative fold change [35]. Primer sequences and annealing  
1780 temperatures are shown supplementary data, table 3.

1781

1782 **Measurement of glucose concentration**

1783 Measurement of glucose concentration was performed by an accredited pathology laboratory AMPATH  
1784 Laboratories, Durban, South Africa (Incorporated Pathology Laboratory practices of: Drs Du Buisson,  
1785 Kramer, Swart, Bouwer Inc).

1786

1787 **Liquid chromatography–mass spectrometry (LC-MS)**

1788 Liquid chromatography–mass spectrometry (LC-MS) is an analytic chemistry technique which combines  
1789 the physical separation capabilities of liquid chromatography (or HPLC) with the mass analysis  
1790 capabilities of mass spectrometry (MS). 1mg of crude FSE was weighed and dissolved in 10ml MeOH.  
1791 Each sample was vortexed and sonicated to allow for complete dissolution. Then the extract was  
1792 subjected to solid phase extraction (SPE), using a Supelco C18 100mg solid phase cartridge. The extract  
1793 was eluted with MeOH. A 1:100 dilution of the sample was prepared using MeOH and injected into the  
1794 LC-MS. The instrumentation used was the Shimadzu 202 UFLC-MS, mobile A: 0.1% FA in H<sub>2</sub>O and  
1795 mobile phase B: 0.1% FA in CAN. Separation was achieved using a YMC Triart C18 analytical column  
1796 (4.6mm x 150mm), using a gradient elution method from 5% B to 95 B over 25min. Data was collected at  
1797 265 nm and analyzed using the Shimadzu Lab Solutions software (Supplementary data Fig 1, 2, 3 and  
1798 table 4).

1799

1800

1801 **Statistical analyses**

1802 Statistical analyses was performed using GraphPad Prism v5.0 software (GraphPad Software, Inc.). The  
1803 data was expressed as relative fold change to respective controls in the qPCR and western blotting  
1804 experiments. With regards to western blotting, the phosphorylated proteins were normalized against the  
1805 total protein. Followed by the total protein against actin. The data was expressed as the mean + SD for the  
1806 OGTT and glucose measurements. Following each experiment in vitro and in vivo, 4-OH-Ile was  
1807 compared to MF and the untreated control. We then analyzed FSE in comparison to the untreated control.  
1808 Statistical comparisons for 4-OH-Ile, MF and the untreated control were made using a one-way analysis  
1809 of variance (ANOVA), nonparametric test (Kruskal Wallis test) and a Dunn's post-test; and a non-  
1810 parametric Mann-Whitney test was used for FSE and the untreated control. The data were considered  
1811 statistically significant with a value of  $p < 0.05$ .

1812  
1813 **Results**

1814 **4-hydroxyisoleucine reduces excess blood glucose levels**

1815 Glucose utilization of male C57BL/6 mice were assessed by an OGTT and quantification of circulating  
1816 glucose levels. Treated mice under both NG ( $p=0.0154$ ; Fig 1 a) and HG ( $p=0.0245$ ; Fig 1 b) conditions  
1817 efficiently utilized glucose, compared to their respective control groups. Two hours after the glucose  
1818 administration, the blood glucose levels of the HG group were significantly higher than the NG group  
1819 ( $p<0.005$ ). More importantly, under a HG state, the excess blood glucose was reduced following  
1820 treatment with 4-OH-Ile ( $p=0.0021$ ; Fig.1 d). Under NG conditions ( $p=0.00231$ ) the blood glucose levels  
1821 of MF and 4-OH-Ile were significantly lower than the NC ( $p<0.005$ ; Fig.1 c).

1822  
1823 **Table 1** Area under the curve (AUC) values for the OGTT at day 15 of experimental period for  
1824 normoglycaemic group

Area under curve (AUC)	Control	FSE	MET	4-OH-Ile
AUC 1 (30-0 min)	217.5 ± 50*	199.5 ± 21 <sup>#</sup>	192 ± 47 <sup>+</sup>	183 ± 24 <sup>+</sup>
AUC 2 (60-30 min)	222 ± 34*	202.5 ± 72 <sup>#</sup>	190.5 ± 24 <sup>+</sup>	187.5 ± 48 <sup>+</sup>
AUC 3 (90-30 min)	223.5 ± 61*	201 ± 45 <sup>#</sup>	192.45 ± 13 <sup>+</sup>	185.25 ± 23 <sup>+</sup>
AUC 4 (120-90 min)	221.25 ± 19*	207 ± 35 <sup>#</sup>	190.65 ± 175 <sup>+</sup>	184.5 ± 25 <sup>+</sup>
<b>Total AUC</b>	<b>884.25 ± 124</b>	<b>810 ± 264</b>	<b>765.6 ± 119</b>	<b>740.25 ± 249</b>

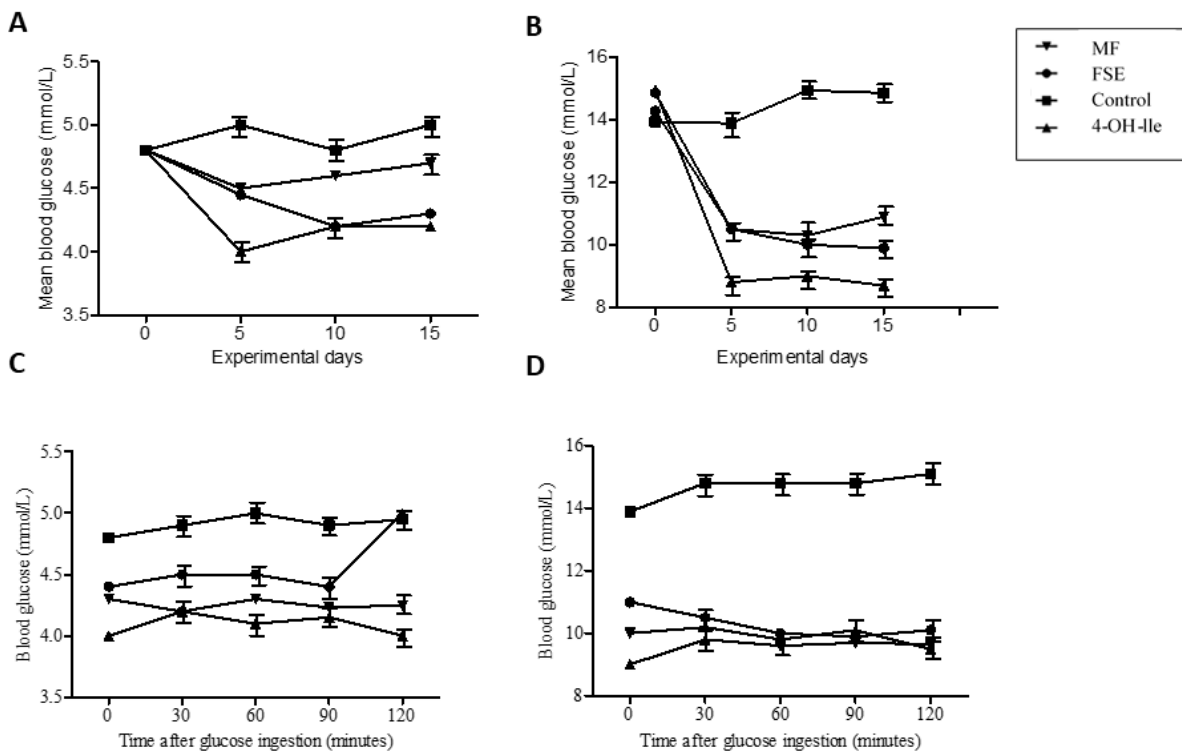
1825 Data are shown as mean ± SD of 5 animals; \*, #, + Values with different superscript letters within a row are significantly different  
1826 from each group of animals (Tukey's multiple range posthoc test,  $p < 0.05$ ). FSE: fenugreek seed extract, MET: Metformin, 4-  
1827 OH-Ile: 4-hydroxyisoleucine

1828

1829 **Table 2** Area under the curve (AUC) values for the OGTT at day 15 of experimental period for  
 1830 hyperglycaemic group

Area under curve (AUC)	Control	FSE	MET	4-OH-Ile
AUC 1 (30-0 min)	498 ± 29*	484.5 ± 17 <sup>#</sup>	447 ± 33 <sup>+</sup>	423 ± 17 <sup>+</sup>
AUC 2 (60-30 min)	508.5 ± 54*	465 ± 89 <sup>#</sup>	438 ± 55 <sup>+</sup>	453 ± 18 <sup>+</sup>
AUC 3 (90-30 min)	519 ± 45*	448.5 ± 16 <sup>#</sup>	433.5 ± 12 <sup>+</sup>	445.5 ± 19 <sup>+</sup>
AUC 4 (120-90 min)	519 ± 34*	448.5 ± 10 <sup>#</sup>	435.75 ± 11 <sup>+</sup>	445.5 ± 15 <sup>+</sup>
<b>Total AUC</b>	<b>2044.5 ± 163*</b>	<b>1846.5 ± 257<sup>#</sup></b>	<b>1754.25 ± 175<sup>+</sup></b>	<b>1767 ± 138</b>

1831 Data are shown as mean ± SD of 5 animals; \*,<sup>#</sup>,<sup>+</sup> Values with different superscript letters within a row are significantly different  
 1832 from each group of animals (Tukey's multiple range posthoc test, p < 0.05). FSE: fenugreek seed extract, MET: Metformin, 4-  
 1833 OH-Ile: 4-hydroxyisoleucine



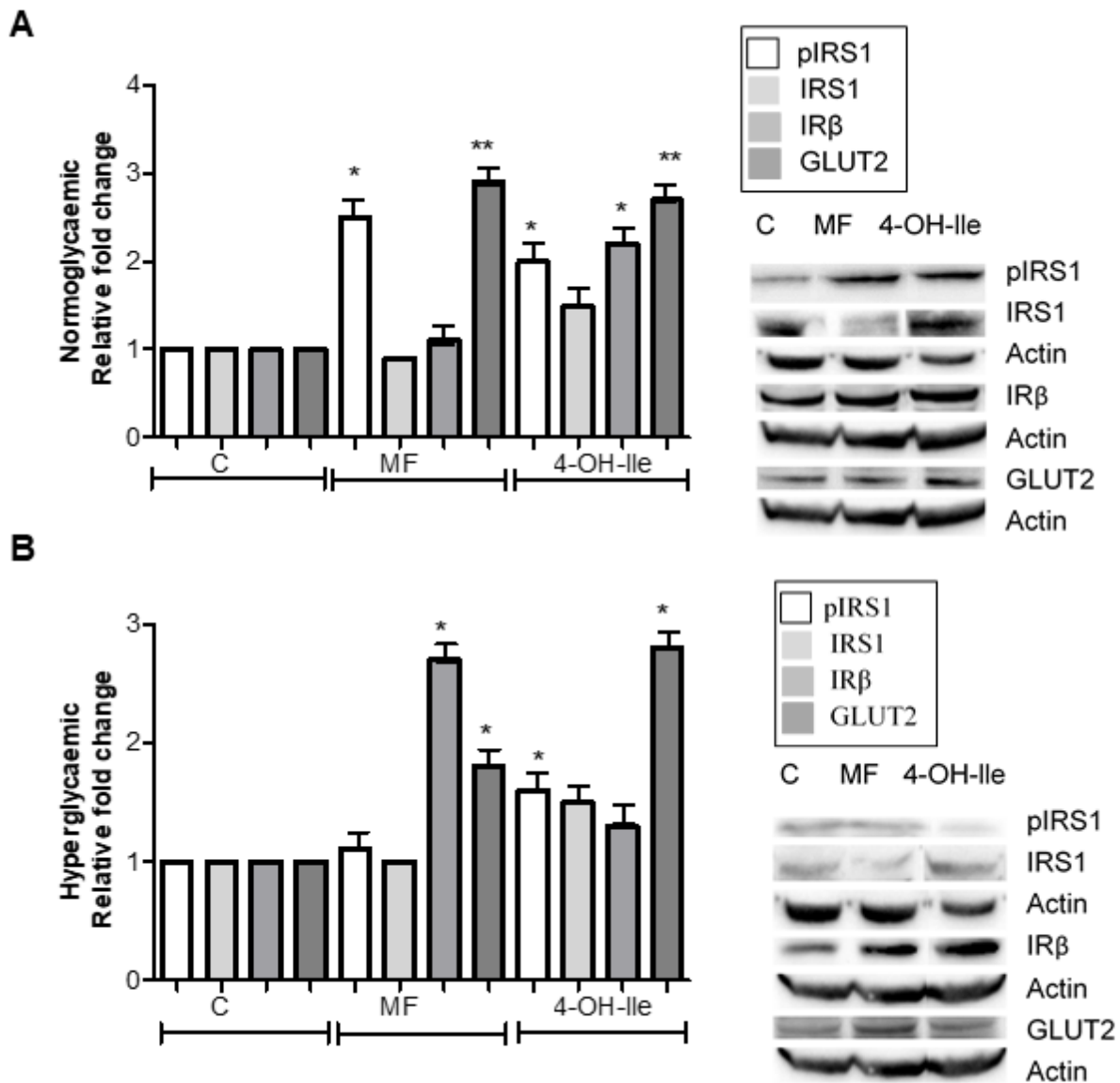
1834 **Fig.1** Average concentrations (mean±SD) of blood glucose levels in the serum of mice, and oral glucose  
 1835 tolerance test at day 15 of experimental period; blood glucose levels were measured at 0, 30, 60, 90 and  
 1836 120min time intervals following an overnight fast, following treatment with metformin (MF), fenugreek  
 1837 seed extract (FSE) and 4-hydroxyisoleucine (4-OH-Ile), compared to the relative control (C). Average  
 1838

1839 blood glucose (A) normoglycaemic ( $p=0.00231$ ) and (B) hyperglycaemic ( $p=0.0245$ ). Oral glucose  
1840 tolerance test (C) normoglycaemic ( $p=0.0154$ ) and hyperglycaemic ( $p=0.0021$ ).

1841

1842 **4-hydroxyisoleucine positively regulates the total protein expression of IRS1, IR $\beta$ , Akt, GSK3 $\alpha/\beta$**   
1843 **and GLUT2 and phosphorylated protein expression of pIRS1, pAkt and pGSK3 $\alpha/\beta$**

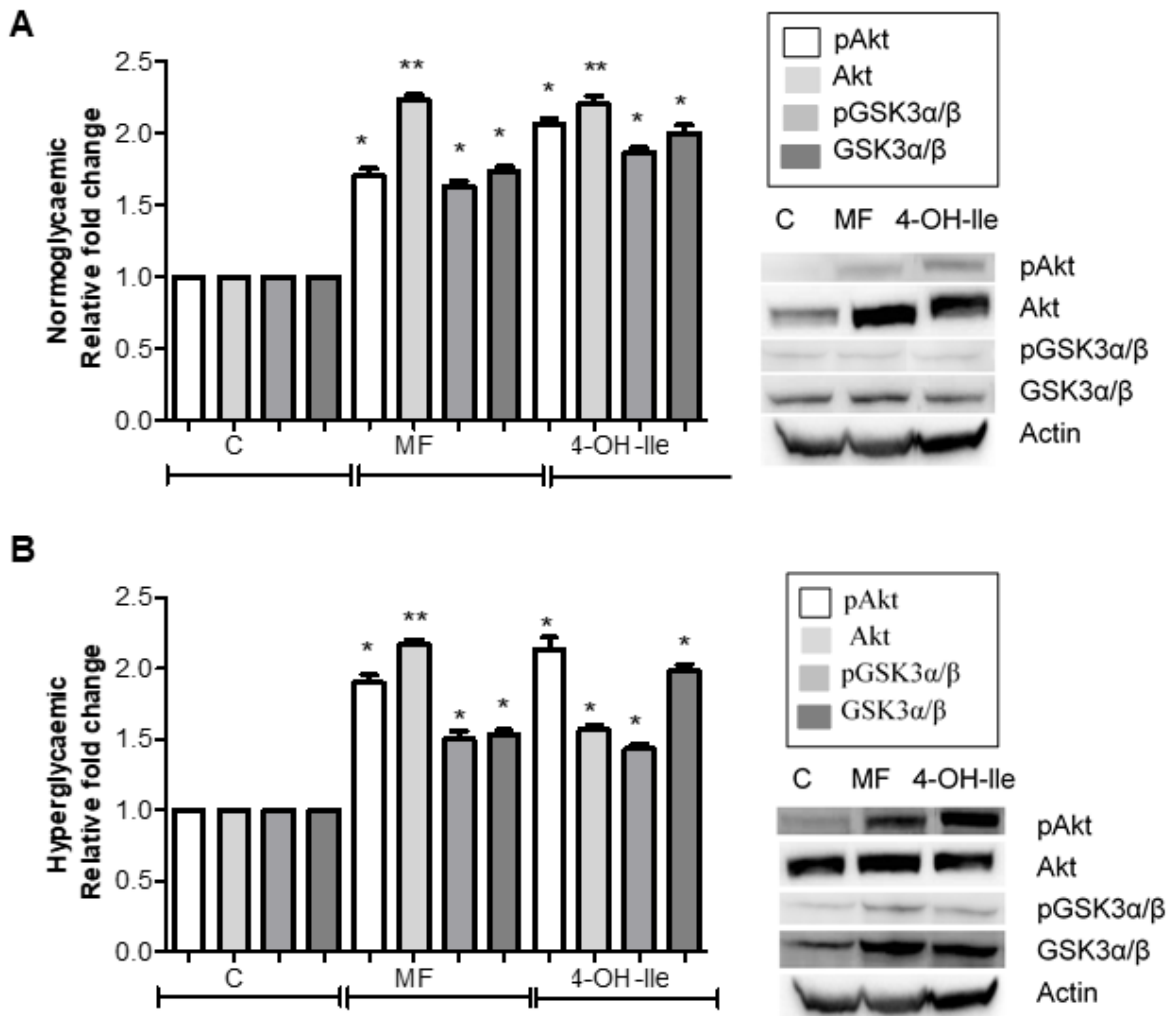
1844 The effects of 4-OH-Ile and MF on phosphorylated total expression (IRS1, IR $\beta$ , GSK3 $\alpha/\beta$  and GLUT2)  
1845 and (pAkt and pGSK3 $\alpha/\beta$ ) of proteins regulating the insulin signaling cascade were assessed by western  
1846 blotting. Under NG conditions, 4-OH-Ile significantly up-regulated the total protein expression of IR $\beta$   
1847 2.2-fold ( $1.08\pm 0.02$ RBD), (Fig.2 a). 4-OH-Ile (2.1-fold;  $0.98\pm 0.01$ RBD) and MF (2.5-fold;  
1848  $1.28\pm 0.03$ RBD) upregulated the phosphorylated expression of IRS1 ( $p=0.00279$ ) greater than the total  
1849 protein expression (Fig.2 a). However, 4-OH-Ile increased the total protein expression of IRS1  
1850 ( $p=0.00279$ ) by 1.6-fold ( $0.98\pm 0.01$ RBD) (Fig.2 a). More importantly, under a HG condition, MF and 4-  
1851 OH-Ile also increased total protein expression of IR $\beta$  ( $p=0.0121$ ) by 2.8 ( $0.96\pm 0.01$ RBD) - and 1.6  
1852 ( $0.89\pm 0.01$ RBD) -fold (Fig.2 b). The phosphorylated expression of IRS1 ( $p=0.00279$ ) was increased  
1853 under a HG condition (Fig.2 b) by 4-OH-Ile (1.6-fold;  $0.89\pm 0.01$ RBD) as well as the total protein  
1854 expression by 1.5-fold ( $0.96\pm 0.03$ RBD). Our data shows that the novel amino acid 4-OH-Ile has the  
1855 potential to work as efficiently as the first line of drug MF in stimulating the IR signal. Above all, in a HG  
1856 state 4-OH-Ile stimulated a strong receptor signal as seen by the 2.4-fold change in IR $\beta$  total protein  
1857 expression. This shows that 4-OH-Ile can effectively increase the total protein expression of IR $\beta$  and  
1858 translate this activation into the phosphorylation of pIRS1. Also, under a normal condition 4-OH-Ile  
1859 significantly upregulated the total protein expression of GLUT2 ( $p=0.0011$ ) by 2.8-fold ( $1.08\pm 0.03$ RBD),  
1860 (Fig.2 a). Under a HG state 4-OH-Ile elicited the most significant response by increasing GLUT2  
1861 ( $p=0.0022$ ) total protein expression by 2.9 ( $1.28\pm 0.03$ RBD) (Fig.2 b). Again, 4-OH-Ile consistently  
1862 maintained an increase in GLUT2 total protein expression under both conditions. Following the increase  
1863 in total and phosphorylated protein expression of the IR's - 4-OH-Ile significantly increased the total and  
1864 phosphorylated protein expression of Akt and GSK3 $\alpha/\beta$  under both conditions. 4-OH-Ile upregulated  
1865 pAkt by 2-fold ( $1.08\pm 0.03$ RBD) and Akt by 2.2-fold ( $1.10\pm 0.02$ RBD) under a normal condition  
1866 ( $p=0.0121$ ) (Fig.3 a). The expression of pAkt and Akt was increased by 2.3-fold ( $1.08\pm 0.03$ RBD) and  
1867 1.9-fold ( $1.20\pm 0.03$ RBD) under a HG condition ( $p=0.0351$ ) (Fig.3 b). Phosphorylated protein expression  
1868 of GSK3 $\alpha/\beta$  was also up-regulated by 4-OH-Ile 1.8- and 1.5-fold, under a NG ( $p=0.0468$ ) and HG  
1869 ( $p=0.0357$ ) condition, respectively (Fig.3 a, b). Lastly the total protein expression of GSK3 $\alpha/\beta$  was  
1870 increased by 1.9- and 2.1-fold under a NG and HG condition (Fig.3 a, b).



1871  
 1872 **Fig.2** Metformin (MF) and 4-hydroxyisoleucine (4-OH-Ile) treatments relative to the untreated control  
 1873 (C) on phosphorylation of insulin receptor  $\beta$  (IR $\beta$ ), insulin receptor substrate 1 (IRS1) and glucose  
 1874 transporter 2 (GLUT2) on mice liver under normoglycaemic (A) and hyperglycaemic (B) conditions. \* $P <$   
 1875 0.05; \*\* $P <$  0.005 relative to control.

1876  
 1877  
 1878  
 1879  
 1880

1881 **4-hydroxyisoleucine increases the gene expression of *IRS1*, *IRβ*, *GLUT2* and *SREBP1c***  
 1882 The effects of MF and 4-OH-Ile on *IRS1*, *IRβ* and *GLUT2* gene expression was investigated using qPCR.  
 1883 The gene expression of *IRS1* ( $p=0.0049$ ), *IRβ* ( $p=0.0100$ ) and *GLUT2* ( $p=0.0110$ ) was increased by MF  
 1884 and 4-OH-Ile under a NG condition (Fig.5). 4-OH-Ile (2.5- and 3.9 fold) displayed a greater increase in  
 1885 gene expression of *IRS1* compared to MF under both conditions (Fig.6 a). 4-OH-Ile preceded the effects  
 1886 of MF on *IRβ* (2.3- and 3.1-fold) and *GLUT2* (1.6- and 2.8-fold) gene expression (Fig.5 b, c). MF (1.5-  
 1887 fold) and 4-OH-Ile (1.6-fold) also elevated the gene expression of *SREBP1c* under a NG state (Fig.5 d).  
 1888 Similar trends were displayed under a hyperglycaemic condition. Most importantly, 4-OH-Ile displayed  
 1889 the greatest increase in *IRS1*, *IRβ*, *GLUT2* and *SREBP1c* gene expression compared to MF (Fig.5).



1890  
 1891 **Fig.3** Metformin (MF) and 4-hydroxyisoleucine (4-OH-Ile) treatments relative to the untreated control



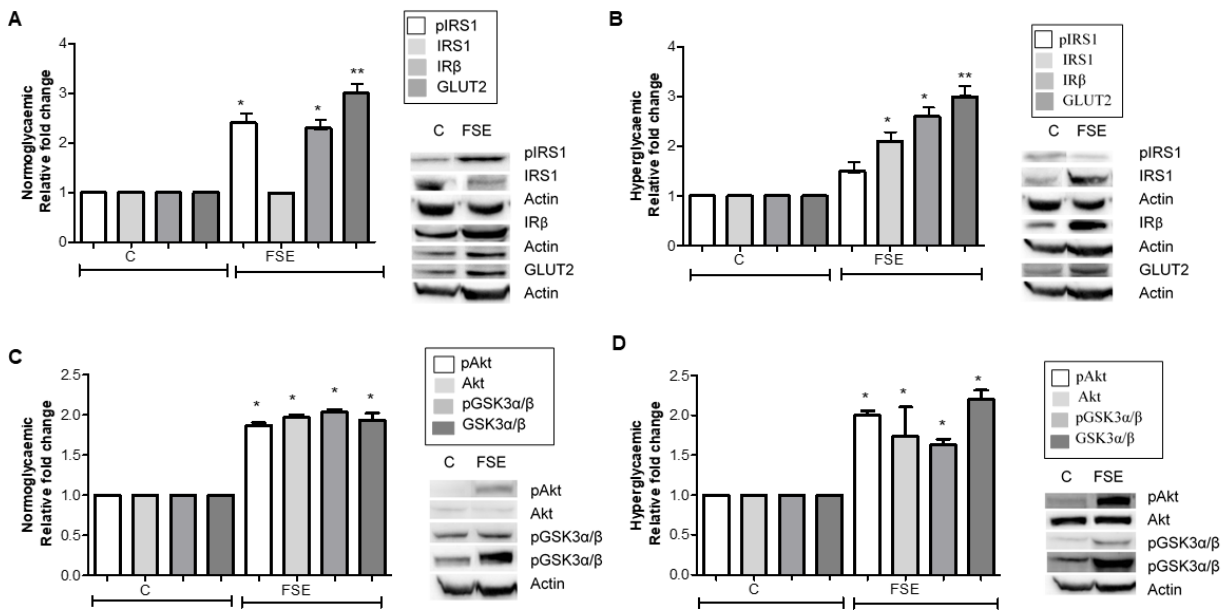
1892 (C) on phosphorylation of protein kinase b (Akt) and glycogen synthase kinase 3 $\alpha/\beta$  on mice liver under  
 1893 normoglycaemic (A) and hyperglycaemic (B) conditions. \* $P < 0.05$ ; \*\* $P < 0.005$  relative to control.

1894

1895 **4-hydroxyisoleucine increases the gene expression of AKT, GSK3 $\alpha/\beta$ , GS and Gck**

1896 The effects of MF and 4-OH-Ile on AKT, GSK3 $\alpha/\beta$ , GS and Gck gene expression was investigated using  
 1897 qPCR. The gene expression of AKT ( $p=0.0031$ ) was increased by MF (1.4- and 1.6-fold) and 4-OH-Ile  
 1898 (3.6- and 3-fold) by both conditions, respectively (Fig.6 a). This increase in AKT gene expression  
 1899 correlates with the increased gene expression of GSK3 $\alpha/\beta$  ( $p=0.0072$ ) by MF (1.1- and 1.4-fold) and 4-  
 1900 OH-Ile (1.1- and 2.5-fold), under a NG and HG condition (Fig.6 b). GSK3 $\alpha/\beta$  is important for the  
 1901 activation of GS. The gene expression of GS ( $p=0.0081$ ) was profoundly increased by 4-OH-Ile (3.2-fold)  
 1902 under a HG condition (Fig.6 c). Gck ( $p=0.0436$ ) displayed a steady increased in gene expression by MF  
 1903 (1.5- and 1.9-fold) and 4-OH-Ile (1.6- and 1.7-fold) (Fig.6 d) under both condition, respectively.

1904



1905

1906 **Fig.4** Fenugreek seed extract (FSE) treatment relative to the untreated control (C) on phosphorylation of  
 1907 insulin receptor  $\beta$  (IR $\beta$ ), insulin receptor substrate 1 (IRS1), glucose transporter 2 (GLUT2), protein  
 1908 kinase b (Akt) and glycogen synthase kinase 3 $\alpha/\beta$  on mice liver under normoglycaemic (A and C) and  
 1909 hyperglycaemic conditions (B and D) . \* $P < 0.05$ ; \*\* $P < 0.005$  relative to control.

1910

1911

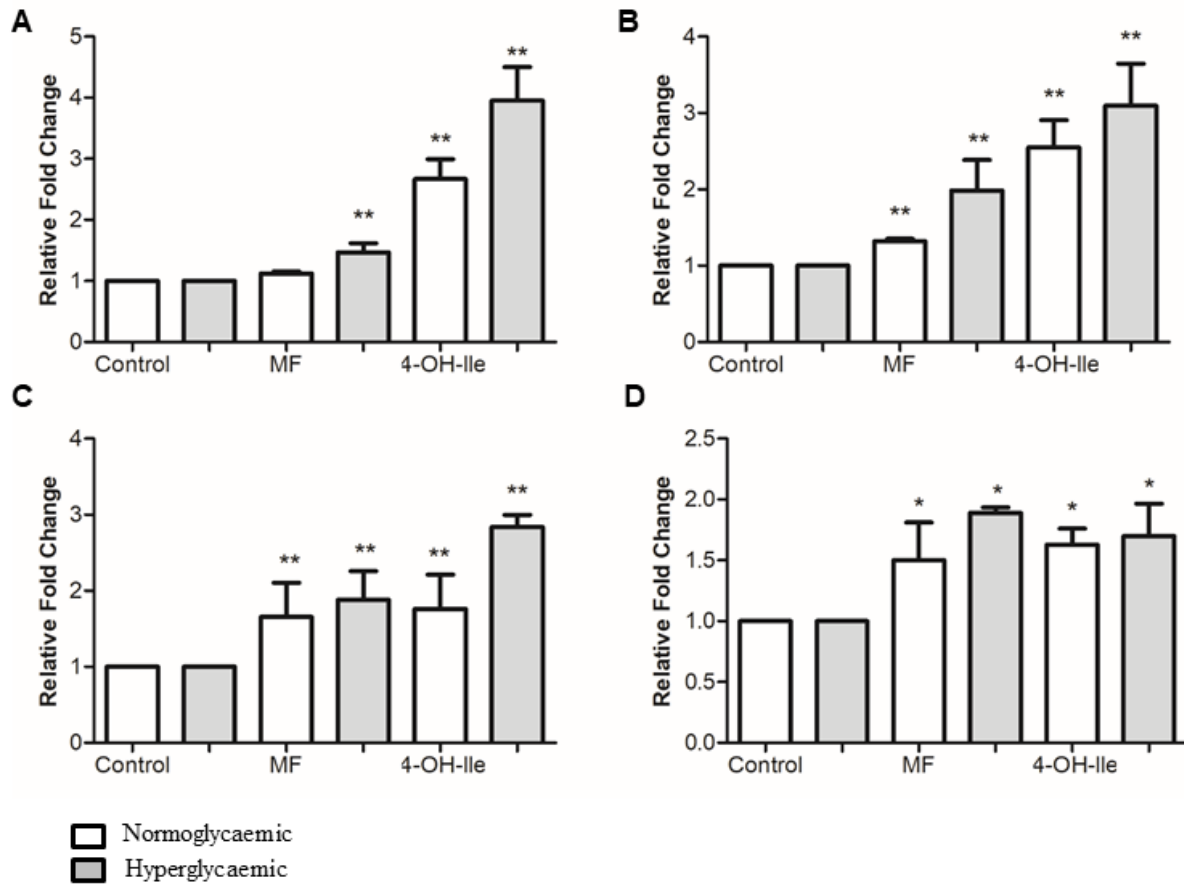
1912

1913 **Effect of FSE on protein expression of IRS1, IR $\beta$ , Akt, GSK3 $\alpha/\beta$  and GLUT2 and phosphorylated**  
1914 **protein expression of pIRS1, pAkt and pGSK3 $\alpha/\beta$  and gene expression of *IRS1*, *IR $\beta$* , *GLUT2*,**  
1915 ***SREBP1c*, *AKT*, *GSK3 $\alpha/\beta$* , *GS* and *Gck***

1916 Following the preceding data on 4-OH-Ile, we further investigated the effect of FSE on the on protein  
1917 expression of IRS1, IR $\beta$ , Akt, GSK3 $\alpha/\beta$  and GLUT2 and phosphorylated protein expression of pIRS1,  
1918 pAkt and pGSK3 $\alpha/\beta$ . Interestingly under NG conditions, FSE significantly up-regulated the total protein  
1919 expression of IR $\beta$  ( $p=0.0729$ ) by 2.5-fold ( $1.28\pm 0.03\text{RBD}$ ) (Fig.4 a). FSE (2.2-fold;  $1.08\pm 0.02\text{RBD}$ )  
1920 upregulated the phosphorylated expression of IRS1 ( $p=0.00279$ ) greater than the total protein expression  
1921 (Fig.4 a). FSE, under HG conditions, significantly increased the total protein expressions of IR $\beta$   
1922 ( $p=0.0121$ ) by 2.5-fold ( $1.98\pm 0.03\text{RBD}$ ) (Fig.4 b) and IRS1 by (2.1-fold;  $1.06\pm 0.03\text{RBD}$ ). Under NG  
1923 conditions, FSE significantly upregulated the total protein expression of GLUT2 ( $p=0.0011$ ) by 2.3  
1924 ( $1.12\pm 0.03\text{RBD}$ ). (Fig.4 a). However, under a HG state FSE increased GLUT2 ( $p=0.0022$ ) total protein  
1925 expression by 2.5 ( $1.28\pm 0.03\text{RBD}$ ) (Fig.4 a). Following the increase in total and phosphorylated protein  
1926 expression of the IR's – FSE significantly increased the total and phosphorylated protein expression of  
1927 Akt and GSK3 $\alpha/\beta$  under both conditions. FSE upregulated pAkt by 1.9-fold ( $1.08\pm 0.03\text{RBD}$ ) and Akt by  
1928 2-fold ( $1.10\pm 0.02\text{RBD}$ ) under NG conditions ( $p=0.0121$ ) (Fig.5). The expression of pAkt and Akt was  
1929 increased by 2.1-fold ( $1.08\pm 0.03\text{RBI}$ ) and 2-fold ( $1.20\pm 0.03\text{RBD}$ ) under HG conditions ( $p=0.0351$ )  
1930 (Fig.6). Phosphorylated protein expression of GSK3 $\alpha/\beta$  was also up-regulated by FSE 2- and 2.5-fold,  
1931 under a NG ( $p=0.0468$ ) and HG ( $p=0.0357$ ) condition, respectively (Fig.5, 6). Lastly the total protein  
1932 expression of GSK3 $\alpha/\beta$  was increased by 2.1- and 2-fold under a NG and HG condition.

1933  
1934 Further, we investigated the gene expression of *IRS1*, *IR $\beta$* , *GLUT2*, *SREBP1c*, *AKT*, *GSK3 $\alpha/\beta$* , *GS* and  
1935 *Gck* by FSE. The gene expression of *IRS1* ( $p=0.0049$ ), *IR $\beta$*  ( $p=0.0100$ ) and *GLUT2* ( $p=0.0110$ ) was  
1936 increased by FSE under a NG condition (Fig.7). FSE (2.1-fold) showed an increase in the gene expression  
1937 of *IRS1* (Fig.7 a). Also FSE increased *IR $\beta$*  and *GLUT2* (3.8- and 2.6-fold) gene expression, respectively  
1938 (Fig.7 b, c). FSE (2.2-fold) elevated the gene expression of *SREBP1c* under a NG state. The gene  
1939 expression of *AKT* ( $p=0.0031$ ) was increased by FSE (2.2- and 3.2-fold), under a NG and HG condition,  
1940 respectively (Fig.8 a). This increase in *AKT* gene expression correlates with the increased gene expression  
1941 of *GSK3 $\alpha/\beta$*  ( $p=0.0072$ ) by FSE (2.2- and 3.5-fold) under both conditions (Fig.8 b). The gene expression  
1942 of *GS* ( $p=0.0081$ ) was increased by FSE (2.6-fold) under a HG condition (Fig.8 c). *Gck* ( $p=0.0436$ )  
1943 displayed a steady increased in gene expression by FSE (2.6- and 3.5-fold) (Fig.8 d) under both condition,  
1944 respectively.

1945



1946

1947 **Fig.5** Metformin (MF) and 4-hydroxyisoleucine (4-OH-Ile) treatments relative to the untreated control on

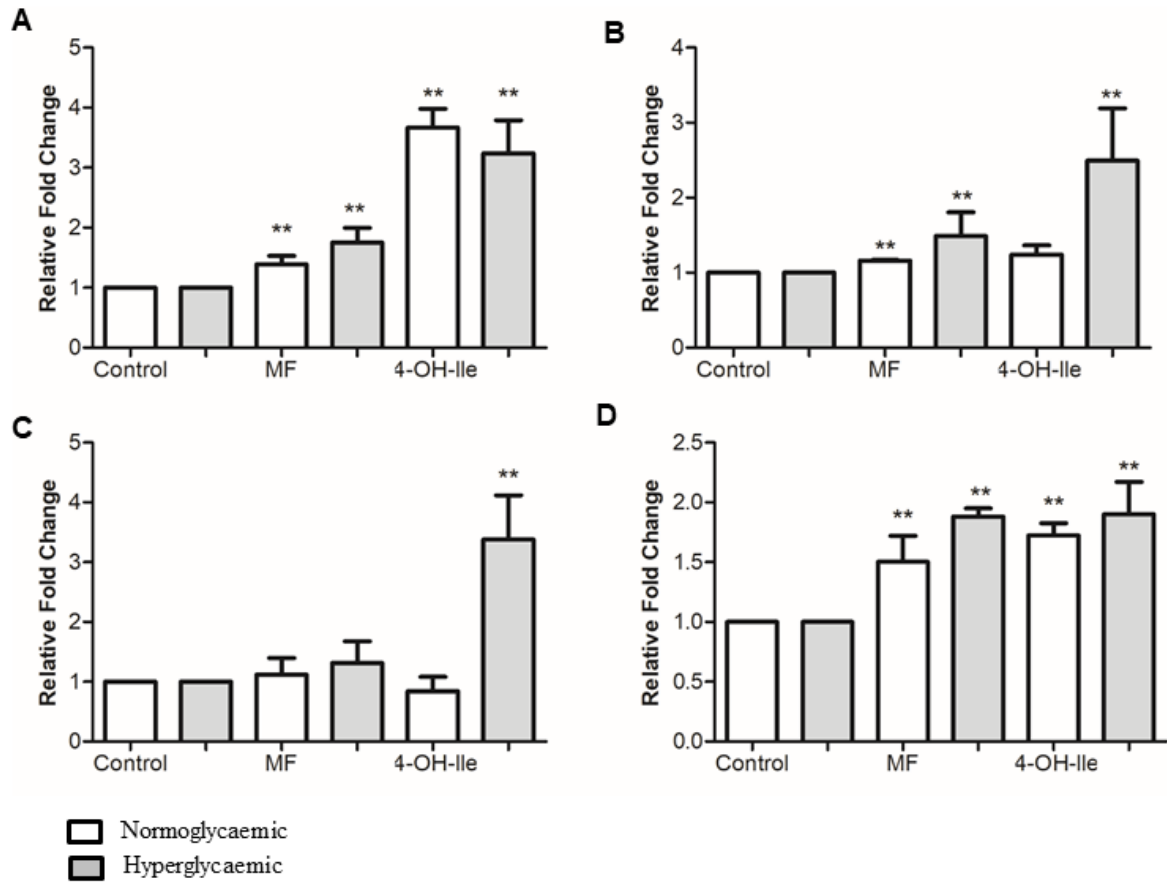
1948 the gene expression of insulin receptor substrate 1 (A), insulin receptor  $\beta$  (B), glucose transporter 2 (C)

1949 and sterol regulatory binding protein 1c on mice liver under normo- and hyperglycaemic conditions. \* $P$ <

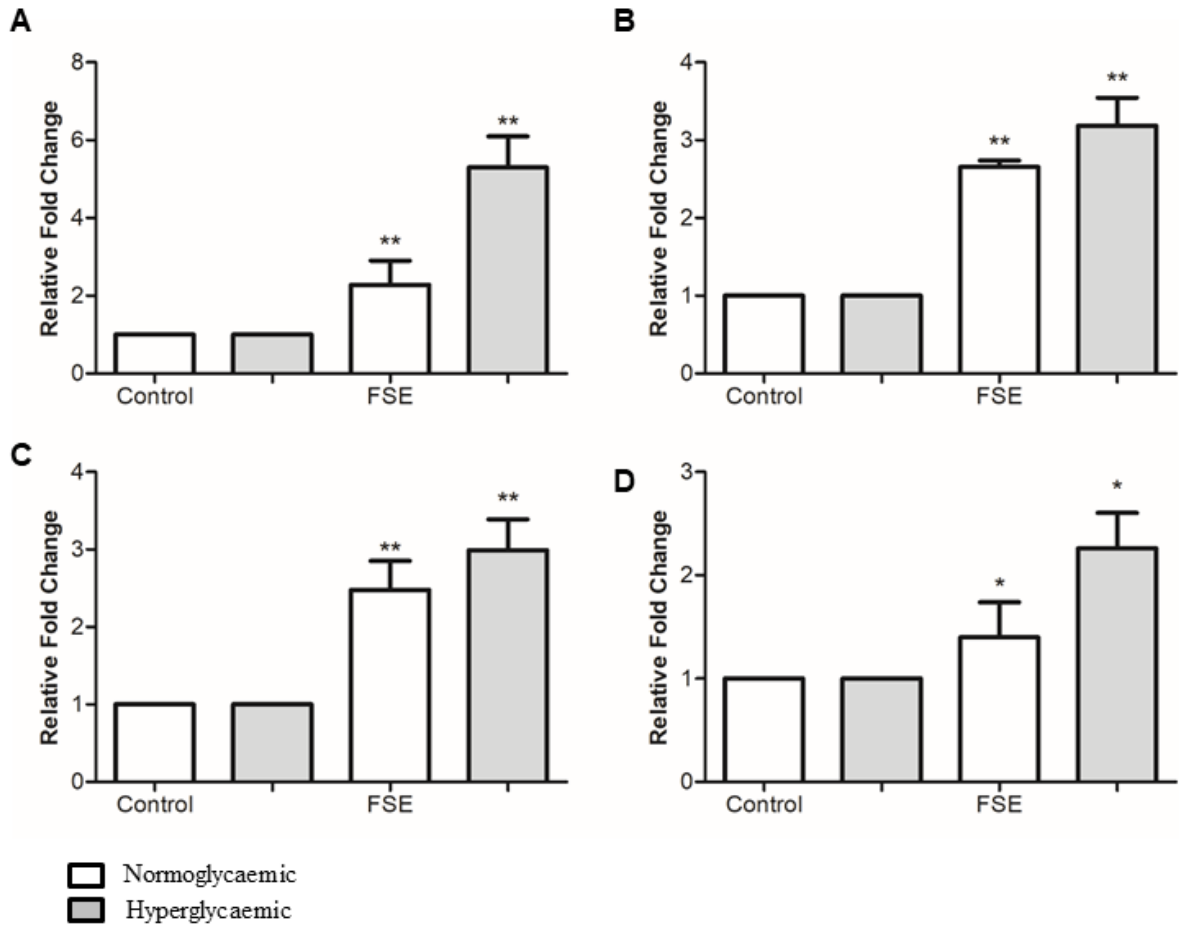
1950 0.05; \*\* $P$ < 0.005 relative to control.

1951

1952



1953  
 1954 **Fig.6** Metformin (MF) and 4-hydroxyisoleucine (4-OH-Ile) treatments relative to the untreated control on  
 1955 the gene expression of protein kinase B (A), glycogen synthase kinase 3 $\alpha/\beta$  (B), glycogen synthase (C)  
 1956 and glucokinase (D) on mice liver under normo- and hyperglycaemic conditions. \* $P < 0.05$ ; \*\* $P < 0.005$   
 1957 relative to control.  
 1958  
 1959

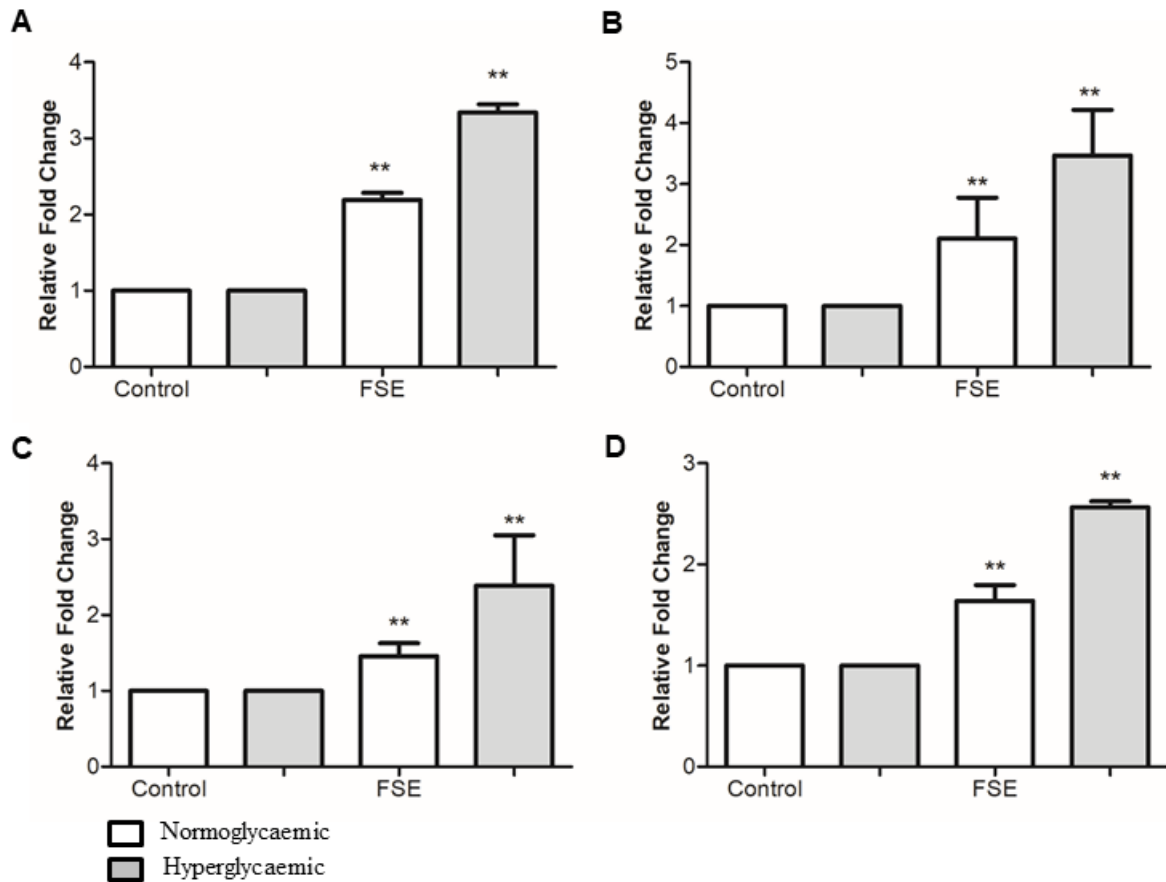


1960

1961 **Fig.7** Fenugreek seed extract (FSE) treatment relative to the untreated control on the gene expression of  
 1962 insulin receptor substrate 1 (A), insulin receptor  $\beta$  (B), glucose transporter 2 (C) and sterol regulatory  
 1963 binding protein 1c on mice liver under normo- and hyperglycaemic conditions. \* $P < 0.05$ ; \*\* $P < 0.005$   
 1964 relative to control.

1965

1966



1967

1968 **Fig.8** Fenugreek seed extract (FSE) treatments relative to the untreated control on the gene expression of  
 1969 protein kinase B (A), glycogen synthase kinase 3 $\alpha/\beta$  (B), glycogen synthase (C) and glucokinase (D) on  
 1970 mice liver under normo- and hyperglycaemic conditions. \* $P < 0.05$ ; \*\* $P < 0.005$  relative to control.

1971

1972 Discussion

1973 4-hydroxyisoleucine has been proposed as an anti-diabetic alternative therapy, being linked to improved  
 1974 insulin action, reduced post-prandial glucose levels and stimulation of the insulin signalling pathway [29,  
 1975 36-38, 40]. We previously showed, using an in vitro (HepG2 liver cells) model, the reduction of  
 1976 extracellular glucose levels by 4-OH-Ile, MF and FSE via induction of the insulin signalling pathway  
 1977 [13]. Furthermore, this study showed that 4-OH-Ile stimulated the proximal and distal insulin signalling  
 1978 pathways, and subsequently GLUT2 recruitment. This study was validated by investigating the effect of  
 1979 4-OH-Ile in comparison to MF on glucose sensing, insulin signalling, and consequently GLUT2  
 1980 recruitment in C57BL/6 male mice. 4-OH-Ile significantly improved glucose homeostasis, IR $\beta$ -IRS1 and  
 1981 Akt signalling in normal and STZ-induced diabetic mice by effectively lowering blood glucose levels and  
 1982 enhancing receptor signalling, respectively. Chronic hyperglycaemia is the hallmark of T2D, and primary

1983 treatment involves managing the high glucose levels. A recent study on skeletal muscle cells showed that  
1984 4-OH-Ile inhibited inflammation-stimulated IRS1 serine phosphorylation and restored insulin-stimulated  
1985 IRS1 tyrosine phosphorylation in the presence of palmitate, leading to enhanced insulin sensitivity [41].  
1986 A previous in vitro study by Broca et al, 1999 showed that 4-OH-Ile (200  $\mu$ M) potentiated glucose (16.7  
1987 mM)-induced insulin release from rat-isolated islets [42]. Haeri et al, 2009 examined the effect of 4-OH-  
1988 Ile on liver function and blood glucose and concluded that 4-OH-Ile is a well-tolerated treatment for  
1989 insulin resistance, both directly as a hypoglycaemic and as a protective agent for the liver [43]. More  
1990 importantly Singh et al, 2010 confirmed the anti-hyperglycaemic property of 4-OH-Ile where they showed  
1991 that 4-OH-Ile suppressed progression of T2D by enhancement of insulin sensitivity and glucose uptake in  
1992 peripheral tissue [30]. In our in vivo study, we compared the effect of 4-OH-Ile and MF on proximal and  
1993 distal signalling and recruitment of GLUT2 under both normal and hyperglycaemic conditions.

1994  
1995 Glucose uptake by the target tissues of insulin is enabled mostly by translocation of glucose transporters  
1996 from an intracellular site to the plasma membrane. It was reported that IR's and GLUT2 form a receptor  
1997 transporter complex in liver cells [46-47]. This results in a mechanism of insulin facilitated hepatic  
1998 glucose regulation. Using C57BL/6 male mice livers (both normo- and hyperglycaemic) we investigated  
1999 the total protein expression of GLUT2. Under both conditions 4-OH-Ile significantly increased the protein  
2000 expression of GLUT2 (Fig.2 a, b). Furthermore, these findings correlated well with the increased gene  
2001 expression of GLUT2 (Fig.5 b). The sterol regulatory binding protein 1c (SREBP1c) plays a key role in  
2002 the translocation of GLUT2 to the cell surface (Ono et al. 2003). We investigated the gene expression of  
2003 SREBP1c; 4-OH-Ile increased the gene expression of SREBP1c under both conditions (Fig.5d).

2004  
2005 The action of insulin is initiated by its binding to the IR. This leads to autophosphorylation of the IR and  
2006 subsequent increase in tyrosine phosphorylation of several proteins including pIRS1 and pAkt. In this  
2007 study the effects of 4-OH-Ile on tyrosine phosphorylation of the IR $\beta$  and the downstream signalling  
2008 molecules in the primary cellular targets of liver cells were investigated. The results revealed that under  
2009 both conditions 4-OH-Ile activated the tyrosine phosphorylation of IR $\beta$  (Fig.2 a, b), subsequently  
2010 enhancing tyrosine phosphorylation of IRS1 (Fig.2 a, b) and Akt (Fig.2 a, b). These results correlate well  
2011 with the increased gene expressions of IR $\beta$ , IRS1 and Akt. Activated Akt phosphorylates substrates that  
2012 control insulin-mediated glucose transport, protein and glycogen synthesis [49]. An important response to  
2013 Akt activation is the phosphorylation and inactivation of GSK3 $\alpha/\beta$  [54]. A major substrate of GSK3 $\alpha/\beta$  is  
2014 GS, an enzyme that catalyses the final step in glycogen synthesis [50]. Phosphorylation of GS by  
2015 GSK3 $\alpha/\beta$  inhibits glycogen synthesis; conversely, inactivation of GSK3 $\alpha/\beta$  by pAkt promotes glucose  
2016 storage as glycogen [7]. Thereafter, the effect of 4-OH-Ile on the total and phosphorylated protein

2017 expression of GSK3 $\alpha/\beta$  and the gene expression of GSK3 $\alpha/\beta$ , GS and Gck was investigated. The results  
2018 showed a consistent amplification by 4-OH-Ile in comparison to MF in the protein expression of  
2019 GSK3 $\alpha/\beta$  (Fig.3 a, b). This increased protein expression correlated with increases in GSK3 $\alpha/\beta$  gene  
2020 expression (Fig.5, 6). Furthermore, 4-OH-Ile significantly stimulated the gene expression of GS and Gck.  
2021 This strongly suggests that the liver could be target site for 4-OH-Ile and activating the insulin signalling  
2022 pathway.

2023  
2024 Based on our previous in vitro data and literature, we further investigated FSE using the same parameters  
2025 as 4-OH-Ile and MF. FSE significantly increased the total and phosphorylated protein expressions of  
2026 IRS1 (pIRS1/IRS1), Akt (pAkt/Akt), GSK3 $\alpha/\beta$  (pGSK 3 $\alpha/\beta$ ), total insulin receptor  $\beta$  (IR $\beta$ ) and GLUT2.  
2027 Also, FSE elevated the gene expressions of IR $\beta$ , IRS1, Akt, GSK3 $\alpha/\beta$ , GS, GK, GLUT2 and SREBP1c. It  
2028 is well established that 4-OH-Ile is the most abundant unusual free amino acid in fenugreek seeds and  
2029 accounts for the seeds antidiabetic effects. Interestingly, this study shows that 4-OH-Ile exerts a potent  
2030 effect on receptor signalling and glucose sensing as opposed to that of FSE alone. Studies have also  
2031 reported the presence of diosgenin – a biologically active steroid sapogenin as a possible mediator in the  
2032 seeds effect to maintain glucose homeostasis and insulin signalling [55]. Diabetic animal models provide  
2033 evidence for the role of diosgenin in glycaemic control by decreasing proteins involved in  
2034 gluconeogenesis and glucose export [56]. Furthermore, fenugreek seeds constitute a large amount of  
2035 soluble fibers such as galactomannans [36]. Soluble fibers have been reported to enhance glycaemic  
2036 control by inhibiting lipid and carbohydrate proteins in the digestive system [57-58].

2037  
2038 In conclusion, this set of data confirms the induction of total and phosphorylated protein and gene  
2039 expressions of GLUT2, IR $\beta$ , IRS1, Akt and GSK3 $\alpha/\beta$  by 4-OH-Ile under both conditions. The effect of 4-  
2040 OH-Ile is greater than MF with discernible relevance to the effect displayed during a chronic HG state.  
2041 Following an insulin resistant state and the insufficiency of insulin signalling components in the liver, this  
2042 effect of 4-OH-Ile is significant. Hence, understanding the effect of 4-OH-Ile relative to glucose sensing  
2043 and insulin signalling provides evidence for the use of 4-OH-Ile in T2DM treatment.

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2048  
2049 Conflict of interest

2050 Authors declare no conflicts of interest.



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2055

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## CHAPTER 4

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4-hydroxyisoleucine regulates PCSK9 and PPARG during dyslipidemia in HepG2 cells and diabetic C57BL/6 male mice

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2251 **Abstract**

2252 Scope: In T2DM; defective insulin action and hyperglycaemia, lead to diabetic dyslipidemia.  
2253 Characteristic features include high plasma levels of triglycerides, LDLc and low HDLc. Diabetic  
2254 dyslipidemia is a risk factor for CVD. Our previous study demonstrated anti-diabetic effects of 4-  
2255 hydroxyisoleucine - bioactive component of fenugreek seeds, in comparison to metformin. We evaluated  
2256 4-hydroxyisoleucine compared to metformin on the lipid profile and hepatic expression of PCSK9 and  
2257 PPARG in HepG2 cells and C57BL/6 mice.  
2258 Methods and results: Treatments were conducted over 72-hours (HepG2 cells) and 15-days (C57BL/6  
2259 mice) under normoglycaemic and hyperglycaemic conditions. Lipid profile analysis was conducted.  
2260 Protein expressions of PCSK9 and PPARG were determined by western blotting. mRNA levels of  
2261 PCSK9, SREBP1c, FAS, LDLr and Apo A1 were evaluated by qPCR. In both models, 4-OH-Ile  
2262 increased SREBP1c and FAS expressions, PPARG protein expression and decreased PCSK9.  
2263 Conclusion: 4-OH-Ile improved lipid homeostasis by regulating SREBP1c processing, PCSK9 and  
2264 PPARG expression.

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2285 **Introduction**

2286 Type two diabetes mellitus (T2D) results from defective insulin signaling/resistance and leads to  
2287 increased blood glucose levels. T2D individuals present with dyslipidemia, characterized by elevated  
2288 triglycerides (TG), low high-density lipoprotein cholesterol (HDLc) and a predominance of small-dense  
2289 low-density lipoprotein (LDL) particles. These changes in the lipid profile are attributed to increased free  
2290 fatty acid (FFA) flux due to elevated blood glucose levels. Diabetic dyslipidemia is a risk factor for the  
2291 development of cardiovascular disease (CVD); this increases the risk of microvascular and macrovascular  
2292 complications, accompanied by morbidity and mortality (1). It is important to manage CVD risk factors in  
2293 T2D (1).

2294

2295 The first-line drug therapy for the treatment of T2D is metformin (MF), which possesses anti-lipidemic  
2296 properties that include improved LDL, HDL and TG levels (2). Also, statins produce favourable changes  
2297 in altered lipid profiles via inhibition of HMGCoA reductase (3). However an optimum individualistic  
2298 regimen remains to be defined as intolerance and insufficiency is a common occurrence. Advancements  
2299 in lipid-lowering agents have focused on regulators of proprotein convertase subtilisin/kexin type 9  
2300 (PCSK9) and agonists of peroxisome proliferator-activated receptor gamma (PPARG). Studies have  
2301 reported PCSK9 as a circulating regulator of LDLc through its ability to induce degradation of the LDL  
2302 receptor (LDLr) in the lysosome of hepatocytes (4, 5). In T2D, elevated glucose levels cause a  
2303 concomitant rise in LDLc, whilst PCSK9 regulators shift LDLr traffic from degradation to recycling in  
2304 hepatic cells. In addition to LDLc clearance, there is the requirement for an associated rise in HDLc.  
2305 PPARG is responsible for mediating physiological effects on both glucose homeostasis and lipid  
2306 metabolism (6), and directly impacts on HDLc level (6, 7). Also, individuals with a dominant-negative  
2307 mutation in the PPARG gene showed severe hyperglycaemia, providing a genetic link between PPARG  
2308 and T2D (8). The disparity in lipid homeostasis in T2D, regulators of PCSK9 and agonists of PPARG  
2309 may contribute to its restoration.

2310

2311 Nutritional supplements provide an opportunity for T2D individuals to normalize lipid levels. Plant  
2312 extracts are a potential source in reducing elevated lipid levels. Amongst these plants is *Trigonella*  
2313 *foenum-graecum* (an aromatic plant), commonly known as fenugreek (9), and the seeds possess anti-  
2314 diabetic effects (10). The main biological content of fenugreek seeds is a branched-chain amino acid  
2315 derivative - 4-hydroxyisoleucine (4-OH-Ile) which itself displays anti-diabetic effects. Among its  
2316 beneficial effects are regulation of blood glucose, plasma TGs, total cholesterol and FFA levels, and  
2317 improvement of liver function (11). A diabetic rat model showed that 4-OH-Ile was well-tolerated in  
2318 control animals and improved HDLc levels (12). A dyslipidemic hamster model showed that 4-OH-Ile



2319 significantly decreased plasma TG and increased HDLc levels (13). Similarly in C57BL/6 male mice 4-  
2320 OH-Ile reduced blood glucose, plasma insulin, TGs, total cholesterol and LDLc levels and raised plasma  
2321 HDLc levels (14). Previously, we showed that 4-OH-Ile, MF and fenugreek seed extract (FSE) effectively  
2322 regulated insulin signaling both in vitro (15) and in vivo. The objective of this study was to investigate the  
2323 regulatory effect of 4-OH-Ile in comparison to MF – on PCSK9 and PPARG signaling and the plasma  
2324 lipid profile, in hyperglycaemic induced HepG2 cells and C57BL/6 male mice.

2325

## 2326 **Materials and methods**

### 2327 **Materials**

2328 4-hydroxyisoleucine (50118), MF (PHR1084) and streptozotocin (STZ) (S0130) were purchased from  
2329 Sigma Aldrich (St Louis, MO, USA). Whole fenugreek seeds were purchased from Agricol Niche Brands,  
2330 a South African seed company. A herbarium voucher of flowering material was lodged at the Ward  
2331 Herbarium (UDW-UKZN; N. Naicker 1). All other consumables were purchased from Merck (Darmstadt,  
2332 Germany), unless otherwise stated.

2333

### 2334 **Treatment preparation of stock solutions**

2335 Whole fenugreek seeds were crushed using a mortar and pestle, suspended in deionized water (1000  
2336 mg/mL), and placed on a stirrer at room temperature (RT) for 3 hour (hr). The aqueous solution was  
2337 transferred to a sterile conical tube and centrifuged (3600xg for 10min) at RT. Supernatant (FSE) was  
2338 removed, freeze-dried, and stored at -20°C. Metformin tablets were also crushed with a mortar and pestle  
2339 and suspended in 0.1M phosphate-buffered saline (PBS) (50mg/mL). The solution was then filter  
2340 sterilized (0.45mm filter). 4-hydroxyisoleucine was obtained in a liquid form, and treatments were  
2341 prepared from a stock solution (as per manufacture instructions). Subsequent treatments were prepared in  
2342 complete culture medium (CCM) for in vitro and in 0.1M PBS solution for in vivo.

2343

### 2344 **Cell culture and treatment preparation**

2345 HepG2 cells were cultured (37°C, 5% CO<sub>2</sub>) in 25cm<sup>3</sup> flasks in complete CCM comprising Eagles  
2346 minimum essential medium, 10% foetal calf serum, 1% L-glutamine and 1% penstrepfungizone (Lonza  
2347 Biowhittaker; Basel, Switzerland). Cells were grown to 90% confluency prior to treatment for 72hr. Cells  
2348 were subject to normoglycaemic (NG; 5mM glucose) and hyperglycaemic (HG; 25mM glucose)  
2349 conditions. Treatments were replenished every 24hr. The methods used for the preparation of 4-OH-Ile,  
2350 MF and FSE was as per the protocol followed by Naicker et al, 2016 (15). The optimized treatment  
2351 concentrations by Naicker et al, 2016 were used in this study which include; 4-OH-Ile (100ng/ml), MF

2352 (2mM) and FSE (100ng/ml) (15). All experiments were conducted in triplicate and repeated  
2353 independently 3 times.

2354

### 2355 **Animals**

2356 Six-week-old male C57BL/6 mice (n=40) were procured from the Biomedical Resource Unit at the  
2357 Westville Campus of the University of KwaZulu-Natal (UKZN), Durban, South Africa. Mice with a mean  
2358 body weight (BW) of  $20 \pm 2.99$ g were randomly divided into 2 groups: non-diabetic (NG) and diabetic  
2359 (HG). Each group were further subdivided into 4 groups of 5 mice each as follows: Control (C), 4-OH-Ile,  
2360 MF and FSE. Mice were housed in polycarbonated cages in a humidity and temperature controlled room  
2361 (40-60% humidity,  $23 \pm 1^\circ\text{C}$ ) with a 12hr light dark cycle. The mice were fed a commercially available  
2362 pellet diet and normal drinking water ad libitum throughout the 15 day experimental period. The mice  
2363 were maintained according to the rules and regulations of the Experimental Animal Ethics Committee of  
2364 the UKZN (Ethical approval number: AREC/057/016).

2365

### 2366 **Induction of diabetes**

2367 Administration of a low single dose of STZ damages pancreatic  $\beta$  cells through alkylation of DNA by  
2368 causing partial destruction of these cells, resulting in hyperglycaemia. This process displays the  
2369 pathophysiological characteristics of T2D which include inadequate  $\beta$ -cell mass and  $\beta$ -cell dysfunction.  
2370 Type two diabetes was induced in all mice in the diabetic group (HG) by an intraperitoneal administration  
2371 of STZ (50mg/kg BW) dissolved in 0.1M citrate buffer (pH 4.4) following an overnight fast (12hr). The  
2372 optimal dosage of 50mg/kg was determined by preliminary investigation which included a range of STZ  
2373 concentrations (50mg/kg, 100mg/kg and 150mg/kg BW). Blood was collected from the tail vein, using a  
2374 glucometer (Accu-Chek®) to monitor and measure the fasting blood glucose over a 10 day period prior to  
2375 administration of treatment. Once a blood glucose of  $>7\text{mmol/L}$  and  $<16\text{mmol/L}$  was achieved and stable,  
2376 the treatment period was inducted.

2377

### 2378 **Treatment preparations**

2379 Preparation of treatments were guided by the protocol followed by Naicker et al, 2016 (15). The  
2380 concentration of 4-OH-Ile (100mg/kg BW), MF (20mg/kg BW) and FSE (100mg/kg BW) were based on  
2381 previous animal studies which evaluated a range of concentrations and reported the outcomes of the range  
2382 which we based our optimal concentration (14, 16). Mice were treated once daily for the 15 day treatment  
2383 period via oral gavage.

2384

2385

2386 **Animals post treatment**

2387 At the end of the treatment period, the mice were sacrificed using isoflurane. Blood samples were  
2388 collected using anticoagulant EDTA tubes, in order to measure blood glucose levels. The blood glucose  
2389 levels were measured at an accredited laboratory (AMPATH, Amanzimtoti, South Africa). All mice  
2390 livers were harvested, rinsed twice in saline, dissected and then stored in Cytobuster (Novagen,  
2391 Darmstadt, Germany) and Qiazol (Qiagen; Hildenburg, Germany) at -80°C until analysis.

2392

2393 **Western blotting**

2394 Western blots were performed to quantify relative protein expression of PCSK9 and PPARG. Crude  
2395 protein was isolated using Cytobuster (Novagen, San Diego, CA, USA) supplemented with protease and  
2396 phosphate inhibitors (Roche: 04693124001 and 04906837001). HepG2 cells and mice liver samples  
2397 (homogenized) were incubated in Cytobuster for 10min on ice and then centrifuged (4°C, 12,000g). Crude  
2398 protein samples was quantified by the bicinchoninic acid assay and standardized to 1mg/mL. Samples  
2399 were boiled in Laemmli buffer [dH<sub>2</sub>O, 0.5M Tris-HCl (pH 6.8), glycerol, 10% SDS, β-mercaptoethanol,  
2400 and 1% bromophenol blue] for 5min. Samples were electrophoresed on a sodium dodecyl sulphate  
2401 polyacrylamide gel (4% stacking and 10% resolving) for 1Hr at 150V and transferred on to nitrocellulose  
2402 using the TransBlot Turbo Blotting System (Bio-Rad; Hercules, CA) using a preinstalled Standard SD  
2403 program. All membranes were blocked for 2hr in 3% BSA in Tween20-Tris-buffered saline (TTBS -  
2404 0.15M NaCl, 2.68M KCl, 24. 86M Tris, 500µl Tween20, pH 7.4) at RT on a shaker. Thereafter, the  
2405 membranes were incubated with primary antibody PCSK9 (ab125251, 1:5,000) and PPARG (ab, 1:5,000)  
2406 at 4°C overnight. The membranes were then equilibrated to RT on a shaker for 1hr, followed by 5 washes  
2407 (10min) with TTBS. Membranes were then probed with horseradish peroxidase conjugated-secondary  
2408 antibody [anti-rabbit 1:10,000 (CS7074)] for 1hr, followed by 5 washes (10min) with TTBS.  
2409 Chemiluminescent signal was detected using ECL Clarity Western detection reagent (Bio-Rad) and  
2410 captured on the Bio-Rad ChemiDoc Viewing System. Data were expressed as relative band density  
2411 (RBD) and expression of proteins was analyzed with the Bio-Rad ChemiDoc MP Imaging System with  
2412 Image Lab software. Membranes were quenched (5% H<sub>2</sub>O<sub>2</sub> at 37°C for 30min) and proteins were  
2413 normalized against β-actin (A5316), 1:1,000.

2414

2415 **Quantitative PCR**

2416 The mRNA levels of genes regulating lipid homeostasis: PCSK9, LDLr, SREBP1c, FAS and ApoA1, was  
2417 determined by qPCR. Total RNA was isolated using extraction buffer (Qiazol) and an in-house protocol  
2418 (17). RNA was quantified using a spectrophotometer (Nanodrop2000, Biotech) and standardized to  
2419 1000ng/µl. Standardized RNA was reverse transcribed to complementary DNA (cDNA) using the

2420 iScript™ cDNA Synthesis kit (Bio-Rad; 107-8890) as per the manufacturer's instruction. A reaction  
2421 volume of 10µl was prepared consisting of 5X IQ™ SYBR® ssoAdvanced SYBR Green (Bio-Rad; 170-  
2422 880), nuclease free water, 1µl cDNA template (1000ng/ml), and 1µl sense and anti-sense primer (Inqaba  
2423 Biotec™). The mRNA expression was normalized against a housekeeping gene (18S). Thermocycler  
2424 conditions were carried out using the CFX96 Touch™ Real-Time PCR Detection System (Bio-Rad,  
2425 Hercules, CA) as follows: initial denaturation (95°C, 10min), 40 cycles of denaturation [95°C, 15seconds  
2426 (s)], annealing and extension (72°C, 30s). Relative fold change was calculated using the method described  
2427 by Livak and Schmittgen, 2001 to calculate relative fold change (18). Primer sequences and annealing  
2428 temperatures are shown in Table 1 (supplementary data).

2429

### 2430 **Lipid profile and glucose analysis**

2431 Lipid profile analysis and measurement of glucose concentration was performed by an accredited  
2432 pathology laboratory (AMPATH laboratories, Amanzimtoti, South Africa). The supernatant from each  
2433 cell culture sample was lyophilized and reconstituted in 500µl of 0.1M phosphate buffer solution and sent  
2434 for analysis. And the plasma from each mouse sample was analyzed. Glucose and oral glucose tolerance  
2435 test analysis are shown in supplementary data (Appendix 3).

2436

### 2437 **Liquid chromatography–mass spectrometry**

2438 Liquid chromatography–mass spectrometry (LC-MS) is an analytic chemistry technique which combines  
2439 the physical separation capabilities of liquid chromatography (or HPLC) with the mass analysis  
2440 capabilities of mass spectrometry (MS). 1mg of crude FSE was weighed and dissolved in 10ml methanol  
2441 (MeOH). Each sample was vortexed and sonicated to allow for complete dissolution. The extract was  
2442 then subjected to solid phase extraction, using a Supelco C18 100mg solid phase cartridge. The extract  
2443 was eluted with MeOH. A 1:100 dilution of the sample was prepared using MeOH and injected into the  
2444 LC-MS. The instrumentation used was the Shimadzu 202 UFLC-MS, mobile A: 0.1% formic acid (FA) in  
2445 water and mobile phase B: 0.1% FA in acetonitrile. Separation was achieved using a YMC Triart C18  
2446 analytical column (4.6mm x 150mm), using a gradient elution method from 5% B to 95 B over 25min.  
2447 Data was collected at 265nm and analyzed using the Shimadzu Lab Solutions software (Supplementary  
2448 data Fig 2, 3, 4 and Table 2).

2449

### 2450 **Statistical analyses**

2451 Statistical analyses was performed using GraphPad Prism v5.0 software (GraphPad Software, Inc.). The  
2452 data was expressed as relative fold change to respective controls in the qPCR and western blotting  
2453 experiments. With regards to western blotting, the phosphorylated proteins were normalized against the

2454 total protein. Followed by the total protein against actin. Following each experiment in vitro and in vivo,  
2455 4-OH-Ile was compared to MF and the untreated control. We then analyzed FSE in comparison to the  
2456 untreated control. Statistical comparisons for 4-OH-Ile, MF and the untreated control were made using a  
2457 one-way analysis of variance (ANOVA), nonparametric test (Kruskal Wallis test) and a Dunn's post-test;  
2458 and a non-parametric Mann-Whitney test was used for FSE and the untreated control. The data were  
2459 considered statistically significant with a value of  $p < 0.05$ .

2460

## 2461 **Results**

### 2462 **4-OH-Ile regulates protein expression of PCSK9 and gene expression of *PCSK9* and *LDLr* under** 2463 **NG and HG conditions, *in vitro* and *in vivo***

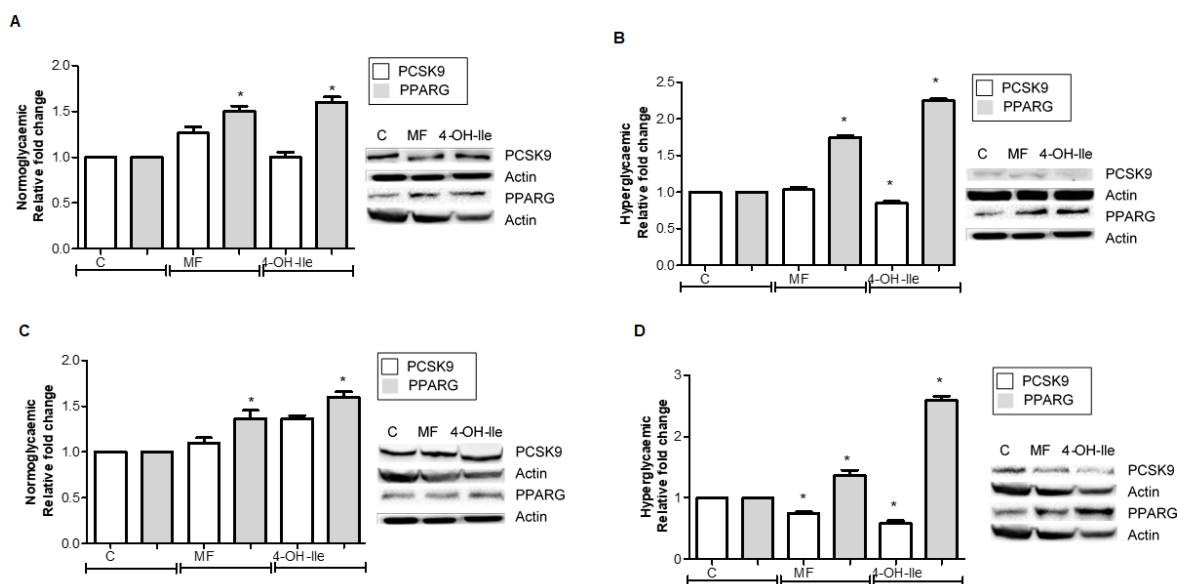
2464 PCSK9 is an inhibitory enzyme which regulates the *LDLr* pool. The relative protein expression of PCSK9  
2465 in HepG2 cells was maintained by both treatments (Fig 1A). However, under HG conditions, 4-OH-Ile  
2466 reduced the expression of PCSK9 0.8-fold ( $0.99 \pm 0.03$ RBD) compared to MF (Fig 1A). Similarly, in the  
2467 liver cells of C57BL/6 mice, the protein expression of PCSK9 was maintained by all treatments, under a  
2468 NG state (Fig 1B). However, under a HG state, 4-OH-Ile (0.5-fold;  $0.89 \pm 0.03$ RBD) and MF (0.8-fold;  
2469  $0.99 \pm 0.03$ RBD) reduced its expression (Fig 1 C; D). These reductions in protein expression by 4-OH-Ile  
2470 correlate with the decrease in mRNA levels of *PCSK9* in both models (Fig 2). Under normal conditions in  
2471 vitro and in vivo (Fig 1A, C), metformin treatment increased the protein expression of PCSK9. Under a  
2472 hyperglycaemic condition in vitro (Fig 1B) metformin treatment had no effect compared to the untreated  
2473 control. However, under a hyperglycaemic condition in vivo, metformin treatment significantly reduced  
2474 the protein expression of PCSK9. The changes observed in protein expression correlated with the changes  
2475 in gene expression, under both conditions and models. Therefore, we can conclude that elevated glucose  
2476 levels could have an impact on the action of metformin on PCSK9. 4-OH-Ile decreases the expression of  
2477 *PCSK9*, and thereby reduces its inhibitory effect on the *LDLr*. This will increase the *LDLr* pool and  
2478 consequently reduces the extracellular levels of LDLc. We then investigated the changes in mRNA  
2479 expression of *LDLr*. *In vitro*, 4-OH-Ile significantly increased *LDLr* mRNA levels 1.8-fold compared to  
2480 MF 1.5-fold, under a HG state (Fig 2C). Whereas *in vivo*, 4-OH-Ile elevated the gene expression under  
2481 both conditions (Fig 2E). To correlate these results, a lipid profile was analyzed on the supernatant of  
2482 each treatment (Fig 2D) and on the serum of treated mice (Fig 2F), to measure the extracellular levels of  
2483 LDLc. Following the reported changes in both protein and mRNA levels of PCSK9, these changes  
2484 translated into the reduced levels of LDLc (Fig 2 D, F).

2485

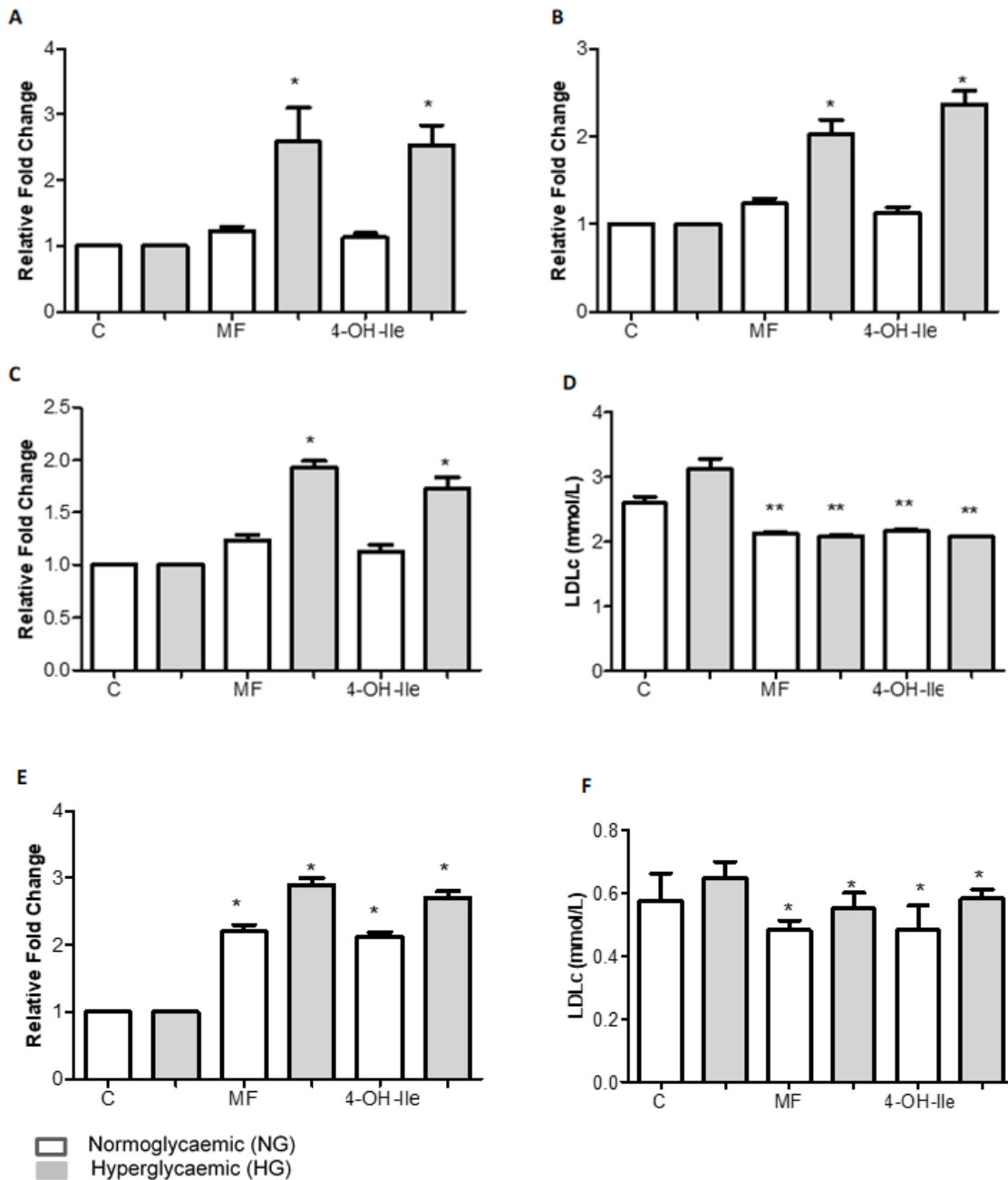
2486

2487 **4-OH-Ile regulates protein expression of PPARG and gene expression of *Apo A1* under NG and HG**  
 2488 **conditions, *in vitro* and *in vivo***

2489 We evaluated the protein expression of PPARG by western blotting. *In vitro*, both treatments significantly  
 2490 increased the protein expression of PPARG under both conditions (Fig 1). *In vivo*, 4-OH-Ile (2.6-fold;  
 2491  $1.90 \pm 0.03$ RBD) elicited the highest response in elevating the expression of PPARG compared to MF (Fig  
 2492 1). As previously mentioned, PPARG is responsible for regulating HDLc. Apolipoprotein A1 (Apo A1) is  
 2493 a major constituent of HDLc that removes cholesterol from peripheral cells and transports it to the liver  
 2494 for their ultimate removal. Therefore the effect of 4-OH-Ile and MF on *Apo A1* mRNA levels was  
 2495 investigated by qPCR. *In vitro* and *in vivo*, 4-OH-Ile (2.6-fold; 1.7-fold) and MF (2.5-fold; 1.8-fold)  
 2496 increased the gene expression of *Apo A1* under HG conditions (Fig 3 A, C). This increase in mRNA  
 2497 content correlates with the increase in extracellular HDLc in both HepG2 cells (Fig 3 B) and C57BL/6  
 2498 mice (Fig 3 D), under similar conditions. Subsequently, both the increase in protein expression of PPARG  
 2499 and gene expression of *Apo A1*, positively contribute to the elevated levels of HDLc.  
 2500

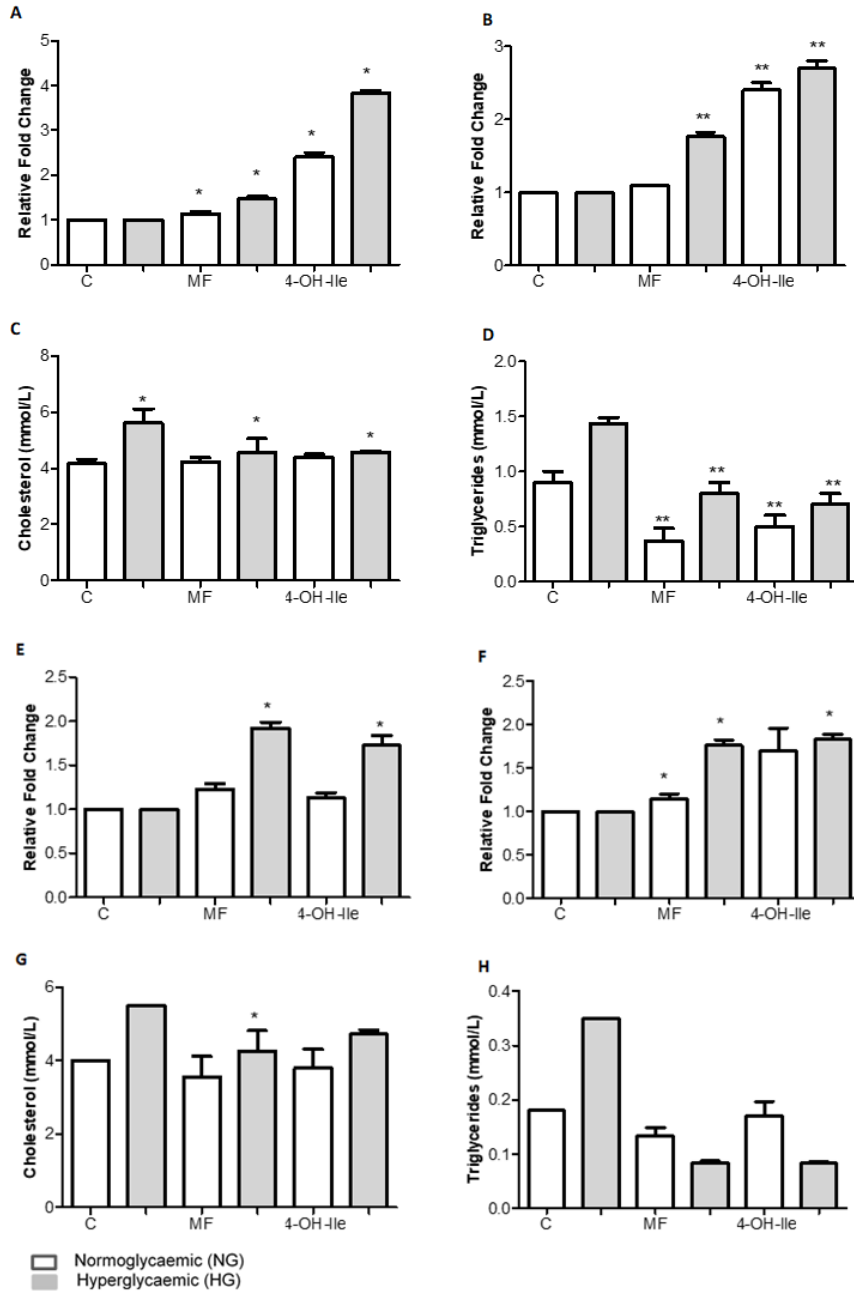


2501  
 2502 **Figure 1.** Protein expression analysis of pro-protein convertase subtilisin/kexin type 9 (PCSK9) and  
 2503 peroxisome proliferator-activated receptor gamma (PPARG) in 4-hydroxyisoleucine (4-OH-Ile) and  
 2504 metformin (MF) treated HepG2 cells (normoglycaemic – A,  $p=0.0272$ ; hyperglycaemic – B,  $p=0.0313$ )  
 2505 and mouse liver (normoglycaemic – C,  $p=0.0296$ ; hyperglycaemic – D,  $p=0.0040$ ). \* $P < 0.05$  relative to  
 2506 control



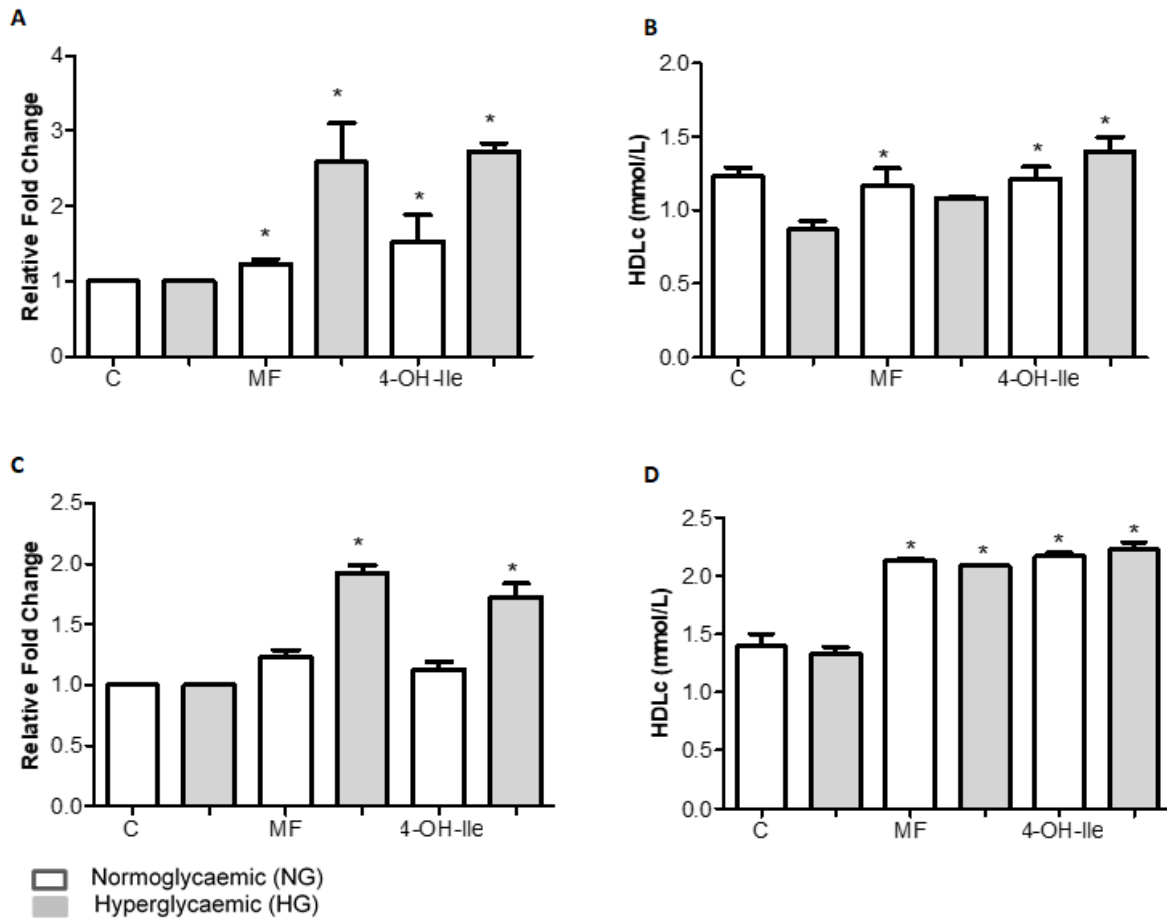
2507

2508 **Figure 2** 4-hydroxyisoleucine (4-OH-Ile) and metformin (MF) treatments increase mRNA levels of  
 2509 *PCSK9* in HepG2 cells (A,  $p=0.0207$ ) and mice liver samples (B,  $p=0.0335$ ). *LDLr* mRNA was  
 2510 significantly elevated in hyperglycaemic HepG2 samples treated with MF and 4-OH-Ile (C,  $p=0.0478$ )  
 2511 with a concomitant decrease in extracellular LDLc concentration (D,  $p=0.0293$ ). The *in vivo* data  
 2512 substantiated this with significantly elevated *LDLr* transcripts (E,  $p=0.0255$ ) and significantly reduced  
 2513 plasma LDLc levels (F,  $p=0.0112$ ) in both MF and 4-OH-Ile treatments under both conditions. \* $P < 0.05$ ;  
 2514 \*\* $P < 0.005$  relative to control.



2515  
 2516 **Figure 3** Quantitative PCR results show 4-hydroxyisoleucine (4-OH-Ile) and metformin (MF) increased  
 2517 mRNA levels of *SREBP1c* (A;  $p=0.0143$ ); and *FAS* (B;  $p=0.00513$ ) in HepG2 cells. Extracellular  
 2518 cholesterol levels were significantly reduced relative to the control under hyperglycaemic conditions (C,  
 2519  $p=0.0478$ ) and TGs were significantly reduced by MF and 4-OH-Ile under both conditions (D,  $p=0.0441$ ).  
 2520 mRNA quantification in substantiated increased *SREBP1c* (E,  $p=0.0043$ ) and *FAS* (F,  $p=0.0102$ ) in the  
 2521 presence of MET and 4-OH-Ile. Lipid profile analysis showed reduced plasma levels of cholesterol (G,  
 2522  $p=0.0121$ ) and TGs (H,  $p=0.0231$ ) of treated mice. \* $P < 0.05$ ; \*\* $P < 0.005$  relative to control.





2523

2524 **Figure 4** 4-hydroxyisoleucine (4-OH-Ile) and metformin (MF) treatments relative to the untreated control  
 2525 on the gene expression *Apo A1* on HepG2 cells (A,  $p=0.0036$ ) and mice liver (C,  $p=0.0272$ ) under  
 2526 normoglycaemic and hyperglycaemic conditions. A lipid profile analysis was performed on the  
 2527 supernatant of the treated HepG2 cells (B,  $p=0.0206$ ) and serum (D,  $p=0.0336$ ) of treated mice to assess  
 2528 the extracellular HDLc levels, under normoglycaemic and hyperglycaemic conditions. \* $P < 0.05$  relative  
 2529 to control

2530

2531 **4-OH-Ile regulates gene expression of *SREBP1c* and *FAS* under NG and HG conditions, *in vitro* and**  
 2532 ***in vivo***

2533 *SREBP1c* is a major transcription factor regulating the expression of *LDLr*. *SREBP1c* regulates *FAS*  
 2534 which is responsible for TG production. We investigated the effect of 4-OH-Ile and MF on the gene  
 2535 expression of *SREBP1c* and *FAS* (*in vitro* and *in vivo*) using qPCR. *In vitro*, under both conditions, 4-OH-  
 2536 Ile up-regulated the mRNA levels of *SREBP1c* (2.1 and 3.8-fold; Fig 4 A). Gene expression of *FAS* was  
 2537 increased by 4-OH-Ile under both conditions (NG: 2.5 and HG: 2.8-fold; Fig 4 B). *In vivo*, both 4-OH-Ile  
 2538 (1.7-fold) and MF (1.8-fold) elevated mRNA levels of *SREBP1c* (Fig 4 E). Similarly, 4-OH-Ile (NG: 1.6-

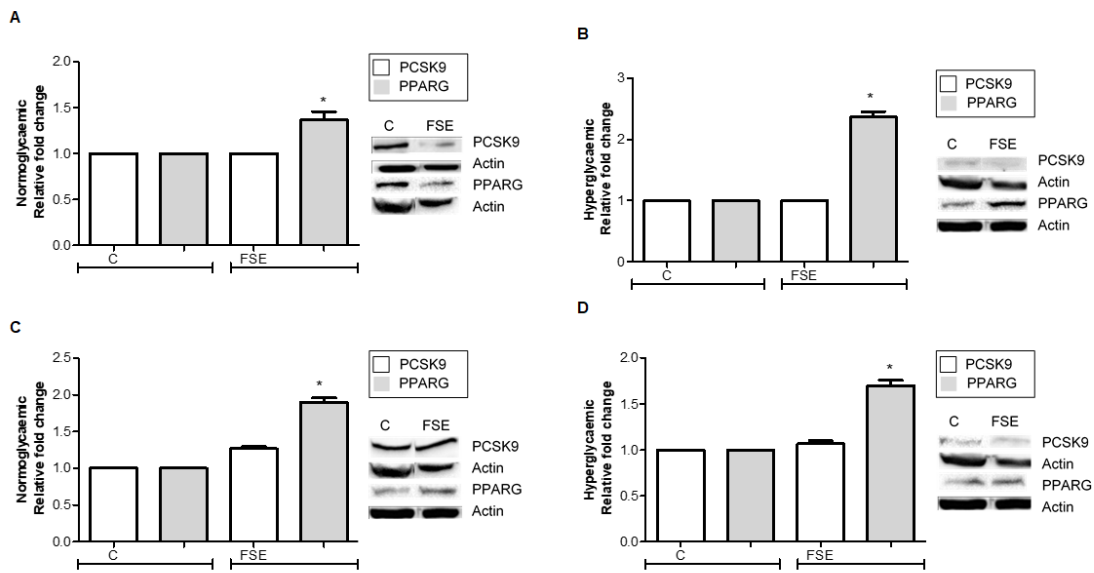
2539 fold; HG: 1.7-fold) elevated the expression of *FAS* under both conditions (Fig 4 F). A lipid profile  
2540 measuring the extracellular levels of cholesterol (Fig 4 C, G) and TG (Fig 4 D, H) in the cell culture  
2541 supernatant (Fig 4 C, D) of each treatment and in the serum of treated mice (Fig 4 G, H) was determined.  
2542 4-OH-Ile up-regulated *SREBP1c* which is responsible for cholesterol synthesis. This was confirmed by  
2543 the change in extracellular cholesterol levels. Increased mRNA levels of *SREBP1c*, which regulates *FAS*,  
2544 resulted in an increased *FAS* mRNA and extracellular TG levels. Triglyceride's form a part of the VLDL  
2545 complex which in turn form LDL particles. These LDL particles form LDLc which is endocytosed by  
2546 LDLr.

2547

2548 **FSE regulates the protein expression of PCSK9 and PPARG and the gene expression of PCSK9,**  
2549 ***Apo A1, SREBP1c and FAS, in vitro and in vivo***

2550 We also investigated the effect of FSE on the protein expression of PCSK9 and PPARG, gene expression  
2551 of *PCSK9, LDLr, SREBP1c, FAS* and *Apo A1* and lipid profiles, both *in vitro* and *in vivo*. During both  
2552 conditions *in vitro*, FSE maintained the protein expression of PCSK9 (Fig 5A, B). However *in vivo*, FSE  
2553 increased the protein expression of PCSK9 (Fig 5C, D). Interestingly, FSE increased the gene expression  
2554 of PCSK9 under both conditions. However the gene expression of *LDLr* and the LDLc levels were also  
2555 increased. A potential explanation for this effect of FSE could be the cause of a contribution of other  
2556 compounds within the seed, as opposed to 4-OH-Ile (an isolated compound). This reduction in PCSK9  
2557 expression correlated with the change in *LDLr* expression (Fig 6 C, E), followed by the concomitant  
2558 reduction in LDLc levels, both *in vivo* and *in vitro* (Fig 6 D, F). This effect of FSE (*in vitro*; 4.1-fold; *in*  
2559 *vivo*; 3.2-fold) was much greater in comparison to 4-OH-Ile (*in vitro*; 1.8-fold; *in vivo*; 2.5-fold) under a  
2560 HG state. FSE also positively affected the protein expression of PPARG. *In vitro* FSE (2.5-fold;  
2561  $1.90 \pm 0.03$ RBD) up-regulated the expression of PPARG under a HG state (Fig 5 A, B). The similar pattern  
2562 of expression was displayed *in vivo*, FSE increased PPARG expression by 1.9-fold ( $1.10 \pm 0.03$ RBD) (Fig  
2563 5 C, D). *In vitro* and *in vivo*, FSE increased the gene expression of *Apo A1* 2.2-fold and 3.9-fold  
2564 respectively, under a HG state (supplementary data, Fig1). This rise in expression translated to the  
2565 elevated HDLc levels measured in the HepG2 (supplementary data, Fig 1) and mice liver cells  
2566 (supplementary data, Fig 2). In comparison to 4-OH-Ile, these responses were significant but not as great  
2567 as 4-OH-Ile. With regards to the gene expression of *SREBP1c* and *FAS*, FSE again displayed its  
2568 stimulating affects as an increase in both genes are observed under both conditions, in both models  
2569 (supplementary data, Fig 2). Moreover, these changes in gene expression translated into the changes  
2570 observed in the cholesterol and TG levels, *in vitro* and *in vivo* (supplementary data). Similarly, FSE  
2571 elicited a significant response but not as great as 4-OH-Ile.

2572



2573

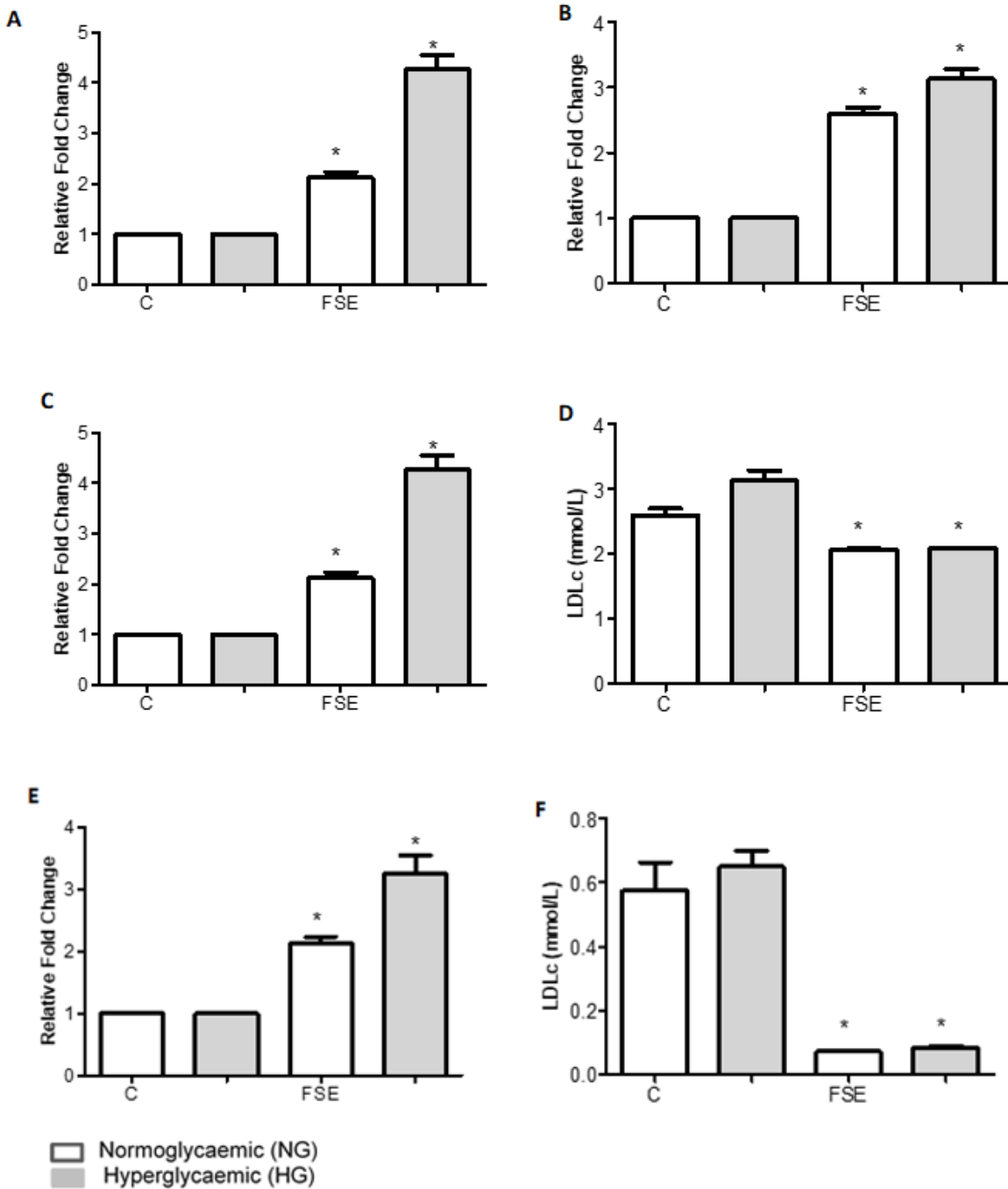
2574 **Figure 5** Protein expression analysis of pro-protein convertase subtilisin/kexin type 9 (PCSK9) and

2575 peroxisome proliferator-activated receptor gamma (PPARG) in fenugreek seed extract (FSE) treated

2576 HepG2 cells (normoglycaemic – A,  $p=0.0142$ ; hyperglycaemic – B,  $p=0.0021$ ) and mouse liver

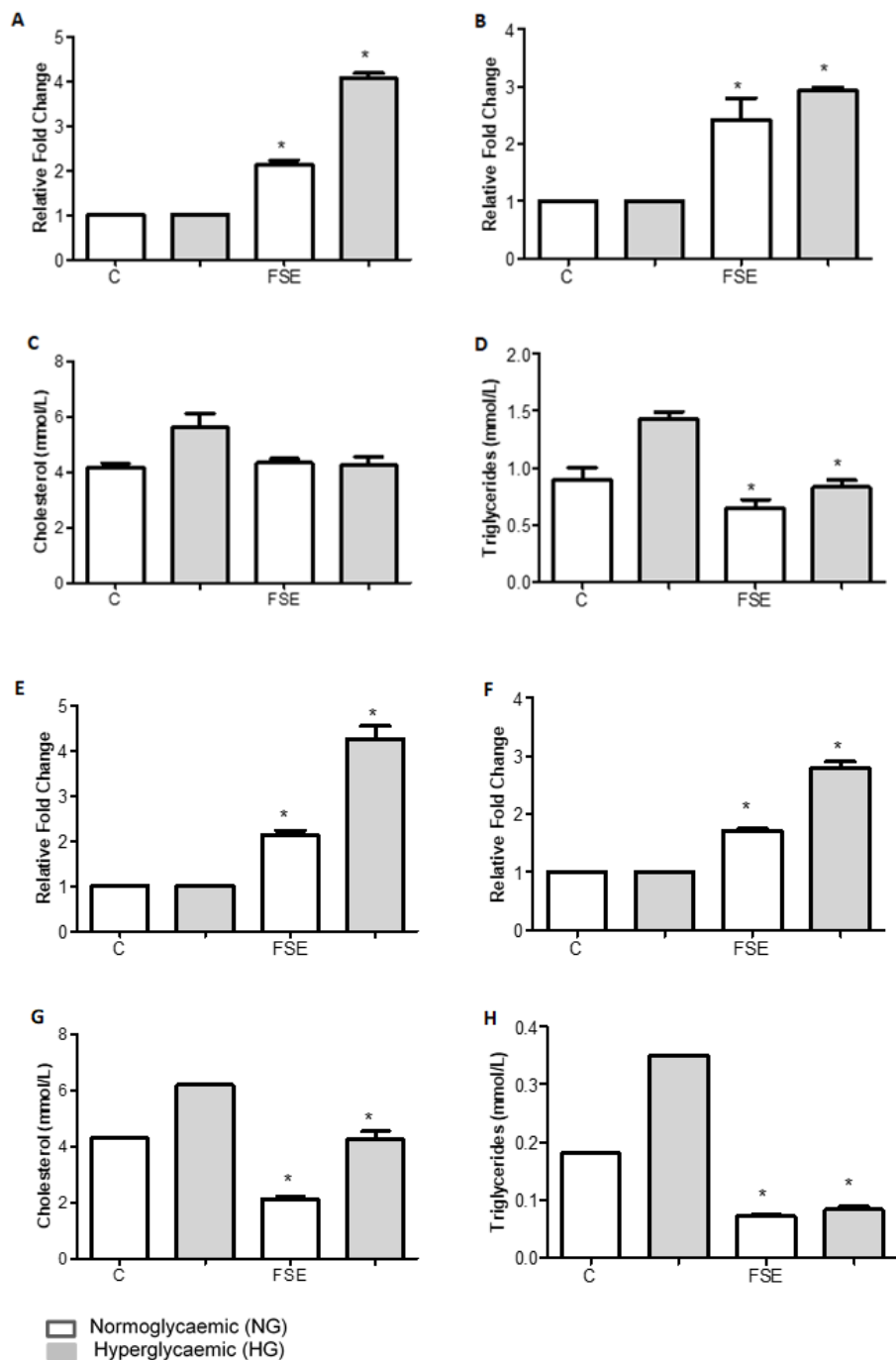
2577 (normoglycaemic – C,  $p=0.0352$ ; hyperglycaemic – D,  $p=0.0141$ ). \* $P < 0.05$  relative to control

2578



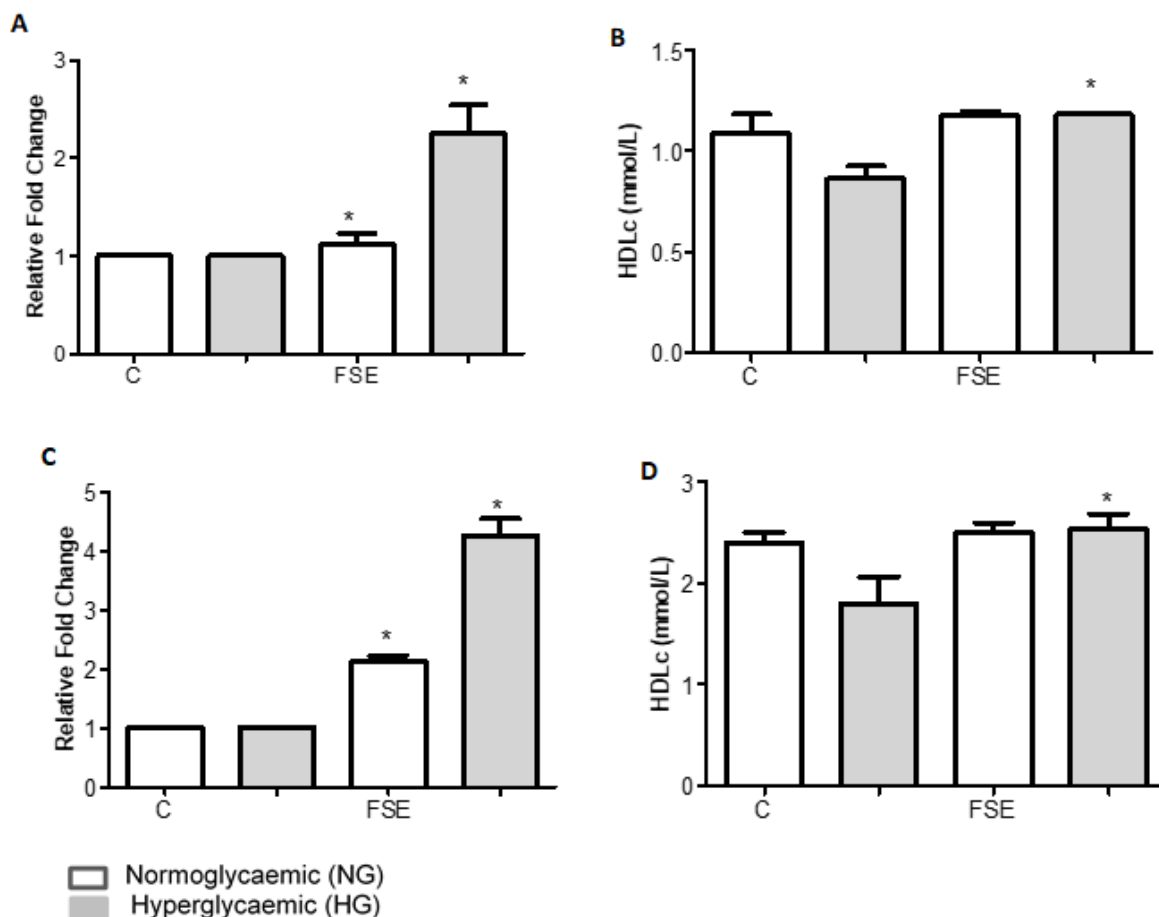
2579

2580 **Figure 6** Fenugreek seed extract treatment increase mRNA levels of *PCSK9* in HepG2 cells (A,  
 2581  $p=0.0363$ ) and mice liver samples (B,  $p=0.00412$ ). *LDLr* mRNA was significantly elevated in  
 2582 hyperglycaemic HepG2 samples treated with FSE (C,  $p=0.0223$ ) with a concomitant decrease in  
 2583 extracellular LDLc concentration (D,  $p=0.0360$ ). The *in vivo* data substantiated this with significantly  
 2584 elevated *LDLr* transcripts (E,  $p=0.0142$ ) and significantly reduced plasma LDLc levels (F,  $p=0.0133$ ) in  
 2585 both MF and 4-OH-Ile treatments under both conditions. \* $P < 0.05$  relative to control



2586  
 2587 **Figure 7** Quantitative PCR results show fenugreek seed extract (FSE) increased mRNA levels of  
 2588 *SREBP1c* (A;  $p=0.0412$ ); and *FAS* (B;  $p=0.0021$ ) in HepG2 cells. Extracellular cholesterol levels were  
 2589 significantly reduced relative to the control under hyperglycaemic conditions (C,  $p=0.0312$ ) and TGs  
 2590 were significantly reduced by MF and 4-OH-Ile under both conditions (D,  $p=0.0122$ ). mRNA  
 2591 quantification in substantiated increased *SREBP1c* (E,  $p=0.0043$ ) and *FAS* (F,  $p=0.0102$ ) in the presence

2592 of MET and 4-OH-Ile. Lipid profile analysis showed reduced plasma levels of cholesterol (G,  $p=0.0121$ )  
 2593 and TGs (H,  $p=0.0231$ ) of treated mice.  $*P < 0.05$  relative to control.  
 2594



2595  
 2596 **Figure 8** Fenugreek seed extract treatment relative to the untreated control on the gene expression *Apo A1*  
 2597 on HepG2 cells (A,  $p=0.0122$ ) and mice liver (C,  $p=0.0272$ ) under normoglycaemic and hyperglycaemic  
 2598 conditions. A lipid profile analysis was performed on the supernatant of the treated HepG2 cells (B,  
 2599  $p=0.0233$ ) and serum (D,  $p=0.0332$ ) of treated mice to assess the extracellular HDLc levels, under  
 2600 normoglycaemic and hyperglycaemic conditions.  $*P < 0.05$  relative to control  
 2601

2602 Discussion

2603 The role of 4-OH-Ile as an anti-lipidemic alternative has been supported by improved lipid profiles (19).  
 2604 We previously showed in an in vitro liver model, the reduction of extracellular glucose levels by 4-OH-  
 2605 Ile, MF and FSE via induction of the insulin signaling pathway and subsequent GLUT2 recruitment. (15).  
 2606 We also validated the above study in an in vivo mice model. The present study consolidated our previous

2607 findings on 4-OH-Ile, by investigating the effect of 4-OH-Ile in comparison to MF and FSE on PCSK9  
2608 and PPARG signaling and related plasma lipid profiles in hyperglycaemic HepG2 cells and C57BL/6  
2609 male mice. 4-hydroxyisoleucine significantly improved the altered lipid profile, SREBP1c-FAS, PCSK9  
2610 and PPARG signaling in both models by effectively regulating altered lipid levels, enhancing SREBP1c-  
2611 FAS and PPARG signaling and reducing PCSK9.

2612  
2613 An abnormal lipid profile is the hallmark abnormality of diabetic dyslipidemia. Studies have reported the  
2614 lipid lowering effect of fenugreek in reducing abnormal lipid levels. In human studies by Sharma et al,  
2615 1990 and Kassaian et al, 2009, both researchers concluded that the lipid lowering effect of fenugreek  
2616 seeds was attributed to 4-OH-Ile (19, 29). In dyslipidemic hamsters' 4-OH-Ile decreased plasma TGs,  
2617 total cholesterol, and FFAs, accompanied with an elevation by 39% of the HDLc: TC ratio. Another study  
2618 by Haeri et al, 2009 (12) displayed improved liver function markers, decreased blood glucose and  
2619 restoration of blood lipid and uric acid levels following 4-OH-Ile treatment (12). An important study by  
2620 Vijayakumar et al, 2010 (10) investigated the hypolipidemic effect of fenugreek seeds in 3T3-L1 and  
2621 HepG2 cells. They showed that the inhibition of fat accumulation and upregulation of LDLr, decreased  
2622 both TGs and LDLc (10). However no studies have reported the lipid lowering effects of 4-OH-Ile on  
2623 both PCSK9 and PPARG in regulating lipid homeostasis. In our study, we compare the effect of 4-OH-Ile  
2624 to MF and FSE on plasma lipoproteins and regulation of PCSK9 and PPARG under both normal and HG  
2625 conditions, in HepG2 cells and C57BL/6 male mice.

2626  
2627 In this study, we showed the potential of 4-OH-Ile in activating SREBP1c gene expression.  
2628 Interestingly, 4-OH-Ile elicited a more potent effect than MF under NG and HG conditions, in both  
2629 models. The activation of SREBP1c is largely regulated by insulin which plays a profound role in  
2630 insulin's effect on the transcription of the hepatic gene FAS. The significance of this direct  
2631 communication of insulin with SREBP1c was supported by Azzout-Marniche et al, 2000 (20). This  
2632 interaction between insulin and SREBP1c is crucial for the genomic actions of insulin on both  
2633 carbohydrate and lipid metabolism (20). Furthermore, the results of Dif et al, 2006 strongly suggest that  
2634 SREBP1c transcription factors are the main mediators of insulin action on SREBP1c expression in human  
2635 tissues. Other studies are also in agreement of the imperative role of insulin on SREBP1c (21). Therefore,  
2636 we investigated the effect of 4-OH-Ile in comparison to MF on SREBP1c mRNA expression. In both  
2637 models, we observed 4-OH-Ile significantly increase SREBP1c with a profound effect during  
2638 hyperglycaemia (Fig 4). In addition, the transcript levels of FAS also increased, with 4-OH-Ile inducing a  
2639 greater increase as compared to MF (Fig 4). During FA synthesis, both SREBP1c and subsequent FAS  
2640 activation is central to the formation of TGs (22, 23). Triglycerides form a major component of very-low

2641 density lipoprotein (VLDL) which serve as both energy sources and transporters of dietary fat (2). Again,  
2642 our results showed that 4-OH-Ile preceded the effect of MF in regulating TG levels (Fig 4). Collectively  
2643 these findings validate the potential of 4-OH-Ile in regulating SREBP1c and FAS activation during  
2644 chronic hyperglycaemia.

2645  
2646 The impact of SREBP1c activation is fundamental for the formation of lipoproteins (23). Following the  
2647 formation of TGs, their uptake into the cell stimulates VLDL assembly which is an attempt of the liver to  
2648 maintain lipid homeostasis (24). This is followed by the removal of TG remnants from VLDL particles,  
2649 resulting in particles with a higher cholesterol content – forming LDLc (25, 26). Several studies have  
2650 evaluated the role of LDLc in lipid homeostasis (27, 28). A study by Mohan et al, 2005 concluded that  
2651 LDL is associated with diabetes and a TG/HDL ratio  $\geq 3.0$  could serve as a marker of LDL (27). A patient  
2652 study supported recommendations for aggressive control of LDLc in diabetic individuals, with a target  
2653 level of  $<5.5\text{mmol/L}$  (29). In addition, studies have reported the use of PCSK9 regulators in controlling  
2654 LDLc levels (4). Seidah, 2009 reported Annexin A2 to specifically bind and inhibit PCSK9 (30). Another  
2655 study raised the possibility that pharmacologic inhibition of PCSK9 might lower LDLc levels in patients  
2656 with hypercholesterolemia (31). In the destructive hyperglycaemic environment 4-OH-Ile down-regulated  
2657 the total protein expression of PCSK9 (Fig 1B, D). This effect translated into the observed reduction in  
2658 PCSK9 gene expression (Fig 1, 2). More importantly, we observed a concomitant rise in the transcript  
2659 levels of LDLr (Fig 1; 2). Our results infer the potential of 4-OH-Ile in restoring lipid homeostasis which  
2660 is on par or even better than MF.

2661  
2662 Despite lowering LDLc levels, it is of utmost importance that lowered LDLc is accompanied by a  
2663 concomitant rise in HDLc. The term ‘good’ cholesterol is given to HDLc which is formed following the  
2664 removal of cholesterol from LDLc. The formation of HDLc is necessary for reverse cholesterol transport  
2665 which is accomplished by Apo A1, a major constituent of HDLc (32, 33). Apolipoprotein A1 functions to  
2666 remove cholesterol from peripheral cells and transports it to the liver for its removal from circulation (34).  
2667 The well-known transcription factor PPARG is responsible for positively contributing to the cholesterol  
2668 pool by elevating HDLc levels. Studies have suggested PPARG agonists might have therapeutic potential  
2669 in the treatment of diabetic dyslipidemia (35-37). Our results are in agreement with these studies, as 4-  
2670 OH-Ile displayed a significant ability in increasing the protein expression of PPARG in both chronic  
2671 hyperglycaemic models (Fig 1). Furthermore, 4-OH-Ile exhibited stronger potential than MF in increasing  
2672 the gene expression of Apo A1 (Fig 4). The outcome of this increase was validated by the elevated HDLc  
2673 levels, in both HG models (Fig 4). The increase in HDLc further exemplifies the potency of 4-OH-Ile in  
2674 restoring an abnormal lipid profile.



2675 Following our promising results and the supporting literature, we further analyzed FSE under the same  
2676 parameters, in comparison to 4-OH-Ile and MF. We observed FSE significantly decrease total protein  
2677 expression of PCSK9 and increase the total protein expression of PPARG (Fig 5). In addition, FSE  
2678 elevated the gene expression levels of PCSK9, LDLr, SREBP1c, FAS and Apo A1. These results  
2679 preceded the effect of MF but were similar to 4-OH-Ile. Interestingly, 4-OH-Ile could account for the  
2680 potency of FSE, as 4-OH-Ile has been documented to be abundant in fenugreek seeds. Furthermore, 4-  
2681 OH-Ile is postulated to account for the seeds anti-diabetic and anti-lipidemic effects. Interestingly, our  
2682 study shows that 4-OH-Ile is potent in its effect on both PCSK9 and PPARG expression and related lipid  
2683 factors as opposed to the independent effect of FSE. Studies have also reported diosgenin – a biologically  
2684 active steroid sapogenin as a possible mediator in the seeds effect to maintain glucose and lipid  
2685 homeostasis (38). Animal models have recently supported the role of diosgenin in reducing glycaemia in  
2686 a diabetic state by reducing the proteins involved in hepatic gluconeogenesis and glucose export. Also  
2687 soluble fibers such as galactomannans largely constitutes the fiber content of fenugreek seeds (39).  
2688 Studies reported that these fibers enhance glycaemic control by inhibiting lipid and carbohydrate proteins  
2689 in the digestive system (40).

2690  
2691 4-hydroxyisoeleusine potentiates an anti-lipidemic response in hyperglycaemic HepG2 cells and C57BL/6  
2692 male mice. Collectively, the results show that the liver response to 4-OH-Ile exposure augments PCSK9  
2693 and PPARG expression and the abnormal lipid profile. These results were particularly profound in a  
2694 hyperglycaemic state. Furthermore, the results provide substantial evidence for the use of FSE as a  
2695 possible lipid-lowering agent. This data may help develop a better understanding of the molecular and  
2696 biochemical interactions of both 4-OH-Ile and FSE, associated with risks of diabetic dyslipidemia. This  
2697 has great importance in socio-economically challenged communities where T2D individuals are unable to  
2698 access healthcare facilities and natural products are first-line treatment.

2699  
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2703  
2704  
2705 **Conflict of interest**  
2706 Authors declare no conflict of interest.

2707  
2708

2709 **Author contributions**

2710 Experiment design: Nikita Naicker, Savania Nagiah, Pragalathan Naidoo and Anil A. Chaturgoon.  
2711 Implementation of experimental animal model: Nikita Naicker, Pragalathan Naidoo, Sanil Singh and  
2712 Sooraj Baijnath.  
2713 Execution of experiments, data analysis and research article: Nikita Naicker, Savania Nagiah, Anand  
2714 Krishnan and Anil A Chaturgoon  
2715 Review of research article: Savania Nagiah and Anil A. Chaturgoon.

2716

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2721

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## CHAPTER 5

2842  
2843  
2844 4-hydroxyisoleucine potentiates hepatic Nrf2-antioxidant response and mitochondrial maintenance  
2845 proteins during chronic hyperglycaemia in vitro and in vivo  
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2876 **Abstract**

2877 During type 2 diabetes mellitus (T2D), defective insulin action and hyperglycaemia, initiate over-  
2878 production of reactive oxygen species (ROS) and compromise mitochondrial integrity. This imbalance  
2879 causes oxidative stress (OS) contributing to diabetic complications. 4-hydroxyisoleucine (4-OH-Ile) - the  
2880 bioactive component of fenugreek seeds, possesses anti-diabetic and anti-lipidemic effects. Our study  
2881 evaluated the effects of 4-OH-Ile on hepatic Nrf2-mediated antioxidant response and mitochondrial  
2882 maintenance proteins (LonP1, SIRT3, PGC-1 $\alpha$ ), in vitro (HepG2 cells) and in vivo (C57BL/6 male mice).  
2883 In addition the effects of metformin and fenugreek seed extract (FSE) were also investigated. Treatments  
2884 included 4-OH-Ile, metformin and FSE and were conducted over 72 hours (in vitro) and 15 days (in vivo)  
2885 under normoglycaemic and hyperglycaemic (in vitro: 25mM glucose; in vivo: 50mg/kg body weight  
2886 streptozotocin) conditions. In vitro GSH (GSH assay), MDA (TBARS) and protein carbonyl (protein  
2887 carbonyl assay) levels were measured. Protein expression of pNrf2/Nrf2, LonP1, SIRT3 and PGC-1 $\alpha$   
2888 were measured by western blotting. And mRNA levels of SIRT3, PGC-1 $\alpha$ , SOD2 and GPx were  
2889 evaluated by qPCR. Thereafter, these results were validated using an in vivo diabetic mouse model.  
2890 In vitro, 4-OH-Ile increased GSH concentrations and mitigated OS markers (MDA, protein carbonyl),  
2891 greater than metformin. Since 4-OH-Ile enhanced the antioxidant status we validated the above regulatory  
2892 proteins both in vitro and in vivo. In both models, 4-OH-Ile significantly increased mRNA levels of Nrf2  
2893 targets and mitochondrial maintenance genes and proteins, exceeding that of metformin. However, the  
2894 overall effect of 4-OH-Ile was similar to FSE. In conclusion, 4-OH-Ile improves the OS status in T2D by  
2895 regulating pNrf2, LonP1 and SIRT3 expression. This provides a possible novel therapeutic intervention  
2896 for the treatment of OS and associated diabetic complications.

2897

2898 **Keywords:** type 2 diabetes mellitus, 4-hydroxyisoleucine, oxidative stress, hyperglycaemia, Nrf2,  
2899 mitochondrial proteins

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2910 **Introduction**

2911 During type two diabetes mellitus (T2D) compromised insulin signaling potentiates insulin resistance,  
2912 causing chronic hyperglycaemia [1]. Long term elevation in blood glucose levels initiates the over-  
2913 production of reactive oxygen species (ROS) via attenuating endogenous antioxidant (AO) enzymes,  
2914 mitochondrial dysfunction, stimulation of protein kinase C (PKC) and generation of advanced glycation  
2915 end-products (AGEs) [2, 3]. The accumulation of ROS results in oxidative stress (OS) - a pathological  
2916 condition linked to the development and progression of cardiovascular disease (CVD) and diabetic  
2917 complications. Uncontrolled ROS production in T2D patients is central to the pathogenesis of micro- and  
2918 macro-vascular complications, and associated morbidity and mortality [1]. It is therefore critically  
2919 important in treating modifiable CVD risk factors in T2D individuals.

2920

2921 Improving endogenous cellular AO responses and detoxification systems would be beneficial in  
2922 attenuating CVD and diabetic complications. The transcription factor nuclear factor erythroid 2-related  
2923 factor 2 (Nrf2) functions as the principal regulator of the endogenous AO system, with secondary  
2924 functions in detoxification and mitochondrial homeostasis. This transcription factor is the first line of  
2925 defence against OS by mediating the transcription of cytoprotective and ROS detoxification genes.  
2926 Reduced Nrf2 expression has been reported in diabetic mice and humans - contributing to elevated OS,  
2927 endothelial dysfunction and insulin resistance [4, 5]. Acute hyperglycaemia has been associated with  
2928 increased Nrf2 function whereas chronic hyperglycaemia has resulted in reduced function of Nrf2 [4-6].  
2929 This transcription factor is regulated through multi-signaling processes which involve cytosolic  
2930 regulation, nuclear translocation and export, and DNA binding [4, 7]. Studies have confirmed that the  
2931 diabetic milieu dysregulates several aspects of the Nrf2 signaling pathway [4, 7-9]. Therefore, targeting  
2932 Nrf2 may prove beneficial in counteracting the pathological effects of T2D.

2933

2934 The relationship between diabetes and mitochondrial dysfunction is well-established [7, 10].  
2935 Mitochondria generate low levels of superoxide anion radicals as by-products of the electron transport  
2936 chain during respiration [11]. At basal levels, ROS play a role in redox signaling; however, over-  
2937 production of ROS leads to free radical interaction with cellular macromolecules, often resulting in  
2938 deleterious effects [11]. It is well-documented that mitochondria are one of the main endogenous  
2939 producers of ROS [7, 10]. In an attempt to counteract this ROS production, Nrf2 further extends its AO  
2940 effect to the mitochondria [7, 10]. It has been reported that Nrf2 affects availability of substrates for  
2941 mitochondrial respiration, leading to its effect on mitochondrial ROS production [7, 10]. Furthermore,  
2942 mitochondria possess its own maintenance pathways which include sirtuin 3 (SIRT3), peroxisome  
2943 proliferator-activated receptor gamma coactivator-1alpha (PGC-1 $\alpha$ ) and mitochondrial lon protease 1



2944 (LonP1). A well-characterized marker of oxidative damage includes lipid peroxidation which yields lipid  
2945 peroxy-radicals [12]. The metabolic alterations associated with T2D increases susceptibility to lipid  
2946 peroxidation, and is considered a driver of atherosclerotic progression [12]. Additionally, ROS interaction  
2947 with amino acid side chains, produces protein carbonyls and dysfunctional oxidatively-modified proteins  
2948 [12]. Hyperglycaemia elevates protein damage via protein glycosylation, resulting in the production of  
2949 AGEs. LonP1 is a mitochondrial protease, which proteolytically clears damaged proteins, which  
2950 preserves proteostasis under normal metabolic conditions, and avoids proteotoxicity during environmental  
2951 and cellular stress [13]. SIRT3 is a NAD-dependent deacetylase, targeting proteins involved in energy  
2952 metabolism and the rate of ROS production [14]. SIRT3 serves to elevate cellular respiration and  
2953 attenuate ROS levels. More importantly, SIRT3 is essential for the induction of PGC-1 $\alpha$  - a regulator of  
2954 mitochondrial ROS scavenging enzyme; superoxide dismutase 2 (SOD2) and transcriptional coactivator  
2955 driving mitochondrial biogenesis [15]. Initiation of PGC-1 $\alpha$  expression in liver is a regulatory event  
2956 causing the activation of energy metabolic pathways which exert homeostatic control. However, elevated  
2957 glucose levels compromise the expression of PGC-1 $\alpha$ . Consequently, in T2D attenuated LonP1, SIRT3  
2958 and PGC-1 $\alpha$  levels further exacerbate mitochondrial dysfunction and OS.

2959  
2960 Metformin (MF) is the first-line drug therapy for treating T2D and demonstrates some AO activity [16-  
2961 18]. Alternative therapeutic interventions, however, provide a cost effective and easily accessible means  
2962 of treating T2D. *Trigonella foenum-graecum*, frequently known as fenugreek, has been investigated as a  
2963 therapeutic intervention in diabetes [19, 20]. Documented effects of fenugreek have been attributed to the  
2964 seed of the plant which has a high content of a branched-chain amino acid derivative - 4-  
2965 hydroxyisoleucine (4-OH-Ile) [20, 21]. A study by Mohamad et al, 2004 observed increased SOD2 and  
2966 liver enzymes in rats treated with 4-OH-Ile [22]. In cholesterol-fed rats, extracts of fenugreek seeds  
2967 reduced thiobarbituric acid-reactive substances (TBARS) and increased catalase and SOD2 in the liver  
2968 [23]. More importantly a study by Dutta et al, 2014 revealed that the 4-OH-Ile rich fraction possesses AO  
2969 characteristics which is evident from its ability to scavenge toxic radicals in a chemically defined in vitro  
2970 system [24]. In our previous study, we investigated the effects of 4-OH-Ile, MF and fenugreek seed  
2971 extract (FSE) on proteins and genes involved in regulating insulin signaling and dyslipidemia in vitro [25]  
2972 and in vivo (PHYMED-D-17-01253). These studies supported the use of 4-OH-Ile and fenugreek seed as  
2973 a possible alternate therapy for reducing hyperglycaemia and improving an abnormal lipid profile. The  
2974 objective of our current study was to investigate the regulatory effect of 4-OH-Ile in comparison to MF on  
2975 hepatic OS and mitochondrial maintenance proteins in hyperglycaemic HepG2 cells and C57BL/6 male  
2976 mice.

2977

2978 **Materials and methods**

2979 **Materials**

2980 4-OH-Ile (50118), MF (PHR1084) and streptozotocin (STZ) (S0130) were purchased from Sigma Aldrich  
2981 (St Louis, MO, USA). Whole fenugreek seeds were purchased from Agricol Niche Brands, a South  
2982 African seed company. A herbarium voucher of flowering material was lodged at the Ward Herbarium  
2983 (UDW-UKZN; N. Naicker 1). All other consumables were purchased from Merck (Darmstadt, Germany),  
2984 unless otherwise stated.

2985

2986 **Liquid chromatography–mass spectrometry (LC-MS)**

2987 LC-MS was used to separate multiple components and structurally identify individual components with  
2988 high molecular specificity, within a crude extract. 1mg of crude FSE was weighed and dissolved in 10ml  
2989 methanol (MeOH). Each sample was vortexed and sonicated to allow for complete dissolution. The  
2990 extract was then subjected to solid phase extraction, using a Supelco C18 100mg solid phase cartridge.  
2991 The extract was eluted with MeOH. A 1:100 dilution of the sample was prepared using MeOH and  
2992 injected into the LC-MS. The instrumentation used was the Shimadzu 202 UFLC-MS, mobile A: 0.1%  
2993 formic acid (FA) in water and mobile phase B: 0.1% FA in acetonitrile. Separation was achieved using a  
2994 YMC Triart C18 analytical column (4.6mm x 150mm), using a gradient elution method from 5% B to 95  
2995 B over 25min. Data was collected at 265nm and analyzed using the Shimadzu Lab Solutions software  
2996 (Graphs 2, 3, 4 and Table 2).

2997

2998 **Treatment preparation of stock solutions**

2999 Whole fenugreek seeds were crushed using a pestle and mortar, suspended in deionized water (1,000  
3000 mg/mL) and placed on a stirrer at room temperature (RT) for 3 hour (hr). The aqueous solution was  
3001 transferred to a sterile conical tube and centrifuged (3,600xg; 10min; 24°C). The aqueous phase was  
3002 removed, freeze-dried and stored at -20°C. Metformin tablets were crushed with a pestle and mortar,  
3003 suspended in 0.1M phosphate-buffered saline (PBS) (50mg/mL) and filter sterilized (0.45-µm filter). 4-  
3004 hydroxyisoleucine was obtained in a liquid form and treatments were prepared from a stock solution (as  
3005 per manufacturer's instructions). Subsequent treatments were prepared in complete culture medium  
3006 (CCM) for in vitro and in 0.1M PBS solution for in vivo administration.

3007

3008 **Cell culture and treatment preparation**

3009 HepG2 cells were cultured (37°C, 5% CO<sub>2</sub>) in 25cm<sup>3</sup> flasks in complete CCM comprising Eagles  
3010 minimum essential medium, 10% foetal calf serum, 1% L-glutamine and 1% penstrepfungizone (Lonza  
3011 Biowhittaker; Basel, Switzerland). Cells were grown to 90% confluency prior to treatment for 72hr. Cells

3012 were subject to normoglycaemic (NG; 5mM glucose) and hyperglycaemic (HG; 25mM glucose)  
3013 conditions and treatments were replenished every 24hr. The methods used for the preparation of 4-OH-Ile,  
3014 MF and FSE were as per the protocol followed by Naicker et al, 2016 [25]. The optimized treatment  
3015 concentrations by Naicker et al, 2016 were used in this study which include; 4-OH-Ile (100ng/ml), MF  
3016 (2mM) and FSE (100ng/ml) [25]. All experiments were conducted in triplicate and repeated  
3017 independently 3 times. The following spectrophotometric assay: TBARS, GSH and protein carbonyl  
3018 assay were only performed in vitro, these results prompted us to further investigate the protein and gene  
3019 expression in vitro and in vivo.

3020

### 3021 **TBARS**

3022 The TBARS assay determined extracellular levels of MDA – an end-product of lipid peroxidation in  
3023 HepG2 cells. The assay was conducted as the method previously described by Phulukdaree et al, 2010  
3024 [26]. The absorbance was measured at 532nm with a reference wavelength of 600nm using a Bio-Tek  
3025  $\mu$ Quant spectrophotometer. The average of 3 replicates were calculated and divided by the absorption  
3026 coefficient, 156 mM<sup>-1</sup> to determine the average concentration of MDA ( $\mu$ M).

3027

### 3028 **GSH Assay**

3029 The GSH-Glo™ Glutathione Assay (Promega, Madison, USA) was used to detect reduced GSH content  
3030 in HepG2 cells. For the detection of GSSG, a stronger thiol reductant, tris (2-carboxyethyl) phosphine  
3031 hydrochloride (TCEP), was used to release GSH bound to proteins, providing a reading for GSSG+GSH.  
3032 GSSG was calculated by subtracting the GSH quantification from GSSG+GSH. The assay was conducted  
3033 as per the method previously described by Nagiah et al, 2015 [27]. The plates were read on a Modulus™  
3034 microplate luminometer (Turner Biosystems, Sunnyvale, CA) and GSH concentrations ( $\mu$ M) were  
3035 determined by extrapolation from the standard curve.

3036

### 3037 **Protein carbonyl assay**

3038 The protein carbonyl assay was used as a measure of oxidative damage to proteins in HepG2 cells.  
3039 Following treatment, cells were rinsed twice with PBS; 200 $\mu$ l of cell lysis buffer was added for 10min on  
3040 ice and then centrifuged (4°C, 12,000g). Crude protein samples were quantified by the bicinchoninic acid  
3041 assay and standardized to 2mg/mL in 200 $\mu$ l. For each sample, 200 $\mu$ l of protein was transferred to a 15ml  
3042 conical centrifuge tube. 800 $\mu$ l of 10mM DNPH in 2.5M HCl was added to 200 $\mu$ l of protein of each  
3043 sample, except the blank (800 $\mu$ l of 2.5M HCl). Samples were left for 1hr to incubate at room temperature  
3044 and vortexed every 15min. 1ml of 20% TCA was added to each sample, and left on ice for 10min. This  
3045 was followed by centrifugation for 10min at 4000xg for the collection of protein precipitates. Another

3046 wash was performed using 1ml of 10% TCA. Samples were then centrifuged at 2000g for 10min at RT.  
3047 To remove the free DNPH, the pellets were washed twice with 1ml of ethanol-ethyl acetate (1:1, v/v). The  
3048 final precipitates were dissolved in 500µl of 6M guanidine hydrochloride and left for 10min at 37°C with  
3049 general vortex mixing. Any insoluble materials were removed by additional centrifugation (2,000g,  
3050 10min, RT). Samples were plated at 100µl per well in triplicate and protein carbonyl concentration was  
3051 determined at an absorbance of 370nm.

3052

### 3053 **Animals**

3054 Six-week-old male C57BL/6 mice (n=40) were procured from the Biomedical Resource Unit at the  
3055 Westville Campus of the University of KwaZulu-Natal (UKZN), Durban, South Africa. Mice with a mean  
3056 body weight (BW) of  $20 \pm 2.99$ g were randomly divided into 2 groups: non-diabetic (NG) and diabetic  
3057 (HG). Each group were further subdivided into 4 groups of 5 mice each as follows: Control (C), 4-OH-Ile,  
3058 MF and FSE. Mice were housed in polycarbonated cages in a humidity and temperature controlled room  
3059 (40-60% humidity,  $23 \pm 1$ oC) with a 12hr light dark cycle. The mice were fed a commercially available  
3060 pellet diet and normal drinking water ad libitum throughout the 15 day experimental period. The mice  
3061 were maintained according to the rules and regulations of the Experimental Animal Ethics Committee of  
3062 the UKZN (Ethical approval number: AREC/057/016).

3063

### 3064 **Induction of diabetes**

3065 Administration of a low single dose of STZ damages pancreatic  $\beta$ -cells through alkylation of DNA by  
3066 causing partial destruction of these cells, resulting in hyperglycaemia [28, 29]. This process displays the  
3067 pathophysiological characteristics of T2D which include inadequate  $\beta$ -cell mass and  $\beta$ -cell dysfunction.  
3068 Type two diabetes was induced in all mice in the diabetic group via intraperitoneal administration of STZ  
3069 (50mg/kg BW) dissolved in 0.1M citrate buffer (pH 4.4) following an overnight fast (12hr). The optimal  
3070 dosage of 50mg/kg was determined by preliminary investigation which included a range of STZ  
3071 concentrations (50mg/kg, 100mg/kg and 150mg/kg BW). Blood was collected from the tail vein, using a  
3072 glucometer (Accu-Chek®) to monitor and measure the fasting blood glucose over a 10 day period prior to  
3073 administration of treatment. Once a blood glucose of  $>7$ mmol/L and  $<16$ mmol/L was achieved and stable,  
3074 the treatment period was inducted.

3075

### 3076 **Treatment preparations**

3077 Preparation of treatments were guided by the protocol followed by Naicker et al, 2016 [25]. The  
3078 concentration of 4-OH-Ile (100mg/kg BW), MF (20mg/kg BW) and FSE (100mg/kg BW) were based on  
3079 previous animal studies which evaluated a range of concentrations and reported the outcomes of the range

3080 which we based our optimal concentration [19, 30-33]. Mice were treated once daily for the 15 day  
3081 treatment period via oral gavage.

3082

### 3083 **Animals post treatment**

3084 At the end of the treatment period, mice were sacrificed using isoflurane. Blood samples were collected  
3085 using anticoagulant EDTA tubes, in order to measure blood glucose levels. The blood glucose levels were  
3086 measured at an accredited laboratory (AMPATH, Amanzimtoti, South Africa). All mice livers were  
3087 harvested, rinsed twice in saline, dissected and stored in Cytobuster (Novagen, Darmstadt, Germany) and  
3088 Qiazol (Qiagen; Hildenburg, Germany) at -80°C until analysis.

3089

### 3090 **Glucose analysis**

3091 Glucose analysis was performed by an accredited pathology laboratory (AMPATH laboratories,  
3092 Amanzimtoti, South Africa). The supernatant from each cell culture sample was lyophilized and  
3093 reconstituted in 500µl of 0.1M PBS before analysis. Plasma isolated from each mouse was also analyzed  
3094 for glucose levels. Glucose and oral glucose tolerance test analysis are shown in Figure 1 (supplementary  
3095 data).

3096

### 3097 **Western blotting**

3098 Western blots were performed to quantify relative protein expression of pNrf2/Nrf2, SIRT3, PGC-1 $\alpha$  and  
3099 LonP1. Crude protein was isolated using Cytobuster supplemented with protease and phosphate inhibitors  
3100 (Roche: 04693124001 and 04906837001). HepG2 cells and mice liver samples (homogenized) were  
3101 incubated in Cytobuster for 10min on ice and then centrifuged (4°C, 12,000g). Crude protein samples  
3102 were quantified by the bicinchoninic acid assay and standardized to 1mg/mL. Samples were boiled in  
3103 Laemmli buffer [dH<sub>2</sub>O, 0.5M Tris-HCl (pH 6.8), glycerol, 10% SDS,  $\beta$ -mercaptoethanol and 1%  
3104 bromophenol blue] for 5min. Samples were electrophoresed on a sodium dodecyl sulphate  
3105 polyacrylamide gel (4% stacking and 10% resolving) for 1hr at 150V and transferred on to nitrocellulose  
3106 using the TransBlot Turbo Blotting System (Bio-Rad; Hercules, CA) using a preinstalled Standard SD  
3107 program. All membranes were blocked for 2hr in 3% BSA in Tween20-Tris-buffered saline (TTBS -  
3108 0.15M NaCl, 2.68M KCl, 24.86M Tris, 500µl Tween20, pH 7.4) at RT on a shaker. Thereafter, the  
3109 membranes were incubated with primary antibody pNrf2 (CS8882, 1:5,000), SIRT3 (CSC73E7, 1:5,000),  
3110 PGC-1 $\alpha$  (CS2178, 1:5,000) and LonP1 (Sigma HPA002192, 1:5,000) at 4°C overnight. The membranes  
3111 were then equilibrated to RT on a shaker for 1hr, followed by 5 washes (10min) with TTBS. Membranes  
3112 were then probed with horseradish peroxidase conjugated-secondary antibody [anti-rabbit 1:10,000  
3113 (CS7074)] for 1hr, followed by 5 washes (10min) with TTBS. Chemiluminescent signal was detected

3114 using ECL Clarity Western detection reagent (Bio-Rad) and captured on the Bio-Rad ChemiDoc Viewing  
3115 System. Data were expressed as relative band density (RBD) and expression of proteins was analyzed  
3116 with the Bio-Rad ChemiDoc MP Imaging System with Image Lab software. Membranes were quenched  
3117 (5% H<sub>2</sub>O<sub>2</sub> at 37°C for 30min) and pNrf2 was normalized against total Nrf2 (ab31163, 1:5,000) and other  
3118 proteins were normalized against  $\beta$ -actin (A5316, 1:5,000).

3119

### 3120 **Quantitative PCR**

3121 The mRNA expression of SIRT3, PGC-1 $\alpha$ , GPx and SOD2 was determined by qPCR. Total RNA was  
3122 isolated using Qiazol extraction buffer (Qiagen, Hilden, Germany) and an in-house protocol [34]. RNA  
3123 was quantified using a spectrophotometer (Nanodrop2000, Biotech) and standardized to 1,000ng/ $\mu$ l.  
3124 Standardized RNA was reverse transcribed to complementary DNA (cDNA) using the iScript<sup>TM</sup> cDNA  
3125 Synthesis kit (Bio-Rad; 107-8890) as per the manufacturer's instruction. A reaction volume of 10 $\mu$ l was  
3126 prepared consisting of 5X IQ<sup>TM</sup> SYBR<sup>®</sup> ssoAdvanced SYBR Green (Bio-Rad; 170-880), nuclease free  
3127 water, 1 $\mu$ l cDNA template (1,000ng/ml), and 1 $\mu$ l sense and anti-sense primer (Inqaba Biotec<sup>TM</sup>). The  
3128 mRNA expression was normalized against a housekeeping gene (18S). Thermocycler conditions were  
3129 carried out using the CFX96 Touch<sup>TM</sup> Real-Time PCR Detection System (Bio-Rad, Hercules, CA) as  
3130 follows: initial denaturation (95°C, 10min), 40 cycles of denaturation (95°C, 15sec), annealing and  
3131 extension (72°C, 30sec). Relative fold change was calculated using the method described by Livak and  
3132 Schmittgen, 2001 to calculate relative fold change [35]. Primer sequences and annealing temperatures are  
3133 shown in (Supplementary data, table 1).

3134

### 3135 **Statistical analyses**

3136 Following each experiment in vitro and in vivo, 4-OH-Ile was compared to MF and the untreated control.  
3137 We then analyzed FSE in comparison to the untreated control. Statistical analyses were performed using  
3138 GraphPad Prism v5.0 software (GraphPad Software, Inc.). Statistical comparisons for 4-OH-Ile, MF and  
3139 the untreated control were made using a one-way analysis of variance (ANOVA), nonparametric test  
3140 (Kruskal Wallis test) and a Dunn's post-test; and a non-parametric Mann-Whitney test was used for FSE  
3141 and the untreated control. The data were considered statistically significant with a value of  $p < 0.05$ .

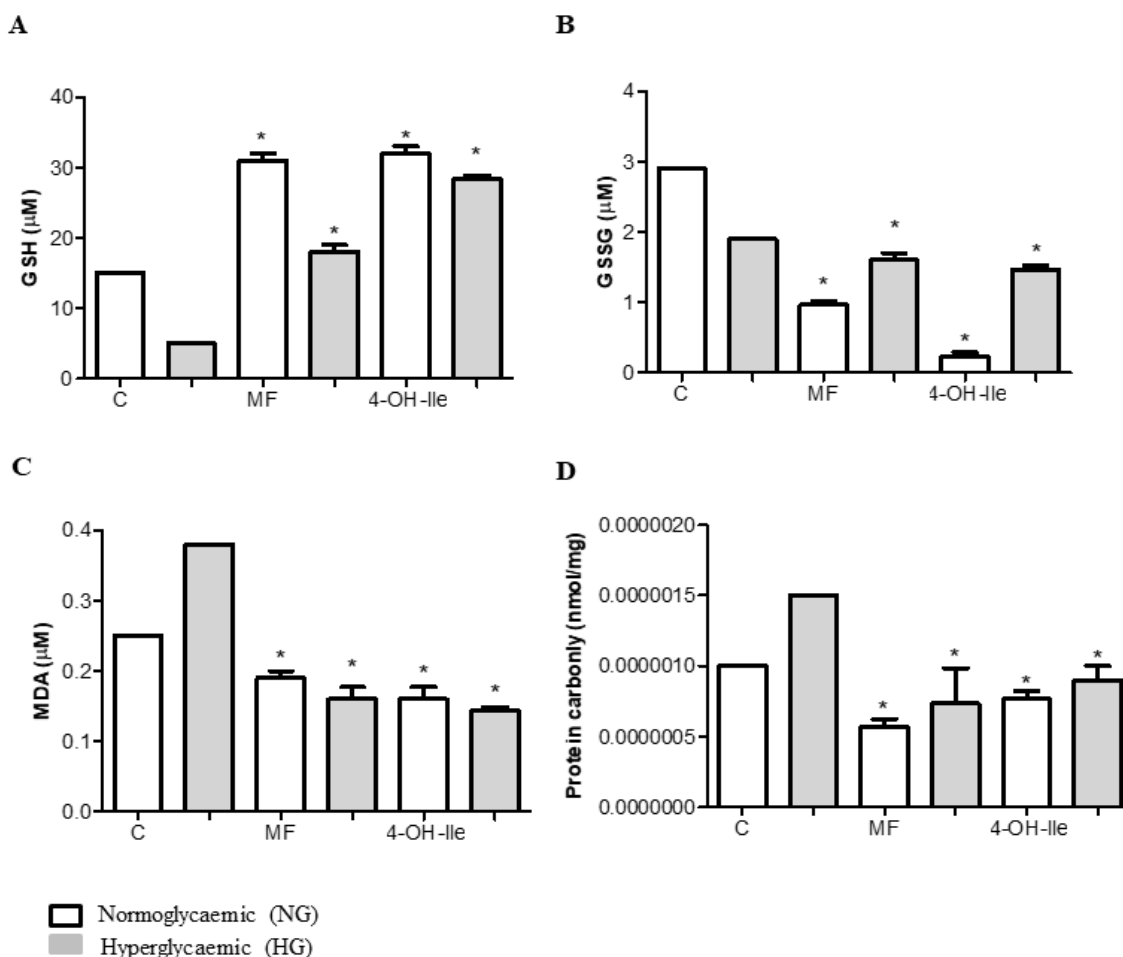
3142

### 3143 **Results**

#### 3144 **4-OH-Ile regulates MDA, GSH and protein carbonyl levels under NG and HG conditions, *in vitro***

3145 We first determined the effect of 4-OH-Ile on OS markers (MDA and protein carbonyls) and cellular AO  
3146 content (GSH/GSSG). Figure 1A demonstrates OS induction as indicated by increased extracellular MDA  
3147 levels, under a HG state ( $0.38 \pm 0.004$ ). 4-OH-Ile ( $0.13 \pm 0.004$ ) and MF ( $0.15 \pm 0.004$ ) significantly

3148 reduced the levels of MDA (Fig 1A). During hyperglycaemia, GSH levels are attenuated which is  
 3149 observed in the hyperglycaemic control (4.0 ± 0.002) (Fig 1B). Again, both 4-OH-Ile (NG: 30.0 ± 0.002;  
 3150 HG: 18.0 ± 0.002) and MF (NG: 32.0 ± 0.001; HG: 27 ± 0.004) significantly increased GSH levels  
 3151 compared to the untreated controls (Fig 1B). In addition, the levels of GSSG were reduced under both  
 3152 conditions by 4-OH-Ile (NG: 0.2 ± 0.006; HG: 1.3 ± 0.005) and MF (NG: 0.9 ± 0.005; HG: 1.5 ± 0.006)  
 3153 compared to the untreated controls (NG: 2.9 ± 0.001, HG: 1.8 ± 0.017) (Fig 1C). Hyperglycaemia causes  
 3154 the oxidation of proteins, forming protein carbonyls. We observed the rise in protein carbonyls in the  
 3155 hyperglycaemic state (0.0000015 ± 0.005) (Fig 1D). However, as seen in figure 1D 4-OH-Ile (NG:  
 3156 0.0000008 ± 0.003; HG: 0.0000009 ± 0.002) and MF (NG: 0.0000006 ± 0.001; HG: 0.0000007 ± 0.004)  
 3157 attenuated the protein carbonyl levels.

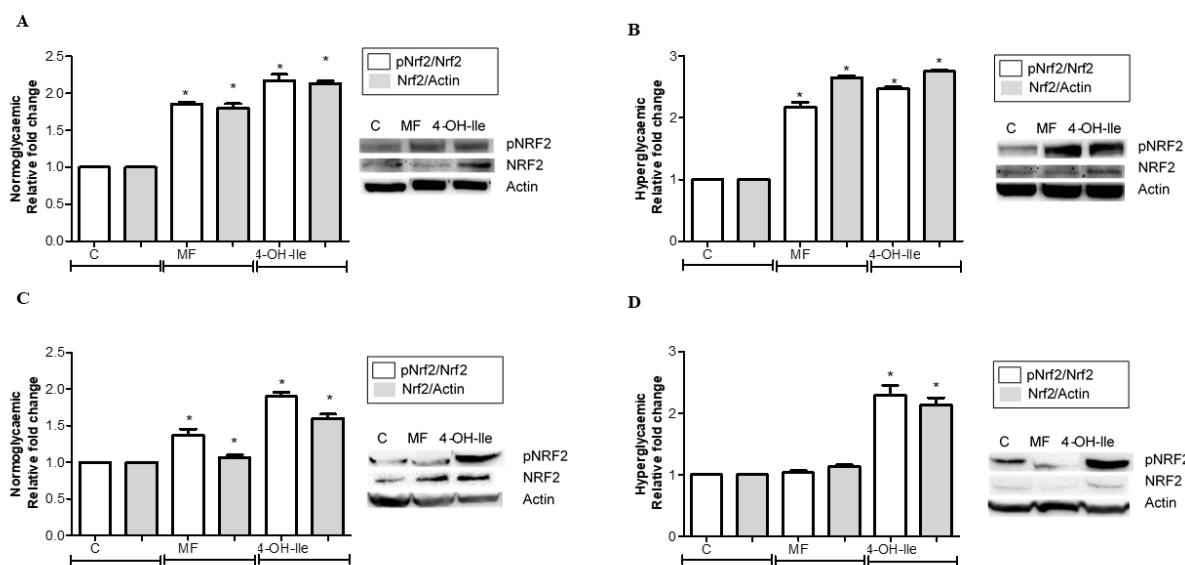


3158  
 3159 **Figure 1.** Intracellular reactive oxygen species measured as malondialdehyde (MDA) (A–  
 3160 normoglycaemic,  $p=0.0024$ ; hyperglycaemic,  $p=0.0012$ ), GSH (B – normoglycaemic,  $p=0.0424$ ;  
 3161 hyperglycaemic,  $p=0.0232$ ), GSSG (C– normoglycaemic,  $p=0.0121$ ; hyperglycaemic,  $p=0.0242$ ), and  
 3162 protein carbonyl (D– normoglycaemic,  $p=0.0420$ ; hyperglycaemic,  $p=0.0112$ ) levels in HepG2 cells

3163 treated with metformin (MF) and 4-hydroxyisoleucine (4-OH-Ile) at 72h, \* $P$ <0.05; \*\* $P$ <0.05 relative to  
 3164 control.

3165  
 3166 **4-OH-Ile regulates protein expression of pNrf2/Nrf2 and gene expression of *SOD2* and *GPx* under**  
 3167 **NG and HG conditions, *in vitro* and *in vivo***

3168 The changes in MDA and GSH levels observed *in vitro* prompted further investigation of the  
 3169 transcriptional regulation of the cellular AO response. *In vitro*, 4-OH-Ile elevated the expression of pNrf2  
 3170 (NG: 2.2-fold,  $1.40 \pm 0.03$ RBD; HG: 2.5-fold,  $1.38 \pm 0.02$ RBD) (Fig 2A, B). This was validated *in vivo*;  
 3171 where we observed 4-OH-Ile increase the expression of pNrf2 (NG: 2-fold,  $1.40 \pm 0.02$ RBD; HG: 2.3-fold,  
 3172  $1.38 \pm 0.01$ RBD) (Fig 2C, D). Increased pNrf2 is indicative of nuclear translocation and activation of Nrf2,  
 3173 followed by binding to the ARE; inducing transcription of various antioxidant genes. This was evidenced  
 3174 by increased *SOD2* (Table 1) and *GPx* (Table 1) transcript levels in 4-OH-Ile treatments. In both HG *in*  
 3175 *vitro* and *in vivo* models 4-OH-Ile increased *SOD2* gene expression 2.4-fold (Table 1) and 2.2-fold (Table  
 3176 1), respectively. Likewise, 4-OH-Ile increased *GPx* gene expression 2.5-fold (Table 1) and 2.6-fold (Table  
 3177 1).



3178  
 3179 **Figure 2.** Phosphorylated (p) Nuclear factor erythroid 2-related factor 2 (Nrf2) and total Nrf2 expression  
 3180 in 4-hydroxyisoleucine (4-OH-Ile) and metformin (MF) treated HepG2 cells (normoglycaemic – A,  
 3181  $p=0.0112$ ; hyperglycaemic – B,  $p=0.0113$ ) and mouse liver (normoglycaemic – C,  $p=0.0164$ ;  
 3182 hyperglycaemic – D,  $p=0.0011$ ). \* $P$ < 0.05 relative to control.



3183 **Table 1.** Gene expression of *SIRT3*, *PGC-1 $\alpha$* , *SOD2* and *GPx* following 4-OH-Ile and MF treatment *in*  
 3184 *vitro* and *in vivo*, under NG and HG conditions

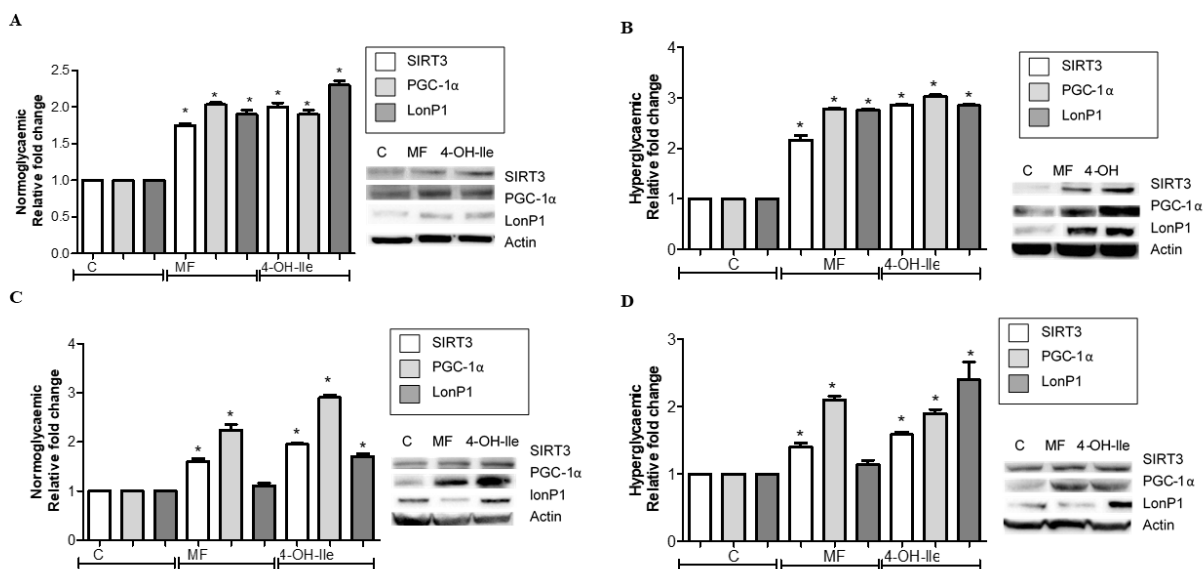
Normoglycaemic					Hyperglycaemic			
Gene <i>In vitro</i>	<i>SIRT3</i>	<i>PGC-1<math>\alpha</math></i>	<i>SOD2</i>	<i>GPx</i>	<i>SIRT3</i>	<i>PGC-1<math>\alpha</math></i>	<i>SOD2</i>	<i>GPx</i>
Control	1	1	1	1	1	1	1	1
4-OH-Ile	1.2*	1.5*	1.2*	1.3*	1.8*	1.6*	2.4*	2.4*
MF	1.3*	1.6*	1.3*	1.2*	2.0*	1.4*	2.6*	2.5*
<i>In vivo</i>								
Control	1	1	1	1	1	1	1	1
4-OH-Ile	1.2*	1.45*	1.2*	1.1*	1.7*	1.6*	2.4*	2.3*
MF	1.4*	1.4*	1.3*	1.2*	1.8*	1.5*	2.1*	2.0*

3185  
 3186 4-OH-Ile and MF treatments increase mRNA levels of *SIRT3*, *PGC-1 $\alpha$* , *SOD2* and *GPx* in HepG2 cells  
 3187 and mice liver samples. *SIRT3* ( $p=0.00132$ ), *PGC-1 $\alpha$*  ( $p=0.00133$ ), *SOD2* ( $p=0.00331$ ) and *GPx*  
 3188 ( $p=0.00012$ ) mRNA was significantly elevated in hyperglycaemic HepG2 samples treated with MF and 4-  
 3189 OH-Ile. The *in vivo* data substantiated this with significantly elevated *SIRT3* ( $p=0.00112$ ), *PGC-1 $\alpha$*   
 3190 ( $p=0.00211$ ), *SOD2* ( $p=0.00221$ ) and *GPx* ( $p=0.00122$ ) in both MF and 4-OH-Ile treatments under both  
 3191 conditions. \* $P < 0.05$  relative to control.

3192  
 3193 **4-OH-Ile regulates protein expression of LonP1, SIRT3 and PGC-1 $\alpha$  and mRNA expression of**  
 3194 ***SIRT3* and *PGC-1 $\alpha$*  under NG and HG conditions, *in vitro* and *in vivo***

3195 We evaluated the effects of 4-OH-Ile and MF on protein expression of LonP1, SIRT3 and PGC-1 $\alpha$  as an  
 3196 assessment of mitochondrial stress. We proposed oxidized protein levels diminished following the  
 3197 elevation of LonP1 *in vitro*; (NG: 2.3-fold, 1.28 $\pm$ 0.02RBD; HG: 2.8-fold, 1.58 $\pm$ 0.01RBD) (Fig 3A, B)  
 3198 and *in vivo*; 1.7-fold (NG: 1.40 $\pm$ 0.03RBD) (Fig 3C) and 2.2-fold (HG: 1.27 $\pm$ 0.02RBD) (Fig 3D). This  
 3199 effect was quantified *in vitro* as seen via the reduction in protein carbonyl levels (Fig 1D). Next, the  
 3200 activation of mitochondrial regulatory proteins were confirmed *in vitro*, via the increased protein  
 3201 expression of both SIRT3 (NG: 2-fold, 1.38 $\pm$ 0.02RBD; HG: 2.8-fold, 1.58 $\pm$ 0.02RBD) and PGC-1 $\alpha$  (NG:  
 3202 1.9-fold, 1.08 $\pm$ 0.02RBD; HG: 3-fold, 1.70 $\pm$ 0.02RBD) (Fig 3A, B). These results were validated by the  
 3203 observed increases *in vivo*, (Fig 3C, D). SIRT3 increased by 1.6-fold (NG: 0.90 $\pm$ 0.02RBD) (Fig 3C) and  
 3204 1.9-fold (HG: 1.00 $\pm$ 0.02RBD) (Fig 3D) whilst PGC-1 $\alpha$  expression increased by 2.4-fold (NG:  
 3205 2.40 $\pm$ 0.02RBD) (Fig 3C) and 2.8-fold (HG: 1.57 $\pm$ 0.02RBI) (Fig 3D). Furthermore, these observed

3206 changes in protein expression were accompanied by a concomitant rise in mRNA expression of both  
 3207 *SIRT3* and *PGC-1 $\alpha$*  (Table 1). In both models, the expression of these genes were more prominent in a  
 3208 HG condition. *In vitro* 4-OH-Ile increased *SIRT3* by 1.7-fold and *PGC-1 $\alpha$*  by 1.55-fold (Table 1); and *in*  
 3209 *vivo* 4-OH-Ile elevated *SIRT3* by 1.7-fold and *PGC-1 $\alpha$*  by 1.5-fold (Table 1). Again, the anti-diabetic drug  
 3210 MF failed to exceed the effects of 4-OH-Ile on both *SIRT3* and *PGC-1 $\alpha$* .



3211  
 3212 **Figure 3.** Protein expression analysis of Sirtuin 3 (*SIRT3*), peroxisome proliferator-activated receptor  
 3213 gamma coactivator 1-alpha (*PGC-1 $\alpha$* ) and mitochondrial lon protease 1 (*LonP1*) in 4-hydroxyisoleucine  
 3214 (4-OH-Ile) and metformin (MF) treated HepG2 cells (normoglycaemic – A,  $p=0.0242$ ; hyperglycaemic –  
 3215 B,  $p=0.0323$ ) and mouse liver (normoglycaemic – C,  $p=0.0269$ ; hyperglycaemic – D,  $p=0.0030$ ). \* $P <$   
 3216 0.05 relative to control

3217  
 3218 **FSE regulates protein expression of pNrf2/Nrf2, LonP1, SIRT3 and PGC-1 $\alpha$  and gene expression of**  
 3219 ***SIRT3*, *PGC-1 $\alpha$* , *SOD2* and *GPx* under NG and HG conditions, *in vitro* and *in vivo***

3220 We also investigated the effect of FSE on GSH, MDA and protein carbonyl levels *in vitro*. We observed  
 3221 that FSE possesses the ability to regulate markers of OS as efficiently as 4-OH-Ile (Fig 4). Consequently,  
 3222 *in vitro* and *in vivo* we investigated the phosphorylated and total protein expression Nrf2. pNrf2 increased  
 3223 1.3-fold (NG:  $1.01 \pm 0.03$ RBD) (Fig 5A) and 2.3-fold (HG:  $1.50 \pm 0.02$ RBD) (Fig 5B) *in vitro* and 2.2-fold  
 3224 (NG:  $1.70 \pm 0.04$ RBD) (Fig 5C) and 1.4-fold (HG:  $0.90 \pm 0.03$ RBD) (Fig 5D) *in vivo*. *In vitro*, 4-OH-Ile  
 3225 elevated total protein expression of Nrf2 (NG: 1.4-fold,  $1.40 \pm 0.01$ RBD; HG: 1.5-fold,  $1.38 \pm 0.02$ RBD)  
 3226 and *in vivo* (NG: 1.5-fold,  $1.40 \pm 0.04$ RBD; HG: 1.2-fold,  $1.38 \pm 0.02$ RBD) expression of Nrf2 (Fig 5).

3227 Following the effects of 4-OH-Ile, we can conclude that FSE is also a substantial regulator of this major  
3228 transcription factor.

3229

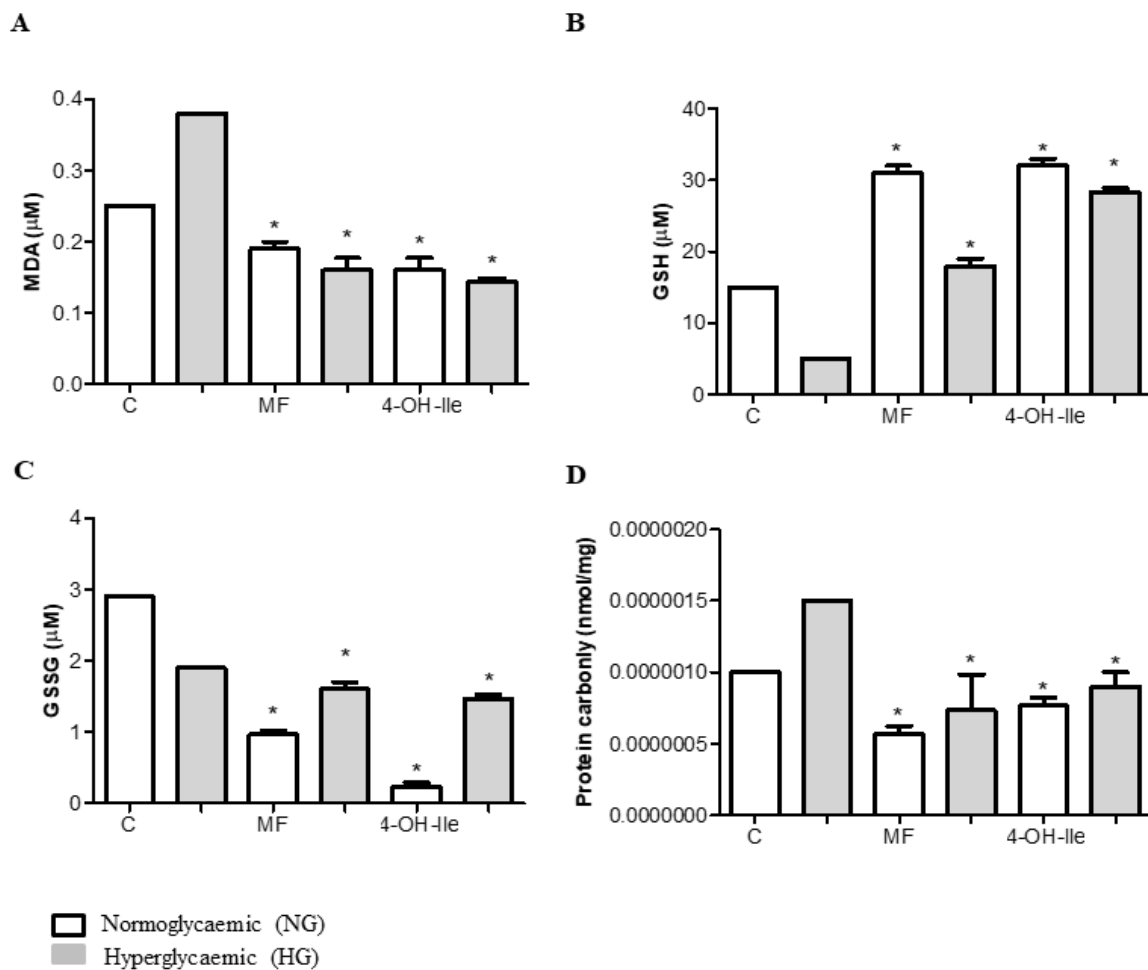
3230 LonP1 displayed a 1.4-fold (NG:  $1.01 \pm 0.02$ RBD) (Fig 6A) and 1.9-fold (HG:  $1.50 \pm 0.02$ RBD) (Fig 6B)  
3231 increase *in vitro* and 2.1-fold (NG:  $1.01 \pm 0.02$ RBD) (Fig 6C) and 1.2-fold (HG:  $0.90 \pm 0.02$ RBD) (Fig 6D)  
3232 rise *in vivo*. However, in both models these effects did not exceed 4-OH-Ile but were potent in eliciting a  
3233 response to the extract. *In vitro*, FSE significantly up-regulated the total protein expression of SIRT3 by  
3234 2.2-fold (NG:  $1.18 \pm 0.03$ RBD) (Fig 6A) and 2.5-fold (HG:  $1.28 \pm 0.02$ RBD) (Fig 6B). Also, under a HG  
3235 condition, FSE significantly increased the total protein expression of PGC-1 $\alpha$  2.6-fold ( $2.00 \pm 0.02$ RBD)  
3236 (Fig 6B) which exceeded the effects of 4-OH-Ile. *In vivo*, FSE displayed the similar changes in protein  
3237 expression; NG: 1.8-fold ( $1.90 \pm 0.02$ RBD) (Fig 6C) and HG: 1.65-fold ( $1.60 \pm 0.02$ RBD) (Fig 6D).

3238 Interestingly, FSE continued to display its efficacy via the marked increase in SIRT3 and PGC-1 $\alpha$ .

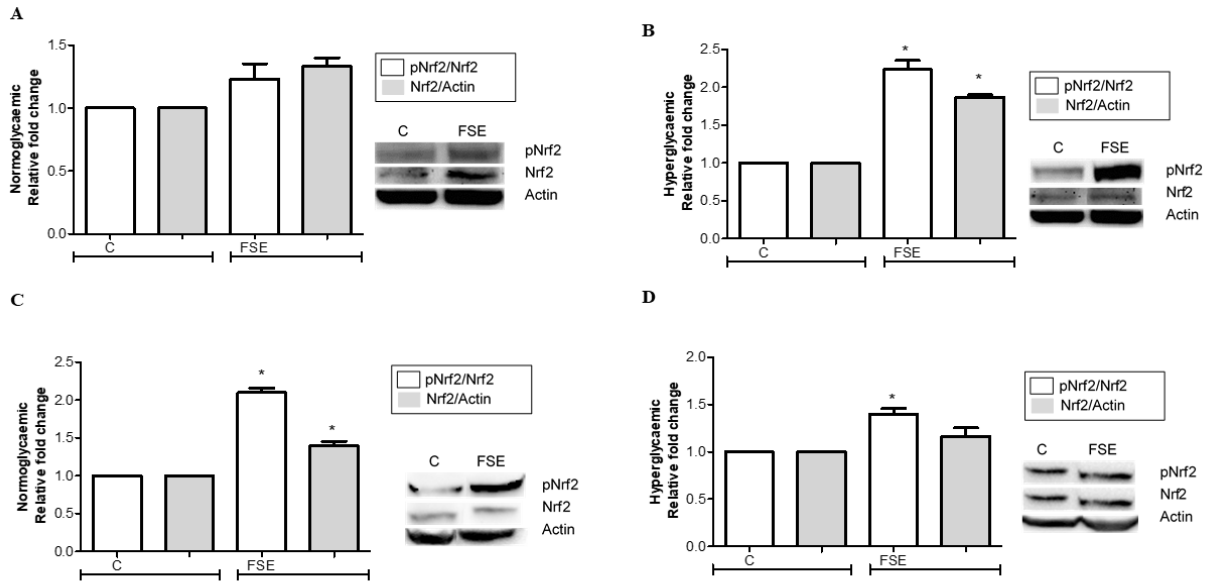
3239

3240 The gene expression of *SOD2*, *GPx*, *SIRT3* and *PGC-1 $\alpha$*  was increased by FSE under both conditions  
3241 (Table 2). *In vitro*, FSE (2.1-fold) displayed an increase in gene expression of *SIRT3* (Table 2) which  
3242 surpassed the effect of 4-OH-Ile. Also FSE (1.8- and 1.75-fold) increased *PGC-1 $\alpha$*  and *SOD2* gene  
3243 expression, respectively (Table 2). *In vivo*, the similar increases were observed following treatment with  
3244 FSE - *SIRT3* increased 1.9-fold, *PGC-1 $\alpha$* ; 2.1-fold and *SOD2*; 2.6-fold. *In vitro*, exceeding the effects of  
3245 4-OH-Ile, FSE increased *GPx* gene expression 2.4-fold (*in vitro*; HG) (Table 2) and 2-fold (HG; *in vivo*)  
3246 (Table 2).

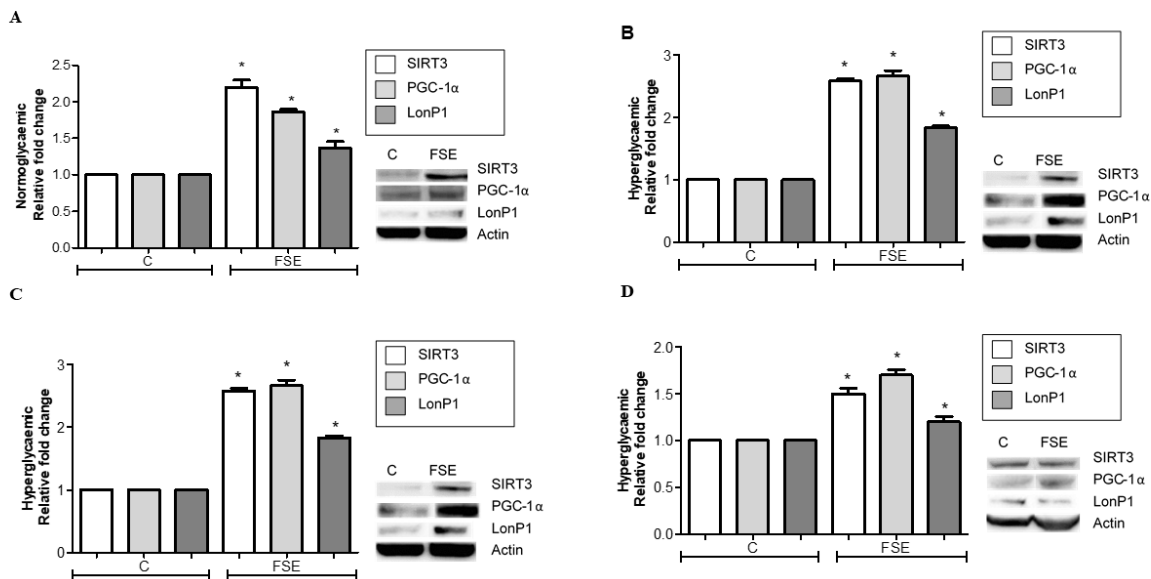
3247



3248  
 3249 **Figure 4.** Intracellular reactive oxygen species measured as GSH (A – normoglycaemic,  $p=0.0124$ ;  
 3250 hyperglycaemic,  $p=0.0132$ ), GSSG (B– normoglycaemic,  $p=0.0221$ ; hyperglycaemic,  $p=0.0142$ ),  
 3251 malondialdehyde (C– normoglycaemic,  $p=0.0034$ ; hyperglycaemic,  $p=0.0022$ ) and protein carbonyl (D–  
 3252 normoglycaemic,  $p=0.0320$ ; hyperglycaemic,  $p=0.0212$ ) levels in HepG2 cells treated with fenugreek  
 3253 seed extract (FSE) at 72h, \* $P<0.05$ ; \*\* $p<0.05$  relative to control.  
 3254



3255  
 3256 **Figure 5.** Phosphorylated (p) Nuclear factor erythroid 2-related factor 2 (Nrf2) and total Nrf2 expression  
 3257 in fenugreek seed extract (FSE) treated HepG2 cells (normoglycaemic – A,  $p=0.0212$ ; hyperglycaemic –  
 3258 B,  $p=0.0213$ ) and mouse liver (normoglycaemic – C,  $p=0.0164$ ; hyperglycaemic – D,  $p=0.0011$ ). \* $P <$   
 3259 0.05 relative to control  
 3260



3261  
 3262 **Figure 6.** Protein expression analysis of Sirtuin 3 (SIRT3), peroxisome proliferator-activated receptor  
 3263 gamma coactivator 1-alpha (PGC-1α) and mitochondrial lon protease 1 (LonP1) in fenugreek seed extract

3264 (FSE) treated HepG2 cells (normoglycaemic –A,  $p=0.0231$ ; hyperglycaemic – B,  $p=0.0233$ ) and mouse  
 3265 liver (normoglycaemic – C,  $p=0.0269$ ; hyperglycaemic – D,  $p=0.0030$ ). \* $P < 0.05$  relative to control

3266

3267 **Table 2.** Gene expression of *SIRT3*, *PGC-1 $\alpha$* , *SOD2* and *GPx* following FSE treatment *in vitro* and *in*  
 3268 *vivo*, under NG and HG conditions

Normoglycaemic					Hyperglycaemic			
Gene	<i>SIRT3</i>	<i>PGC-1<math>\alpha</math></i>	<i>SOD2</i>	<i>GPx</i>	<i>SIRT3</i>	<i>PGC-1<math>\alpha</math></i>	<i>SOD2</i>	<i>GPx</i>
<i>In vitro</i>							2	
Control	1	1	1	1	1	1	1	1
FSE	1.9*	1.5*	1.1*	1.1*	2.1*	1.8*	1.8*	2.5*
<i>In vivo</i>								
Control	1	1	1	1	1	1	1	1
FSE	2.2*	1.7*	2.5*	1.3*	2.0*	2.1*	2.7*	2.0*

3269

3270 FSE treatment increased mRNA levels of *SIRT3*, *PGC-1 $\alpha$* , *SOD2* and *GPx* in HepG2 cells (A-D) and  
 3271 mice liver samples (E-H). *SIRT3* ( $p=0.00121$ ), *PGC-1 $\alpha$*  ( $p=0.00132$ ), *SOD2* ( $p=0.00231$ ) and *GPx*  
 3272 ( $p=0.00112$ ) mRNA was significantly elevated in hyperglycaemic HepG2 samples treated with FSE. The  
 3273 *in vivo* data substantiated this with significantly elevated *SIRT3* ( $p=0.00312$ ), *PGC-1 $\alpha$*  ( $p=0.00311$ ),  
 3274 *SOD2* ( $p=0.0321$ ) and *GPx* ( $p=0.00322$ ) in both MF and 4-OH-Ile treatments under both conditions. \* $p <$   
 3275  $0.05$  relative to control

3276

### 3277 Discussion

3278 In our previous investigations we proved the salient role of 4-OH-Ile as a promising therapeutic  
 3279 intervention for T2D therapy, via the regulation of insulin signaling and dyslipidemia. Following these  
 3280 favourable effects on two critical pathways related to diabetic complications, prompted us to evaluate OS  
 3281 and mitochondrial health. Compelling evidence proposes that prolonged OS plays a causal role in the  
 3282 pathogenesis of T2D; and improving endogenous cellular AO responses and detoxification will reduce  
 3283 OS and attenuate diabetic complications. The major regulator of cellular redox status – Nrf2 has been  
 3284 implicated in mitigating the features of diabetic milieu. Therefore, our study investigated the regulatory  
 3285 effect of 4-OH-Ile in comparison to MF on hepatic OS and mitochondrial maintenance pathways in  
 3286 hyperglycaemic human liver (HepG2) cells and C57BL/6 male mice. We observed the protective effects  
 3287 of 4-OH-Ile via Nrf2 induction and mitochondrial maintenance proteins; LonP1, *SIRT3* and *PGC-1 $\alpha$* .

3288

3289 Hyperglycaemic-induced ROS production is the main risk factor contributing to OS and subsequent CVD  
3290 and diabetic complications. Studies have reported the AO effect of fenugreek in reducing ROS levels. A  
3291 study by Mohamad et al, 2004 observed SOD2 and liver enzyme levels similar to the normoglycaemic  
3292 control group in fenugreek seed treated rats [22]. Ravikumar and Anuradha, 1999 displayed that  
3293 interrupted free radical metabolism in diabetic animals was regulated by dietary fenugreek seed  
3294 supplementation [36]. Another study investigated the AO activities of fenugreek seeds extracts in  
3295 cholesterol-fed rats and observed a decrease in TBARS and rise in catalase and SOD2 expression [23].  
3296 Additionally Dixit et al, 2005 revealed substantial AO potential of germinated fenugreek seeds which is  
3297 due to the presence of flavonoids and polyphenols [37]. The bioactive component of fenugreek seeds, 4-  
3298 OH-Ile, independently possesses AO activity as shown by Dutta et al, 2014. This study evidenced that 4-  
3299 OH-Ile scavenges hydroxyl, superoxide anion, hydrogen peroxide and DPPH radicals, reduced lipid  
3300 peroxidation and protein carbonyl levels and concomitantly amplified GSH levels, in a chemically  
3301 defined in vitro system [24]. Other substituents of fenugreek seeds, trigonelline and diosgenin, display  
3302 similar effects and are identified as potent activators of the AO transcriptional regulator, Nrf2 [38]. This  
3303 central role player in endogenous AO response has yet to be investigated in relation to 4-OH-Ile.  
3304  
3305 Nrf2 is deemed the master regulator of the endogenous AO response; it enables cells to adapt to an  
3306 oxidative environment via the induction of cytoprotective genes. Nrf2 is positioned in the cytoplasm  
3307 where it is linked to a negative regulator Kelch-like ECH-associated protein 1 (Keap1) [39]. Following  
3308 exposure to OS, Nrf2 gains protein stability (via phosphorylation) and escapes Keap1-mediated  
3309 repression; translocating into the nucleus. This dissociation from Keap1 causes the phosphorylation and  
3310 activation of Nrf2. Within the nucleus, pNrf2 initiates the antioxidant response element (ARE); a  
3311 regulatory sequence involved responsible for transcriptional activation of genes coding for AO enzymes.  
3312 These include cytoprotective genes SOD2 and GPx which are involved in the synthesis of GSH. Cell  
3313 culture studies demonstrated the activation of Nrf2 in response to hyperglycaemic induced-oxidative and  
3314 chemical stress [40, 41]. Other studies also reported the decrease of Nrf2 in both diabetic mice and T2D  
3315 individuals; contributing to increased OS, endothelial dysfunction, insulin resistance and microvascular  
3316 complications [4-6]. Our study was the first to demonstrate the AO response of 4-OH-Ile via the induction  
3317 of pNrf2 in both hyperglycaemic Hepg2 cells and the liver of C57BL/6 male mice. Furthermore, the  
3318 induction of pNrf2 by 4-OH-Ile exceeded the effects of MF and FSE. This result was validated by the  
3319 increase in transcript levels of SOD2 and GPx, with 4-OH-Ile inducing a greater response as compared to  
3320 MF and FSE (Fig 4). During OS, both SOD2 and GPx activation is central to the synthesis of GSH [42,  
3321 43]. Glutathione forms a major component of the AO response which functions to alleviate OS by directly

3322 quenching free reactive radicals [43]. To further confirm the above response, our results showed that 4-  
3323 OH-Ile preceded the effect of MF and FSE in regulating GSH (Fig 1).

3324  
3325 Protein kinase pathways are implicated in transducing OS signals to gene expression facilitated through  
3326 the ARE. Hyperglycaemia causes chronic elevation of diacylglycerol (DAG); a secondary messenger that  
3327 activates proteins involved in a multiplicity of signaling cascades [2]. In T2D, DAG levels are elevated in  
3328 non-vascular tissues, such as the liver which is responsible for activating protein kinase C (PKC) [2]. The  
3329 initiation of PKC is of great value as evidence proves the involvement of PKC in phosphorylation of Nrf2  
3330 on Ser40, promoting its dissociation from Keap1 [2]. Furthermore, phosphatidylinositol 3-kinase and its  
3331 downstream target protein kinase B (Akt) have been associated with activation of the ARE in hepatoma  
3332 cells [44]. Our previous study on insulin signaling evaluated Akt signaling and provided evidence on the  
3333 elevating effect of 4-OH-Ile on hepatic Akt (Submitted for publication). Both PKC and Akt activation  
3334 comprise the group of biochemical pathways proposed in linking the adverse effects of hyperglycaemia  
3335 with diabetic complications. Another cellular mechanism includes the activation of AGE pathway.  
3336 Elevated ROS causes the formation of oxidatively damaged proteins, forming protein carbonyls [45].  
3337 Protein carbonyls are by-products of glycosylated proteins which ultimately form the toxic compounds -  
3338 AGEs. Previous studies support the worsening effect of AGEs on metabolic control in T2D [3, 46, 47].  
3339 An important study by Sampath et al, 2017 confirmed the reduction of AGEs via Nrf2 [48]. In addition to  
3340 Nrf2-regulated AO response, mitochondria possess LonP1 which enable cells to effectively remove  
3341 damaged proteins. We showed 4-OH-Ile significantly potentiated the protein expression of LonP1 in both  
3342 chronic hyperglycaemic models (Fig 3). To further support this finding in vitro, we showed the ability of  
3343 4-OH-Ile to attenuate the levels of protein carbonyls (Fig 1).

3344  
3345 Hyperglycaemia induced OS coupled with insulin resistance causes a decline in mitochondrial function.  
3346 Mitochondria produce ATP via oxidative phosphorylation. However, this process is linked to the  
3347 production of ROS. Mitochondria possess their own maintenance proteins - SIRT3 and PGC-1 $\alpha$ , enabling  
3348 these organelles to alleviate the effects of OS. In addition to these proteins, Nrf2 also plays a functional  
3349 role in counterbalancing mitochondrial produced ROS via SOD2, GPx and GSH. SIRT3 is a NAD<sup>+</sup>-  
3350 dependent protein deacetylase which is located and exerts its function in the mitochondria. Among the  
3351 SIRTs located in the mitochondria, SIRT3 is responsible for regulating mitochondrial function via  
3352 deacetylation of mitochondrial proteins [49]. SIRT3 induction is regulated by caloric restriction and stress  
3353 which is central to the effect of SIRT3 on the transcription of PGC-1 $\alpha$  [14]. Studies provide substantial  
3354 evidence for the communication between SIRT3 and PGC-1 $\alpha$ , which is imperative for the AO response  
3355 and regulation of mitochondrial biogenesis [50]. Our results clearly displayed the interaction between



3356 SIRT3 and PGC-1 $\alpha$ . More importantly, we further exemplify the AO potency of 4-OH-Ile by displaying  
3357 its ability in significantly up-regulating the protein and gene expression of both SIRT3 and PGC-1 $\alpha$  (Fig  
3358 3). It is of greater significance that we observed these effects in a chronic glucose condition which  
3359 surpassed the effects of MF and FSE.

3360  
3361 Following our favourable results and the supporting literature, we evaluated FSE under the same  
3362 parameters, in comparison to 4-OH-Ile and MF. We observed FSE significantly increase the  
3363 phosphorylated and total protein expression of Nrf2 as well as the total protein expression of LonP1,  
3364 SIRT3 and PGC-1 $\alpha$  (Fig 6, 7). In addition, FSE elevated the transcript levels of SOD2, GPx, SIRT3 and  
3365 PGC-1 $\alpha$ . These results surpassed the effect of MF but were similar to 4-OH-Ile. 4-OH-Ile could account  
3366 for the potency of FSE, as 4-OH-Ile has been documented to be abundant in fenugreek seeds, and  
3367 suggested to account for the seeds anti-diabetic and anti-lipidemic effects. An important study by  
3368 Mayakrishnan et al, 2015 found both trigonelline and diosgenin to exhibit protective effects via a  
3369 substantial decrease in serum enzymes, liver TGs, expression of liver ER stress marker proteins and  
3370 elevated liver glycogen content and AOs [38]. Therefore, the effects observed by FSE may also be due to  
3371 the culminated effect of these active compounds in the seed. Interestingly, our study was the first to show  
3372 that 4-OH-Ile is potent in stimulating the Nrf2-AO response and detoxification system.

3373  
3374 Oxidative stress has been implicated as a contributor to the onset and progression of CVD and associated  
3375 diabetic complications. The consequence of an oxidative environment is the development of  
3376 compromised AO defence mechanisms and mitochondrial dysfunction, which ultimately leads to a  
3377 diabetic disease state. 4-hydroxyisoeleusine potentiates an AO response in hyperglycaemic HepG2 cells  
3378 and C57BL/6 male mice. Collectively, the results show that 4-OH-Ile exposure augments the expression  
3379 of Nrf2, LonP1, SIRT3 and PGC-1 $\alpha$ , particularly in a hyperglycaemic state. Furthermore, the results  
3380 provide significant evidence for the use of FSE as a possible AO agent. This data may help develop a  
3381 better understanding of the molecular and biochemical interactions of both 4-OH-Ile and FSE, associated  
3382 with risks of elevated ROS production and diabetic complications. This is importance in socio-  
3383 economically challenged communities where T2D individuals are diagnosed with CVD, unable to access  
3384 healthcare facilities and natural products serve as first-line therapy.

3385

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3393

3394 **Conflict of interest**

3395 Authors declare no conflict of interest.

3396

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## CHAPTER SIX

3550

### SYNTHESIS, CONCLUSIONS AND IMPLICATIONS FOR FURTHER RESEARCH

3551

3552 **6.1 Synthesis**

3553 Diabetes mellitus significantly contributes to the morbidity and mortality rates, resulting in negative  
3554 socio-economic impacts. Type 2 diabetes mellitus, a subtype of DM, is progressively observed among  
3555 children, teenagers and younger adults (WHO, 2016). The epidemic of T2D is attributed to a multifaceted  
3556 collection of genetic and molecular systems interacting within a complex social framework that controls  
3557 behaviour and environmental influences (WHO, 2016). T2D is characterized by hyperglycaemia, insulin  
3558 resistance and relative lack of insulin production. These disturbances negatively impact the normal  
3559 physiological, molecular and biochemical activities of the body (Ashcroft & Rorsman, 2012). The  
3560 treatment approach for T2D comprises both self-care and anti-diabetic drugs. Unfortunately, the lack of  
3561 complying with self-care recommendations and the associated unwanted side effects of anti-diabetic  
3562 drugs such as MF, demands the need for new therapeutic interventions. This study investigated the anti-  
3563 hyperglycaemic, anti-lipidaemic and anti-oxidative effect of 4-OH-Ile in comparison to MF, and FSE in a  
3564 hyperglycaemic in vitro and in vivo model. It was observed that 4-OH-Ile and FSE improved  
3565 compromised insulin signalling and glucose sensing, the altered lipid profile, and an imbalance in OS.

3566  
3567 4-OH-Ile up-regulated the proteins and genes pertinent to insulin signalling and glucose sensing. IR $\beta$  and  
3568 IRS1 are important proteins in initiating the insulin signalling cascade (Guo, 2014). 4-OH-Ile displayed  
3569 the greatest effect in elevating the phosphorylated and total protein and mRNA expression of IR $\beta$  and  
3570 IRS1, in both models. This elevation translated to the phosphorylation and activation of Akt and  
3571 subsequent increase in GSK3 $\alpha/\beta$  activity. The dominant effect of 4-OH-Ile was further observed in the  
3572 increased mRNA levels of GS and GK; which in turn regulate the conversion of excess glucose into  
3573 glycogen. The entry of glucose into the cell is essential for regulating excess glucose levels (Cho,  
3574 Thorvaldsen, Chu, Feng, & Birnbaum, 2001). We showed that 4-OH-Ile possesses the strongest potential  
3575 in regulating glucose entry into the cell via the up-regulation of the protein and mRNA expression of  
3576 GLUT2. This was further supported by the reduction in glucose levels. Therefore, this study provides  
3577 evidence that 4-OH-Ile elicited a stronger response than both MF and FSE in regulating the specific  
3578 proteins and genes compromised during a hyperglycaemic state.

3579  
3580 Insulin signalling plays a principal role in regulating lipid metabolism (Mullugeta, Chawla, Kebede, &  
3581 Worku, 2012). In T2D, defective insulin signaling alters the lipid profile resulting in diabetic  
3582 dyslipidaemia (Tangvarasittichai, 2015). The levels of TGs are controlled by SREBP1c and FAS (Horton,  
3583 Goldstein, & Brown, 2002), which were elevated by 4-OH-Ile. This change in gene expression correlated  
3584 with the regulation of both TG and cholesterol levels. Furthermore, 4-OH-Ile down-regulated protein and  
3585 gene expression of PCSK9 and up-regulated the protein expression of PPARG, which are crucial in

3586 restoring LDLc and HDLc levels, respectively (Amy C. Burke, 2017; Gervois, Torra, Fruchart, & Staels,  
3587 2000). The effect of 4-OH-Ile on PCSK9 was validated by the increase in LDLr and reduction in LDLc,  
3588 whereas the effect of 4-OH-Ile on PPARG was validated via the elevation in gene expression of ApoA1  
3589 and HDLc levels. Together, these results show that 4-OH-Ile in comparison to MF, and FSE efficiently  
3590 restores the lipid profile via the regulation of PCSK9 and PPARG; which were posed as possible targets  
3591 for the treatment of diabetic dyslipidaemia (Gervois et al., 2000; Horton, Cohen, & Hobbs, 2007).  
3592 Therefore, in regulating both insulin signalling and dyslipidaemia, 4-OH-Ile possesses the ability to  
3593 control the progression of CVD that may present in T2D.

3594  
3595 In addition, hyperglycaemic-induced OS is a major risk factor for the onset and progression of CVD and  
3596 diabetic complications (John W Baynes, 1991, J W Baynes & Thorpe, 1999). 4-OH-Ile induced the AO  
3597 response via pNrf2 and subsequent increase in SOD2, GPx and GSH levels. In T2D over and above  
3598 hyperglycaemic-induced ROS, mitochondria are also responsible for an increase in ROS production  
3599 (Brownlee, 2001). Mitochondrial ROS is reduced by the activity of pNrf2 as well as its own maintenance  
3600 proteins (Dinkova-Kostova & Abramov, 2015; Giralt & Villarroya, 2012; Pomatto, Raynes, & Davies,  
3601 2017). Further, 4-OH-Ile increased the activities of these proteins which include LonP1, SIRT3, and  
3602 PGC-1 $\alpha$ . The elevation of these proteins is essential in attenuating mitochondrial dysfunction present in  
3603 T2D (Dinkova-Kostova & Abramov, 2015; Giralt & Villarroya, 2012; Pomatto et al., 2017). The results  
3604 further exemplify the potency of 4-OH-Ile in comparison to MF, and FSE, by inducing the Nrf2-AO  
3605 response in a chronic hyperglycaemic state, attenuating OS and the accompanying risk of developing  
3606 CVD and diabetic complications.

3607

## 3608 **6.2 General conclusions**

3609 During T2D, insulin signalling, dyslipidaemia, and OS have been implicated as major contributors to the  
3610 onset and development of CVD and diabetic complications. The consequences of defective insulin  
3611 signalling promote dyslipidaemia and an oxidative environment. This gives rise to the development of an  
3612 abnormal lipid profile and compromised AO defence mechanisms and mitochondrial dysfunction,  
3613 ultimately causing a diabetic disease state. The data provides evidence on the potency of 4-OH-Ile in  
3614 regulating insulin signalling, lipid metabolism, and OS, in both hyperglycaemic HepG2 cells and  
3615 C57BL/6 male mice. Collectively, the results show that the hepatic response to 4-OH-Ile augments the  
3616 expression of specific proteins, genes and, related factors, altered in T2D. Furthermore, the results  
3617 provide substantial evidence for the use of FSE as a possible therapeutic intervention, as the seed extract  
3618 also elicited potent responses, although not as potent as 4-OH-Ile.



3619 The data assists in developing a better understanding of the molecular and biochemical interactions of  
3620 both 4-OH-Ile and FSE. This has a great impact on socio-economically challenged communities where  
3621 T2D individuals are diagnosed with CVD and/or other diabetic complications but are unable to access  
3622 healthcare facilities. In addition, these affected individuals have access to natural products which possess  
3623 invaluable medicinal properties.

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### 3625 **6.3 Implications for further research**

3626 Future studies to extend on the current findings would be to investigate the effects of 4-OH-Ile and FSE in  
3627 other organ systems such as the pancreas and skeletal muscle since these organs play a central role in  
3628 regulating insulin production and glucose utilization. Furthermore, the pathways investigated in this study  
3629 coupled with existing literature, could provide substantial evidence for the possible initiation of a clinical  
3630 trial for the use of 4-OH-Ile and FSE as possible anti-diabetic therapy.

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### 3632 **6.4 References**

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CHAPTER 7  
APPENDICES

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Appendix 1: Animal ethics approval



03 October 2016

Professor Anil Chuturgoon (34866)  
School of Laboratory Medicine & Medical Sciences  
Westville Campus

Dear Professor Chuturgoon,

Protocol reference number: AREC/057/016

Project title: Effect of fenugreek seed extract, 4-OH-Ile and metformin on insulin signalling, lipid metabolism and epigenetic regulation of type 2 diabetic C57B/6 black male mice

**Full Approval – Research Application**

With regards to your revised application received on 15 September 2016 and 31 August 2016. The documents submitted have been accepted by the Animal Research Ethics Committee and **FULL APPROVAL** for the protocol has been granted.

Any alteration/s to the approved research protocol, i.e Title of Project, Location of the Study, Research Approach and Methods must be reviewed and approved through the amendment/modification prior to its implementation. In case you have further queries, please quote the above reference number.

Please note: Research data should be securely stored in the discipline/department for a period of 5 years.

The ethical clearance certificate is only valid for a period of one year from the date of issue. Renewal for the study must be applied for before 03 October 2017.

I take this opportunity of wishing you everything of the best with your study.

Yours faithfully

Prof S Islam, PhD  
Chair: Animal Research Ethics Committee

/ms

Cc Acting Academic Leader Research: Dr Michelle Gordon  
Cc Registrar: Mr Simon Mokoena  
Cc NSPCA: Ms Jessica Light  
Cc BRU – Dr Sanil Singh

Animal Research Ethics Committee (AREC)  
Ms Mariette Snyman (Administrator)  
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Telephone: +27 (0) 31 260 8350 Facsimile: +27 (0) 31 260 4609 Email: [animalethics@ukzn.ac.za](mailto:animalethics@ukzn.ac.za)  
Website: <http://research.ukzn.ac.za/Research-Ethics/Animal-Ethics.aspx>



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3694 **Appendix 2: Supplementary data for chapter 3 (Table numbers correlate with chapter 3)**

3695 The term control refers to the group of mice which were untreated during the experimental period. These  
 3696 mice were maintained under the same conditions but were not treated with metformin, 4-  
 3697 hydroxyisoleucine or fenugreek seed extract. Following the methods of our study there were two  
 3698 experimental arms (normoglycaemic and hyperglycaemic) – each arm had an untreated group of mice.  
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3700 **Table 1** Absolute oral glucose tolerance test values (mmol) following treatment of mice with fenugreek  
 3701 seed extract (FSE), 4-hydroxyisoleucine (4-OH-Ile), and metformin (MF)

Time of measurement	Normoglycaemic state (Glucose in mmol/L)			
	Control (untreated)	FSE (100mg/kg BW)	MF (20mg/kg BW)	4-OH-Ile (100mg/kg BW)
0 min	4.80	4.40	4.30	4.00
30 min	4.90	4.50	4.20	4.20
60 min	5.00	4.50	4.30	4.10
90 min	4.90	4.40	4.23	4.15
120 min	4.95	5.00	4.25	4.00
Time of measurement	Hyperglycaemic state (Glucose in mmol/L)			
	Control (untreated)	FSE (100mg/kg BW)	MF (20mg/kg BW)	4-OH-Ile (100mg/kg BW)
0 min	13.9	10.90	10.00	9.00
30 min	14.8	10.50	9.80	10.20
60 min	14.8	10.00	9.60	9.80
90 min	14.8	9.90	9.70	10.10
120 min	15.1	10.10	9.65	9.50

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3714 **Table 2 Blood glucose measurements (mmol/L) at day 0, 3, and 10 of the ten day induction period of**  
 3715 **the hyperglycaemic group of mice**

		<b>Day 0</b> (glucose in mmol/L)	<b>Day 3</b> (glucose in mmol/L)	<b>Day 10</b> (glucose in mmol/L)
<b>Mice</b>				
<b>C</b>	<b>1</b>	<b>5.6</b>	<b>7.8</b>	<b>13.5</b>
	<b>2</b>	<b>4.3</b>	<b>6.5</b>	<b>14.4</b>
	<b>3</b>	<b>4.2</b>	<b>6.5</b>	<b>13.9</b>
	<b>4</b>	<b>4.1</b>	<b>6.3</b>	<b>14.0</b>
	<b>5</b>	<b>5.5</b>	<b>7.7</b>	<b>13.9</b>
<b>FSE</b>	<b>6</b>	<b>4.3</b>	<b>6.5</b>	<b>14.2</b>
	<b>7</b>	<b>4.4</b>	<b>7.8</b>	<b>14.6</b>
	<b>8</b>	<b>3.9</b>	<b>6.9</b>	<b>13.8</b>
	<b>9</b>	<b>4.5</b>	<b>7.4</b>	<b>13.9</b>
	<b>10</b>	<b>4.6</b>	<b>7.3</b>	<b>14.9</b>
<b>MF</b>	<b>11</b>	<b>4.3</b>	<b>7.9</b>	<b>14.3</b>
	<b>12</b>	<b>5.0</b>	<b>8.9</b>	<b>15.1</b>
	<b>13</b>	<b>4.7</b>	<b>7.9</b>	<b>14.6</b>
	<b>14</b>	<b>5.3</b>	<b>8.0</b>	<b>15.5</b>
	<b>15</b>	<b>4.9</b>	<b>8.5</b>	<b>15.2</b>
<b>4-OH-Ile</b>	<b>16</b>	<b>5.1</b>	<b>9.0</b>	<b>15.3</b>
	<b>17</b>	<b>4.8</b>	<b>6.9</b>	<b>14.5</b>
	<b>18</b>	<b>5.0</b>	<b>7.7</b>	<b>14.9</b>
	<b>19</b>	<b>4.8</b>	<b>8.5</b>	<b>15.7</b>
	<b>20</b>	<b>4.3</b>	<b>8.4</b>	<b>13.9</b>

3716 All mice were labeled 1 to 20 by an ear piecing, to ensure the same mice were treated within the same group

3717 **Table 3** Glucose measurements of each mouse per a treatment group (control, fenugreek seed extract  
 3718 metformin and 4-hydroxyisoleucine) under both conditions on day 0, 3, 6, 9, 12 and 15

		Day 0 (glucose in mmol/L)	Day 5 (glucose in mmol/L)	Day 10 (glucose in mmol/L)	Day 15 (glucose in mmol/L)
<b>Mice</b>					
<b>Normoglycaemic</b>					
<b>Control</b>	<b>1</b>	5.1	5.0	5.1	5.0*
	<b>2</b>	4.8	4.2	4.2	4.8*
	<b>3</b>	5.0	4.9	4.9	4.7
	<b>4</b>	4.8	4.9	4.9	5.0*
	<b>5</b>	4.3	4.2	4.7	4.8
<b>FSE</b>	<b>6</b>	4.3	4.2	4.5	4.5
	<b>7</b>	5.0	4.5	4.8	4.6*
	<b>8</b>	4.7	4.5	4.4	4.7*
	<b>9</b>	5.3	4.8	4.6	4.8*
	<b>10</b>	4.9	4.5	4.5	4.9
<b>MF</b>	<b>11</b>	4.7	4.9	4.8	4.1
	<b>12</b>	4.3	4.3	4.1	3.9*
	<b>13</b>	4.2	4.2	4.0	3.8*
	<b>14</b>	4.3	3.8	4.0	3.9*
	<b>15</b>	4.8	5.0	4.9	4.7
<b>4-OH-Ile</b>	<b>16</b>	4.7	4.2	4.0	3.9*
	<b>17</b>	4.5	4.3	4.2	3.9*
	<b>18</b>	3.5	3.8	3.7	3.6
	<b>19</b>	4.9	4.3	4.3	4.0*
	<b>20</b>	5.2	4.5	4.3	4.2
<b>Hyperglycaemic</b>					
<b>Control</b>	<b>21</b>	13.5	13.8	14.2	14.3*
	<b>22</b>	14.4	14.5	14.6	14.9*
	<b>23</b>	13.9	13.3	13.8	13.2
	<b>24</b>	14.5	14.2	14.5	14.2
	<b>25</b>	13.9	13.9	13.8	14.9*
<b>FSE</b>	<b>26</b>	14.9	12.1	10.9	9.9*
	<b>27</b>	14.6	12.0	11.7	9.9*
	<b>28</b>	14.9	12.0	10.5	9.9*
	<b>29</b>	13.9	11.4	11.5	9.7
	<b>30</b>	12.9	10.5	10.0	9.9
<b>MF</b>	<b>31</b>	14.3	12.1	11.4	9.0
	<b>32</b>	15.1	11.0	10.6	8.9
	<b>33</b>	14.6	12.5	9.0	10.0*
	<b>34</b>	15.5	12.5	12.0	10.3*
	<b>35</b>	15.2	12.9	11.5	10.4*
<b>4-OH-Ile</b>	<b>36</b>	15.3	9.9	8.7	8.0
	<b>37</b>	14.5	9.5	9.1	8.9*
	<b>38</b>	14.9	10.2	9.4	9.0
	<b>39</b>	15.7	10.9	9.5	8.7*
	<b>40</b>	13.9	10.0	8.9	8.7*

3719 All mice were labelled 1 to 40 by an ear piecing, to ensure the same mice were treated within the same group. The glucose was  
 3720 measured with a glucometer on day 0, 5, and 10. On day 15, the glucose levels were measured by the accredited laboratory  
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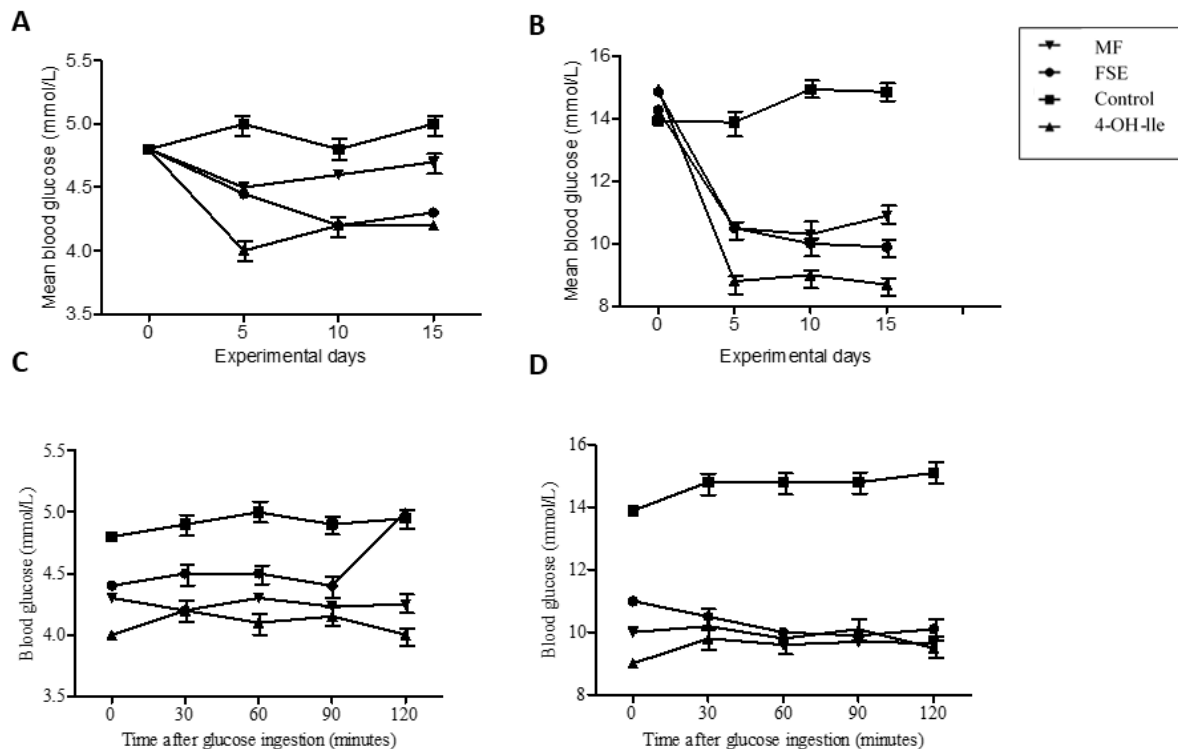
3722 mentioned in the methods and materials section. \*Indicates these mice were chosen for the qPCR and western blot validation  
3723 (based on blood glucose values).  
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3726 **Table 4** Mouse primer sequences and annealing temperatures (Ta) for qPCR

<b>Primer</b>	<b>Sense</b>	<b>Anti-sense</b>	<b>Ta</b>
<i>IRβ</i>	5'TTTGTCATGGATGGAGGCTA3'	5'CCTCATCTTGGGGTTGAACT3'	53
<i>IRS-1</i>	5'CTTCTCAGACGTGCGCAAGG3'	5'GTTGATGTTGAAACAGCTCTC3'	53
<i>GLUT2</i>	5'GGCTAATTTTCAGGACTGGTT3'	5'TTTCTTTGCCCTGACTTCCT3'	53
<i>Akt</i>	5'ATCCCCTCAACAACCTTCTCAGT3'	5'CTTCCGTCCACTCTTCTCTTTC3'	55
<i>GSK-3α/β</i>	5'GCATTTATCATTAACCTAGCACCC3'	5'ATTTTCTTTCCAAACGTGACC3'	51
<i>GS</i>	5'CCAGCTTGACAAGTTCGACA3'	5'CCAGCTTGACAAGTTCGACA3'	55
<i>Gck</i>	5'CCAGGACCCTCAGTGACTTC3'	5'AAAAGCCTGGAGTTGAAAGC3'	59

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3728 **Appendix 3: Supplementary data for chapter 4 (Table and figure numbers correlate with chapter**  
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 3732 **Figure 1** Average concentrations (mean±SD) of blood glucose levels in the serum of mice, and oral  
 3733 glucose tolerance test at day 15 of experimental period; blood glucose levels were measured at 0, 30, 60,  
 3734 90 and 120min time intervals following an overnight fast, following treatment with metformin (MF),  
 3735 fenugreek seed extract (FSE) and 4-hydroxyisoleucine (4-OH-Ile), compared to the relative control (C).  
 3736 Average blood glucose (A) normoglycaemic ( $p=0.00231$ ) and (B) hyperglycaemic ( $p=0.0245$ ). Oral  
 3737 glucose tolerance test (C) normoglycaemic ( $p=0.0154$ ) and (D) hyperglycaemic ( $p=0.0021$ ).

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3745 **Table 1** Absolute oral glucose tolerance test values (mmol) following treatment of mice with fenugreek  
 3746 seed extract (FSE), 4-hydroxyisoleucine (4-OH-Ile), and metformin (MF)

Time of measurement	Normoglycaemic state (Glucose in mmol/L)			
	Control (untreated)	FSE (100mg/kg BW)	MF (20mg/kg BW)	4-OH-Ile (100mg/kg BW)
0 min	4.80	4.40	4.30	4.00
30 min	4.90	4.50	4.20	4.20
60 min	5.00	4.50	4.30	4.10
90 min	4.90	4.40	4.23	4.15
120 min	4.95	5.00	4.25	4.00
Time of measurement	Hyperglycaemic state (Glucose in mmol/L)			
	Control (untreated)	FSE (100mg/kg BW)	MF (20mg/kg BW)	4-OH-Ile (100mg/kg BW)
0 min	13.9	10.90	10.00	9.00
30 min	14.8	10.50	9.80	10.20
60 min	14.8	10.00	9.60	9.80
90 min	14.8	9.90	9.70	10.10
120 min	15.1	10.10	9.65	9.50

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3749 **Table 2** Area under the curve (AUC) values for the OGTT at day 15 of experimental period for  
 3750 normoglycaemic group

Area under curve (AUC)	Control	FSE	MET	4-OH-Ile
AUC 1 (30-0 min)	217.5 ± 50*	199.5 ± 21 <sup>#</sup>	192 ± 47 <sup>+</sup>	183 ± 24 <sup>+</sup>
AUC 2 (60-30 min)	222 ± 34*	202.5 ± 72 <sup>#</sup>	190.5 ± 24 <sup>+</sup>	187.5 ± 48 <sup>+</sup>
AUC 3 (90-60 min)	223.5 ± 61*	201 ± 45 <sup>#</sup>	192.45 ± 13 <sup>+</sup>	185.25 ± 23 <sup>+</sup>
AUC 4 (120-90 min)	221.25 ± 19*	207 ± 35 <sup>#</sup>	190.65 ± 175 <sup>+</sup>	184.5 ± 25 <sup>+</sup>
<b>Total AUC</b>	<b>884.25 ± 124</b>	<b>810 ± 264</b>	<b>765.6 ± 119</b>	<b>740.25 ± 249</b>

3751 Data are shown as mean ± SD of 5 animals; \*, #, + Values with different superscript letters within a row are significantly  
 3752 different from each group of animals (Tukey's multiple range posthoc test, p < 0.05). FSE: fenugreek seed extract,  
 3753 MET: Metformin, 4-OH-Ile: 4-hydroxyisoleucine

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3760 **Table 3** Area under the curve (AUC) values for the OGTT at day 15 of experimental period for  
 3761 hyperglycaemic group

Area under curve (AUC)	Control	FSE	MET	4-OH-Ile
AUC 1 (30-0 min)	639 ± 29 <sup>*</sup>	484.5 ± 17 <sup>#</sup>	447 ± 33 <sup>+</sup>	423 ± 17 <sup>+</sup>
AUC 2 (60-30 min)	666 ± 54 <sup>*</sup>	465 ± 89 <sup>#</sup>	438 ± 55 <sup>+</sup>	453 ± 18 <sup>+</sup>
AUC 3 (90-60 min)	666 ± 45 <sup>*</sup>	448.5 ± 16 <sup>#</sup>	433.5 ± 12 <sup>+</sup>	445.5 ± 19 <sup>+</sup>
AUC 4 (120-90 min)	670.5 ± 34 <sup>*</sup>	448.5 ± 10 <sup>#</sup>	435.75 ± 11 <sup>+</sup>	445.5 ± 15 <sup>+</sup>
<b>Total AUC</b>	<b>2641.5 ± 163<sup>*</sup></b>	<b>1846.5 ± 257<sup>#</sup></b>	<b>1754.25 ± 175<sup>+</sup></b>	<b>1767 ± 138</b>

3762 Data are shown as mean ± SD of 5 animals; <sup>\*</sup>,<sup>#</sup>,<sup>+</sup> Values with different superscript letters within a row are significantly  
 3763 different from each group of animals (Tukey's multiple range posthoc test, p < 0.05). FSE: fenugreek seed extract,  
 3764 MET: Metformin, 4-OH-Ile: 4-hydroxyisoleucine

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3785 **Table 4 Blood glucose measurements (mmol/L) at day 0, 3, and 10 of the ten day induction period of**  
 3786 **the hyperglycaemic group of mice**

		<b>Day 0</b> (glucose in mmol/L)	<b>Day 3</b> (glucose in mmol/L)	<b>Day 10</b> (glucose in mmol/L)
<b>Mice</b>				
<b>C</b>	<b>1</b>	<b>5.6</b>	<b>7.8</b>	<b>13.5</b>
	<b>2</b>	<b>4.3</b>	<b>6.5</b>	<b>14.4</b>
	<b>3</b>	<b>4.2</b>	<b>6.5</b>	<b>13.9</b>
	<b>4</b>	<b>4.1</b>	<b>6.3</b>	<b>14.0</b>
	<b>5</b>	<b>5.5</b>	<b>7.7</b>	<b>13.9</b>
<b>FSE</b>	<b>6</b>	<b>4.3</b>	<b>6.5</b>	<b>14.2</b>
	<b>7</b>	<b>4.4</b>	<b>7.8</b>	<b>14.6</b>
	<b>8</b>	<b>3.9</b>	<b>6.9</b>	<b>13.8</b>
	<b>9</b>	<b>4.5</b>	<b>7.4</b>	<b>13.9</b>
	<b>10</b>	<b>4.6</b>	<b>7.3</b>	<b>14.9</b>
<b>MF</b>	<b>11</b>	<b>4.3</b>	<b>7.9</b>	<b>14.3</b>
	<b>12</b>	<b>5.0</b>	<b>8.9</b>	<b>15.1</b>
	<b>13</b>	<b>4.7</b>	<b>7.9</b>	<b>14.6</b>
	<b>14</b>	<b>5.3</b>	<b>8.0</b>	<b>15.5</b>
	<b>15</b>	<b>4.9</b>	<b>8.5</b>	<b>15.2</b>
<b>4-OH-Ile</b>	<b>16</b>	<b>5.1</b>	<b>9.0</b>	<b>15.3</b>
	<b>17</b>	<b>4.8</b>	<b>6.9</b>	<b>14.5</b>
	<b>18</b>	<b>5.0</b>	<b>7.7</b>	<b>14.9</b>
	<b>19</b>	<b>4.8</b>	<b>8.5</b>	<b>15.7</b>
	<b>20</b>	<b>4.3</b>	<b>8.4</b>	<b>13.9</b>

3787 All mice were labeled 1 to 20 by an ear piecing, to ensure the same mice were treated within the same group

3788 **Table 5** Glucose measurements of each mouse per a treatment group (control, fenugreek seed extract  
 3789 metformin and 4-hydroxyisoleucine) under both conditions on day 0, 3, 6, 9, 12 and 15

		Day 0 (glucose in mmol/L)	Day 5 (glucose in mmol/L)	Day 10 (glucose in mmol/L)	Day 15 (glucose in mmol/L)
<b>Mice</b>					
<b>Normoglycaemic</b>					
<b>Control</b>	<b>1</b>	5.1	5.0	5.1	5.0*
	<b>2</b>	4.8	4.2	4.2	4.8*
	<b>3</b>	5.0	4.9	4.9	4.7
	<b>4</b>	4.8	4.9	4.9	5.0*
	<b>5</b>	4.3	4.2	4.7	4.8
<b>FSE</b>	<b>6</b>	4.3	4.2	4.5	4.5
	<b>7</b>	5.0	4.5	4.8	4.6*
	<b>8</b>	4.7	4.5	4.4	4.7*
	<b>9</b>	5.3	4.8	4.6	4.8*
	<b>10</b>	4.9	4.5	4.5	4.9
<b>MF</b>	<b>11</b>	4.7	4.9	4.8	4.1
	<b>12</b>	4.3	4.3	4.1	3.9*
	<b>13</b>	4.2	4.2	4.0	3.8*
	<b>14</b>	4.3	3.8	4.0	3.9*
	<b>15</b>	4.8	5.0	4.9	4.7
<b>4-OH-Ile</b>	<b>16</b>	4.7	4.2	4.0	3.9*
	<b>17</b>	4.5	4.3	4.2	3.9*
	<b>18</b>	3.5	3.8	3.7	3.6
	<b>19</b>	4.9	4.3	4.3	4.0*
	<b>20</b>	5.2	4.5	4.3	4.2
<b>Hyperglycaemic</b>					
<b>Control</b>	<b>21</b>	13.5	13.8	14.2	14.3*
	<b>22</b>	14.4	14.5	14.6	14.9*
	<b>23</b>	13.9	13.3	13.8	13.2
	<b>24</b>	14.5	14.2	14.5	14.2
	<b>25</b>	13.9	13.9	13.8	14.9*
<b>FSE</b>	<b>26</b>	14.9	12.1	10.9	9.9*
	<b>27</b>	14.6	12.0	11.7	9.9*
	<b>28</b>	14.9	12.0	10.5	9.9*
	<b>29</b>	13.9	11.4	11.5	9.7
	<b>30</b>	12.9	10.5	10.0	9.9
<b>MF</b>	<b>31</b>	14.3	12.1	11.4	9.0
	<b>32</b>	15.1	11.0	10.6	8.9
	<b>33</b>	14.6	12.5	9.0	10.0*
	<b>34</b>	15.5	12.5	12.0	10.3*
	<b>35</b>	15.2	12.9	11.5	10.4*
<b>4-OH-Ile</b>	<b>36</b>	15.3	9.9	8.7	8.0
	<b>37</b>	14.5	9.5	9.1	8.9*
	<b>38</b>	14.9	10.2	9.4	9.0
	<b>39</b>	15.7	10.9	9.5	8.7*
	<b>40</b>	13.9	10.0	8.9	8.7*

3790 All mice were labelled 1 to 40 by an ear piecing, to ensure the same mice were treated within the same group. The glucose was  
 3791 measured with a glucometer on day 0, 5, and 10. On day 15, the glucose levels were measured by the accredited laboratory  
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3793 mentioned in the methods and materials section. \*Indicates these mice were chosen for the qPCR and western blot validation  
 3794 (based on blood glucose values).  
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**Table 6.** Primer sequences and annealing temperatures for qPCR

Primer	Primer Type	Ta (°C)	Primer Sequence
<b>Human</b>			
<i>SREBP1c</i>	Sense	58	5'-GTGGCGGCTGCATTGAGAGTGAAG-3'
	Antisense		5'-AGGTACCCGAGGGCATCCGAGAAT-3'
<i>FAS</i>	Sense	58	5'-CAAGAACTGCACGGAGGTGT-3'
	Antisense		5'-AGCTGCCAGAGTCGGAGAAC-3'
<i>LDLR</i>	Sense	58	5'-CCCCGCAGATCAAACCCCCACC-3'
	Antisense		5'-AGACCCCCAGGCAAAGGACACGS-3'
<i>ApoA1</i>	Sense	58	5'-AGACAGCGGCAGAGACTATGTGTC-3'
	Antisense		5'-ACCTTCTGGCGGTAGAGCTC-3'
<i>PCSK9</i>	Sense	58	5'- CCAAGATCCTGCATGTCTTCC-3;
	Antisense		5'- AACTTCAAGGCCAGCTCCAG-3'
<i>β-Actin</i>	Sense		5'-TGACGGGTCACCCACTGTGCCCAT-3'
	Antisense		5'-CTAGAAGCATTGTGCGGTGGACGATGGAGGG-3'
<i>18S</i>	Sense		5'-ACAGGGACAGGATTGACAGA-3'
	Antisense		5'-CAAATCGCTCCACCAACCTAA-3'
<b>Mice</b>			
<i>SREBP1c</i>	Sense		5'- ATCGGCGCGGAAGCTGTCGGGGTAGCGTC-3'
	Antisense	62	5'- ACTGTCTTGTTGTTGATGAGCTGGAGCAT-3'
<i>FAS</i>	Sense	55	5' ATCGGCGCGGAAGCTGTCGGGGTAGCGTC-3'
	Antisense		5'- AGAGACGTGTCACCTCCTGGACTT-3'
<i>LDLr</i>	Sense	61	5'- GAAGTCGACACTGTACTGACCACC-3'
	Antisense		5'- CTCCTCATTCCCTCTGCCAGCCAT-3'
<i>PCSK9</i>	Sense	58	5'- TGCTCCAGAGGTCATCACAG-3'
	Antisense		5'- GTCCCACTCTGTGACATGAAG-3'

3798 Ta - annealing temperature

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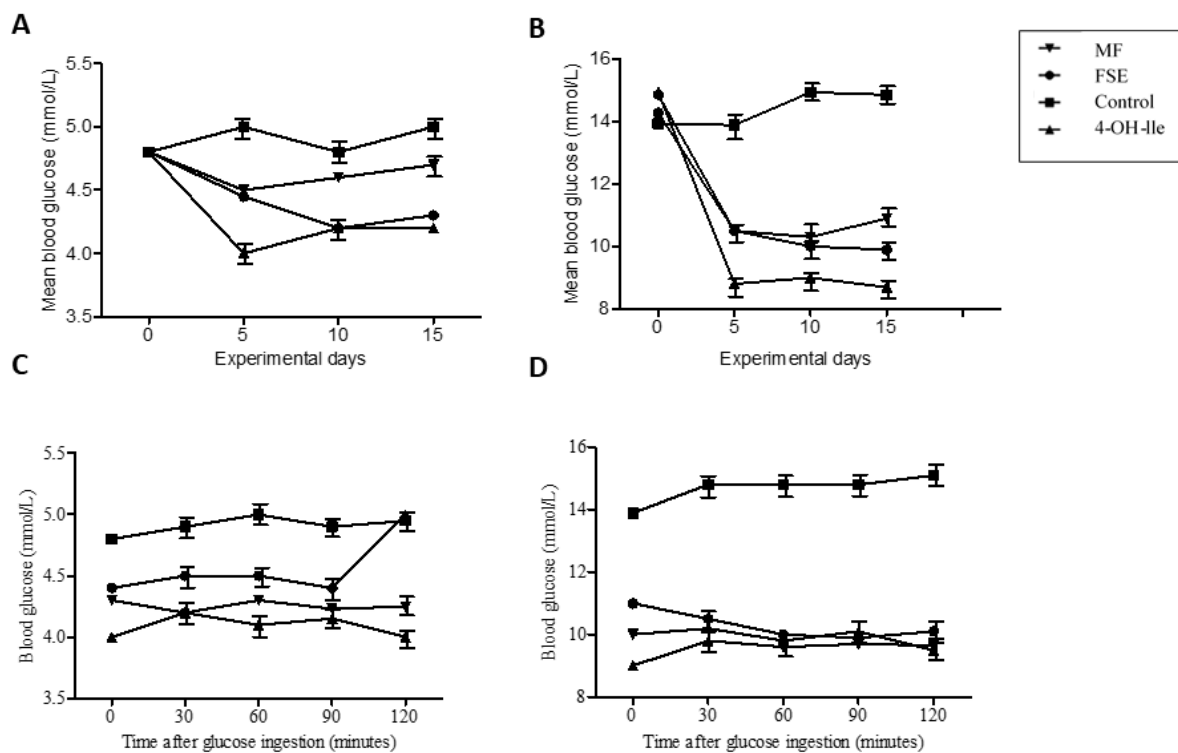
3802 **Table 7** Absolute lipid profile values (mmol/L) for each experimental group, in vitro and in vivo at day 0  
 3803 and day 15 of the experimental period

<b>In vitro</b>	<b>Control (untreated) Glucose in mmol/L</b>	<b>FSE (100mg/kg BW)</b>	<b>MF (20mg/kg BW)</b>	<b>4-OH-Ile (100mg/kg BW)</b>
<b>Normoglycaemic</b>	<b>Day 15</b>	<b>Day 15</b>	<b>Day 15</b>	<b>Day 15</b>
LDLc	2.6	2.13	2.17	2.07
HDLc	1.23	1.17	1.21	1.18
TC	4.12	4.23	4.4	4.33
TG	0.90	0.37	0.5	0.43
<b>Hyperglycaemic</b>				
LDLc	3.10	2.09	2.08	2.08
HDLc	0.87	1.08	1.4	1.18
TC	5.61	4.57	4.57	4.25
TG	1.43	0.8	0.7	0.83
<b>In vivo</b>				
<b>Normoglycaemic</b>				
LDLc	0.58	0.48	0.48	0.07
HDLc	1.40	2.1	2.17	2.5
TC	3.50	3.6	3.8	2.12
TG	0.21	0.13	0.17	0.07
<b>Hyperglycaemic</b>				
LDLC	0.70	0.60	0.6	0.09
HDLc	1.33	2.08	2.23	2.43
TC	4.93	4.26	4.73	4.25
TG	0.35	0.08	0.08	0.08

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 3805 **Table 1** Concentration of glucose in the cell supernatant following incubation with fenugreek seed extract  
 3806 (FSE), 4-hydroxyisoleucine (4-OH-Ile), insulin and metformin for 72 hours.

<b>Normoglycaemic state</b>		<b>Hyperglycaemic state</b>	
<b>Treatment (ng/ml)</b>	<b>Glucose mmol/L</b>	<b>Treatment (ng/ml)</b>	<b>Glucose (mmol/L)</b>
Control (0)	5.0***	Control (0)	>28 (read too high)***
Metformin (100)	2.9***	Metformin (100)	20.0***
FSE (100)	< 1.7 (read too low)***	FSE (100)	18.5***
4-OH-Ile ( 100)	2.4***	4-OH-Ile ( 100)	22.4***

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 3812 **Figure 1** Average concentrations (mean±SD) of blood glucose levels in the serum of mice, and oral  
 3813 glucose tolerance test at day 15 of experimental period; blood glucose levels were measured at 0, 30, 60,  
 3814 90 and 120min time intervals following an overnight fast, following treatment with metformin (MF),  
 3815 fenugreek seed extract (FSE) and 4-hydroxyisoleucine (4-OH-Ile), compared to the relative control (C).  
 3816 Average blood glucose (A) normoglycaemic ( $p=0.00231$ ) and (B) hyperglycaemic ( $p=0.0245$ ). Oral  
 3817 glucose tolerance test (C) normoglycaemic ( $p=0.0154$ ) and (D) hyperglycaemic ( $p=0.0021$ ).

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 3819 **Table 1** Concentration of glucose in the cell supernatant following incubation with fenugreek seed extract  
 3820 (FSE), 4-hydroxyisoleucine (4-OH-Ile), insulin and metformin for 72 hours.

Normoglycaemic state		Hyperglycaemic state	
Treatment (ng/ml)	Glucose mmol/L	Treatment (ng/ml)	Glucose (mmol/L)
Control (0)	5.0***	Control (0)	>28 (read too high)***
Metformin (100)	2.9***	Metformin (100)	20.0***
FSE (100)	< 1.7 (read too low)***	FSE (100)	18.5***
4-OH-Ile (100)	2.4***	4-OH-Ile (100)	22.4***

3821 **Table 2** Absolute oral glucose tolerance test values (mmol) following treatment of mice with fenugreek  
 3822 seed extract (FSE), 4-hydroxyisoleucine (4-OH-Ile), and metformin (MF)

Time of measurement	Normoglycaemic state (Glucose in mmol/L)			
	Control (untreated)	FSE (100mg/kg BW)	MF (20mg/kg BW)	4-OH-Ile (100mg/kg BW)
0 min	4.80	4.40	4.30	4.00
30 min	4.90	4.50	4.20	4.20
60 min	5.00	4.50	4.30	4.10
90 min	4.90	4.40	4.23	4.15
120 min	4.95	5.00	4.25	4.00
Time of measurement	Hyperglycaemic state (Glucose in mmol/L)			
	Control (untreated)	FSE (100mg/kg BW)	MF (20mg/kg BW)	4-OH-Ile (100mg/kg BW)
0 min	13.9	10.90	10.00	9.00
30 min	14.8	10.50	9.80	10.20
60 min	14.8	10.00	9.60	9.80
90 min	14.8	9.90	9.70	10.10
120 min	15.1	10.10	9.65	9.50

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3825 **Table 3** Area under the curve (AUC) values for the OGTT at day 15 of experimental period for  
 3826 normoglycaemic group

Area under curve (AUC)	Control	FSE	MET	4-OH-Ile
AUC 1 (30-0 min)	217.5 ± 50*	199.5 ± 21 <sup>#</sup>	192 ± 47 <sup>+</sup>	183 ± 24 <sup>+</sup>
AUC 2 (60-30 min)	222 ± 34*	202.5 ± 72 <sup>#</sup>	190.5 ± 24 <sup>+</sup>	187.5 ± 48 <sup>+</sup>
AUC 3 (90-60 min)	223.5 ± 61*	201 ± 45 <sup>#</sup>	192.45 ± 13 <sup>+</sup>	185.25 ± 23 <sup>+</sup>
AUC 4 (120-90 min)	221.25 ± 19*	207 ± 35 <sup>#</sup>	190.65 ± 175 <sup>+</sup>	184.5 ± 25 <sup>+</sup>
<b>Total AUC</b>	<b>884.25 ± 124</b>	<b>810 ± 264</b>	<b>765.6 ± 119</b>	<b>740.25 ± 249</b>

3827 Data are shown as mean ± SD of 5 animals; \*, #, + Values with different superscript letters within a row are significantly  
 3828 different from each group of animals (Tukey's multiple range posthoc test, p < 0.05). FSE: fenugreek seed extract,  
 3829 MET: Metformin, 4-OH-Ile: 4-hydroxyisoleucine

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3837 **Table 4** Area under the curve (AUC) values for the OGTT at day 15 of experimental period for  
 3838 hyperglycaemic group

Area under curve (AUC)	Control	FSE	MET	4-OH-Ile
AUC 1 (30-0 min)	639 ± 29 <sup>*</sup>	484.5 ± 17 <sup>#</sup>	447 ± 33 <sup>+</sup>	423 ± 17 <sup>+</sup>
AUC 2 (60-30 min)	666 ± 54 <sup>*</sup>	465 ± 89 <sup>#</sup>	438 ± 55 <sup>+</sup>	453 ± 18 <sup>+</sup>
AUC 3 (90-60 min)	666 ± 45 <sup>*</sup>	448.5 ± 16 <sup>#</sup>	433.5 ± 12 <sup>+</sup>	445.5 ± 19 <sup>+</sup>
AUC 4 (120-90 min)	670.5 ± 34 <sup>*</sup>	448.5 ± 10 <sup>#</sup>	435.75 ± 11 <sup>+</sup>	445.5 ± 15 <sup>+</sup>
<b>Total AUC</b>	<b>2641.5 ± 163<sup>*</sup></b>	<b>1846.5 ± 257<sup>#</sup></b>	<b>1754.25 ± 175<sup>+</sup></b>	<b>1767 ± 138</b>

3839 Data are shown as mean ± SD of 5 animals; <sup>\*</sup>,<sup>#</sup>,<sup>+</sup> Values with different superscript letters within a row are significantly  
 3840 different from each group of animals (Tukey's multiple range posthoc test, p < 0.05). FSE: fenugreek seed extract,  
 3841 MET: Metformin, 4-OH-Ile: 4-hydroxyisoleucine

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3862 **Table 5 Blood glucose measurements (mmol/L) at day 0, 3, and 10 of the ten day induction period of**  
 3863 **the hyperglycaemic group of mice**

		<b>Day 0</b> (glucose in mmol/L)	<b>Day 3</b> (glucose in mmol/L)	<b>Day 10</b> (glucose in mmol/L)
<b>Mice</b>				
<b>C</b>	<b>1</b>	<b>5.6</b>	<b>7.8</b>	<b>13.5</b>
	<b>2</b>	<b>4.3</b>	<b>6.5</b>	<b>14.4</b>
	<b>3</b>	<b>4.2</b>	<b>6.5</b>	<b>13.9</b>
	<b>4</b>	<b>4.1</b>	<b>6.3</b>	<b>14.0</b>
	<b>5</b>	<b>5.5</b>	<b>7.7</b>	<b>13.9</b>
<b>FSE</b>	<b>6</b>	<b>4.3</b>	<b>6.5</b>	<b>14.2</b>
	<b>7</b>	<b>4.4</b>	<b>7.8</b>	<b>14.6</b>
	<b>8</b>	<b>3.9</b>	<b>6.9</b>	<b>13.8</b>
	<b>9</b>	<b>4.5</b>	<b>7.4</b>	<b>13.9</b>
	<b>10</b>	<b>4.6</b>	<b>7.3</b>	<b>14.9</b>
<b>MF</b>	<b>11</b>	<b>4.3</b>	<b>7.9</b>	<b>14.3</b>
	<b>12</b>	<b>5.0</b>	<b>8.9</b>	<b>15.1</b>
	<b>13</b>	<b>4.7</b>	<b>7.9</b>	<b>14.6</b>
	<b>14</b>	<b>5.3</b>	<b>8.0</b>	<b>15.5</b>
	<b>15</b>	<b>4.9</b>	<b>8.5</b>	<b>15.2</b>
<b>4-OH-Ile</b>	<b>16</b>	<b>5.1</b>	<b>9.0</b>	<b>15.3</b>
	<b>17</b>	<b>4.8</b>	<b>6.9</b>	<b>14.5</b>
	<b>18</b>	<b>5.0</b>	<b>7.7</b>	<b>14.9</b>
	<b>19</b>	<b>4.8</b>	<b>8.5</b>	<b>15.7</b>
	<b>20</b>	<b>4.3</b>	<b>8.4</b>	<b>13.9</b>

3864 All mice were labeled 1 to 20 by an ear piecing, to ensure the same mice were treated within the same group

3865 **Table 6** Glucose measurements of each mouse per a treatment group (control, fenugreek seed extract  
 3866 metformin and 4-hydroxyisoleucine) under both conditions on day 0, 3, 6, 9, 12 and 15

		Day 0 (glucose in mmol/L)	Day 5 (glucose in mmol/L)	Day 10 (glucose in mmol/L)	Day 15 (glucose in mmol/L)
<b>Mice</b>					
<b>Normoglycaemic</b>					
<b>Control</b>	<b>1</b>	5.1	5.0	5.1	5.0*
	<b>2</b>	4.8	4.2	4.2	4.8*
	<b>3</b>	5.0	4.9	4.9	4.7
	<b>4</b>	4.8	4.9	4.9	5.0*
	<b>5</b>	4.3	4.2	4.7	4.8
<b>FSE</b>	<b>6</b>	4.3	4.2	4.5	4.5
	<b>7</b>	5.0	4.5	4.8	4.6*
	<b>8</b>	4.7	4.5	4.4	4.7*
	<b>9</b>	5.3	4.8	4.6	4.8*
	<b>10</b>	4.9	4.5	4.5	4.9
<b>MF</b>	<b>11</b>	4.7	4.9	4.8	4.1
	<b>12</b>	4.3	4.3	4.1	3.9*
	<b>13</b>	4.2	4.2	4.0	3.8*
	<b>14</b>	4.3	3.8	4.0	3.9*
	<b>15</b>	4.8	5.0	4.9	4.7
<b>4-OH-Ile</b>	<b>16</b>	4.7	4.2	4.0	3.9*
	<b>17</b>	4.5	4.3	4.2	3.9*
	<b>18</b>	3.5	3.8	3.7	3.6
	<b>19</b>	4.9	4.3	4.3	4.0*
	<b>20</b>	5.2	4.5	4.3	4.2
<b>Hyperglycaemic</b>					
<b>Control</b>	<b>21</b>	13.5	13.8	14.2	14.3*
	<b>22</b>	14.4	14.5	14.6	14.9*
	<b>23</b>	13.9	13.3	13.8	13.2
	<b>24</b>	14.5	14.2	14.5	14.2
	<b>25</b>	13.9	13.9	13.8	14.9*
<b>FSE</b>	<b>26</b>	14.9	12.1	10.9	9.9*
	<b>27</b>	14.6	12.0	11.7	9.9*
	<b>28</b>	14.9	12.0	10.5	9.9*
	<b>29</b>	13.9	11.4	11.5	9.7
	<b>30</b>	12.9	10.5	10.0	9.9
<b>MF</b>	<b>31</b>	14.3	12.1	11.4	9.0
	<b>32</b>	15.1	11.0	10.6	8.9
	<b>33</b>	14.6	12.5	9.0	10.0*
	<b>34</b>	15.5	12.5	12.0	10.3*
	<b>35</b>	15.2	12.9	11.5	10.4*
<b>4-OH-Ile</b>	<b>36</b>	15.3	9.9	8.7	8.0
	<b>37</b>	14.5	9.5	9.1	8.9*
	<b>38</b>	14.9	10.2	9.4	9.0
	<b>39</b>	15.7	10.9	9.5	8.7*
	<b>40</b>	13.9	10.0	8.9	8.7*

3867 All mice were labelled 1 to 40 by an ear piecing, to ensure the same mice were treated within the same group. The glucose was  
 3868 measured with a glucometer on day 0, 5, and 10. On day 15, the glucose levels were measured by the accredited laboratory  
 3869

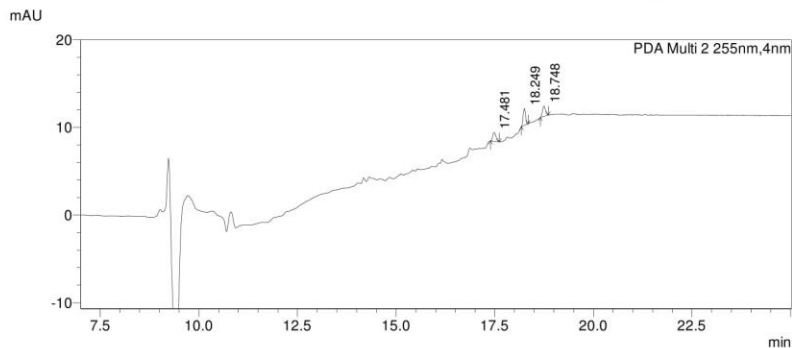
3870 mentioned in the methods and materials section. \*Indicates these mice were chosen for the qPCR and western blot validation  
 3871 (based on blood glucose values).  
 3872  
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3874 **Table 7** Primer sequences and annealing temperatures for qPCR

Primer	Primer Type	Ta (°C)	Primer Sequence
<b>Human</b>			
<i>SIRT3</i>	Sense	50	5'-GTGGCGGCTGCATTGAGAGTGAAG-3'
	Antisense		5'-AGGTACCCGAGGGCATCCGAGAAT-3'
<i>PGC1<math>\alpha</math></i>	Sense	50	5'-CAAGAACTGCACGGAGGTGT-3'
	Antisense		5'-AGCTGCCAGAGTCGGAGAAC-3'
<i>SOD2</i>	Sense	57	5'-CCCCGCAGATCAAACCCCCACC-3'
	Antisense		5'-AGACCCCCAGGCAAAGGACACGS-3'
<i>GPx</i>	Sense	53	5'-AGACAGCGGCAGAGACTATGTGTC-3'
	Antisense		5'-ACCTTCTGGCGGTAGAGCTC-3'
<i><math>\beta</math>-Actin</i>	Sense		5'-TGACGGGTCACCCACTGTGCCCAT-3'
	Antisense		5'-CTAGAAGCATTTCGCGGTGGACGATGGAGGG-3'
<i>18S</i>	Sense		5'-ACAGGGACAGGATTGACAGA-3'
	Antisense		5'-CAAATCGCTCCACCAACCTAA-3'
<b>Mice</b>			
<i>SIRT3</i>	Sense	55	5'- TACAGGCCCAATGTCACTCA -3'
	Antisense		5'- ACAGACCGTGCATGTAGCTG -3'
<i>PGC1<math>\alpha</math></i>	Sense	55	5'- GCAACATGCTCAAGCCAAAC -3'
	Antisense		5'- TGCAGTTCAGAGAGTTCCA -3'
<i>SOD2</i>	Sense	53	5'- ATTAACGCGCAGATCATGCA -3'
	Antisense		5'- TGTCCCCACCATTGAACTT -3'
<i>GPx</i>	Sense	53	5'- GGTTCGAGCCCAATTTTACA -3'
	Antisense		5'-ACCTTCTGGCGGTAGAGCTC-3'
<i><math>\beta</math>-Actin</i>	Sense		5'-TGACGGGTCACCCACTGTGCCCAT-3'
	Antisense		5'-CTAGAAGCATTTCGCGGTGGACGATGGAGGG-3'
<i>18S</i>	Sense		5'-ACAGGGACAGGATTGACAGA-3'
	Antisense		5'-CAAATCGCTCCACCAACCTAA-3'

3875 Ta - annealing temperature

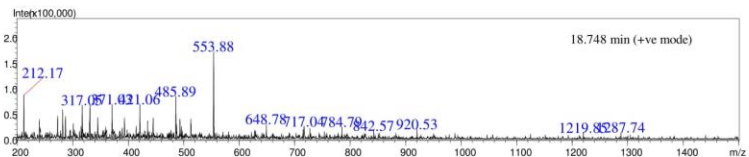
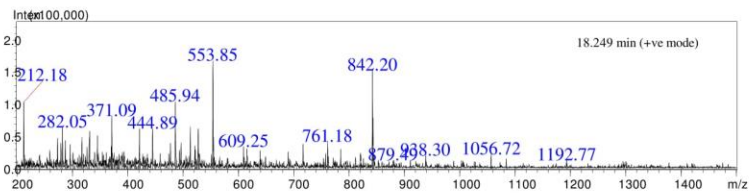
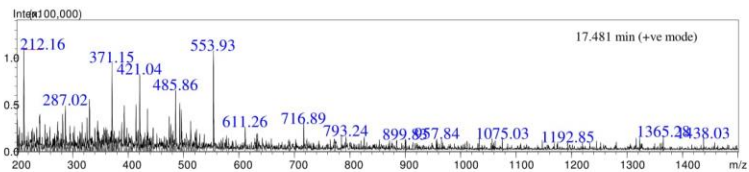
==== Shimadzu LabSolutions Multi-Chromatogram ====



Peak Table

Peak#	Ret. Time	Area	Area%
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2	18.249	7625	39.394
3	18.748	5878	30.371
Total		19356	100.000

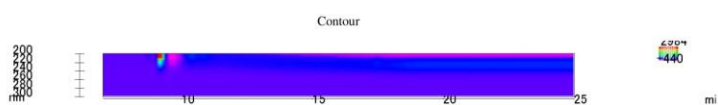
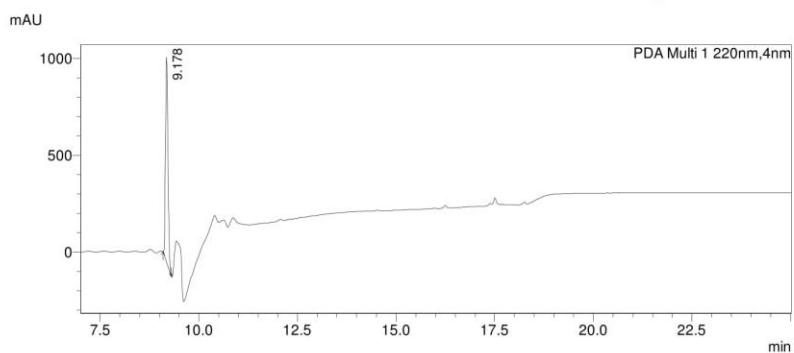
Contour



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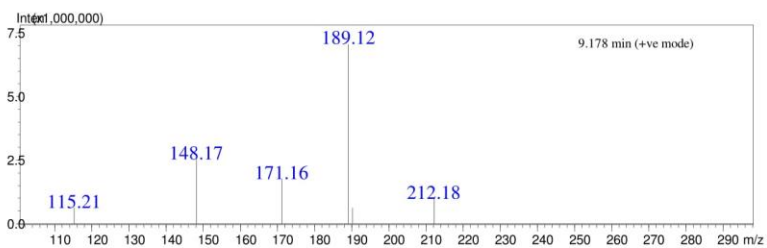
3877  
 3878 **Figure 1** LCMS displaying molecular weight more than 200g/mol of compounds present in the fenugreek  
 3879 seed  
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==== Shimadzu LabSolutions Multi-Chromatogram ====



Peak Table

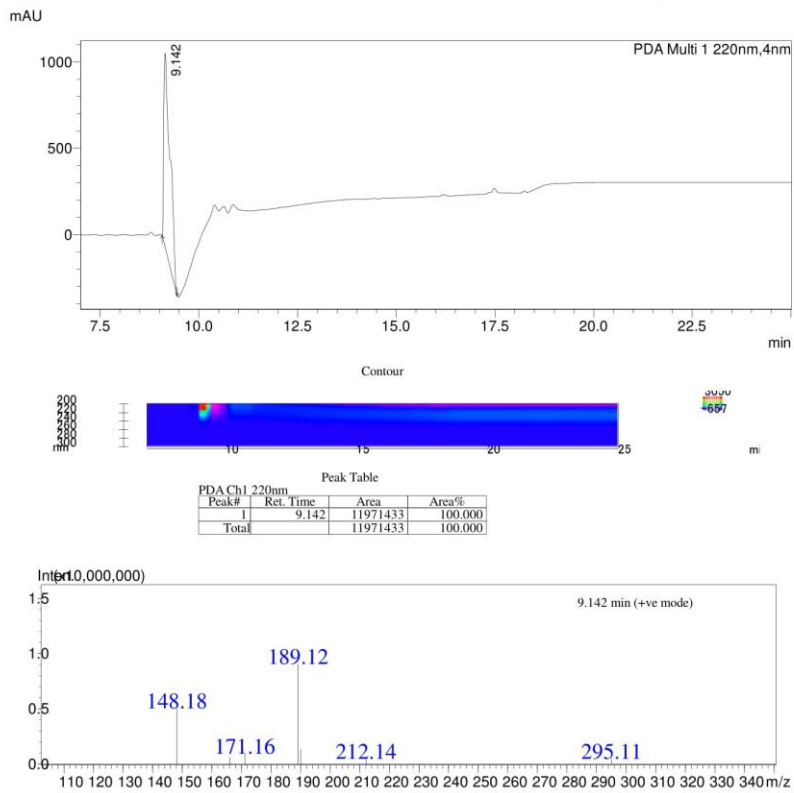
Peak#	Ret. Time	Area	Area%
1	9.178	4576016	100.000
Total		4576016	100.000



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 3884 **Figure 2** LCMS displaying molecular weight less than 200g/mol of compounds present in the fenugreek  
 3885 seed

==== Shimadzu LabSolutions Multi-Chromatogram ====



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 3887 **Figure 3** Duplication of LCMS displaying molecular weight less than 200g/mol of compounds present in  
 3888 the fenugreek seed

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3893 **Table 1** Molecular weight per LCMS of compounds present in fenugreek seed extract

<b>Compound present in fenugreek seed</b>	<b>Reported molecular weight (g/mol)</b>	<b>Molecular weight per LCMS (g/mol)</b>
4-hydroxyisoleucine	147.174	148.17
Betain	117.148	115.21
Diosgenin	414.62	421.04
Inositol	180.16	189.12
Saponin	634.851	648.78
Trigonelline	137.136	148.17
Vicenin	594.522	553.85
Vitamin A	286.4516	287.07
Vitamin B	1355.37	1365.28
Vitamin D	384.648	371.09
Vitexin	432.38	431.06

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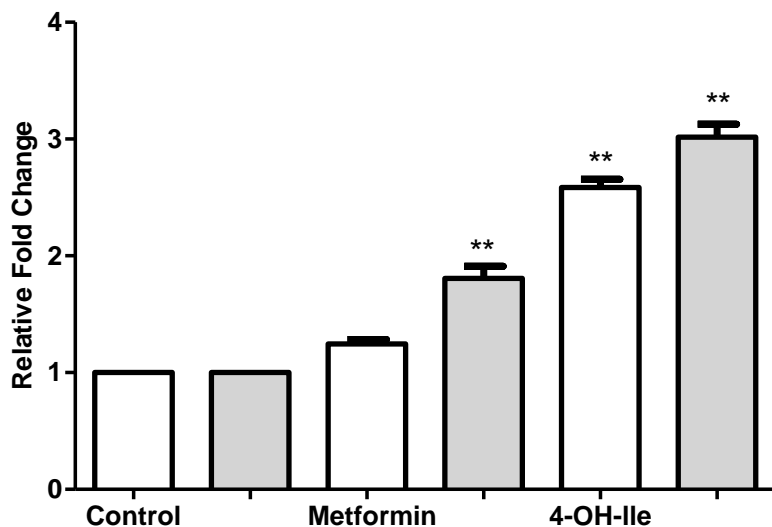
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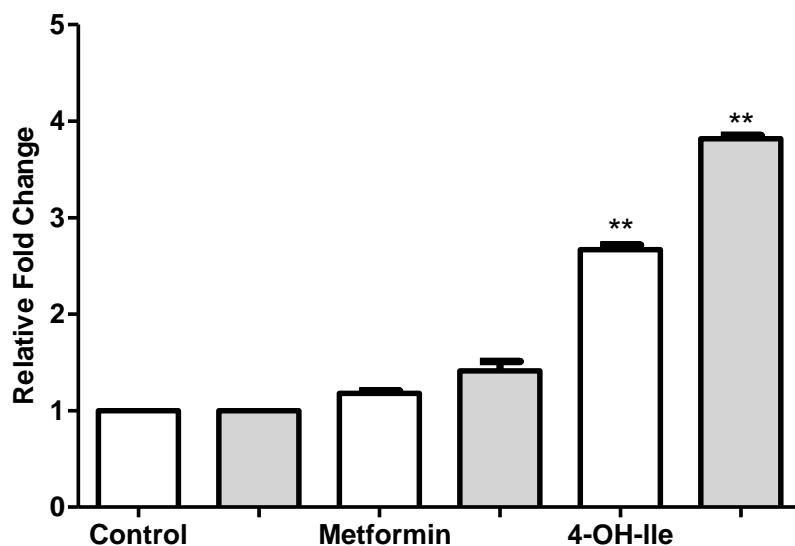


3913 **Appendix 6**  
3914 Validation of qPCR data  
3915 Chapter 3: Insulin signalling  
3916 **IR $\beta$**   
3917



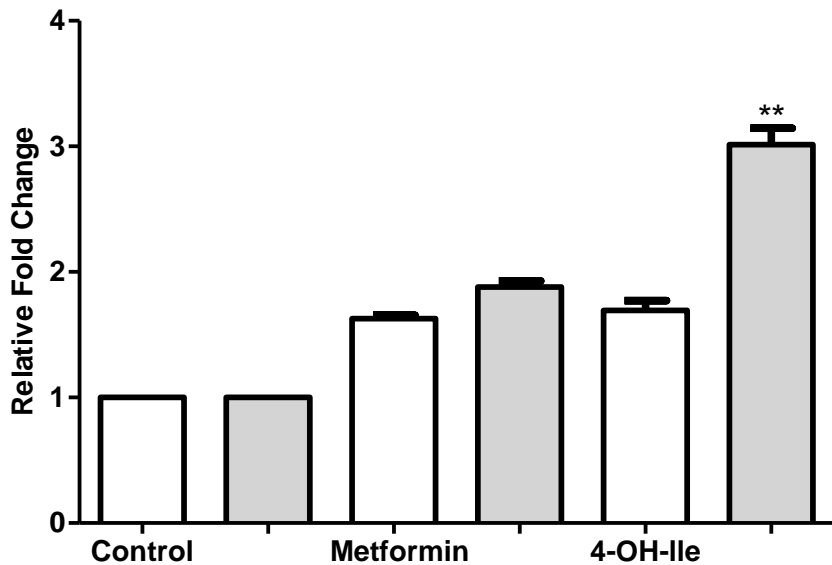
3918  
3919 Figure 1 Metformin (MF) and 4-hydroxyisoleucine (4-OH-Ile) treatments relative to the untreated control  
3920 on the gene expression of insulin receptor  $\beta$  (P=0.0051) on mice liver under normo- (white) and  
3921 hyperglycaemic (grey) conditions. \*\*P< 0.005 relative to control.

3922  
3923 **IRS**



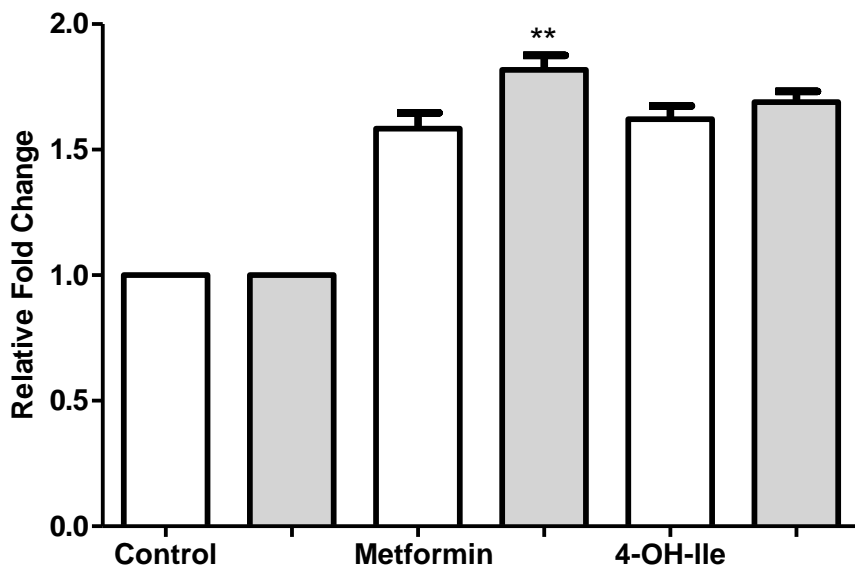
3924  
3925 Figure 2 Metformin (MF) and 4-hydroxyisoleucine (4-OH-Ile) treatments relative to the untreated control  
3926 on the gene expression of insulin receptor substrate 1 (P= 0.0051) on mice liver under normo- (white) and  
3927 hyperglycaemic (grey) conditions. \*\*P< 0.005 relative to control.

3928 GLUT2



3929 Figure 3 Metformin (MF) and 4-hydroxyisoleucine (4-OH-Ile) treatments relative to the untreated control  
3930 on the gene expression of glucose transporter 2 ( $P= 0.0059$ ) on mice liver under normo- (white) and  
3931 hyperglycaemic (grey) conditions. \*\* $P < 0.005$  relative to control.  
3932

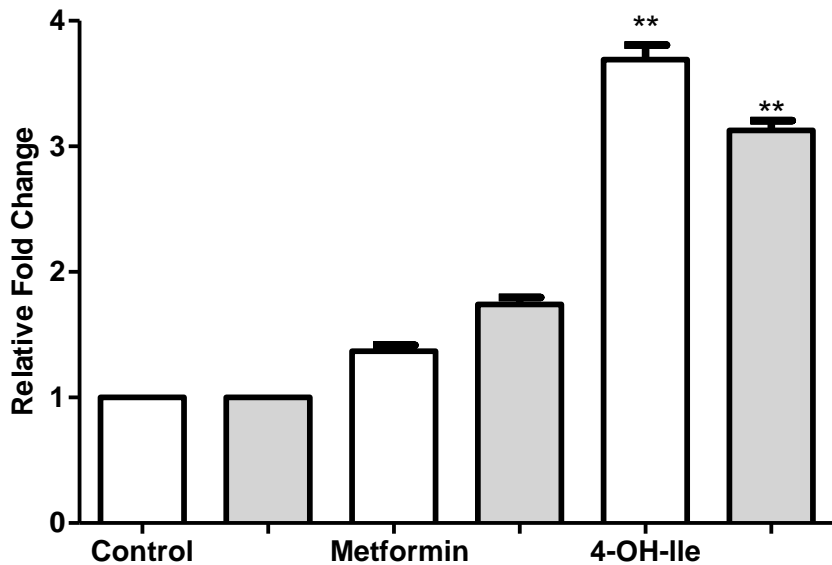
3933  
3934 SREBP1c



3935 Figure 4 Metformin (MF) and 4-hydroxyisoleucine (4-OH-Ile) treatments relative to the untreated control  
3936 on the gene expression of sterol regulatory element binding protein 1c ( $P= 0.0078$ ) on mice liver under  
3937 normo- (white) and hyperglycaemic (grey) conditions. \*\* $P < 0.005$  relative to control.  
3938

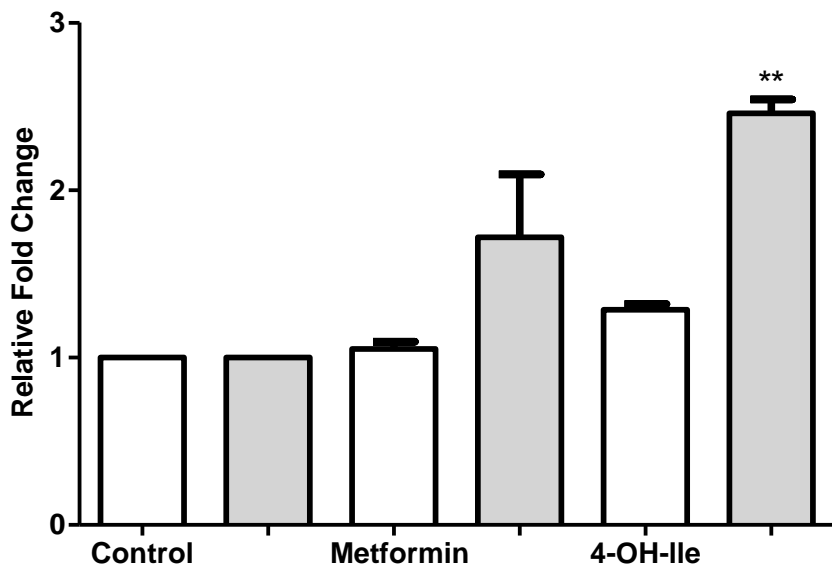
3939  
3940  
3941

3942 Akt



3943 Figure 5 Metformin (MF) and 4-hydroxyisoleucine (4-OH-Ile) treatments relative to the untreated control  
3944 on the gene expression of protein kinase B ( $P=0.0051$ ) on mice liver under normo- (white) and  
3945 hyperglycaemic (grey) conditions.  $**P<0.005$  relative to control.

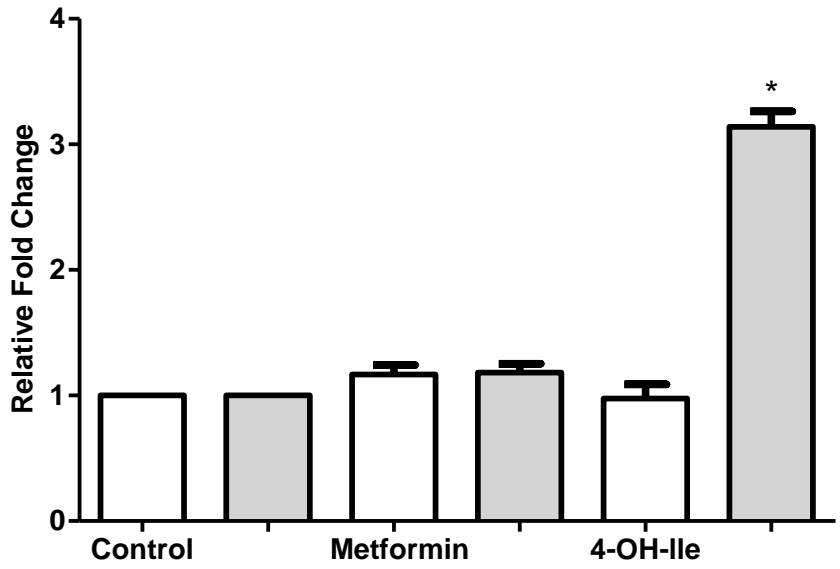
3947  
3948 GSK-3 $\alpha\beta$



3949 Figure 6 Metformin (MF) and 4-hydroxyisoleucine (4-OH-Ile) treatments relative to the untreated control  
3950 on the gene expression of glycogen synthase kinase 3 $\alpha/\beta$  ( $P=0.0059$ ) on mice liver under normo- (white)  
3951 and hyperglycaemic (grey) conditions.  $**P<0.005$  relative to control.

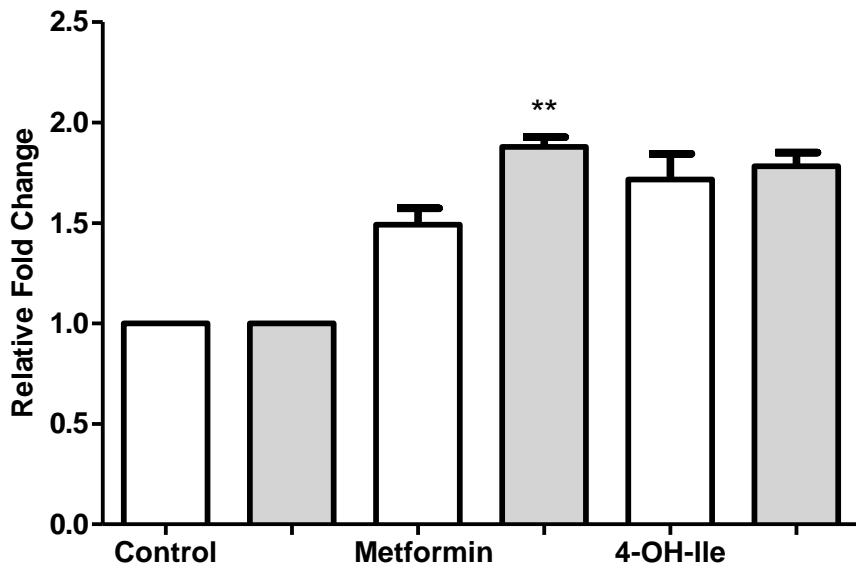
3952  
3953  
3954

3955 GS



3956  
3957 Figure 7 Metformin (MF) and 4-hydroxyisoleucine (4-OH-Ile) treatments relative to the untreated control  
3958 on the gene expression of glycogen synthase ( $P= 0.0059$ ) on mice liver under normo- (white) and  
3959 hyperglycaemic (grey) conditions. \* $P < 0.005$  relative to control.

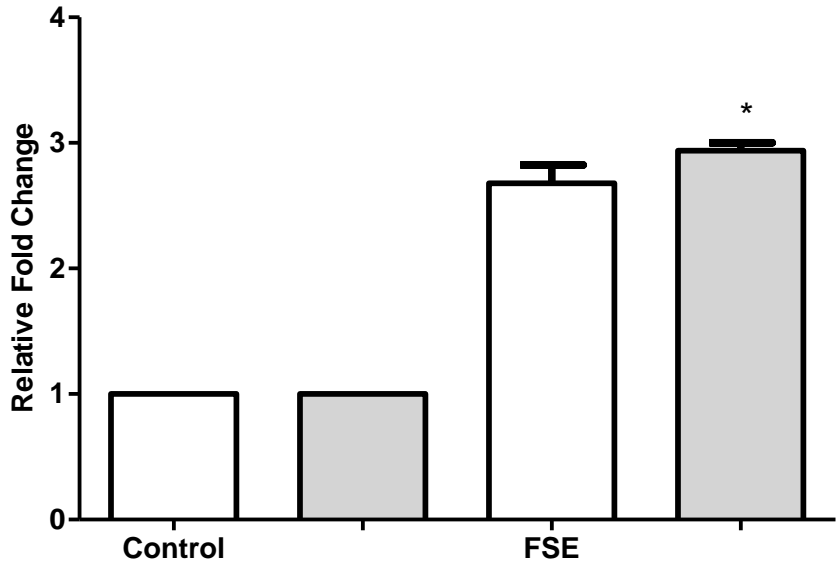
3960  
3961 Gck



3962  
3963 Figure 8 Metformin (MF) and 4-hydroxyisoleucine (4-OH-Ile) treatments relative to the untreated control  
3964 on the gene expression of glucokinase ( $P= 0.0059$ ) on mice liver under normo- (white) and  
3965 hyperglycaemic (grey) conditions. \*\* $P < 0.005$  relative to control.

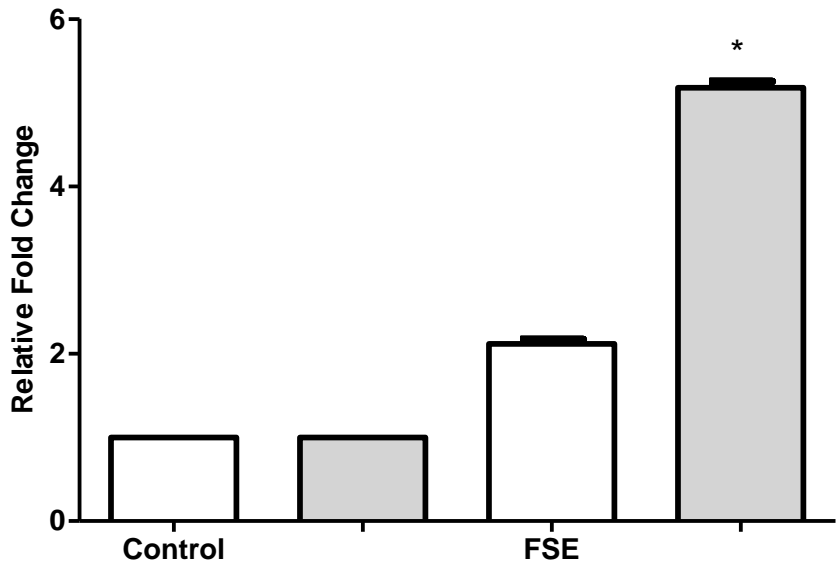
3966  
3967  
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3969 **IR $\beta$**



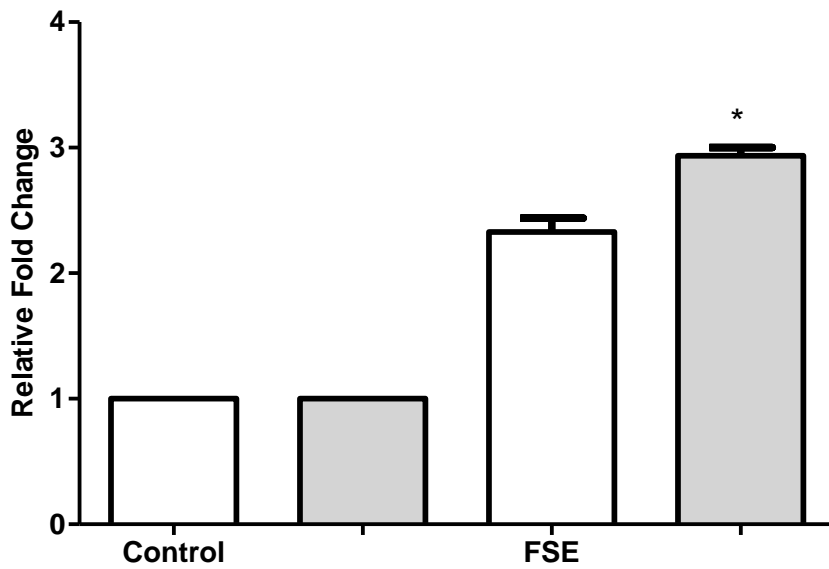
3970  
3971 Figure 9 Metformin (MF) and 4-hydroxyisoleucine (4-OH-Ile) treatments relative to the untreated control  
3972 on the gene expression of insulin receptor  $\beta$  ( $P=0.0059$ ) on mice liver under normo- (white) and  
3973 hyperglycaemic (grey) conditions. \* $P<0.005$  relative to control.

3974  
3975 **IRS**



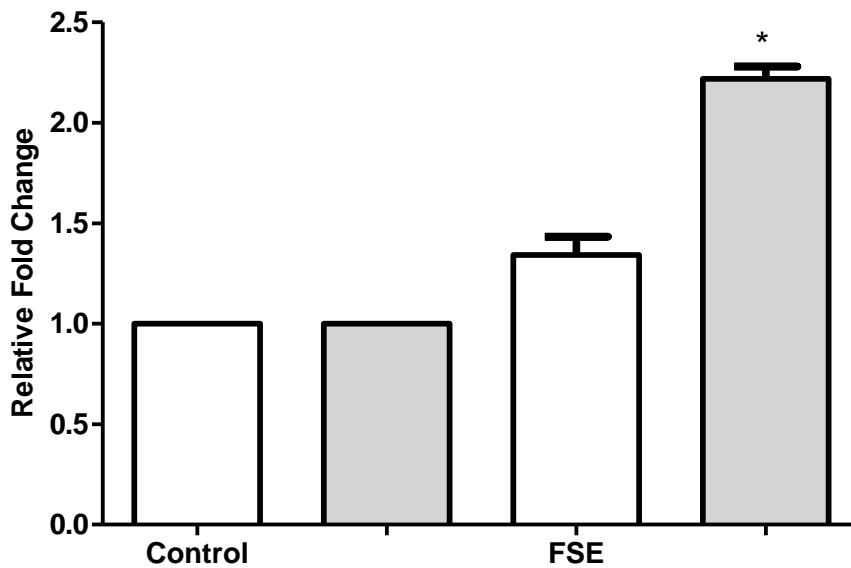
3976  
3977 Figure 10 Metformin (MF) and 4-hydroxyisoleucine (4-OH-Ile) treatments relative to the untreated  
3978 control on the gene expression of insulin receptor substrate ( $P=0.0059$ ) on mice liver under normo-  
3979 (white) and hyperglycaemic (grey) conditions. \* $P<0.005$  relative to control.

3980  
3981  
3982 **GLUT2**



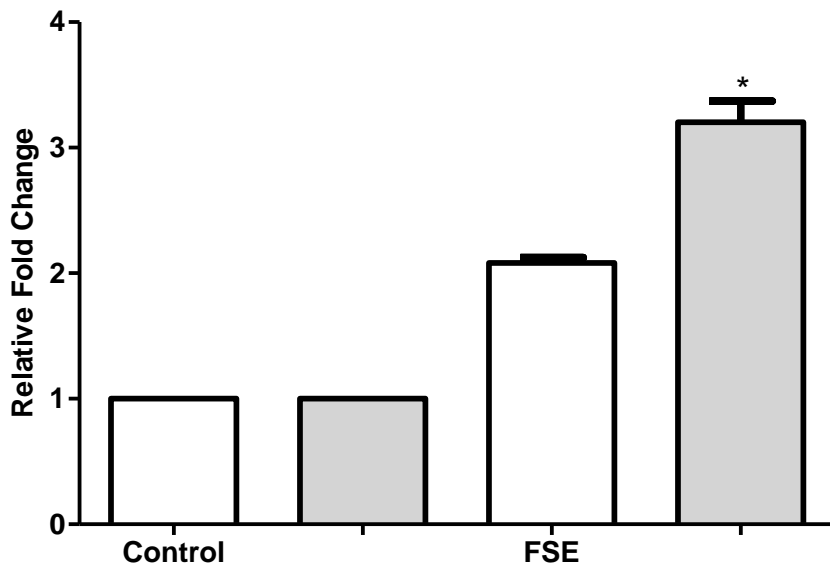
3983  
 3984 Figure 11 Metformin (MF) and 4-hydroxyisoleucine (4-OH-Ile) treatments relative to the untreated  
 3985 control on the gene expression of glucose transporter 2 (P= 0.0059) on mice liver under normo- (white)  
 3986 and hyperglycaemic (grey) conditions. \*P< 0.005 relative to control.

3987  
 3988 **SREBP1c**



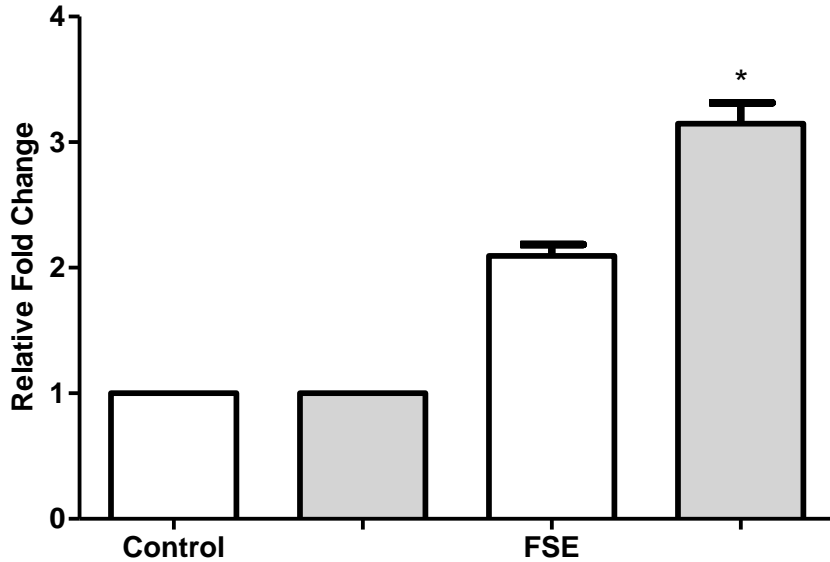
3989  
 3990 Figure 12 Metformin (MF) and 4-hydroxyisoleucine (4-OH-Ile) treatments relative to the untreated  
 3991 control on the gene expression of sterol regulatory element binding protein 1c (P= 0.0059) on mice liver  
 3992 under normo- (white) and hyperglycaemic (grey) conditions. \*P< 0.005 relative to control.

3993  
 3994  
 3995  
 3996 **Akt**



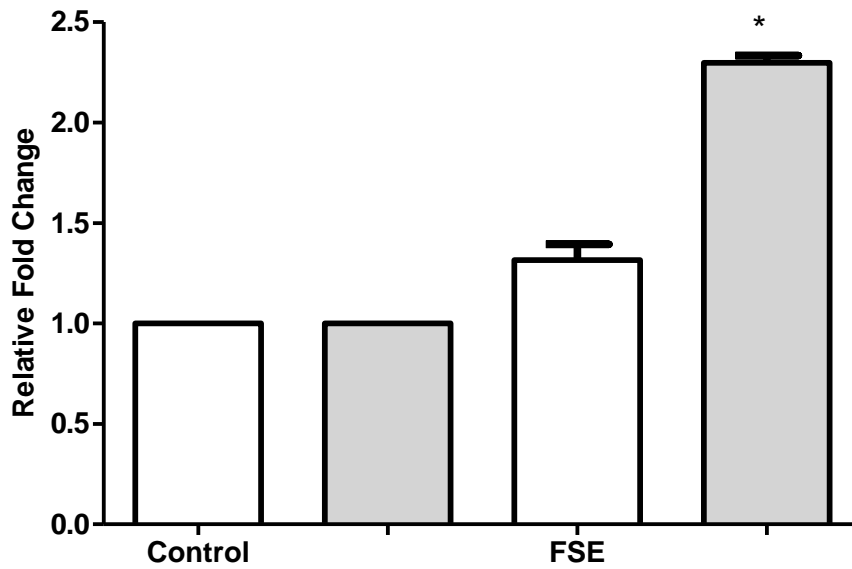
3997  
 3998 Figure 13 Metformin (MF) and 4-hydroxyisoleucine (4-OH-Ile) treatments relative to the untreated  
 3999 control on the gene expression of protein kinase B ( $P=0.0059$ ) on mice liver under normo- (white) and  
 4000 hyperglycaemic (grey) conditions. \* $P<0.005$  relative to control.

4001  
 4002 **GSK-3 $\alpha$  $\beta$**



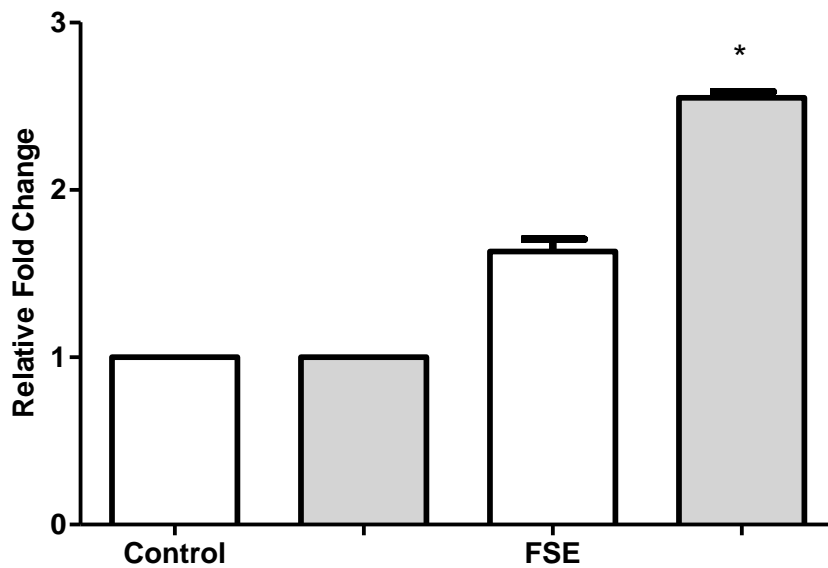
4003  
 4004 Figure 14 Metformin (MF) and 4-hydroxyisoleucine (4-OH-Ile) treatments relative to the untreated  
 4005 control on the gene expression of glycogen synthase kinase-3 $\alpha$ / $\beta$  ( $P=0.0059$ ) on mice liver under normo-  
 4006 (white) and hyperglycaemic (grey) conditions. \* $P<0.005$  relative to control.

4007  
 4008  
 4009 **GS**



4010  
 4011 Figure 15 Metformin (MF) and 4-hydroxyisoleucine (4-OH-Ile) treatments relative to the untreated  
 4012 control on the gene expression of glycogen synthase ( $P= 0.0059$ ) on mice liver under normo- (white) and  
 4013 hyperglycaemic (grey) conditions. \* $P < 0.005$  relative to control.

4014  
 4015 **Gck**

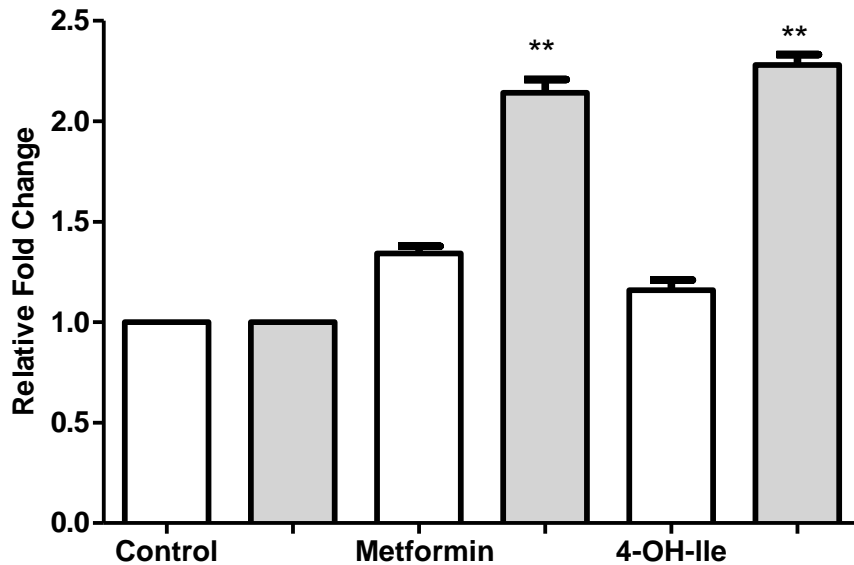


4016  
 4017 Figure 16 Metformin (MF) and 4-hydroxyisoleucine (4-OH-Ile) treatments relative to the untreated  
 4018 control on the gene expression of glucokinase ( $P= 0.0059$ ) on mice liver under normo- (white) and  
 4019 hyperglycaemic (grey) conditions. \* $P < 0.005$  relative to control.

4020  
 4021  
 4022  
 4023 **Chapter 4: Lipid metabolism**

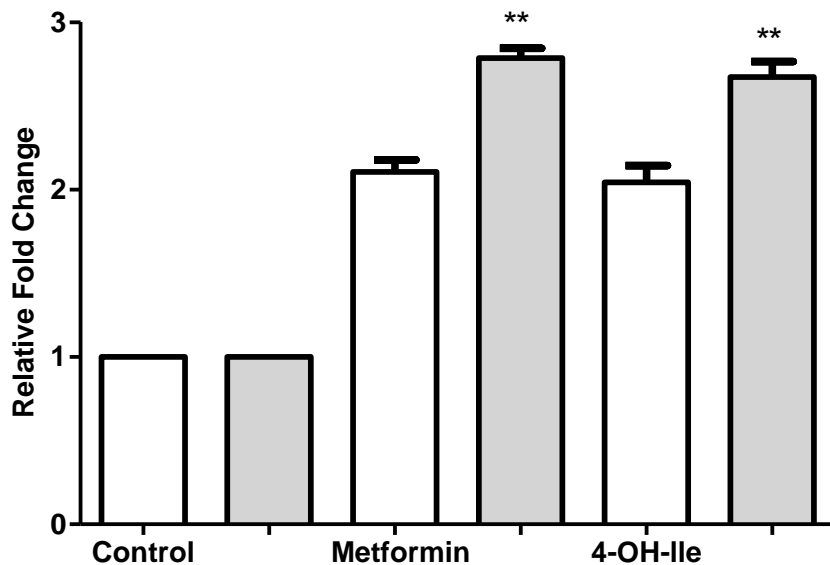


4024 **PCSK9**



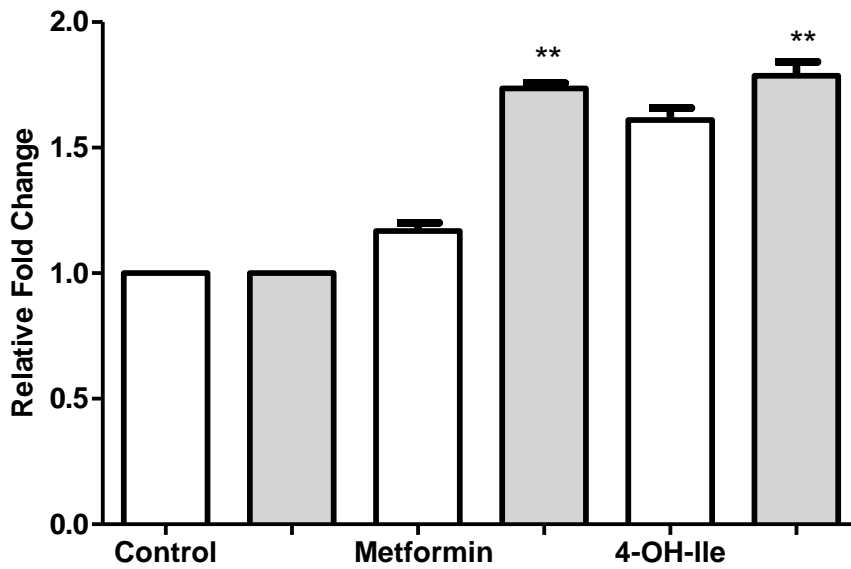
4025  
4026 Figure 17 Metformin (MF) and 4-hydroxyisoleucine (4-OH-Ile) treatments relative to the untreated  
4027 control on the gene expression of proprotein convertase subtilisin/kexin type 9 ( $P=0.0059$ ) on mice liver  
4028 under normo- (white) and hyperglycaemic (grey) conditions.  $**P < 0.005$  relative to control.

4029  
4030 **LDLr**



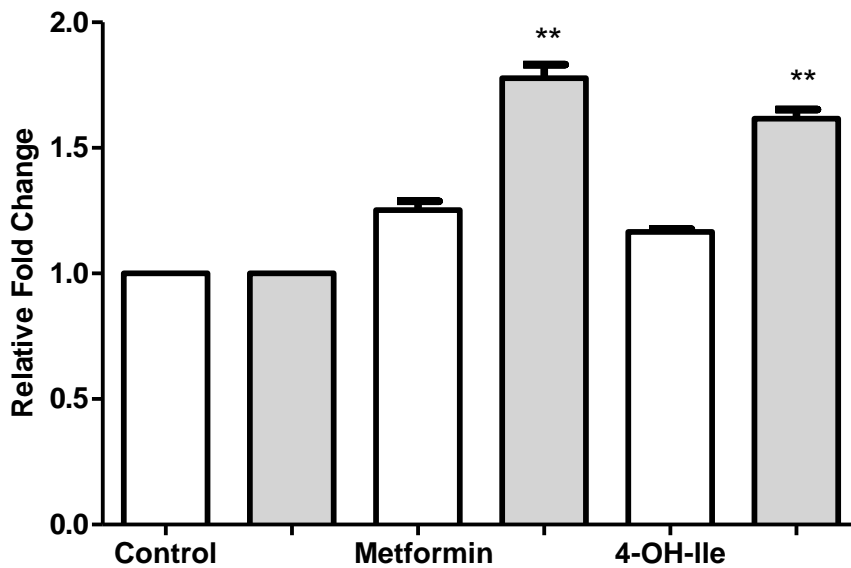
4031  
4032 Figure 18 Metformin (MF) and 4-hydroxyisoleucine (4-OH-Ile) treatments relative to the untreated  
4033 control on the gene expression of low density lipoprotein receptor ( $P=0.0059$ ) on mice liver under  
4034 normo- (white) and hyperglycaemic (grey) conditions.  $**P < 0.005$  relative to control.

4035  
4036  
4037 **FAS**



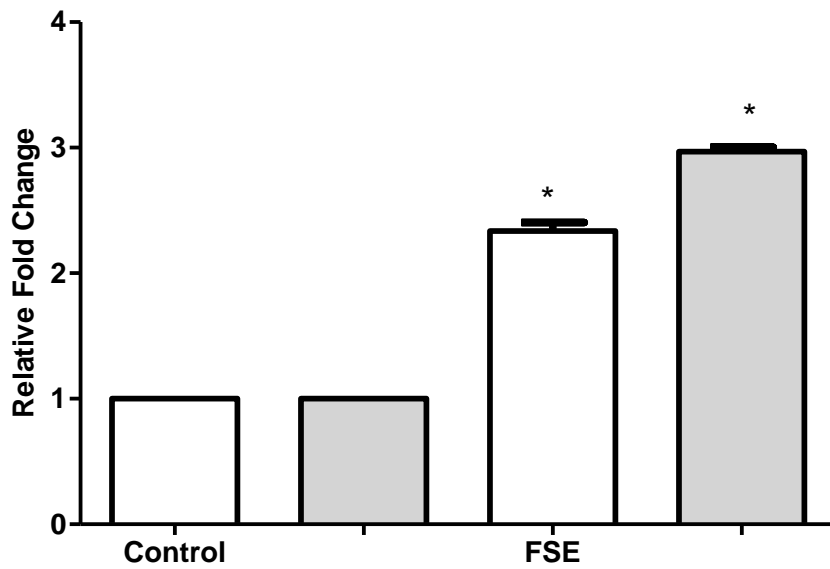
4038  
 4039 Figure 19 Metformin (MF) and 4-hydroxyisoleucine (4-OH-Ile) treatments relative to the untreated  
 4040 control on the gene expression of fatty acid synthase ( $P=0.0059$ ) on mice liver under normo- (white) and  
 4041 hyperglycaemic (grey) conditions.  $**P < 0.005$  relative to control.

4042  
 4043 **APO A1**



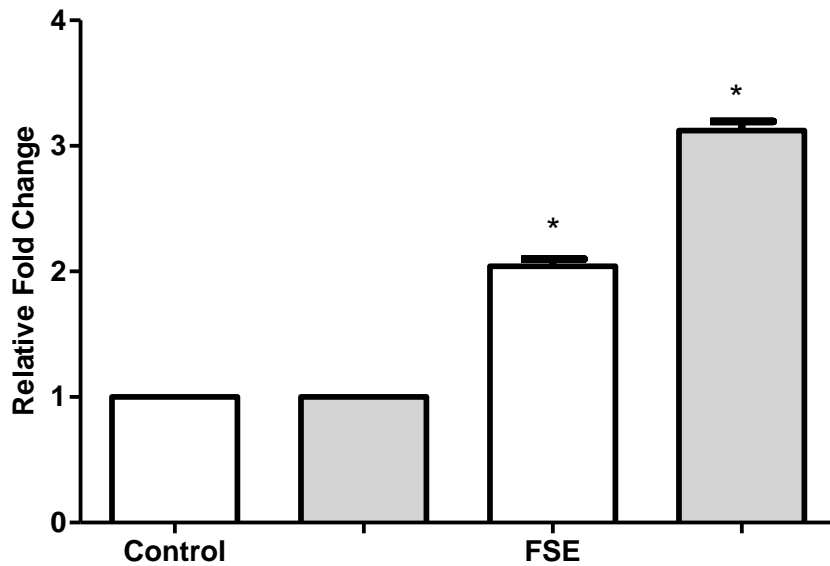
4044  
 4045 Figure 20 Metformin (MF) and 4-hydroxyisoleucine (4-OH-Ile) treatments relative to the untreated  
 4046 control on the gene expression of apolipoprotein A1 ( $P=0.0059$ ) on mice liver under normo- (white) and  
 4047 hyperglycaemic (grey) conditions.  $**P < 0.005$  relative to control.

4048  
 4049  
 4050  
 4051 **PCSK9**



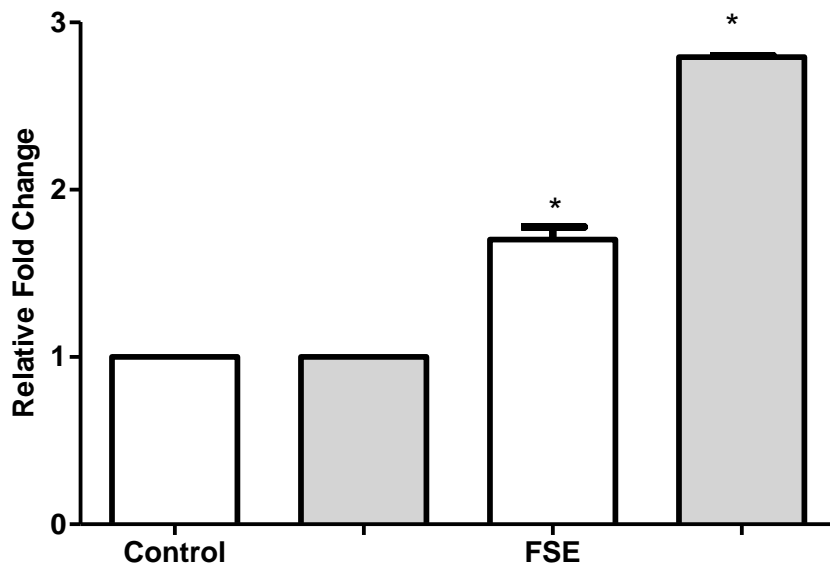
4052  
 4053 Figure 21 Metformin (MF) and 4-hydroxyisoleucine (4-OH-Ile) treatments relative to the untreated  
 4054 control on the gene expression of proprotein convertase subtilisin/kexin type 9 (P= 0.0059) on mice liver  
 4055 under normo- (white) and hyperglycaemic (grey) conditions. \*P< 0.005 relative to control.  
 4056

4057 **LDLr**



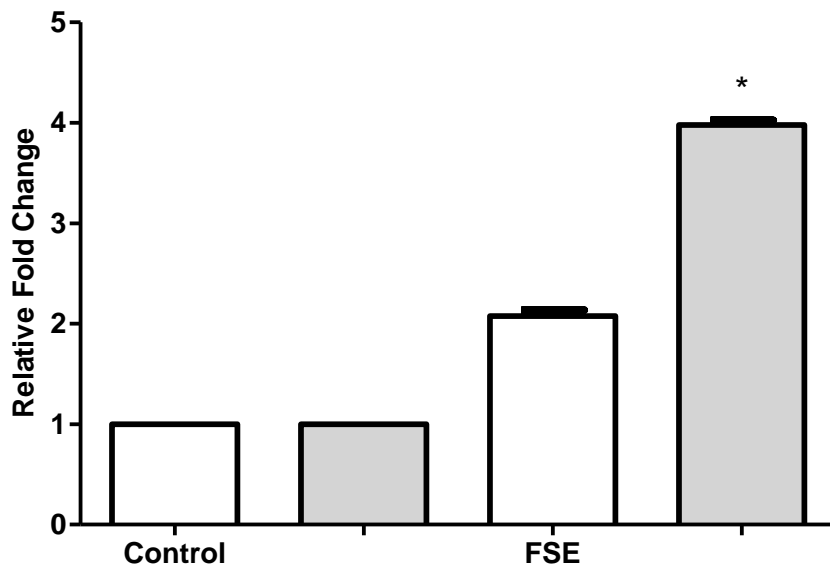
4058  
 4059 Figure 22 Metformin (MF) and 4-hydroxyisoleucine (4-OH-Ile) treatments relative to the untreated  
 4060 control on the gene expression of low density lipoprotein receptor (P= 0.0059) on mice liver under  
 4061 normo- (white) and hyperglycaemic (grey) conditions. \*\*P< 0.005 relative to control.  
 4062

4063  
 4064  
 4065 **FAS**



4066  
 4067 Figure 23 Metformin (MF) and 4-hydroxyisoleucine (4-OH-Ile) treatments relative to the untreated  
 4068 control on the gene expression of fatty acid synthase ( $P= 0.0059$ ) on mice liver under normo- (white) and  
 4069 hyperglycaemic (grey) conditions.  $**P < 0.005$  relative to control.

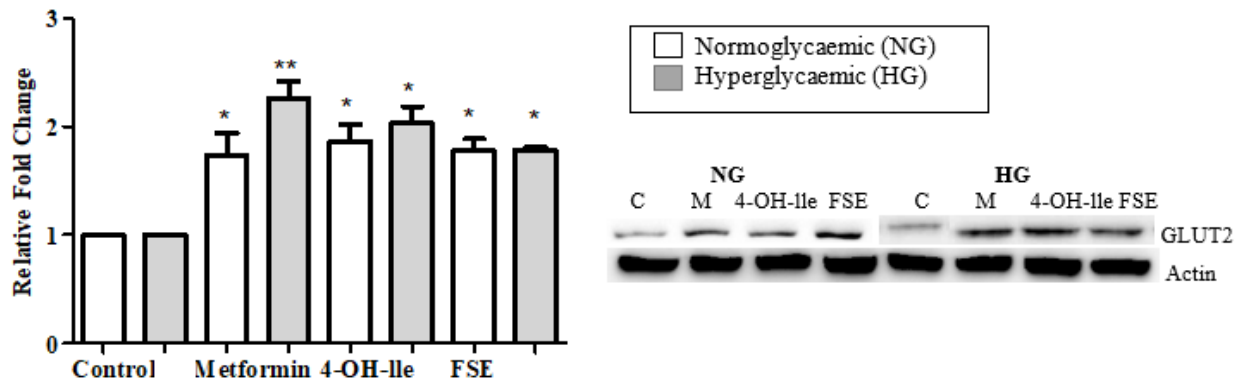
4070  
 4071 **APO A1**



4072  
 4073 Figure 24 Metformin (MF) and 4-hydroxyisoleucine (4-OH-Ile) treatments relative to the untreated  
 4074 control on the gene expression of apolipoprotein A1 ( $P= 0.0059$ ) on mice liver under normo- (white) and  
 4075 hyperglycaemic (grey) conditions.  $**P < 0.005$  relative to control.

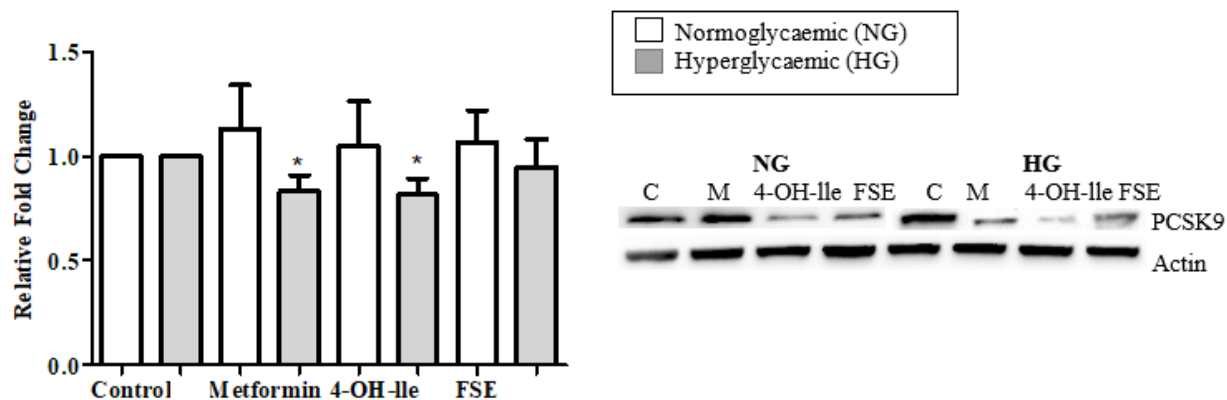
4076  
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4078 **Appendix 7**  
 4079 Validation of western blot data  
 4080 Chapter 3: Insulin signalling  
 4081



4082  
 4083  
 4084 **Figure 1** Protein expression analysis of glucose transporter 2 in metformin, 4-hydroxyisoleucine (4-OH-  
 4085 Ile) and fenugreek seed extract (FSE) treated mouse liver ( $p=0.0067$ ), under normal and hyperglycaemic  
 4086 conditions. \* $P < 0.05$  relative to control, \*\* $P < 0.05$  relative to control

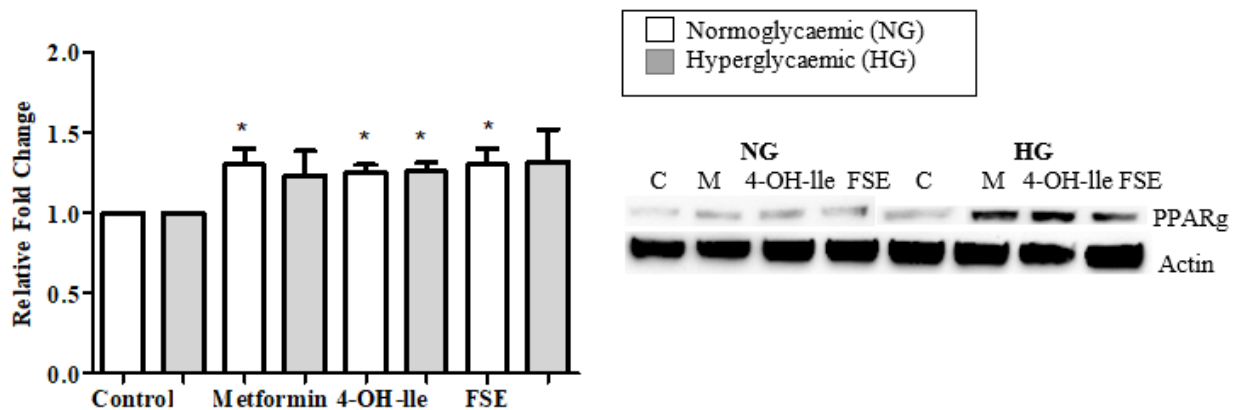
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4112 **Figure 2** Protein expression analysis of proprotein convertase subtilisin/kexin type 9 in metformin, 4-  
4113 hydroxyisoleucine (4-OH-Ile) and fenugreek seed extract (FSE) treated mouse liver ( $p=0.0010$ ), under  
4114 normal and hyperglycaemic conditions. \* $P < 0.05$  relative to control, \*\* $P < 0.05$  relative to control

4115



4116  
4117

4118 **Figure 3** Protein expression analysis of peroxisome proliferator-activated receptor gamma in metformin,  
4119 4-hydroxyisoleucine (4-OH-Ile) and fenugreek seed extract (FSE) treated mouse liver ( $p=0.0048$ ), under  
4120 normal and hyperglycaemic conditions. \* $P < 0.05$  relative to control, \*\* $P < 0.05$  relative to control